

Compliance Assessment Report

Report ID:
CAR_NRW0031669

This form will report compliance with your permit as determined by an NRW officer

Site	Kelloggs EPR/BV8016ID	Permit Ref	BV8016ID			
Operator/Permit holder	Kellogg Company of Great Britain Ltd					
Regime	Installations					
Date of assessment	26/04/2017	Time in	13:30	Out	15:30	
Assessment type	Report/Data Review					
Parts of the permit assessed	Air abatement and handling equipment, Review of noise survey report, Q1monitoring returns					
Lead officer's name	McGregor-Andrew, Sian					
Accompanied by	Zachary, Aled,Ross, Stuart					
Recipient's name/position	Nia Jones/ EHS Practitioner	Date issued	26/05/2017			

Section 1 – Compliance Assessment Summary

This is based on the requirements of the permit under the Environmental Permitting Regulations or the licence under the Water Resources Act 1991 as amended by the Water Act 2003. A detailed explanation is captured in "Compliance Assessment Report Detail" (Section 2) and any actions you may need to take are given in the "Action(s)" (section 4). This summary details where we believe any non-compliance with the permit has occurred, the relevant condition and how the non-compliance has been categorised using our Compliance Classification Scheme (CCS). CCS Scores can be consolidated or suspended where appropriate, to reflect the impact of some non-compliances more accurately. For more details of our CCS scheme, contact your local office.

Permit conditions and compliance summary	CCS Category	Condition(s) breached
B1 - Infrastructure - Engineering for prevention and control of emissions	A	
E3 - Emissions - Surface water	A	
E4 - Emissions - Sewer	A	
G1 - Monitoring and Records, Maintenance and Reporting - Monitoring of emissions and environment	A	
G4 - Monitoring and Records, Maintenance and Reporting - Reporting and notification to Natural Resources Wales	A	

KEY: See Section 5 for breach categories, suspended scores will be indicated as such.

A = Assessed or assessed in part (no evidence of non-compliance), **X** = Action only,

O = Ongoing non-compliance, not scored.

Number of breaches recorded	0	Total compliance score (see section 5 for scoring scheme)	0
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If the Number of breaches recorded is greater than zero, please see Section 3 for our proposed enforcement response

Section 2 – Compliance Assessment Report Detail

This section contains a report of our findings and will usually include information on:

- The part(s) of the permit that were assessed (eg. Maintenance, training, combustion plant, etc)
- Where the type of assessment was 'Data Review' details of the report/results triggering the assessment
- Any non-compliances identified
- Any non-compliances with directly applicable legislation
- Details of any multiple non-compliances
- Information on the compliance score accrued inc.
- Details of advice given
- Any other areas of concern
- Any actions requested
- Any examples of good practice
- A reference to photos taken

Air abatement equipment noise reduction work

Following an inspection and noise assessment carried out by NRW on 27/09/2016 a review of noise emissions and the suitability of abatement and handling equipment was required to address a breach of condition 2.9.1 of the permit in relation to the use of Best Available Techniques (BAT) for prevention and control of noise emissions. (Refer to CAR Form dated 01/11/2016)

Report R8505.MF, produced by Industrial Noise and Vibration Centre Ltd. was received on 07/12/2016, which identified three sources of noise on site requiring improvement.

Following completion of the recommended improvement works a repeat noise survey was carried out to assess the effectiveness of the work in reducing the general and tonal noise contribution from these three sources.

Report R8505D.MF was received on 19/04/2017 which indicates a significant reduction in noise from the three sources of between 6dB(A) and 21dB(A).

NRW conducted a repeat noise assessment at site on 26/04/2017 and our measurements concur with the findings of the noise specialist's report. NRW is therefore satisfied that the abatement equipment is now compliant with condition 2.9.1 following the improvement works.

The site's planned preventive maintenance schedule should be updated to reflect the requirements of the upgraded equipment and initiate further monitoring as appropriate to ensure its effectiveness is maintained.

Fugitive emissions report

The fugitive emissions annual report was received on 06/02/2017 as required by condition 4.1.4.

Quarter 1 monitoring returns

Monitoring returns for emissions to water and sewer have been received for the period 1st January – 31st March 2017 as required by condition 4.1.2. Monitoring of emission points has been carried out in accordance with conditions 2.10.1 and 2.10.2 and meet the requirements of Tables 2.2.5 (emissions to water) and 2.2.7 (emissions to sewer).

All monitoring results are within the permitted limits.

EPR Compliance Assessment Report

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Operator/Permit holder	Kellogg Company of Great Britain Ltd	Date	26/04/2017

Section 3 – Enforcement Response

You must take immediate action to rectify any non-compliance and prevent repetition.

Non-compliance with your permit conditions constitutes an offence and can result in criminal prosecutions and/or suspension or revocation of a permit. Please read the detailed assessment in Section 2 and the steps you need to take in Section 4 below.

Section 4 – Action(s)

This section summarises the actions identified during the assessment along with the timescales for when they will need to be completed.

Criteria Ref.	CCS Category	Action required/advised	Due Date
See Section 1 above			

Section 5 – Compliance notes for the Operator

To ensure you correct actual or potential non-compliance we may

- Advise on corrective actions verbally or in writing
- Require you to take specific actions verbally or in writing
- Issue a notice
- Require you to review your procedures or management system
- Change some of the conditions of your permit
- Decide to undertake a full review of your permit

Any breach of a permit condition is an offence and we may take legal action against you

- We will normally provide advice and guidance to assist you to come back into compliance either after an offence is committed or where we consider that an offence is likely to be committed. This is without prejudice to any other enforcement response that we consider may be required.
- Enforcement action can include the issue of a formal caution, prosecution, the service of a notice and/or suspension or revocation of the permit.

See our Enforcement and Civil Sanctions guidance for further information

This report does not relieve the site operator of the responsibility to

- Ensure you comply with the conditions of the permit at all times and prevent pollution of the environment
- Ensure you comply with other legislative provisions which may apply

Non-compliance scores and categories

CCS category	Description	Score
C1	A non-compliance that could have a major environmental effect	60
C2	A non-compliance which could have a significant environmental effect	31
C3	A non-compliance which could have a minor environmental effect	4
C4	A non-compliance which has no potential environmental effect	0.1

Operational Risk Appraisal (Opra) - Compliance assessment findings may affect your Opra score and/or your charges. This score influences the resource we use to assess permit compliance.

Section 6 – General information

Data protection notice

The information on this form will be processed by the Natural Resources Wales (NRW) to fulfil its regulatory and monitoring functions and to maintain the relevant public register(s). The NRW may also use and/or disclose it in connection with:

- Offering/providing you with its literature/services relating to environmental matters
- Consulting with the public, public bodies and other organisations (eg. Health and Safety Executive, local authorities) on environmental issues
- Carrying out statistical analysis, research and development on environmental issues
- Providing public register information to enquirers
- Investigating possible breaches of environmental law
- Assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Regulations request

The NRW may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

Disclosure of information

The NRW will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within fifteen working days of receipt of this form indicating which information it concerns and why it should not be released, giving your reasons in full.

Customer charter

What can I do if I disagree with this compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with officer's line managers using the informal appeals procedure. If you wish to raise your dispute further through our official Complaints and Commendations procedure, phone our general enquiry number 0300 065 3000 (Mon to Fri 08.00 – 18.00) and ask for the Customer Contact team or send an email to enquiries@naturalresourceswales.gov.uk. If you are still dissatisfied you can make a complaint to the Public Services Ombudsman for Wales. For advice on how to complain to the Ombudsman phone their helpline on 0845 607 0987.

Welsh Language

If you would like this form in Welsh please contact your Regulatory Officer.