

# Application for an environmental permit

## Part D2 – Application for transfer (installations, waste operations and mining waste operations only)



**If you want to transfer all, or part of, your existing permit to another person, you must get the person who will take on the permit to fill in parts A, D2 and F1. Please check that this is the latest version of the form available from our website.**

**Note: the current holder of the permit has to tick the relevant declaration in section 6 part F.**

**Please read through this form and the guidance notes that came with it. Please write clearly in the answer spaces.**

It will take less than two hours to fill in this form.

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### 1 About the people involved in a transfer of a permit

#### 1a Existing permit holder

What is your customer reference number?

If you do not have a customer reference number, you may leave this blank.

#### 1b New permit holder

What is your customer reference number?

If you do not have a customer reference number you may leave this blank. However you must make sure that you have filled in part A of this form.

#### 1c Discussions before your application

If you have had discussions with us before your application, provide the case reference or details on a separate sheet and tell us below the reference you have given the document.

Case or document reference

#### 1d Permit number

What is the permit number that this application relates to?

#### 1e Site details

What is the name, address and postcode of the site?

Site name

Address

Postcode

### 2 About the transfer

#### 2a Are you applying to transfer all or part of the permit to yourself?

All of the permit  Now go to question 2b

Part of the permit  Now go to section 3

#### 2b Whole permit transfers only

If you are applying to transfer the whole permit and can demonstrate that management will stay substantially the same as that of the current holder, you will pay a lower application charge.

If the permit is transferred to you, will you manage the activities the permit is for in largely the same way and can you provide evidence of this?

No

Yes  Document reference

## 2 About the transfer, continued

You can find guidance on management systems in both ‘How to comply’ and ‘Horizontal guidance Note 6 Environmental management systems’. You can get these by calling 0300 065 3000 or by downloading them from the Environment Agency website <http://www.environment-agency.gov.uk>.

Now fill in section 4

## 3 About the parts of the permit you want to transfer

Fill in Table 1 listing the activity to be transferred.

### 3a Supply a map or plan identifying the part (or parts) of the permit your application relates to

Document reference of the map or plan

### 3b Fill in Table 1 listing the installation, waste facility or mining waste operations you want to transfer to yourself

If you are also transferring any directly associated activities, you should identify these separately in the table.

Fill in a separate table for each activity you are applying to transfer to yourself. Use a separate sheet if you have a long list and send it to us with your application form. Tell us below the reference you have given the extra sheet.

Document reference of the extra sheet

**Table 1 – Parts of the permit you want to transfer**

Activity reference			Description of the waste facility or waste mobile plant	Description of the mining waste operation	Standard facility	Proposed operator
Installations or part A mobile plant only						
Schedule 1 references	Description of the activity	Directly associated activity				

You do not need to fill in the rest of this form if you are transferring standard facilities only. Now fill in section 4.

### 3c In your view, will transferring part of the permit lead to shared operations that will need you to apply to vary (change) the permit conditions?

No

Yes  Provide a written explanation

Document reference of this explanation

Now fill in the relevant parts of C1 to C6 if you have answered ‘yes’ to question 3c above, and go to section 4 in all cases.

## 4 Your ability as the new operator

### 4a Relevant offences (for installations, waste operations only – see the guidance notes on part D2)

Have you, or any other relevant person, been convicted of any relevant offence?

No

Yes  Please give details below

Name of the relevant person

Title (Mr, Mrs, Miss and so on)

\_\_\_\_\_

First name

\_\_\_\_\_

Last name

\_\_\_\_\_

Date of birth (DD/MM/YYYY)

\_\_\_\_\_

Position held at the time of the offence

\_\_\_\_\_

Name of the court where the case was dealt with

\_\_\_\_\_

Date of the conviction (DD/MM/YYYY)

\_\_\_\_\_

Offence and penalty set

\_\_\_\_\_

Date any appeal against the conviction will be heard

(DD/MM/YYYY)

\_\_\_\_\_

If necessary, use a separate sheet to give us details of other relevant offences (and post conviction plans if relevant) and tell us below the reference number you have given the extra sheet.

Document reference of the extra sheet

\_\_\_\_\_

Have you sent us a post conviction plan for this offence?

No  You must send us a post conviction plan with this application and give us the document reference below

Document reference

\_\_\_\_\_

Yes  Please give us the reference for the post conviction plan you have sent and the date sent in

Post conviction plan reference

\_\_\_\_\_

Date sent in (DD/MM/YYYY)

\_\_\_\_\_

Now go to question 4b

### 4b Technical ability (relevant waste operations only – see the guidance notes on part D2)

Please tick the scheme you are using to show you have the suitable technical skills and knowledge to manage your facility.

CIWM/WAMITAB

ESA/EU

Please send in a registration letter from your scheme, as above

Now go to question 4c

### 4c Finances

Do you or any relevant person have current or past bankruptcy or insolvency proceedings against you?

No

Yes  Please give details below, including the required set-up costs (including infrastructure), maintenance and clean up costs for the proposed facility against which a credit check may be assessed.

We may want to contact a credit reference agency for a report about your business's finances.

## 4 Your ability as the new operator, continued

### Landfill, Category A mining waste facilities and mining waste facilities for hazardous waste only

How do you plan to make financial provision?

- Bonds
- Escrow account
- Trust fund
- Lump sum
- Other

Now go to question 4d

### 4d Management systems

You can find guidance on management systems in both ‘How to Comply’ and ‘Horizontal Guidance Note 6 – Environmental Management Systems’. We have also developed environmental management toolkits for some business sectors which you can use to produce your own management system. You can get these by calling 0300 065 3000 or by downloading them from the Environment Agency website <http://www.environment-agency.gov.uk>.

Does your management system meet the conditions set out in our guidance?

No

Yes

What management system will you provide for your regulated facility?

- EC Eco-Management and Audit Scheme (EMAS)
- ISO 14001
- BS 8555 (Phases 1–5)
- Green Dragon
- Own management system

Please make sure you send us a summary of your management system with your application.

Document reference or references for this summary

## 5 Date on which the transfer will take place

Tell us the date that you want to transfer the permit in the format DD/MM/YYYY

## 6 How to contact us

If you need help filling in this form, please contact the person who sent it to you or contact us as shown below.

General enquiries: 0300 065 3000 (Monday to Friday, 8am to 6pm)

Email: [enquiries@naturalresourceswales.gov.uk](mailto:enquiries@naturalresourceswales.gov.uk) / [ymholiadau@cyfoethnaturiolcymru.gov.uk](mailto:ymholiadau@cyfoethnaturiolcymru.gov.uk)

Website: [www.naturalresourceswales.gov.uk](http://www.naturalresourceswales.gov.uk) / [www.cyfoethnaturiolcymru.gov.uk](http://www.cyfoethnaturiolcymru.gov.uk)

If you are happy with our service, please tell us. It helps us to identify good practice and encourages our staff. If you're not happy with our service, please tell us how we can improve it.

**Please tell us if you need information in a different language or format (for example, in large print) so we can keep in touch with you more easily.**

## Feedback

(You don't have to answer this part of the form, but it will help us improve our forms if you do.)

We want to make our forms easy to fill in and our guidance notes easy to understand. Please use the space below to give us any comments you may have about this form or the guidance notes that came with it.

How long did it take you to fill in this form? \_\_\_\_\_

We will use your feedback to improve our forms and guidance notes, and to tell the Government how regulations could be made simpler.

Would you like a reply to your feedback?

Yes please

No thank you



### For Natural Resources Wales use only

Date received (DD/MM/YYYY)

Our reference number

Payment received?

No

Yes

Amount received

£