

Compliance Assessment Report CAR_NRW0046769

Permit being assessed: CG0114601

For: Llan Penmachno Wastewater Treatment Works, held by DWR CYMRU CYFYNGEDIG
At: Llewelyn Street (B4406), Penmachno, Conwy, LL24 0PS.

Type of assessment carried out: Report/Data Review, Reason: Routine.

On 01/01/2024 - 31/12/2024.

Parts of permit assessed: UMON3,4

NRW Lead Officer: Cathrine Kiely, accompanied by: Tom Lewis.

Report sent to: DCWW, DCWW, on 25/04/2025.

1. Summary of our findings (full details in section 4)

Part of permitted activity assessed (criteria)	Assessment result	Permit condition
WQ-C1 - Water Quality - Emissions and monitoring - Emissions to water	C3 Minor	3.3.10 a
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WQ-C1 - Water Quality - Emissions and monitoring - Emissions to water	C2 Significant	3.1.5

Result types are explained in more detail in the 'Important Information' section below.

2. What action is required?

Criteria	Action needed	Complete by
WQ-C1	Provide info on cause of breach with remedy and timescale	17/04/2025
WQ-C1	Provide info on cause of breach with remedy and timescale	17/04/2025
WQ-C1	Provide detail on permit breach cause, remedy and timescale	17/04/2025

Action criteria codes are listed in the 'Important information' section below.

3. What will happen next?

Any non-compliance we have identified and recorded on this form is an offence. It can result in criminal prosecution and/or suspension or revocation of your permit.

You are non-compliant with your permit.

We are currently considering taking enforcement action against you for the non-compliance recorded above. We will contact you in due course.

4. Details of our assessment

Summary of Flow Pass Forward (FPF) (NRW FPF 2024 Submission) data as required by

permit conditions linked to UMON3,4 driver submitted on 28th February 2025. Overflow data submitted on 16th April 2025.

The data submitted is :

Sampler	Sample Source	Sampling Number	Parameters	Result	Qualification	Unit
LLAN PENMACHNO	22109	3277	No of OvOps	53		No.
LLAN PENMACHNO	22109	3277	OvOp duration	925.62		h
LLAN PENMACHNO	22109	3277	Both<good	41		No.
LLAN PENMACHNO	22109	3277	FPF mean	8.98		l/s
LLAN PENMACHNO	22109	3277	FPF median	10.03		l/s
LLAN PENMACHNO	22109	3277	FPF%>=92%	86.50		%
LLAN PENMACHNO	22109	3277	Max<good FPF	2		No.
LLAN PENMACHNO	22109	3277	Max<good OvOp	22		No.

The required flow passed forward to treatment (FFT) before flow enters the storm overflow is 3.82 l/s.

The mean FPF was above 3.82 l/s.

As the FPF percentage above 92% of FFT was less than 95%, this is a breach of permit condition 3.1.5

There were 22 consecutive days when FPF data was 'good' flow data for less than 90% of that day. This is a breach of permit condition 3.3.10 (a) which sets a limit of 14 days.

There were 41 days which do not have both 'good' flow data recorded for at least 90% of each day and 'good' overflow operation data recorded for at least 90% of each day. This is a breach of permit condition 3.3.10 (c) which sets a limit of 37 days.

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Action : please provide an update by 1st May 2025 on cause of non-compliance and when full compliance will be achieved.

If you have any queries about this report, or to discuss completion of any actions, please contact the NRW Officer named above.

Important information

Legal status of this report

Your permit is issued to you under the Environmental Permitting Regulations. You have a responsibility to comply with the conditions of your permit and prevent pollution/harm to the environment. You must also ensure that you comply with any other relevant legislation that may apply to your site's operations.

This report explains the findings of our assessment and any action you are required to take. We categorise non-compliance using our guidance for assessing non-compliance at regulated sites.

When we find potential non-compliance/s we will normally give you advice on how to maintain compliance.

To correct non-compliance, we may:

- require you to take specific actions
- issue a notice
- review the conditions of your permit.

Any advice and guidance we give will be without prejudice to any other enforcement response that we consider may be required.

Assessment results and non-compliance categories (used in section 1):

Assessment result	Description
Assessed (A)	Assessed or assessed in part, no evidence of non-compliance found
Action only (X)	Action only relating to the activity assessment
Ongoing (O)	Ongoing non-compliance, not scored

Non-compliance category	Description
C1 Major	Potential to have a major, serious, persistent and/or extensive impact or effect on the environment, people and/or property
C2 Significant	Potential to have a significant impact or effect on the environment, people and/or property
C3 Minor	Potential to have a minor or minimal impact or effect on the environment, people and/or property
C4 No environmental impact	Non-compliance at a regulated site that cannot foreseeably have any impact on the environment, people and/or property

If your assessment result in Section 1 is suspended, what does this mean?

In line with our guidance, we may suspend non-compliance for up to six months to allow time for remedial action to be taken. These will be re-instated if the action is not completed.

Full list of water quality action criteria (used in section 1 and 2):**WQ A: Management**

- WQ-A1 General management

WQ B: Operations

- WQ-B1 Permitted activities
- WQ-B2 The site
- WQ-B3 Operating techniques
- WQ-B4 Improvement programme
- WQ-B5 Pre-operational conditions

WQ C: Emissions and monitoring

- WQ-C1 Emissions to water
- WQ-C2 Emissions to land
- WQ-C3 Emissions of substances not controlled by emission limits
- WQ-C4 Installation of monitoring boreholes

WQ D: Information

- WQ-D1 Records
- WQ-D2 Reporting
- WQ-D3 Notifications

Enforcement response

Any permit condition non-compliance is an offence and we may take legal action against you. Action we take can include prosecution, serving a notice on you and/or suspension or revocation of your permit. See our Enforcement and Sanctions Guidance for further information.

Data protection notice

You should make sure that anyone named in this report knows that the information it contains will be processed by Natural Resources Wales to fulfil its regulatory and monitoring functions and to maintain the relevant public register(s).

We may also use and/or disclose the report in connection with:

- offering or providing you with our literature or services relating to environmental matters
- consulting with the public, public bodies and other organisations (e.g. Health and Safety Executive, local authorities) on environmental issues
- carrying out statistical analysis, research and development on environmental issues
- providing public register information to enquirers
- investigating possible breaches of environmental law
- assessing customer service satisfaction and improving our service
- Freedom of Information Act or Environmental Information Regulations requests.

We may also pass it on to our agents or representatives to do these things on our behalf.

Disclosure of information – this report will be available to view on-line

If you think this report contains commercially confidential information that should not be placed on our public register, you must contact your local Natural Resources Wales office within **fifteen working days** of receiving this report, using the contact details in the accompanying email or letter. You must give a full explanation of why it should not be

added to our public register, including specifying which information is commercially confidential. We will assess your request and respond to you within 20 working days to let you know if we agree to your request.

What do I do if I disagree with the report or have a complaint?

If you disagree with this compliance assessment report, you should contact the lead officer without delay to discuss your concerns.

If you are unable to resolve the issue with the lead officer or their line manager you should contact our Customer Contact team on 0300 065 3000 (Monday to Friday 08:00 – 18:00), or email enquiries@naturalresourceswales.gov.uk for details of how to raise your dispute further through our Complaints and Commendations procedure.

If you are dissatisfied with our response, you can contact the Public Services Ombudsman for Wales by phone on 0300 7900203 or by email at ask@ombudsman.wales

Welsh Language Standards

We are committed to establishing Natural Resources Wales as a naturally bilingual organisation. We will provide compliance reports in your preferred language.