

## Compliance Assessment Report

**Report ID:**  
**CAR\_NRW0018558**

**This form will report compliance with your permit as determined by an NRW officer**

|                              |                                 |             |            |     |       |
|------------------------------|---------------------------------|-------------|------------|-----|-------|
| Site                         | Cwmbran Glass Recovery Facility | Permit Ref  | DB3797TC   |     |       |
| Operator/Permit holder       | Recresco Limited                |             |            |     |       |
| Regime                       | Waste Operations                |             |            |     |       |
| Date of assessment           | 05/05/2016                      | Time in     | 11:50      | Out | 12:30 |
| Assessment type              | Audit                           |             |            |     |       |
| Parts of the permit assessed | A1, B4, C3, C4, F1, F3.         |             |            |     |       |
| Lead officer's name          | Bruten, Anthony                 |             |            |     |       |
| Accompanied by               |                                 |             |            |     |       |
| Recipient's name/position    | Paul Westwood/ Manager          | Date issued | 09/05/2016 |     |       |

### Section 1 – Compliance Assessment Summary

This is based on the requirements of the permit under the Environmental Permitting Regulations or the licence under the Water Resources Act 1991 as amended by the Water Act 2003. A detailed explanation is captured in "Compliance Assessment Report Detail" (Section 2) and any actions you may need to take are given in the "Action(s)" (section 4). This summary details where we believe any non-compliance with the permit has occurred, the relevant condition and how the non-compliance has been categorised using our Compliance Classification Scheme (CCS). CCS Scores can be consolidated or suspended where appropriate, to reflect the impact of some non-compliances more accurately. For more details of our CCS scheme, contact your local office.

| Permit conditions and compliance summary                          | CCS Category | Condition(s) breached |
|-------------------------------------------------------------------|--------------|-----------------------|
| A1 - Infrastructure - Specified by permit                         | C3           | 1.1.1                 |
| B4 - Infrastructure - Containment of stored materials             | C3           | 2.1.1                 |
| C4 - Infrastructure - Storage, handling labelling and Segregation | C3           | 2.1.1                 |
| F1 - Infrastructure - Odour                                       | C3           | 3.2.1                 |
| F3 - Infrastructure - Dust/fibres/particulates and litter         | C3           | 3.1.1                 |

**KEY:** See Section 5 for breach categories, suspended scores will be indicated as such.

**A** = Assessed or assessed in part (no evidence of non-compliance), **X** = Action only,  
**O** = Ongoing non-compliance, not scored.

|                                    |          |                                                                     |           |
|------------------------------------|----------|---------------------------------------------------------------------|-----------|
| <b>Number of breaches recorded</b> | <b>5</b> | <b>Total compliance score</b><br>(see section 5 for scoring scheme) | <b>20</b> |
|------------------------------------|----------|---------------------------------------------------------------------|-----------|

**If the Number of breaches recorded is greater than zero, please see Section 3 for our proposed enforcement response**

## Section 2 – Compliance Assessment Report Detail

This section contains a report of our findings and will usually include information on:

- The part(s) of the permit that were assessed (eg. Maintenance, training, combustion plant, etc)
- Where the type of assessment was 'Data Review' details of the report/results triggering the assessment
- Any non-compliances identified
- Any non-compliances with directly applicable legislation
- Details of any multiple non-compliances
- Information on the compliance score accrued inc.
- Details of advice given
- Any other areas of concern
- Any actions requested
- Any examples of good practice
- A reference to photos taken

NRW visited the site on Thursday 5th May 2016 following notification from Torfaen Council and complaints from neighbours that dust and odour were detectable outside the site.

Inspection of the site revealed a number of breaches. These breaches were as a result of storage and treatment of waste glass in an area other than that allowed in the permit. Waste glass estimated in excess of 3000 Tonnes was being stored in an area only permitted to store previously treated glass awaiting onward distribution. The company has had previous warnings re storage of unsuitable material in that area.

### Breaches identified

A1. Permit condition 1.1.1 Carrying out treatment which included sorting and crushing of glass in an area other than that allowed in the permit.

B4. Permit condition 2.1.1. As above waste glass being stored and treated in area other than that allowed in permit.

C4. Permit condition 2.1.1. Permit stipulates operator only authorised to carry out the activities stipulated in schedule 1, table S1.1.

F1. Permit condition 3.2.1. Odour emanating from waste glass stored in area other than that allowed in permit.

F3. Permit condition 3.1.1. Dust emanating from treatment of glass in area outside building, not allowed in permit.

Staff at site stated they were operating under a T4 exemption, if an exemption is in place on a permitted area then it over rules the permit but it would mean the permit would be revoked and the limit to the exemption is 5000 Tonnes, the site treats more than 5000 tonnes per year and so the permit is required.

Recresco must immediately stop treatment of glass in the area identified to staff. Treatment of glass which includes crushing, sorting, segregation and other processes listed in permit must only be carried out in the building on an impermeable surface with sealed drainage.

The glass must be moved to the area stipulated in the permit for storage, namely under the canopy. This must be completed by 20th May 2016.

## EPR Compliance Assessment Report

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CAR\_NRW0018558**

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|                        |                                 |            |            |
|------------------------|---------------------------------|------------|------------|
| Site                   | Cwmbran Glass Recovery Facility | Permit Ref | DB3797TC   |
| Operator/Permit holder | Recresco Limited                | Date       | 05/05/2016 |

### Section 3 – Enforcement Response

You must take immediate action to rectify any non-compliance and prevent repetition. Non-compliance with your permit conditions constitutes an offence and can result in criminal prosecutions and/or suspension or revocation of a permit. Please read the detailed assessment in Section 2 and the steps you need to take in Section 4 below.

### Section 4 – Action(s)

This section summarises the actions identified during the assessment along with the timescales for when they will need to be completed.

| Criteria Ref.       | CCS Category | Action required/advised                                                                                                                                                                                                                                                                | Due Date   |
|---------------------|--------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|
| See Section 1 above |              |                                                                                                                                                                                                                                                                                        |            |
| A1                  | C3           | With immediate affect stop any treatment of waste glass in areas other than those allowed in permit. Move glass from area identified to storage area under canopy.                                                                                                                     | 20/05/2016 |
| B4                  | C3           | Untreated waste glass to be moved from area in which it is being stored to area stipulated in permit namely under canopy area.                                                                                                                                                         | 20/05/2016 |
| C4                  | C3           | Permit stipulates operator only authorised to carry out the activities specified in schedule 1 table S1.1. To this end Treatment in any other area than that allowed in permit to stop with immediate affect. All untreated glass to be moved and stored under canopy by 20th May 2016 | 20/05/2016 |
| F1                  | C3           | Move all untreated waste glass from area identified to staff to area under canopy as stipulated in permit.                                                                                                                                                                             | 20/05/2016 |
| F3                  | C3           | Immediately stop all treatment of glass in area other than that specified in permit                                                                                                                                                                                                    | 06/05/2016 |

## Section 5 – Compliance notes for the Operator

To ensure you correct actual or potential non-compliance we may

- Advise on corrective actions verbally or in writing
- Require you to take specific actions verbally or in writing
- Issue a notice
- Require you to review your procedures or management system
- Change some of the conditions of your permit
- Decide to undertake a full review of your permit

Any breach of a permit condition is an offence and we may take legal action against you

- We will normally provide advice and guidance to assist you to come back into compliance either after an offence is committed or where we consider that an offence is likely to be committed. This is without prejudice to any other enforcement response that we consider may be required.
- Enforcement action can include the issue of a formal caution, prosecution, the service of a notice and/or suspension or revocation of the permit.

**See our Enforcement and Civil Sanctions guidance for further information**

This report does not relieve the site operator of the responsibility to

- Ensure you comply with the conditions of the permit at all times and prevent pollution of the environment
- Ensure you comply with other legislative provisions which may apply

### Non-compliance scores and categories

| CCS category | Description                                                          | Score |
|--------------|----------------------------------------------------------------------|-------|
| C1           | A non-compliance that could have a major environmental effect        | 60    |
| C2           | A non-compliance which could have a significant environmental effect | 31    |
| C3           | A non-compliance which could have a minor environmental effect       | 4     |
| C4           | A non-compliance which has no potential environmental effect         | 0.1   |

**Operational Risk Appraisal (Opra)** - Compliance assessment findings may affect your Opra score and/or your charges. This score influences the resource we use to assess permit compliance.

## Section 6 – General information

### Data protection notice

The information on this form will be processed by the Natural Resources Wales (NRW) to fulfil its regulatory and monitoring functions and to maintain the relevant public register(s). The NRW may also use and/or disclose it in connection with:

- Offering/providing you with its literature/services relating to environmental matters
- Consulting with the public, public bodies and other organisations (eg. Health and Safety Executive, local authorities) on environmental issues
- Carrying out statistical analysis, research and development on environmental issues
- Providing public register information to enquirers
- Investigating possible breaches of environmental law
- Assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Regulations request

The NRW may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

### Disclosure of information

The NRW will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within fifteen working days of receipt of this form indicating which information it concerns and why it should not be released, giving your reasons in full.

### Customer charter

#### What can I do if I disagree with this compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with officer's line managers using the informal appeals procedure. If you wish to raise your dispute further through our official Complaints and Commendations procedure, phone our general enquiry number 0300 065 3000 (Mon to Fri 08.00 – 18.00) and ask for the Customer Contact team or send an email to [enquiries@naturalresourceswales.gov.uk](mailto:enquiries@naturalresourceswales.gov.uk). If you are still dissatisfied you can make a complaint to the Public Services Ombudsman for Wales. For advice on how to complain to the Ombudsman phone their helpline on 0845 607 0987.

#### Welsh Language

If you would like this form in Welsh please contact your Regulatory Officer.