

**This form will report compliance with your permit as determined by an NRW officer**

Site	CASTEL Y BWCH HENLLYS VALE NR CWMBR	Permit Ref	AN0381401	
Operator/Permit holder	BEVERLEY HARTLEY			
Regime	WaterQuality			
Date of assessment	28/03/2019	Time in	08:50	Out 10:00
Assessment type	Check Monitoring/Sampling			
Parts of the permit assessed	All			
Lead officer's name	Burton, Luke			
Accompanied by	Barrett, Hayley			
Recipient's name/position	Mrs Beverly Hartley / Operator	Date issued	30/05/2019	

### Section 1 – Compliance Assessment Summary

This is based on the requirements of the permit under the Environmental Permitting Regulations or the licence under the Water Resources Act 1991 as amended by the Water Act 2003. A detailed explanation is captured in "Compliance Assessment Report Detail" (Section 2) and any actions you may need to take are given in the "Action(s)" (section 4). This summary details where we believe any non-compliance with the permit has occurred, the relevant condition and how the non-compliance has been categorised using our Compliance Classification Scheme (CCS). CCS Scores can be consolidated or suspended where appropriate, to reflect the impact of some non-compliances more accurately. For more details of our CCS scheme, contact your local office.

Permit conditions and compliance summary	CCS Category	Condition(s) breached
C2 - General Management - Management system and operating procedures	O	1.11 Recording and Reporting
	O	1.2 Place of discharge
E3 - Emissions - Surface water	C2	1.6 Composition

**KEY:** See Section 5 for breach categories, suspended scores will be indicated as such.

**A** = Assessed or assessed in part (no evidence of non-compliance), **X** = Action only,

**O** = Ongoing non-compliance, not scored.

<b>Number of breaches recorded</b>	<b>1</b>	<b>Total compliance score</b> (see section 5 for scoring scheme)	<b>31</b>
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**If the Number of breaches recorded is greater than zero, please see Section 3 for our proposed enforcement response**

## Section 2 – Compliance Assessment Report Detail

This section contains a report of our findings and will usually include information on:

- The part(s) of the permit that were assessed (eg. Maintenance, training, combustion plant, etc)
- Where the type of assessment was 'Data Review' details of the report/results triggering the assessment
- Any non-compliances identified
- Any non-compliances with directly applicable legislation
- Details of any multiple non-compliances
- Information on the compliance score accrued inc.
- Details of advice given
- Any other areas of concern
- Any actions requested
- Any examples of good practice
- A reference to photos taken

On Thursday 28 March Environment Officers Luke Burton and Hayley Barrett inspected your sewage treatment system in line with your environmental permit.

At the time of the inspection the weather was bright and dry.

All aspects of the environment permit were inspected. For ease of reference the below findings and actions have been arranged in the order that they appear in the permit.

Place of discharge - You did not know the location of the discharge point to surface water and could not check it as part of routine checks. **Action:** The outlet should be inspected regularly. The effluent should be free-flowing and clear. Check the outfall monthly. If you notice grey slime on the bed of the watercourse, a bad smell or an obvious change in plant life downstream of the outfall, contact a maintenance contractor to check your system and notify Natural Resources Wales.

Sampling point - The sampling point is marked on plans as being a manhole in the road adjacent to your premises. For health and safety reasons, a sample was instead taken from the treated effluent / pumping chamber.

Flow measurement - Natural Resources Wales and its predecessors have not requested that flow measuring be installed.

Composition - The treated effluent / discharged effluent shall not contain: 40 mg/l biochemical oxygen demand, 20mg/l ammoniacal nitrogen and 60 mg/l suspended solids. The sample taken by me showed: 19.4 mg/l biochemical oxygen demand, 0.96 mg/l ammoniacal nitrogen and 101 mg/l suspended solids. **Action:** The suspended solids result suggests the treatment system is not functioning as it should. Get suitably trained and qualified personnel to maintain and service the treatment plant in line with the manufacturer's specification. It was pleasing to see you take measures to remove grease from the effluent entering the treatment system.

Maintenance - It was pleasing to see that records of sludge removal have been kept. **Action:** Please ensure to have the sludge emptied out of your treatment plant as frequently as recommended by the manufacturer's instructions.

Recording and reporting - We expect that operators will have management systems that are appropriate to the size and nature of the permitted activity. You did not have an environmental management system for your permit. **Action:** Create an environmental management system for your permit. The environmental management system does not have to be large document it just needs to demonstrate how you manage your system and meet the permit conditions. Our guidance document 'how to run your household sewage treatment system well' explains the information we'd expect an environment management system to contain.

Please see the section 4 for the deadlines for you to complete the above actions. Should you feel unable to meet these deadlines please let me know as soon as possible.

I attach a copy of your permit, a plan referenced in the permit and Our guidance document 'how to run your household sewage treatment system well'.

REPORT END.

## EPR Compliance Assessment Report

**Report ID:  
CAR\_NRW0035167**

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Operator/Permit holder	BEVERLEY HARTLEY	Date	28/03/2019

### Section 3 – Enforcement Response

You must take immediate action to rectify any non-compliance and prevent repetition. Non-compliance with your permit conditions constitutes an offence and can result in criminal prosecutions and/or suspension or revocation of a permit. Please read the detailed assessment in Section 2 and the steps you need to take in Section 4 below.

In respect of the above non-compliance you have been issued with a warning. At present we do not intend to take further enforcement action. This does not preclude us from taking additional enforcement action if further relevant information comes to light or offences continue.

### Section 4 – Action(s)

This section summarises the actions identified during the assessment along with the timescales for when they will need to be completed.

Criteria Ref.	CCS Category	Action required/advised	Due Date
See Section 1 above			
C2	O	Create an environmental management system that documents how you manage your system and meet the permit conditions	31/07/2019
C2	O	The discharge point should be inspected regularly	30/06/2019
E3	C2	Get suitably trained and qualified personnel to maintain and service the treatment plant in line with the manufacturer's specification	30/06/2019

## Section 5 – Compliance notes for the Operator

To ensure you correct actual or potential non-compliance we may

- Advise on corrective actions verbally or in writing
- Require you to take specific actions verbally or in writing
- Issue a notice
- Require you to review your procedures or management system
- Change some of the conditions of your permit
- Decide to undertake a full review of your permit

Any breach of a permit condition is an offence and we may take legal action against you

- We will normally provide advice and guidance to assist you to come back into compliance either after an offence is committed or where we consider that an offence is likely to be committed. This is without prejudice to any other enforcement response that we consider may be required.
- Enforcement action can include the issue of a formal caution, prosecution, the service of a notice and/or suspension or revocation of the permit.

**See our Enforcement and Civil Sanctions guidance for further information**

This report does not relieve the site operator of the responsibility to

- Ensure you comply with the conditions of the permit at all times and prevent pollution of the environment
- Ensure you comply with other legislative provisions which may apply

### Non-compliance scores and categories

CCS category	Description	Score
C1	A non-compliance that could have a major environmental effect	60
C2	A non-compliance which could have a significant environmental effect	31
C3	A non-compliance which could have a minor environmental effect	4
C4	A non-compliance which has no potential environmental effect	0.1

**Operational Risk Appraisal (Opra)** - Compliance assessment findings may affect your Opra score and/or your charges. This score influences the resource we use to assess permit compliance.

## Section 6 – General information

### Data protection notice

The information on this form will be processed by the Natural Resources Wales (NRW) to fulfil its regulatory and monitoring functions and to maintain the relevant public register(s). The NRW may also use and/or disclose it in connection with:

- Offering/providing you with its literature/services relating to environmental matters
- Consulting with the public, public bodies and other organisations (eg. Health and Safety Executive, local authorities) on environmental issues
- Carrying out statistical analysis, research and development on environmental issues
- Providing public register information to enquirers
- Investigating possible breaches of environmental law
- Assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Regulations request

The NRW may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

### Disclosure of information

The NRW will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within fifteen working days of receipt of this form indicating which information it concerns and why it should not be released, giving your reasons in full.

### Customer charter

#### What can I do if I disagree with this compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with officer's line managers using the informal appeals procedure. If you wish to raise your dispute further through our official Complaints and Commendations procedure, phone our general enquiry number 0300 065 3000 (Mon to Fri 08.00 – 18.00) and ask for the Customer Contact team or send an email to [enquiries@naturalresourceswales.gov.uk](mailto:enquiries@naturalresourceswales.gov.uk). If you are still dissatisfied you can make a complaint to the Public Services Ombudsman for Wales. For advice on how to complain to the Ombudsman phone their helpline on 0845 607 0987.

#### Welsh Language

If you would like this form in Welsh please contact your Regulatory Officer.