

	EPR Compliance Assessment Report		Report ID: 400181/0244578	
This form will report compliance with your permit as determined by an NRW officer				
Site	Alexandra Docks		Permit Ref	400181
Operator/ Permit holder	South Wales Wood Recycling Limited			
Date	16/07/2015		Time in	09:00
			Out	09:45
What parts of the permit were assessed	All of site.			
Assessment	Site Inspection	EPR Activity:	Installation	Waste Op
			X	Water Discharge
Recipient's name/position	George Harvey			
Officer's name	Damien Downes		Date issued	28/07/2015

Section 1 - Compliance Assessment Summary				
This is based on the requirements of the permit under the Environmental Permitting Regulations. A detailed explanation and any action you may need to take are given in the "Detailed Assessment of Compliance" (section 3). This summary details where we believe any non-compliance with the permit has occurred, the relevant condition and how the non-compliance has been categorised using our Compliance Classification Scheme (CCS). CCS scores can be consolidated or suspended, where appropriate, to reflect the impact of some non-compliances more accurately. For more details of our CCS scheme, contact your local office.				
Permit Conditions and Compliance Summary			Condition(s) breached	
a) Permitted activities	1. Specified by permit	A		
b) Infrastructure	1. Engineering for prevention & control of pollution	N		
	2. Closure & decommissioning	NA		
	3. Site drainage engineering (clean & foul)	C3	2.3.1	
	4. Containment of stored materials	N		
	5. Plant and equipment	N		
c) General management	1. Staff competency/ training	N		
	2. Management system & operating procedures	C3	1.1.1	
	3. Materials acceptance	C3	1.1.1	
	4. Storage handling, labelling, segregation	A		
d) Incident management	1. Site security	A		
	2. Accident, emergency & incident planning	N		
e) Emissions	1. Air	N		
	2. Land & Groundwater	N		
	3. Surface water	N		
	4. Sewer	N		
	5. Waste	N		
f) Amenity	1. Odour	A		
	2. Noise	A		
	3. Dust/fibres/particulates	C3	3.1.1	
	4. Pests, birds & scavengers	A		
	5. Deposits on road	A		
g) Monitoring and records, maintenance and reporting	1. Monitoring of emissions & environment	N		
	2. Records of activity, site diary, journal & events	N		
	3. Maintenance records	N		
	4. Reporting & notification	N		
h) Resource efficiency	1. Efficient use of raw materials	N		
	2. Energy	N		

KEY: C1, C2, C3, C4 = CCS breach category (* suspended scores are marked with an asterisk),
A = Assessed (no evidence of non-compliance), N = Not assessed, NA = Not Applicable, O = Ongoing non-compliance – not scored

Number of breaches recorded	4	Total compliance score (see section 5 for scoring scheme)	16
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If the Total No Breaches is greater than zero, then please see Section 3 for details of our proposed enforcement response

Section 2 – Compliance Assessment Report Detail

This section contains a report of our findings and will usually include information on:

- the part(s) of the permit that were assessed (e.g. maintenance, training, combustion plant, etc) details of suspended or consolidated scores.
- information on the compliance score accrued inc.
- where the type of assessment was 'Data Review' details triggering the assessment
- details of advice given of the report/results
- any other areas of concern
- any non-compliances identified
- all actions requested
- any non-compliances with directly applicable legislation
- any examples of good practice.
- details of any multiple non-compliances
- a reference to photos taken

This report should be clear, comprehensive, unambiguous and normally completed within 14 days of an assessment.

D Downes on site, met with Phil from SWWR - weather conditions dry and overcast. Purpose of visit to assess general compliance.

B 3 - General Management - Site Drainage Engineering - CAT 3 - Permit Condition 2.3.1 - the activities shall, subject to the conditions of this permit, be operated using the techniques and in the manner described in the documentation specified in schedule 1, table S1.2; all storage and treatment of waste shall take place on an impermeable surface with drainage to sealed sump. This is not the case.

ACTION

Please advise NRW how South Wales Wood Recycling Ltd will be resolving this non-compliance.

C 2 - Management Systems and Operating Procedures - CAT 3 - Permit Condition 1.1.1 - compliance assessment determined SWWR EMS site drainage system is inadequate. No information or evidence detailing the drainage system or how contaminated surface water will be managed.

ACTION

Please review current EMS to address issues as highlighted.

C 3 - Materials Acceptance - CAT 3 - Permit Condition 1.1.1 - you are storing a significant volume (in excess of 6000 tonnes) of waste wood chip in one single waste mass without the benefit of any fire breaks. In the South Wales Wood Recycling Ltd Fire Risk Assessment (APRIL 2015) it states within the Storage Methods; (1) stockpile heights will not exceed 7 metres - it is clear that this is not the case at certain locations and (2) no stockpile should exceed half of the maximum storage capacity - 3,500 tonnes. By your estimate there is in excess of 6000 tonnes of material on site.

ACTION

Please submit an improvement plan with timescales outlining how the issues highlighted will be managed and the operation will be brought into compliance.

F 3 - Dust / Fibres / Particulates - Permit Condition 3.1.1 - thick layer of dust on key side, potential run off risk.

ACTION

Please make every effort to employ dust control measures as detailed in your EMS and clear debris from loading operations.

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Section 3- Enforcement Response		Only one of the boxes below should be ticked
You must take immediate action to rectify any non-compliance and prevent repetition. Non-compliance with your permit conditions constitutes an offence and can result in criminal prosecutions and/or suspension or revocation of a permit. Please read the detailed assessment in Section 2 and the steps you need to take in Section 4 below.		
Other than the provision of advice and guidance, at present we do not intend to take further enforcement action in respect of the non-compliance identified above. This does not preclude us from taking enforcement action if further relevant information comes to light or advice isn't followed.	X	
In respect of the above non-compliance you have been issued with a warning. At present we do not intend to take further enforcement action. This does not preclude us from taking additional enforcement action if further relevant information comes to light or offences continue.		
We will now consider what enforcement action is appropriate and notify you, referencing this form.		

Section 4- Action(s)			
Where non-compliance has been detected and an enforcement response has been selected above, this section summarises the steps you need to take to return to compliance and also provides timescales for this to be done.			
Criteria Ref.	CCS Category	Action Required/Advised	Due Date
See Section 1 above			
B3	C3	SUBMIT IMPROVEMENT PLAN TO NRW	N/A
C2	C3	SUBMIT IMPROVEMENT PLAN TO NRW	N/A
C3	C3	SUBMIT IMPROVEMENT PLAN TO NRW	N/A
F3	C3	SUBMIT IMPROVEMENT PLAN TO NRW	N/A

Section 5 - Compliance notes for the Operator
To ensure you correct actual or potential non-compliance we may - advise on corrective actions verbally or in writing - require you to take specific actions in writing - issue a notice - require you to review your procedures or management system - change some of the conditions of your permit - decide to undertake a full review of your permit

Section 6 – General Information
Data protection notice The information on this form will be processed by the Natural Resources Wales (NRW) to fulfill its regulatory and monitoring functions and to maintain the relevant public register(s). The NRW may also use and/or disclose it in connection with: offering/providing you with its literature/services relating to environmental matters

Any breach of a permit condition is an offence and we may take legal action against you.

- We will normally provide advice and guidance to assist you to come back into compliance either after an offence is committed or where we consider that an offence is likely to be committed. This is without prejudice to any other enforcement response that we consider may be required.

- Enforcement action can include the issue of a formal caution, prosecution, the service of a notice and or suspension or revocation of the permit.

See our Enforcement and Civil Sanctions guidance for further information

This report does not relieve the site operator of the responsibility to

- ensure you comply with the conditions of the permit at all times and prevent pollution of the environment
- ensure you comply with other legislative provisions which may apply.

Non-compliance scores and categories

CCS category	Description	Score
C1	A non-compliance which could have a major environmental effect	60
C2	A non-compliance which could have a significant environmental effect	31
C3	A non-compliance which could have a minor environmental effect	4
C4	A non-compliance which has no potential environmental effect	0.1

Operational Risk Appraisal (Opra) - Compliance assessment findings may affect your Opra score and/or your charges. This score influences the resource we use to assess permit compliance.

- consulting with the public, public bodies and other organisations (e.g. Health and Safety Executive, local authorities) on environmental issues
- carrying out statistical analysis, research and development on environmental issues
- providing public register information to enquirers
- investigating possible breaches of environmental law and taking any resulting action
- preventing breaches of environmental law
- assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Information Regulations request.

The NRW may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

Disclosure of information

The NRW will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within twenty working days of receipt of this form indicating which information it concerns and why it should not be released, giving your reasons in full.

Customer charter

What can I do if I disagree with this compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with the officer's line managers. If you wish to raise your dispute further through our official Complaints and Commendations procedure, phone our general enquiry number 0300 065 3000 (Mon to Fri 08.00–18.00) and ask for the Customer Contact team or send an email to enquiries@naturalresourceswales.gov.uk. If you are still dissatisfied you can make a complaint to the Public Services Ombudsman for Wales. For advice on how to complain to the Ombudsman phone their helpline on 0845 607 0987.