

Compliance Assessment Report

Report ID:
CAR_NRW0035231

This form will report compliance with your permit as determined by an NRW officer

Site	Gelling Fibre Plant EA/EPR/NP3433BC/V003	Permit Ref	NP3433BC			
Operator/Permit holder	Convatec Ltd					
Regime	Installations					
Date of assessment	08/05/2019	Time in	09:15	Out	11:00	
Assessment type	Audit					
Parts of the permit assessed	As indicated below					
Lead officer's name	Griffiths, David					
Accompanied by						
Recipient's name/position	Joel Goodchild/ HSE Manager	Date issued	12/06/2019			

Section 1 – Compliance Assessment Summary

This is based on the requirements of the permit under the Environmental Permitting Regulations or the licence under the Water Resources Act 1991 as amended by the Water Act 2003. A detailed explanation is captured in "Compliance Assessment Report Detail" (Section 2) and any actions you may need to take are given in the "Action(s)" (section 4). This summary details where we believe any non-compliance with the permit has occurred, the relevant condition and how the non-compliance has been categorised using our Compliance Classification Scheme (CCS). CCS Scores can be consolidated or suspended where appropriate, to reflect the impact of some non-compliances more accurately. For more details of our CCS scheme, contact your local office.

Permit conditions and compliance summary	CCS Category	Condition(s) breached
A1 - Specified by permit	A	
C2 - General Management - Management system and operating procedures	A	
D1 - Incident Management - Site security	A	
D2 - Incident Management - Accidents, emergency and incident planning	A	
F1 - Amenity - Odour	A	
G1 - Monitoring and Records, Maintenance and Reporting - Monitoring of emissions and environment	A	

KEY: See Section 5 for breach categories, suspended scores will be indicated as such.
A = Assessed or assessed in part (no evidence of non-compliance), **X** = Action only,
O = Ongoing non-compliance, not scored.

Number of breaches recorded	0	Total compliance score (see section 5 for scoring scheme)	0
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If the Number of breaches recorded is greater than zero, please see Section 3 for our proposed enforcement response

Section 2 – Compliance Assessment Report Detail

This section contains a report of our findings and will usually include information on:

- The part(s) of the permit that were assessed (eg. Maintenance, training, combustion plant, etc)
- Where the type of assessment was 'Data Review' details of the report/results triggering the assessment
- Any non-compliances identified
- Any non-compliances with directly applicable legislation
- Details of any multiple non-compliances
- Information on the compliance score accrued inc.
- Details of advice given
- Any other areas of concern
- Any actions requested
- Any examples of good practice
- A reference to photos taken

Routine Site Inspection with Joel Goodchild and Marion Davies (Convatec)

Joel Goodchild is the new HSE Manager on site that replaced Kevin Richards

Foam Product Complaint

- Discussed recent complaint regarding a foam product being deposited in local resident's rear gardens. Complaint forwarded to NRW from Local Authority for investigation as the deposition source was believed to be the installation.

Upon investigation the foam material was not associated with the activities on site, there was also no evidence of this foam at any location around the installation during this inspection.

Odour Surveys

- Discussed the daily / weekly community odour surveys. These surveys are no longer required to be undertaken although we do expect you to be vigilant. This decision has been based on the recent commissioning of the Regenerative Thermal Oxidiser (RTO) for odour abatement.

Noise Survey IP 12

- Discussed outstanding Improvement Programme IP 12
- Convatec confirmed that the survey has been undertaken and will be submitted to NRW as soon as the report has been finalised. NRW acknowledge that this report was submitted on the 5th June 2019.
- NRW note your summary that at several locations the noise levels have actually decreased, and two locations need be re-surveyed and analysed, which you plan to undertake the recommended action week commencing 17th of June. NRW will review this submission and respond to you separately on CAR form.

Site Projects

- Convatec gave update on site developments on site and future projects that included. Detailed design stage for third Annex which will involve changes to shift patterns and staff changes on site.
- Discussed energy saving projects such variable speed motors, fans and waste and waste water reduction projects
- Convatec also now reviewing their Energy suppliers (Gas and Electricity). The company plans to move to wind and solar Energy Suppliers.

BREXIT

- Discussed potential issue around the purchasing of chemicals/ supplies from Europe and the restrictions from new trading arrangements that may be in place when we leave the European Union. Convatec confirmed that they have reviewed their inventory and there are currently no issues.

Future Compliance Audits

- NRW will be focusing our compliance Audits for 2019/20 on Accident Management procedures. This will include drainage plans, training on spillages etc and reviewing your internal EMS Procedures.

EPR Compliance Assessment Report

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Operator/Permit holder	Convatec Ltd	Date	08/05/2019

Section 3 – Enforcement Response

You must take immediate action to rectify any non-compliance and prevent repetition.

Non-compliance with your permit conditions constitutes an offence and can result in criminal prosecutions and/or suspension or revocation of a permit. Please read the detailed assessment in Section 2 and the steps you need to take in Section 4 below.

Other than the provision of advice and guidance, at present we do not intend to take further enforcement action in respect of the non-compliance identified above. This does not preclude us from taking enforcement action if further relevant information comes to light or advice isn't followed.

Section 4 – Action(s)

This section summarises the actions identified during the assessment along with the timescales for when they will need to be completed.

Criteria Ref.	CCS Category	Action required/advised	Due Date
See Section 1 above			

Section 5 – Compliance notes for the Operator

To ensure you correct actual or potential non-compliance we may

- Advise on corrective actions verbally or in writing
- Require you to take specific actions verbally or in writing
- Issue a notice
- Require you to review your procedures or management system
- Change some of the conditions of your permit
- Decide to undertake a full review of your permit

Any breach of a permit condition is an offence and we may take legal action against you

- We will normally provide advice and guidance to assist you to come back into compliance either after an offence is committed or where we consider that an offence is likely to be committed. This is without prejudice to any other enforcement response that we consider may be required.
- Enforcement action can include the issue of a formal caution, prosecution, the service of a notice and/or suspension or revocation of the permit.

See our Enforcement and Civil Sanctions guidance for further information

This report does not relieve the site operator of the responsibility to

- Ensure you comply with the conditions of the permit at all times and prevent pollution of the environment
- Ensure you comply with other legislative provisions which may apply

Non-compliance scores and categories

CCS category	Description	Score
C1	A non-compliance that could have a major environmental effect	60
C2	A non-compliance which could have a significant environmental effect	31
C3	A non-compliance which could have a minor environmental effect	4
C4	A non-compliance which has no potential environmental effect	0.1

Operational Risk Appraisal (Opra) - Compliance assessment findings may affect your Opra score and/or your charges. This score influences the resource we use to assess permit compliance.

Section 6 – General information

Data protection notice

The information on this form will be processed by the Natural Resources Wales (NRW) to fulfil its regulatory and monitoring functions and to maintain the relevant public register(s). The NRW may also use and/or disclose it in connection with:

- Offering/providing you with its literature/services relating to environmental matters
- Consulting with the public, public bodies and other organisations (eg. Health and Safety Executive, local authorities) on environmental issues
- Carrying out statistical analysis, research and development on environmental issues
- Providing public register information to enquirers
- Investigating possible breaches of environmental law
- Assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Regulations request

The NRW may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

Disclosure of information

The NRW will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within fifteen working days of receipt of this form indicating which information it concerns and why it should not be released, giving your reasons in full.

Customer charter

What can I do if I disagree with this compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with officer's line managers using the informal appeals procedure. If you wish to raise your dispute further through our official Complaints and Commendations procedure, phone our general enquiry number 0300 065 3000 (Mon to Fri 08.00 – 18.00) and ask for the Customer Contact team or send an email to enquiries@naturalresourceswales.gov.uk. If you are still dissatisfied you can make a complaint to the Public Services Ombudsman for Wales. For advice on how to complain to the Ombudsman phone their helpline on 0845 607 0987.

Welsh Language

If you would like this form in Welsh please contact your Regulatory Officer.