

Compliance Assessment Report CAR_NRW0042452

Permit being assessed: KP3195FW.

For: Newport Refrigerator Plant, **held by:** Sims Group U K Ltd

At: South Dock, Alexandra Dock, Newport, Gwent, NP20 2WE.

Type of assessment: Unknown,

Reason: Routine.

On: 12/09/2023 between 10:30 and 11:30.

Parts of permit assessed: 3.1.1.

NRW Lead Officer: Luke Burton.

Report sent to: Georgina Sidney, EHS Partner – UK South & Central Europe , on 14/09/2023.

1. Summary of our findings (full details in section 4)

Part of permitted activity assessed (compliance criteria)	Assessment result	Permit condition
IR3A - Emissions and monitoring - Emissions to water, air or land	C4 No impact (Suspended)	3.1.1

Result types are explained in more detail in the 'Important Information' section below.

Total non-compliances recorded	Total non-compliance score
1	0

How we use the non-compliance score to calculate your annual fee is explained in the 'Important Information' section below.

2. What action is required?

Criteria	Action needed	Complete by
IR3A	On-going conditions. See conditions of temporary authorisation in CAR body.	Already completed

Compliance criteria codes are listed in the 'Important information' section below.

3. What will happen next?

Any non-compliance we have identified and recorded on this form is an offence. It can result in criminal prosecution and/or suspension or revocation of your permit.

At this time, we do not intend to take any further action.

This statement does not stop us from taking additional enforcement action if further relevant information comes to light or offences continue.

4. Details of our assessment

Background

Luke Burton met Georgina Sidney and Neil Phelan of Sims Group UK Limited to discuss a proposed discharge from a package treatment plant serving a new welfare block containing showers, toilets, and sinks only.

The new welfare block will replace an existing and now dormant welfare block containing showers, toilets, and sinks only.

The existing welfare block currently has its sewage treated and discharged from a permitted package treatment plant reference: EPR/AN0325702, which also serves the site offices. The intention is for the site offices to continue to discharge under EPR/AN0325702.

The proposed discharge from the package treatment plant serving the new welfare block will be of the same nature and quantity as that from the existing welfare block.

However, the proposed discharge will be from a new location 50 meters south-east and to the same dock from the above permitted point.

An application for a permit for the proposed discharge was received by NRW on 3/8/23 reference PAN-022858.

The operator has queried if a temporary authorisation of the proposed discharge can be granted due to delays in NRW processing applications on their water quality permitting queue.

Temporary Authorisation

Given the delays in NRW permitting and that the proposed discharge is low risk because it is only made up of a proportion of the effluent currently permitted for discharge under EPR/AN0325702 but just at a different, nearby location - NRW temporarily authorise the proposed, and currently un-permitted discharge, from the package treatment plant serving the new welfare block.

This temporary authorisation only is granted on the basis of the below conditions:

- The authorisation is time limited until permit application reference PAN-022858 is determined.
- The discharge is of the nature and function as described and as per documents shared reference: *Schedule 7 Site Plan, Sims Fridge Plant and Site Layout Plan*.
- All relevant operating techniques documents are updated to reflect the temporary authorisation.
- Natural Resources Wales reserve the right to cease the temporary authorisation following any adverse environmental impacts.
- It is the operator's responsibility to ensure compliance with all other legal requirements including obtaining any other required authorisations and making

relevant notifications.

Suspension of permit breaches

A suspended permit breach has been scored against permit conditions 3.1.1. as is standard procedure for temporary authorisations.

The score is suspended until permit application reference PAN-022858 is determined. The score is based on the foreseeable risk most likely to occur from the activity, determined by NRW's assessment.

If the agreed conditions of the temporary authorisation are breached the suspended score, or score appropriate to the breach, is then enforced via a CAR and NRW will consider whether any further enforcement response is needed.

If no breach is recorded a future CAR will state the suspended score is withdrawn.

END

If you have any queries about this report, or to discuss completion of any actions, please contact the NRW Officer named above.

Important information

Legal status of this report

Your permit is issued to you under the Environmental Permitting Regulations. You have a responsibility to comply with the conditions of your permit and prevent pollution/harm of the environment. You must also ensure that you comply with any other relevant legislation that may apply to your site's operations.

This report explains the findings of our assessment and any action you are required to take. We categorise non-compliance using our guidance for assessing non-compliance at regulated sites.

When we find potential non-compliance/s we will normally give you advice on how to maintain compliance.

To correct non-compliance, we may:

- require you to take specific actions
- issue a notice
- review the conditions of your permit.

Any advice and guidance we give will be without prejudice to any other enforcement response that we consider may be required.

Assessment results and non-compliance categories (used in section 1):

Assessment result	Description
Assessed (A)	Assessed or assessed in part, no evidence of non-compliance found
Action only (X)	Action only relating to the activity assessment
Ongoing (O)	Ongoing non-compliance, not scored

Non-compliance category	Description	Score
C1 Major	Potential to have a major, serious, persistent and/or extensive impact or effect on the environment, people and/or property	60
C2 Significant	Potential to have a significant impact or effect on the environment, people and/or property	31
C3 Minor	Potential to have a minor or minimal impact or effect on the environment, people and/or property	4
C4 No environmental impact	Non-compliance at a regulated site that cannot foreseeably have any impact on the environment, people and/or property	0.1

How we use assessment scores

The number and severity of non-compliances recorded in a year will affect your annual subsistence fee the following year. A non-compliance factor is added to your site's Operator

Performance Risk Appraisal (OPRA) score when we calculate your fee to reflect the additional resource we use to assess permit compliance.

If your assessment result in Section 1 is suspended, what does this mean?

In line with our guidance, we may suspend scores for up to six months to allow time for remedial action to be taken. Suspended scores will be re-instated if the action is not completed.

Full list of Industry compliance criteria (used in section 1 and 2):

1. Management

- IR1A – General management
- IR1B – Finance (only applicable to Landfill)
- IR1C – Energy efficiency
- IR1D - Efficient use of raw materials
- IR1E - Avoidance, recovery and disposal of wastes produced by the activities
- IR1F - Multiple operator installations

2. Operations

- IR2A – Permitted activities
- IR2B – The site
- IR2C – Operating techniques
- IR2D – Technical requirements
- IR2E – Improvement programme
- IR2F – Pre-operational conditions
- IR2G – Landfill engineering (only applicable to Landfill)
- IR2H – Waste acceptance (only applicable to Landfill)
- IR2I – Leachate levels (only applicable to Landfill)
- IR2J – Closure and aftercare (only applicable to Landfill)
- IR2K – Landfill gas management (only applicable to Landfill)

3. Emission and Monitoring

- IR3A – Emissions to water, air or land
- IR3B – Emissions of substances not controlled by emission limits
- IR3C – Odour
- IR3D – Noise and vibration
- IR3E – Monitoring
- IR3F – Pests
- IR3G – Air quality management plans
- IR3H – Monitoring for the purposes of the Industrial Emissions Directive (this heading includes Large Combustion Plants)
- IR3I – Fire

4. Information

- IR4A – Records
- IR4B – Reporting
- IR4C – Notification

Enforcement response

Any non-compliance with a permit condition is an offence and we may take legal action against you. Action we take can include prosecution, serving a notice on you and/or

suspension or revocation of your permit. See our Enforcement and Sanctions Guidance for further information.

Data protection notice

You should make sure that anyone named in this report knows that the information it contains will be processed by Natural Resources Wales to fulfil its regulatory and monitoring functions and to maintain the relevant public register(s).

We may also use and/or disclose the report in connection with:

- offering or providing you with our literature or services relating to environmental matters
- consulting with the public, public bodies and other organisations (e.g. Health and Safety Executive, local authorities) on environmental issues
- carrying out statistical analysis, research and development on environmental issues
- providing public register information to enquirers
- investigating possible breaches of environmental law
- assessing customer service satisfaction and improving our service
- Freedom of Information Act or Environmental Information Regulations requests.

We may also pass it on to our agents or representatives to do these things on our behalf.

Disclosure of information – this report will be available to view on-line

If you think this report contains commercially confidential information that should not be placed on our public register, you must contact your local Natural Resources Wales office within **fifteen working days** of receiving this report, using the contact details in the accompanying email or letter. You must give a full explanation of why it should not be added to our public register, including specifying which information is commercially confidential. We will assess your request and respond to you within twenty working days to let you know if we agree to your request.

What do I do if I disagree with the report or have a complaint?

If you disagree with this compliance assessment report, you should contact the lead officer without delay to discuss your concerns.

If you are unable to resolve the issue with the lead officer or their line manager you should contact our Customer Contact team on 0300 065 3000 (Monday to Friday 08:00 to 18:00), or email enquiries@naturalresourceswales.gov.uk for details of how to raise your dispute further through our Complaints and Commendations procedure.

If you are dissatisfied with our response, you can contact the Public Services Ombudsman for Wales by phone on 0300 7900203 or by email at ask@ombudsman.wales

Welsh Language Standards

We are committed to establishing Natural Resources Wales as a naturally bilingual organisation. We will provide compliance reports in your preferred language.