

Compliance Assessment Report

Report ID:
CAR_NRW0026385

This form will report compliance with your permit as determined by an NRW officer

Site	Unit 2	Permit Ref	RB3993HH		
Operator/Permit holder	Siteserv Recycling Ltd				
Regime	Waste Operations				
Date of assessment	31/08/2016 - 28/09/2016	Time in	10:00	Out	12:35
Assessment type	Site Inspection				
Parts of the permit assessed	Storage				
Lead officer's name	Powell, William				
Accompanied by					
Recipient's name/position	Luke England/ Director	Date issued	11/10/2016		

Section 1 – Compliance Assessment Summary

This is based on the requirements of the permit under the Environmental Permitting Regulations or the licence under the Water Resources Act 1991 as amended by the Water Act 2003. A detailed explanation is captured in "Compliance Assessment Report Detail" (Section 2) and any actions you may need to take are given in the "Action(s)" (section 4). This summary details where we believe any non-compliance with the permit has occurred, the relevant condition and how the non-compliance has been categorised using our Compliance Classification Scheme (CCS). CCS Scores can be consolidated or suspended where appropriate, to reflect the impact of some non-compliances more accurately. For more details of our CCS scheme, contact your local office.

Permit conditions and compliance summary	CCS Category	Condition(s) breached
C4 - Infrastructure - Storage, handling labelling and Segregation	C3	2.1.1

KEY: See Section 5 for breach categories, suspended scores will be indicated as such.

A = Assessed or assessed in part (no evidence of non-compliance), **X** = Action only,

O = Ongoing non-compliance, not scored.

Number of breaches recorded	1	Total compliance score (see section 5 for scoring scheme)	4
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If the Number of breaches recorded is greater than zero, please see Section 3 for our proposed enforcement response

Section 2 – Compliance Assessment Report Detail

This section contains a report of our findings and will usually include information on:

- The part(s) of the permit that were assessed (eg. Maintenance, training, combustion plant, etc)
- Where the type of assessment was 'Data Review' details of the report/results triggering the assessment
- Any non-compliances identified
- Any non-compliances with directly applicable legislation
- Details of any multiple non-compliances
- Information on the compliance score accrued inc.
- Details of advice given
- Any other areas of concern
- Any actions requested
- Any examples of good practice
- A reference to photos taken

CAR form covering 2 visits to Siteserv Recycling; 31st August 2016 and 28th September 2016. Breaches have been amalgamated where applicable, however CAR form content has been split into two parts. Site visit 31.08.16 conducted by NRW officer Will Powell from boundary, and Site visit 28.09.16 with NRW officer Will Powell, Matthew Bradford (on secondment from FRS), and Siteserv manager Carl Jones also from boundary; weather was dry throughout visits.

Breaches

C4 – Management - Storage, Handling, Labelling and segregation;

Breach of condition 2.1.1, table :

“All wastes unless otherwise specified shall be stored and treated inside a building upon an impermeable surface with sealed drainage”

Non-specified waste stored outside the building (see “Schedule 6 – Interpretation” of permit for definition of building) as building was several damaged and removed.

Category 3

Site visit 31st August

Actions since last visit

No waste appears to have been removed since visit in May.

Site visit

The site was locked and secure during visit. The waste piles stored onsite do not appear to have been removed. The pile of fire damaged waste has a lot of vegetation growing over it.



Fire damaged waste material still stored onsite. The bund and the waste have started to grow vegetation.



Waste piles onsite, waste does not appear to have moved since last visit.



Waste piles onsite, waste does not appear to have moved since last visit.

Site visit 28th September

Actions since last visit

The waste pile stored nearest the entrance has now been removed. The waste had been split into two piles, one by the rear entrance, and the other at the end of the covered pile. Both of these aspects have been removed.

Site visit

The site was locked and secure during visit. One of the piles that was brought to this site following the fire in 2015 has now been removed. The regulation 36 notice was not complied with, however it was a positive step to see waste being removed from site.



The waste pile nearest the rear entrance has been removed

EPR Compliance Assessment Report

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Site	Unit 2	Permit Ref	RB3993HH
Operator/Permit holder	Siteserv Recycling Ltd	Date	31/08/2016

Section 3 – Enforcement Response

You must take immediate action to rectify any non-compliance and prevent repetition. Non-compliance with your permit conditions constitutes an offence and can result in criminal prosecutions and/or suspension or revocation of a permit. Please read the detailed assessment in Section 2 and the steps you need to take in Section 4 below.

Section 4 – Action(s)

This section summarises the actions identified during the assessment along with the timescales for when they will need to be completed.

Criteria Ref.	CCS Category	Action required/advised	Due Date
See Section 1 above			
C4	C3	Remove all non-specified waste from site	30/09/2016

Section 5 – Compliance notes for the Operator

To ensure you correct actual or potential non-compliance we may

- Advise on corrective actions verbally or in writing
- Require you to take specific actions verbally or in writing
- Issue a notice
- Require you to review your procedures or management system
- Change some of the conditions of your permit
- Decide to undertake a full review of your permit

Any breach of a permit condition is an offence and we may take legal action against you

- We will normally provide advice and guidance to assist you to come back into compliance either after an offence is committed or where we consider that an offence is likely to be committed. This is without prejudice to any other enforcement response that we consider may be required.
- Enforcement action can include the issue of a formal caution, prosecution, the service of a notice and/or suspension or revocation of the permit.

See our Enforcement and Civil Sanctions guidance for further information

This report does not relieve the site operator of the responsibility to

- Ensure you comply with the conditions of the permit at all times and prevent pollution of the environment
- Ensure you comply with other legislative provisions which may apply

Non-compliance scores and categories

CCS category	Description	Score
C1	A non-compliance that could have a major environmental effect	60
C2	A non-compliance which could have a significant environmental effect	31
C3	A non-compliance which could have a minor environmental effect	4
C4	A non-compliance which has no potential environmental effect	0.1

Operational Risk Appraisal (Opra) - Compliance assessment findings may affect your Opra score and/or your charges. This score influences the resource we use to assess permit compliance.

Section 6 – General information

Data protection notice

The information on this form will be processed by the Natural Resources Wales (NRW) to fulfil its regulatory and monitoring functions and to maintain the relevant public register(s). The NRW may also use and/or disclose it in connection with:

- Offering/providing you with its literature/services relating to environmental matters
- Consulting with the public, public bodies and other organisations (eg. Health and Safety Executive, local authorities) on environmental issues
- Carrying out statistical analysis, research and development on environmental issues
- Providing public register information to enquirers
- Investigating possible breaches of environmental law
- Assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Regulations request

The NRW may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

Disclosure of information

The NRW will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within fifteen working days of receipt of this form indicating which information it concerns and why it should not be released, giving your reasons in full.

Customer charter

What can I do if I disagree with this compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with officer's line managers using the informal appeals procedure. If you wish to raise your dispute further through our official Complaints and Commendations procedure, phone our general enquiry number 0300 065 3000 (Mon to Fri 08.00 – 18.00) and ask for the Customer Contact team or send an email to enquiries@naturalresourceswales.gov.uk. If you are still dissatisfied you can make a complaint to the Public Services Ombudsman for Wales. For advice on how to complain to the Ombudsman phone their helpline on 0845 607 0987.

Welsh Language

If you would like this form in Welsh please contact your Regulatory Officer.