Cyfoeth Naturiol Cymru Natural Resources Wales	**EPR Compliance Assessment Report**	Report ID: 5816

This form will report compliance with your permit as determined by an NRW officer

Site	Rockwool Pencoed				Permit Ref	BS6149IQ (as amended)	
Operator/ Permit holder	Rockwool Ltd						
Date	4th February 2015						
What parts of the permit were assessed	Assessment of Quarter 4 Emission Limit exceedances (Schedule 5 Notifications), excluding Phenol exceedances in October 2014.						
Assessment	Audit/Returns	EPR Activity:	Installation	X	Waste Op		Water Discharge
Recipient's name/position	Vicky Hillmann						
Officer's name	Neil Herbert				Date issued	4th February 2015	

Section 1 - Compliance Assessment Summary

This is based on the requirements of the permit under the Environmental Permitting Regulations. A detailed explanation and any action you may need to take are given in the "Detailed Assessment of Compliance" (section 3). This summary details where we believe any non-compliance with the permit has occurred, the relevant condition and how the non-compliance has been categorised using our Compliance Classification Scheme (CCS). CCS scores can be consolidated or suspended, where appropriate, to reflect the impact of some non-compliances more accurately. For more details of our CCS scheme, contact your local office.

Permit Conditions and Compliance Summary				Condition(s) breached
a) Permitted activities	**1.** Specified by permit	N		
b) Infrastructure	**1.** Engineering for prevention & control of pollution	N		
	2. Closure & decommissioning	N		
	3. Site drainage engineering (clean & foul)	N		
	4. Containment of stored materials	N		
	5. Plant and equipment	N		
c) General management	**1.** Staff competency/ training	N		
	2. Management system & operating procedures	N		
	3. Materials acceptance	N		
	4. Storage handling, labelling, segregation	N		
d) Incident management	**1.** Site security	N		
	2. Accident, emergency & incident planning	N		
e) Emissions	**1.** Air	4		3.1.2 SUSPENDED
	2. Land & Groundwater	N		
	3. Surface water	3		3.1.2 SUSPENDED
	4. Sewer	N		
	5. Waste	N		
f) Amenity	**1.** Odour	N		
	2. Noise	N		
	3. Dust/fibres/particulates	N		
	4. Pests, birds & scavengers	N		
	5. Deposits on road	N		
g) Monitoring and records, maintenance and reporting	**1.** Monitoring of emissions & environment	N		
	2. Records of activity, site diary, journal & events	N		
	3. Maintenance records	N		
	4. Reporting & notification	N		
h) Resource efficiency	**1.** Efficient use of raw materials	N		
	2. Energy	N		

KEY: **C1, C2, C3, C4** = CCS breach category **(* suspended scores are marked with an asterisk),**
A = Assessed or assessed in part (no evidence of non-compliance), **N** = Not assessed, **NA** = Not Applicable

Number of breaches recorded	4	**Total compliance score** (see section 5 for scoring scheme)	(CCS 3= 4) X 1 & (CCS 4 = 0.1) X 3.

If the Total No Breaches is greater than zero, then please see Section 3 for details of our proposed enforcement response

Section 2 – Compliance Assessment Report Detail

This section contains a report of our findings and will usually include information on:

- the part(s) of the permit that were assessed (e.g. maintenance, training, combustion plant, etc)
- where the type of assessment was 'Data Review' details of the report/results triggering the assessment
- any non-compliances identified
- any non-compliances with directly applicable legislation
- details of any multiple non-compliances
- information on the compliance score accrued inc. details of suspended or consolidated scores.
- details of advice given
- any other areas of concern
- all actions requested
- any examples of good practice.
- a reference to photos taken

Schedule 5 Notifications Emissions Limit Exceedances Quarter 4 (October[1] to Dec)

The following is the compliance assessment report covering the Q4 reporting period.
Where possible the CCS scoring has been consolidated and suspended* in accordance with NRW's CCS Scoring guidance.

Water at W1:- Total Suspended Solids (TSS)

- A number of TSS breaches between the October and December period have been reported.
- The compliance classification score (CCS) attributed to each breach is a CCS 4, against the e3 compliance heading.
- However, in line with NRW's CCS scoring guidance, the breaches for this parameter (TSS) at this location (W1) over this period (October to December) have been consolidated into one CCS compliance breach (1x CCS 4).
- Furthermore since we now have in place an action plan to investigate these failures the option to suspend these ccs scored is available.
- We have reviewed the data and consider that the CCS scores for the Q4 reporting period can be suspended on the proviso that the actions outlined in your action plan are adhered to.

Water at W1:-Ammoniacal Nitrogen

- A number of Ammoniacal Nitrogen breaches between the October and December period have been reported.
- The compliance classification score (CCS) attributed to each breach is a CCS 4, against the e3 compliance heading.
- However, in line with NRW's CCS scoring guidance, the breaches for this parameter (Ammoniacal Nitrogen) at this location (W1) over this period (October to December) have been consolidated into one CCS compliance breach (1x CCS 4).
- Furthermore since we now have in place an action plan to investigate these failures the option to suspend these ccs scored is available.
- We have reviewed the data and consider that the CCS scores for the Q4 reporting period can be suspended on the proviso that the actions outlined in your action plan are adhered to.

Water at W1:- Phenol

- A number of phenol breaches between the November and December period have been reported.
- The compliance classification score (CCS) attributed to each breach is a CCS 4, against the e3 compliance heading.
- However, in line with NRW's CCS scoring guidance, the breaches for this parameter (phenol) at this location (W1) over this period (October to December) have been consolidated into the October CCS compliance breach (see car report dated 3rd December 2014).

Air at A19: Particulate Matter (PM)

- A number of PM breaches between the October and December period have been reported.
- The compliance classification score (CCS) attributed to each breach is a CCS 4, against the e1 compliance heading.
- However, in line with NRW's CCS scoring guidance, the breaches for this parameter (PM) at this location (A19) over this period (October to December) have been consolidated into one CCS compliance breach (1x CCS 4).
- Furthermore since we now have in place an action plan to investigate these failures the option to suspend these ccs scored is available.
- We have reviewed the data and consider that the CCS scores for the Q4 reporting period can be suspended on the proviso that the actions outlined in your action plan are adhered to.
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Air at A19: Carbon Monoxide (CO)

- A number of CO breaches between the October and December period have been reported.
- The compliance classification score (CCS) attributed to each breach is a CCS 4, against the e1 compliance heading.
- However, in line with NRW's CCS scoring guidance, the breaches for this parameter (CO) at this location (A19) over this period (October to December) have been consolidated into one CCS compliance breach (1x CCS 4).
- Furthermore since we now have in place an action plan to investigate these failures the option to suspend these ccs scored is available.

[1] The October 2014 Phenol breaches are not covered by the report (see separate report dated 3rd December 2014)

- We have reviewed the data and consider that the CCS scores for the Q4 reporting period can be suspended on the proviso that the actions outlined in your action plan are adhered to.

Enforcement: The breaches identified in this report are breaches of condition 3.1.2 of your permit and an offence. You have been issued a site warning for these offences.

Cyfoeth Naturiol Cymru Natural Resources Wales	**EPR Compliance Assessment Report**	Report ID**:** 5816	
This form will report non-compliance with your permit as determined by an NRW officer			
Site	Rockwool Ltd	Permit	BS6149IQ (as amended)
Operator/ Permit	Rockwool Pencoed	Date	4th February 2014

Section 3- Enforcement Response	**Only one of the boxes below should be ticked**
You must take immediate action to rectify any non-compliance and prevent repetition. Non-compliance with your permit conditions constitutes an offence and can result in criminal prosecutions and/or suspension or revocation of a permit. Please read the detailed assessment in Section 2 and the steps you need to take in Section 4 below.	
Other than the provision of advice and guidance, at present we do not intend to take further enforcement action in respect of the non-compliance identified above. This does not preclude us from taking enforcement action if further relevant information comes to light or advice isn't followed.	
In respect of the above non-compliance you have been issued with a warning. At present we do not intend to take further enforcement action. This does not preclude us from taking additional enforcement action if further relevant information comes to light or offences continue.	X
We will now consider what enforcement action is appropriate and notify you, referencing this form.	

Section 4- Action(s)

Where a non - compliance has been detected and an enforcement response has been selected above, this section summarises the steps you need to take to return to compliance and also provides timescales for this to be done.

Criteria Ref.	**CCS Category**	**Action Required/Advised**	**Due Date**
See Section 1 above			
e1	3	A separate action plan has been agreed which should now be implemented.	See Action Plan
e3	3	As above	

Section 5 - Compliance notes for the Operator

To ensure you correct actual or potential non-compliance we may

- advise on corrective actions verbally or in writing
- require you to take specific actions in writing
- issue a notice
- require you to review your procedures or management system
- change some of the conditions of your permit
- decide to undertake a full review of your permit

Any breach of a permit condition is an offence and we may take legal action against you.

- We will normally provide advice and guidance to assist you to come back into compliance either after an offence is committed or where we consider that an offence is likely to be committed. This is without prejudice to any other enforcement response that we consider may be required.
- Enforcement action can include the issue of a formal caution, prosecution, the service of a notice and or suspension or revocation of the permit.

See our Enforcement and Civil Sanctions guidance for further information

This report does not relieve the site operator of the responsibility to

- ensure you comply with the conditions of the permit at all times and prevent pollution of the environment
- ensure you comply with other legislative provisions which may apply.

Non-compliance scores and categories

CCS category	Description	Score
C1	A non-compliance which could have a **major** environmental effect	60
C2	A non-compliance which could have a **significant** environmental effect	31
C3	A non-compliance which could have a **minor** environmental effect	4
C4	A non-compliance which has **no** potential environmental effect	0.1

Operational Risk Appraisal (Opra) - Compliance assessment findings may affect your Opra score and/or your charges. This score influences the resource we use to assess permit compliance.

Section 6 – General Information

Data protection notice

The information on this form will be processed by Natural Resources Wales (NRW) to fulfill its regulatory and monitoring functions and to maintain the relevant public register(s). NRW may also use and/or disclose it in connection with:

- offering/providing you with its literature/services relating to environmental matters
- consulting with the public, public bodies and other organisations (e.g. Health and Safety Executive, local authorities) on environmental issues
- carrying out statistical analysis, research and development on environmental issues
- providing public register information to enquirers
- investigating possible breaches of environmental law and taking any resulting action
- preventing breaches of environmental law
- assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Information Regulations request.

NRW may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

Disclosure of information

NRW will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within twenty working days of receipt of this form indicating which information it concerns and why it should not be released, giving your reasons in full.

Customer charter

What can I do if I disagree with this compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with the officer's line managers. If you wish to raise your dispute further through our official Complaints and Commendations procedure, phone our general enquiry number 0300 065 3000 (Mon to Fri 08.00–18.00) and ask for the Customer Contact team or send an email to enquiries@naturalresourceswales.gov.uk If you are still dissatisfied you can make a complaint to the Public Services Ombudsman for Wales. For advice on how to complain to the Ombudsman phone their helpline on (0845) 601 0987.