

Compliance Assessment Report CAR_NRW0044039

Permit being assessed: BP0315201

For: Ben Hughes Foundry CSO, held by DWR CYMRU CYFYNGEDIG

At: Nr 5 Bwlch Road, Loughor, Swansea, SA4 6SA.

Type of assessment carried out: Report/Data Review, Reason: Routine.
On 16/04/2024.

Parts of permit assessed: Emissions to Water

NRW Lead Officer: Sarah Bennett.

Report sent to: CARS@dwrcymru.com, CARS Mailbox, on 16/04/2024.

1. Summary of our findings (full details in section 4)

Part of permitted activity assessed (criteria)	Assessment result	Permit condition
WQ-C1 - Water Quality - Emissions and monitoring - Emissions to water	Action only (X)	
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Result types are explained in more detail in the 'Important Information' section below.

2. What action is required?

Criteria	Action needed	Complete by
WQ-C1	Securing future compliance: Please ensure that you now comply with Condition 3.1.2 (c) by implementing the investigation proposal as approved by Natural Resources Wales. Your investigation proposal so far is to arrange a formal land entry to facilitate safe access to the assets for inspection, survey and undertake any maintenance work that may be identified.	02/07/2024
WQ-C1	Securing future compliance: Once you have completed the investigation, please provide NRW with a report which identifies the reason/s for the Trigger Exceedance, as is required by Condition 3.1.2 (c). See comments below for more information.	30/07/2024

Action criteria codes are listed in the 'Important information' section below.

3. What will happen next?

Any non-compliance we have identified and recorded on this form is an offence. It can result in criminal prosecution and/or suspension or revocation of your permit.

At this time, we do not intend to take any further action.

This statement does not stop us from taking additional enforcement action if further relevant information comes to light or offences continue.

4. Details of our assessment

The purpose of this report is to:

- assess and record compliance with Condition 3.1.2 (b) relating to Event Duration Monitoring
- record our comments and approval of the proposed investigation
- give advice on complying with Condition 3.1.2 (c) going forward.

Condition 3.1.2 (b) requires you to provide a reason for a Trigger Exceedance and a description of any remedial action undertaken or proposed. Where the reason/s for the Trigger Exceedance cannot be identified within the 3 months of the Trigger Exceedance you must provide a proposal with timeframes for a full investigation to be carried out to identify the reason/s for the Trigger Exceedance for approval by Natural Resources Wales.

On the 12 March 2024 you sent to us an investigation proposal which included timeframes for an investigation into the reasons for the trigger exceedance. The report was late but this non-compliance has been recorded in a separate report, ref: CAR_NRW0043718.

At this point in time, you have been unable to establish the reason for the Trigger Exceedance and have submitted an investigation proposal for our approval.

So far you have undertaken CCTV investigations of the downstream network to check for any obstructions that may be impeding PFF through the CSO. No obstructions were identified, but the survey of the tanks and flow control could not take place, as you were unable to gain safe access to the asset. The condition of the storage tanks and flow control remains unknown.

My understanding is that until you have surveyed the tanks and flow control you are unable to determine if the root cause of the CSO spill frequency is operational or hydraulic overload.

Your investigation proposal to identify the reason(s) for the Trigger Exceedance consists of a formal land entry process to facilitate safe access to the assets for inspection, survey and undertake any maintenance work that may be identified. You have told us that you aim to gain access to the assets and complete the investigations by a target date of 31 June 2024.

I approve the submitted full investigation proposal.

Condition 3.1.2 (c) states that if a full investigation is required by condition 3.1.2(b), implement the proposal as approved by Natural Resources Wales and provide to Natural Resources Wales a report which identifies the reason/s for the Trigger Exceedance.

You now need to implement the investigation proposal in order to comply with Condition 3.1.2 (c) of the permit. Please complete the investigation by the 02 July 2024.

Condition 3.1.2 (c) also requires you to provide NRW with a report which identifies the reason/s for the Trigger Exceedance. Please provide this report by the 30 July 2024.

I recognise that this proposed investigation may not fully establish the root cause of the CSO spill frequency if no operational issues are found. If the Trigger is exceeded again another investigation proposal will need to be submitted following any Trigger Exceedance Notification (TEN).

Thank you.

End

If you have any queries about this report, or to discuss completion of any actions, please contact the NRW Officer named above.

Important information

Legal status of this report

Your permit is issued to you under the Environmental Permitting Regulations. You have a responsibility to comply with the conditions of your permit and prevent pollution/harm to the environment. You must also ensure that you comply with any other relevant legislation that may apply to your site's operations.

This report explains the findings of our assessment and any action you are required to take. We categorise non-compliance using our guidance for assessing non-compliance at regulated sites.

When we find potential non-compliance/s we will normally give you advice on how to maintain compliance.

To correct non-compliance, we may:

- require you to take specific actions
- issue a notice
- review the conditions of your permit.

Any advice and guidance we give will be without prejudice to any other enforcement response that we consider may be required.

Assessment results and non-compliance categories (used in section 1):

Assessment result	Description
Assessed (A)	Assessed or assessed in part, no evidence of non-compliance found
Action only (X)	Action only relating to the activity assessment
Ongoing (O)	Ongoing non-compliance, not scored

Non-compliance category	Description
C1 Major	Potential to have a major, serious, persistent and/or extensive impact or effect on the environment, people and/or property
C2 Significant	Potential to have a significant impact or effect on the environment, people and/or property
C3 Minor	Potential to have a minor or minimal impact or effect on the environment, people and/or property
C4 No environmental impact	Non-compliance at a regulated site that cannot foreseeably have any impact on the environment, people and/or property

If your assessment result in Section 1 is suspended, what does this mean?

In line with our guidance, we may suspend non-compliance for up to six months to allow time for remedial action to be taken. These will be re-instated if the action is not completed.

Full list of water quality action criteria (used in section 1 and 2):**WQ A: Management**

- WQ-A1 General management

WQ B: Operations

- WQ-B1 Permitted activities
- WQ-B2 The site
- WQ-B3 Operating techniques
- WQ-B4 Improvement programme
- WQ-B5 Pre-operational conditions

WQ C: Emissions and monitoring

- WQ-C1 Emissions to water
- WQ-C2 Emissions to land
- WQ-C3 Emissions of substances not controlled by emission limits
- WQ-C4 Installation of monitoring boreholes

WQ D: Information

- WQ-D1 Records
- WQ-D2 Reporting
- WQ-D3 Notifications

Enforcement response

Any permit condition non-compliance is an offence and we may take legal action against you. Action we take can include prosecution, serving a notice on you and/or suspension or revocation of your permit. See our Enforcement and Sanctions Guidance for further information.

Data protection notice

You should make sure that anyone named in this report knows that the information it contains will be processed by Natural Resources Wales to fulfil its regulatory and monitoring functions and to maintain the relevant public register(s).

We may also use and/or disclose the report in connection with:

- offering or providing you with our literature or services relating to environmental matters
- consulting with the public, public bodies and other organisations (e.g. Health and Safety Executive, local authorities) on environmental issues
- carrying out statistical analysis, research and development on environmental issues
- providing public register information to enquirers
- investigating possible breaches of environmental law
- assessing customer service satisfaction and improving our service
- Freedom of Information Act or Environmental Information Regulations requests.

We may also pass it on to our agents or representatives to do these things on our behalf.

Disclosure of information – this report will be available to view on-line

If you think this report contains commercially confidential information that should not be placed on our public register, you must contact your local Natural Resources Wales office within **fifteen working days** of receiving this report, using the contact details in the accompanying email or letter. You must give a full explanation of why it should not be

added to our public register, including specifying which information is commercially confidential. We will assess your request and respond to you within 20 working days to let you know if we agree to your request.

What do I do if I disagree with the report or have a complaint?

If you disagree with this compliance assessment report, you should contact the lead officer without delay to discuss your concerns.

If you are unable to resolve the issue with the lead officer or their line manager you should contact our Customer Contact team on 0300 065 3000 (Monday to Friday 08:00 – 18:00), or email enquiries@naturalresourceswales.gov.uk for details of how to raise your dispute further through our Complaints and Commendations procedure.

If you are dissatisfied with our response, you can contact the Public Services Ombudsman for Wales by phone on 0300 7900203 or by email at ask@ombudsman.wales

Welsh Language Standards

We are committed to establishing Natural Resources Wales as a naturally bilingual organisation. We will provide compliance reports in your preferred language.