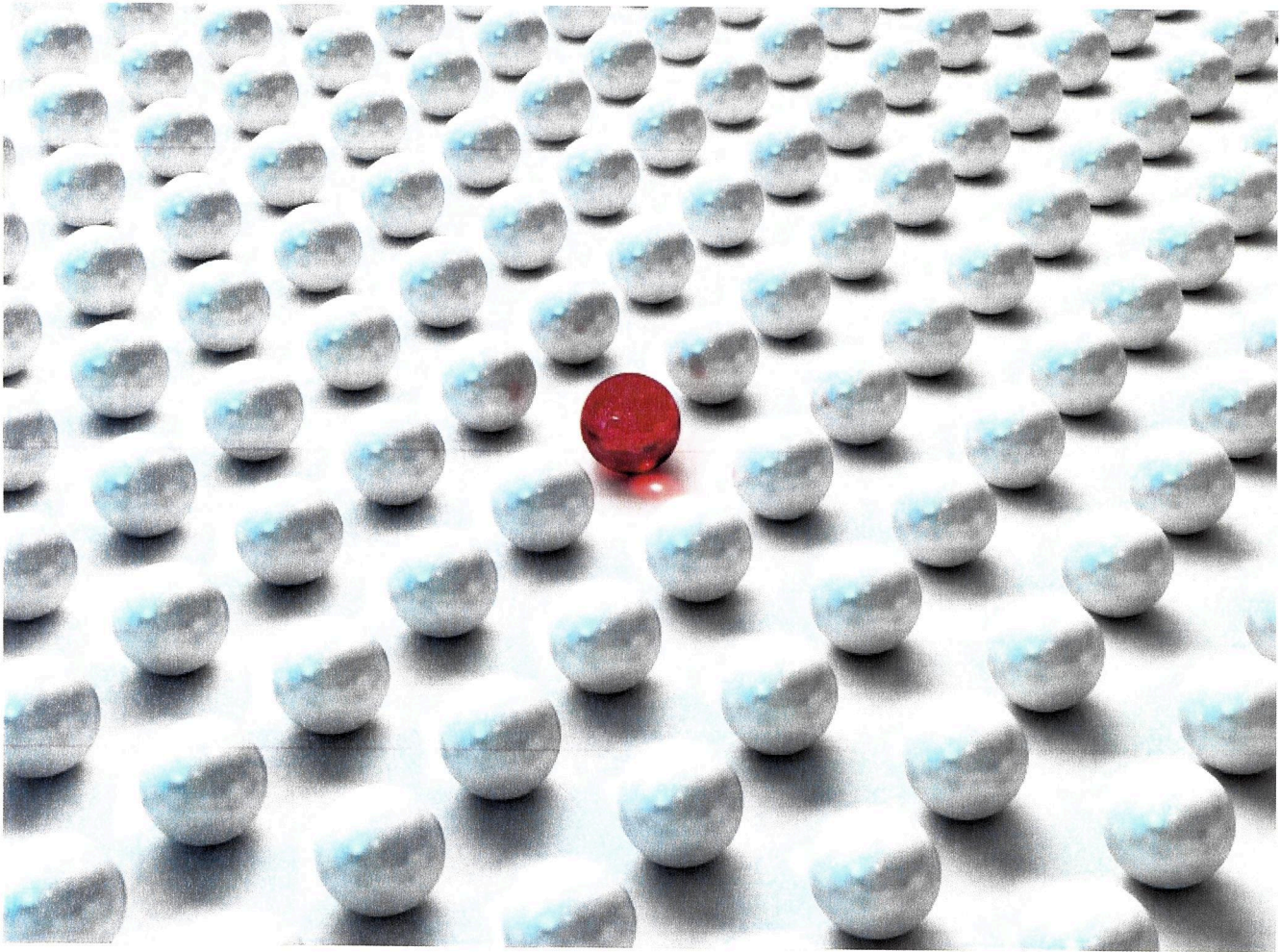




Audit Report for: Kellogg Company of Great Britain Ltd (Europe)
Visit Number: 1 Recertification Audit

For training on this management system and for all your training needs,
please visit the SGS Academy at www.sgs.co.uk/trainingbrochure

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Management System Certification – Client Report

Organisation:	Kellogg Company of Great Britain Ltd (Europe)		
Address:	Wrexham Industrial Estate Wrexham Clwyd LL13 9UT		
Site(s) audited:	As above	Date(s) of audits(s):	8 th & 9 th March 2017
Visit Number:	1	Observer(s):	N/A
Representative:	Nia Jones	Additional member(s):	Emma Owen
Lead auditor:	Ken Whitelaw		

This report is confidential and distribution is limited to the audit team, client representative and the SGS office.

System type:	Single
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Standard	Accreditation Body	Scope of Certification
ISO 14001:2004	UKAS	Manufacture of ready to eat cereals and baked bars.

The objectives of this audit were:

- ability to ensure applicable statutory, regulatory and contractual requirements are met,
- effectiveness to ensure the client can reasonably expect to achieve specified objectives, and
- to identify as applicable areas for potential improvement.

Is the organisation management system meeting the stated objectives of the audit?	Yes
Have all unresolved findings from the previous audit been satisfactorily addressed?	Yes
Non-conformance Identified?	Major: 0 Minor: 0
Therefore the audit team recommends that, based on the results of this audit and the system's demonstrated state of development and maturity, management system certification be:	Continued

Changes

Level of Integration?	N/A
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Key/Significant Organisational changes? (e.g key personnel, client activities, management system)	No
Key/Significant System changes?	No
Sample Reviewed:	
No major changes in plant, personnel, processes or products since previous SGS visit. Confirmed during walkovers, discussions with senior management and review of various meetings minutes.	

Executive Summary

The company is making improvements in working practices and investment in new production lines. Generally the organisation has continued to maintain the controls necessary to demonstrate that the EMS is in compliance with the requirements of ISO:14001:2004 for the certified scope. Therefore based upon the sample of individuals interviewed, working practices witnessed and records taken during this audit, the decision has been made to make a positive recommendation for renewal of the registration.

Observations and Improvement Opportunities

Oily residue found on the road at rear of water tanks – beneficial to ascertain its composition.

It may be beneficial to review why some but not all effluent parameters are pinned up on the wall in the ETP and/or add limits to record forms.

Good practice is to record pH checks against buffer solutions.

Beneficial to record the regular testing of the shut off valves for discharge to surface waters;

The Company is meeting soon with Brooktherm to update F-Gas/ODS record systems (e.g. CO₂e / losses / additions / leak tests). The outcome of this will be followed up at the next SGS surveillance audit.

Opening & Closing Meeting Attendees

Name	Position	Opening Meeting	Closing Meeting
Nia Jones	EHS practitioner	y	y
Darren Edwards	EHS Manager	y	-
Andy Ellis	Service Engineer	y	y
Andrew Penrose	Service Engineer	y	-
Tina Shrubbs	EHS Associate	y	-
Julian Price	EHS Engineer	y	-
Graham Owens	Service engineer	Y	Y
Michelle Youens	Quality and food safety manager	-	y
Andy Millar	Kws manager	-	y
Rob Weedon	Services fitter	-	y
Lynne Sheen	Finance business partner	-	Y

Any other comments

Notes to the client and what happens next

This audit report will be processed and an invoice will be dispatched to you.

Disclaimer – the audit is based on a sampling process of the available information. Audit recommendations where issuance of a certificate is required are subject to an independent review prior to a final decision concerning the awarding the certificate.

Non-conformance - what you must do

Corrective Actions to address identified minor non conformities including a root cause analysis, which shall be documented on an action plan. Where actions are deemed to be satisfactory and, they will be followed up at the next scheduled visit.

Failure to address a major non-conformance within the timescales will result in certification being withheld or suspended.

Failure to address a minor non-conformance within the timescale can result in escalation of the non-conformance to major at the subsequent visit.

For major non-conformance -

Corrective action (including a cause analysis) to take place immediately. SGS will perform an appropriate follow up visit within 90 days confirming that actions have been effective. The certification decision shall be made based on the outcome of the follow up visit.

The client must notify SGS of the root cause & proposed actions within 30 days of this visit



The client must send SGS records with supporting evidence



Major non-conformance follow-up to take place on:

For minor non-conformance -

Corrective Actions to address identified minor non conformities including a cause analysis shall be documented on an action plan. Where actions are deemed to be satisfactory, they will be followed up at the next scheduled visit.

The client shall send SGS its action plan within 90 days to determine if the proposed actions will be satisfactory.



The client has reviewed the non-conformance to satisfaction of the auditor and defined an appropriate action plan.

Note:- Initial, Re-certification and Extension audits – recommendation for certification cannot be made unless this check box is completed. For re-certification audits the time scales indicated may need to be reduced in order to ensure re-certification prior to expiry of current



certification.

The client has taken appropriate immediate action in response to non-conformance(s) required.

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Nonconformity	N° _ of _	Major or Minor (<i>amend as necessary</i>)	
Standard		Clause	
Nonconformity Statement			
Evidence			
Actions Proposed			

Nonconformity	N° _ of _	Major or Minor (<i>amend as necessary</i>)	
Standard		Clause	
Nonconformity Statement			
Evidence			
Actions Proposed			

Nonconformity	N° _ of _	Major or Minor (<i>amend as necessary</i>)	
Standard		Clause	
Nonconformity Statement			
Evidence			
Actions Proposed			

Nonconformity	N° _ of _	Major or Minor (<i>amend as necessary</i>)	
Standard		Clause	
Nonconformity Statement			
Evidence			
Actions Proposed			

Nonconformity	N° _ of _	Major or Minor (<i>amend as necessary</i>)	
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Standard		Clause	
Nonconformity Statement			
Evidence			
Actions Proposed			

Audit Plan – Next Visit

Site(s) to be visited:	Wrexham Industrial Estate, LL13 9UT		
Audit Language:	English	Visit Number:	V2
Visit Due by Date:	25/10/2017	Planned Visit Date(s):	TBA
Lead Auditor:	TBA	Team Member(s):	TBA

Audit objectives: *To confirm that the management system has been established and implemented in accordance with the requirements of the audit standard.*

Date	Time	Auditor	Site / Area / Department / Process / Function	Contact
TBA	08.45	TBA	Arrive at site	NJ
			Opening meeting – Changes to the organisation	
			Review of previous SGS visit	
			Management Review	
			Aspects Evaluation Process	
			Objectives and targets	
			Legal and Other Requirements, compliance evaluations, permits and consents	
			Communications	
	12.30		Lunch	
	13.00		Site walkover - Operational Controls - internal /external.	
	15:45		Auditor review time	
	16:15		Interim meeting – confirm tomorrow	

	16.45		Close of day 1	
TBA	08.45	TBA	Continuation of operational controls.	
			Emergency preparation - incidents	
	12.30		Lunch	
	13.00		Training/Competence	
	14.00		Internal audits	
			Incidents, complaints, corrective and preventive action	
	15.15		Auditor review time and preparation of report.	
	16.45		Closing meeting	

Notes to Client:

- Times are approximate and will be confirmed at the opening meeting prior to commencement of the audit.
- SGS auditors reserve the right to change or add to the elements listed before or during the audit depending on the results of on-site investigation.
- A private place for preparation, review and conferencing is requested for the auditor's use.
- Please provide a light working lunch on-site each audit day.
- Your contract with SGS is an integral part of this audit plan and details confidentiality arrangements, audit scope, information on follow up activities and any special reporting requirements.

1 - See page 2 for the management system scope of certification

