

This form will report compliance with your permit as determined by an NRW officer

Site	Port Talbot Works				Permit Ref	BL7108IM		
Operator / Permit Holder	Tata Steel UK Limited							
Date	07 May 2015				Time in	N/A	Out	N/A
What parts of the permit were assessed	Emissions Monitoring and Reporting							
Assessment	Check Monitoring/ Sampling	EPR Activity:	Installation	X	Waste Op	Water Discharge		
Recipient's name/position	Jason Heatman / Lead Environmental Engineer							
Officer's name	Guy Baskerville				Date issued		08/05/2015	

Section 1 - Compliance Assessment Summary

This is based on the requirements of the permit under the Environmental Permitting Regulations. A detailed explanation and any action you may need to take are given in the "Detailed Assessment of Compliance" (section 3). This summary details where we believe any non-compliance with the permit has occurred, the relevant condition and how the non-compliance has been categorised using our [Compliance Classification Scheme](#) (CCS). CCS scores can be consolidated or suspended, where appropriate, to reflect the impact of some non-compliances more accurately. For more details of our CCS scheme, contact your [local office](#).

Permit Conditions and Compliance Summary

Condition(s) breached

a) Permitted activities	1. Specified by permit	A	
b) Infrastructure	1. Engineering for prevention & control of pollution	N	
	2. Closure & decommissioning	N	
	3. Site drainage engineering (clean & foul)	N	
	4. Containment of stored materials	N	
	5. Plant and equipment	N	
c) General management	1. Staff competency/ training	N	
	2. Management system & operating procedures	N	
	3. Materials acceptance	N	
	4. Storage handling, labelling, segregation	N	
d) Incident management	1. Site security	N	
	2. Accident, emergency & incident planning	N	
e) Emissions	1. Air	N	
	2. Land & Groundwater	N	
	3. Surface water	N	
	4. Sewer	N	
	5. Waste	N	
f) Amenity	1. Odour	N	
	2. Noise	N	
	3. Dust/fibres/particulates	N	
	4. Pests, birds & scavengers	N	
	5. Deposits on road	N	
g) Monitoring and records, maintenance and reporting	1. Monitoring of emissions & environment	N	
	2. Records of activity, site diary, journal & events	N	
	3. Maintenance records	N	
	4. Reporting & notification	A	
h) Resource efficiency	1. Efficient use of raw materials	N	
	2. Energy	N	

KEY: C1, C2, C3, C4 = CCS breach category (*suspended scores are marked with an asterisk),
A = Assessed or assessed in part (no evidence of non-compliance), N = Not assessed, NA = Not Applicable

Number of breaches recorded

0

Total compliance score
(see section 5 for scoring scheme)

0

If the Total No Breaches is greater than zero, then please see Section 3 for details of our proposed enforcement response

Section 2 – Compliance Assessment Report Detail

This section contains a report of our findings and will usually include information on:

- the part(s) of the permit that were assessed (e.g. maintenance, training, combustion plant, etc)
- where the type of assessment was 'Data Review' details of the report/results triggering the assessment
- any non-compliances identified
- any non-compliances with directly applicable legislation
- details of any multiple non-compliances
- information on the compliance score accrued inc. details of suspended or consolidated scores.
- details of advice given
- any other areas of concern
- all actions requested
- any examples of good practice.
- a reference to photos taken

Monitoring & Reporting

Permit condition 6.1.3 states that:

"The Operator shall carry out monitoring of the parameters listed in Tables 6.1.2A to 6.1.2G, from the emission points and at least at the frequencies specified in that Table.

Permit condition 6.3.3 states that:

"The Operator shall carry out monitoring of the parameters listed in Tables 6.3.2A and B, from the emission points and at least at the frequencies specified in that Table.

Permit condition 7.1.3 states that:

"The Operator shall carry out monitoring of the parameters listed in Tables 7.1.2A to 7.1.2C from the emission points and at least at the frequencies specified in that Table.

Permit condition 4.1.2 states that:

"The Operator shall report the parameters listed in Table S2 to Schedule 2 as follows:

- a. in respects of the emission points specified;
- b. For the reporting periods specified in Table S2 to Schedule 2 and using the forms specified in Table S3 to Schedule 3;
- c. Giving the information from such results and assessments as may be required by the forms specified in those tables; and
- d. Sending the report to the Agency within 28 days of the end of the reporting period.

Monitoring returns for the following period(s) have been assessed in this CAR form.

- Monthly returns (2014)
- Quarterly returns (2014)
- Six-monthly returns (2014)
- Annual returns (2014)

We note that we received prior notification of expected late submission of returns for a range of parameters in relation to Q4, six monthly and annual returns. We accept the justification for the late submissions (summarised

in the table below which has been excerpted from your notification email of 27/01/2015) and no compliance score will be awarded.

Form	Period	Emission Point	Parameter	Reason
Form LCPD DCM NOx	Quarter	A52B	Flow rate and BFG Usage	BFG Usage data not retrievable on PI as a result, cannot calculate gas usages and flow rate. Investigation underway.
Form LCPD DCM SOx				
Form I&S DCM NOx				
Form I&S DCM SOx				
Form I&S DCM Misc.		A46	NOx	Sample points not accessible following multiple attempts, sampling team working on re scheduling
Form I&S Operational 1		A5A	Bleeder Opening Duration	BF team having difficulty with trend. Data being sourced.
Form LCPD DCM Parts	Annual	A52B	Particulate Continuous Monitor	PI Tag not returning data, investigation underway
Form LCPD DCM V		A52B	BFG Usage	PI Tag not returning data, investigation underway
Form Site Operational		Site wide	Water Usage	Waiting on data from other department
			Annual Particulates (Kg/t)	Calculated for Pollution Inventory
			Annual SOx and NOx (Kg/t)	Calculated for Pollution Inventory
			BOS/ BF Slurry	Waiting on data from contracting company
Form Coke DCM Parts		Quarter	A56	Annual Particulates (Kg)
Form DCM SOx and NOx	A57		Annual SOx and NOx (Kg)	Calculated for Pollution Inventory
Form Coke DCM Misc.	Six Monthly	A55	Annual SOx, NOx, CO and Particulates (Kg)	Calculated for Pollution Inventory

Tata Steel UK Limited submitted all other monitoring returns using the appropriate forms and within 28 days of the end of the reporting periods.

END



EPR Compliance Assessment Report

Report ID: 5872

This form will report non-compliance with your permit as determined by an NRW officer

Site	Port Talbot Works	Permit	BL7108IM
Operator/ Permit	Tata Steel UK Limited	Date	07 May 2015

Section 3- Enforcement Response

Only one of the boxes below should be ticked

You must take immediate action to rectify any non-compliance and prevent repetition.

Non-compliance with your permit conditions constitutes an offence and can result in criminal prosecutions and/or suspension or revocation of a permit. Please read the detailed assessment in Section 2 and the steps you need to take in Section 4 below.

Other than the provision of advice and guidance, at present we do not intend to take further enforcement action in respect of the non-compliance identified above. This does not preclude us from taking enforcement action if further relevant information comes to light or advice isn't followed.

In respect of the above non-compliance you have been issued with a warning. At present we do not intend to take further enforcement action. This does not preclude us from taking additional enforcement action if further relevant information comes to light or offences continue.

We will now consider what enforcement action is appropriate and notify you, referencing this form.

Section 4- Action(s)

Where a non - compliance has been detected and an enforcement response has been selected above, this section summarises the steps you need to take to return to compliance and also provides timescales for this to be done.

Criteria Ref.	CCS Category	Action Required/Advised	Due Date
See Section 1 above			

Section 5 - Compliance notes for the Operator

To ensure you correct actual or potential non-compliance we may

- advise on corrective actions verbally or in writing
- require you to take specific actions in writing
- issue a notice
- require you to review your procedures or management system
- change some of the conditions of your permit
- decide to undertake a full review of your permit

Any breach of a permit condition is an offence and we may take legal action against you.

● We will normally provide advice and guidance to assist you to come back into compliance either after an offence is committed or where we consider that an offence is likely to be committed. This is without prejudice to any other enforcement response that we consider may be required.

● Enforcement action can include the issue of a formal caution, prosecution, the service of a notice and or suspension or revocation of the permit.

See our Enforcement and Civil Sanctions guidance for further information

This report does not relieve the site operator of the responsibility to

- ensure you comply with the conditions of the permit at all times and prevent pollution of the environment
- ensure you comply with other legislative provisions which may apply.

Non-compliance scores and categories

CCS category	Description	Score
C1	A non-compliance which could have a major environmental effect	60
C2	A non-compliance which could have a significant environmental effect	31
C3	A non-compliance which could have a minor environmental effect	4
C4	A non-compliance which has no potential environmental effect	0.1

Operational Risk Appraisal (Opra) - Compliance assessment findings may affect your Opra score and/or your charges. This score influences the resource we use to assess permit compliance.

Section 6 – General Information

Data protection notice

The information on this form will be processed by Natural Resources Wales (NRW) to fulfill its regulatory and monitoring functions and to maintain the relevant public register(s). NRW may also use and/or disclose it in connection with:

- offering/providing you with its literature/services relating to environmental matters
- consulting with the public, public bodies and other organisations (e.g. Health and Safety Executive, local authorities) on environmental issues
- carrying out statistical analysis, research and development on environmental issues
- providing public register information to enquirers
- investigating possible breaches of environmental law and taking any resulting action
- preventing breaches of environmental law
- assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Information Regulations request.

NRW may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

Disclosure of information

NRW will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within twenty working days of receipt of this form indicating which information it concerns and why it should not be released, giving your reasons in full.

Customer charter

What can I do if I disagree with this compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with the officer's line managers. If you wish to raise your dispute further through our official **Complaints** and Commendations procedure, phone our general enquiry number **0300 065 3000** (Mon to Fri **08.00–18.00**) and ask for the **Customer Contact team** or send an email to enquiries@naturalresourceswales.gov.uk If you are still dissatisfied you can make a complaint to the Public Services Ombudsman for Wales. For advice on how to complain to the Ombudsman phone their helpline on **(0845) 601 0987**.