

## Compliance Assessment Report CAR\_NRW0050867

**Permit being assessed:** DP3733BK.

**For:** Docksway Landfill , **held by:** Newport City Council

**At:** Docksway Disposal Site - Phase 2 Docks Way , Newport, South Wales, NP20 2NS.

**Type of assessment:** Report/Data Review,

**Reason:** Routine.

**On:** 31/12/2025.

**Parts of permit assessed:** See Comments.

**NRW Lead Officer:** Luke Burton.

**Report sent to:** [REDACTED], Landfill Team Manager, on 26/02/2026.

### 1. Summary of our findings (full details in section 4)

Part of permitted activity assessed (compliance criteria)	Assessment result	Permit condition
IR3E - Installations - Emissions and monitoring - Monitoring	Assessed (A)	
IR4B - Installations - Information - Reporting	Assessed (A)	
IR2I - Installations - Operations - Leachate levels (only applicable to landfill)	Assessed (A)	
IR3A(1) - Installations - Emissions and monitoring - Emissions to water	Assessed (A)	
IR3A(2) - Installations - Emissions and monitoring - Emissions to air	Assessed (A)	
IR4B - Installations - Information - Reporting	C4 No impact	4.2.3 Q1 leachate level late reporting
IR4B - Installations - Information - Reporting	C4 No impact	4.2.3 Q3 leachate level late reporting
IR4B - Installations - Information - Reporting	C4 No impact	4.2.3 Q4 leachate level late reporting
IR2I - Installations - Operations - Leachate levels (only applicable to landfill)	C3 Minor	3.1.2 Q1 Leachate level exceedance
IR2I - Installations - Operations - Leachate levels (only applicable to landfill)	C3 Minor	3.1.2 Q4 leachate level exceedance
IR4B - Installations - Information - Reporting	C4 No impact	4.2.3 Q1 groundwater

Part of permitted activity assessed (compliance criteria)	Assessment result	Permit condition
		monitoring late reporting
IR4B - Installations - Information - Reporting	C4 No impact	4.2.3 Q2 groundwater monitoring late reporting
IR4B - Installations - Information - Reporting	C4 No impact	4.2.3 Q3 groundwater monitoring late reporting
IR4B - Installations - Information - Reporting	C4 No impact	4.2.3 Q4 groundwater monitoring late reporting
IR3A(1) - Installations - Emissions and monitoring - Emissions to water	C3 Minor	3.1.2 Q4 groundwater trigger level exceedance at GW12_38 for potassium
IR4B - Installations - Information - Reporting	C4 No impact	4.2.3 Q1 landfill gas lateral migration late reporting
IR4B - Installations - Information - Reporting	C4 No impact	4.2.3 Q2 landfill gas lateral migration late reporting
IR4B - Installations - Information - Reporting	C4 No impact	4.2.3 Q3 landfill gas lateral migration late reporting
IR4B - Installations - Information - Reporting	C4 No impact	4.2.3 Q4 landfill gas lateral migration late reporting
IR3A(2) - Installations - Emissions and monitoring - Emissions to air	C3 Minor	3.1.2 methane limit exceedance GP05_17
IR3A(2) - Installations - Emissions and monitoring - Emissions to air	C3 Minor	3.1.2 methane limit exceedance GP05_17
IR4B - Installations - Information - Reporting	C4 No impact	4.2.3 Q1 other leachate monitoring parameters late reporting
IR4B - Installations - Information - Reporting	C4 No impact	4.2.3 Q2 other leachate monitoring parameters late reporting
IR4B - Installations - Information - Reporting	C4 No impact	4.2.3 Q3 other leachate monitoring parameters late reporting
IR4B - Installations - Information - Reporting	C4 No impact	4.2.3 Q4 other leachate monitoring parameters late reporting
IR4B - Installations - Information - Reporting	C4 No impact	4.2.3 Q1 other groundwater monitoring parameters late reporting

Part of permitted activity assessed (compliance criteria)	Assessment result	Permit condition
IR4B - Installations - Information - Reporting	C4 No impact	4.2.3 Q2 other groundwater monitoring parameters late reporting
IR4B - Installations - Information - Reporting	C4 No impact	4.2.3 Q3 other groundwater monitoring parameters late reporting
IR4B - Installations - Information - Reporting	C4 No impact	4.2.3 Q4 other groundwater monitoring parameters late reporting
IR3E - Installations - Emissions and monitoring - Monitoring	C3 Minor	3.6.1(g) Vibrating Wire Piezometers not functioning
IR3E - Installations - Emissions and monitoring - Monitoring	C3 Minor	3.6.1(g) Vibrating Wire Piezometers not functioning

Result types are explained in more detail in the 'Important Information' section below.

Total non-compliances recorded	Total non-compliance score
26	29.9

How we use the non-compliance score to calculate your annual fee is explained in the 'Important Information' section below.

## 2. What action is required?

Criteria	Action needed	Complete by
IR4B	Leachate level reporting : Operator must report all leachate levels as required by permit conditions 4.2.3.	Already completed
IR4B	Action – Leachate level reporting : Operator must report all leachate levels as required by permit conditions 4.2.3.	Already completed
IR4B	Leachate level reporting : Operator must report all leachate levels as required by permit conditions 4.2.3.	Already completed
IR2I	Leachate Level Control: The operator shall ensure that all leachate levels are maintained within the limits specified in the site's environmental permit.	Already completed
IR2I	Leachate Level Control: The operator shall ensure that all leachate levels are maintained within the limits specified in the site's environmental permit.	Already completed
IR4B	Groundwater parameters reporting : Operator must report all groundwater parameters as required by permit conditions 4.2.3.	Already completed

Criteria	Action needed	Complete by
IR4B	Groundwater parameters reporting : Operator must report all groundwater parameters as required by permit conditions 4.2.3.	Already completed
IR4B	Groundwater parameters reporting : Operator must report all groundwater parameters as required by permit conditions 4.2.3.	Already completed
IR4B	Groundwater parameters reporting : Operator must report all groundwater parameters as required by permit conditions 4.2.3.	Already completed
IR3A(1)	Groundwater parameters GW12_38: Operator to review the suspected cause of the potassium exceedance and report back their findings to NRW.	30/04/2026
IR4B	Landfill gas lateral gas monitoring parameters reporting: Operator must report all landfill gas lateral gas monitoring parameters as required by permit conditions 4.2.3.	Already completed
IR4B	Landfill gas lateral gas monitoring parameters reporting: Operator must report all landfill gas lateral gas monitoring parameters as required by permit conditions 4.2.3.	Already completed
IR4B	Landfill gas lateral gas monitoring parameters reporting: Operator must report all landfill gas lateral gas monitoring parameters as required by permit conditions 4.2.3.	Already completed
IR4B	Landfill gas lateral gas monitoring parameters reporting: Operator must report all landfill gas lateral gas monitoring parameters as required by permit conditions 4.2.3.	Already completed
IR3A(2)	Landfill gas methane at GP05_17: Operator to review the suspected cause of the methane exceedances and report back their findings to NRW.	30/04/2026
IR3A(2)	Landfill gas methane at GP05_17: Operator to review the suspected cause of the methane exceedances and report back their findings to NRW.	30/04/2026
IR4B	Other leachate monitoring parameters reporting: Operator must report all other leachate monitoring parameters as required by permit conditions 4.2.3.	Already completed
IR4B	Other leachate monitoring parameters reporting: Operator must report all other leachate monitoring parameters as required by permit conditions 4.2.3.	Already completed
IR4B	Other leachate monitoring parameters reporting: Operator must report all other leachate monitoring parameters as required by permit conditions 4.2.3.	Already completed
IR4B	Other leachate monitoring parameters reporting: Operator must report all other leachate monitoring parameters as required by permit conditions 4.2.3.	Already completed
IR4B	Other groundwater monitoring parameters reporting: Operator must report all other groundwater monitoring parameters as	Already completed

Criteria	Action needed	Complete by
	required by permit conditions 4.2.3.	
IR4B	Other groundwater monitoring parameters reporting: Operator must report all other groundwater monitoring parameters as required by permit conditions 4.2.3.	Already completed
IR4B	Other groundwater monitoring parameters reporting: Operator must report all other groundwater monitoring parameters as required by permit conditions 4.2.3.	Already completed
IR4B	Other groundwater monitoring parameters reporting: Operator must report all other groundwater monitoring parameters as required by permit conditions 4.2.3.	Already completed
IR3E	Vibrating Wire Piezometers: Operator must provide a plan detailing how you intend to reinstate, replace, or otherwise manage the malfunctioning vibrating wire piezometer including the measures you will implement to ensure continued compliance with monitoring requirements going forward	30/04/2026
IR3E	Vibrating Wire Piezometers: Operator must provide a plan detailing how you intend to reinstate, replace, or otherwise manage the malfunctioning vibrating wire piezometer including the measures you will implement to ensure continued compliance with monitoring requirements going forward	30/04/2026

Compliance criteria codes are listed in the 'Important information' section below.

### 3. What will happen next?

Any non-compliance we have identified and recorded on this form is an offence. It can result in criminal prosecution and/or suspension or revocation of your permit.

**You are non-compliant with your permit.**

**We are currently considering taking enforcement action against you for the non-compliance recorded above. We will contact you in due course.**

### 4. Details of our assessment

#### Regulatory context

This Compliance Assessment Report (CAR) has been produced in response to the reporting of monitoring data.

This assessment was undertaken on 26 February 2026. However, to ensure that the assessment score is attributed to the correct financial year (for billing) the date on the CAR has been altered to 31 December 2025.

Monitoring data and reports for 3 monthly, 6 monthly and annual reporting periods have been assessed in this CAR.

A review of the quality and reliability of the operator's monitoring and notifications do not

form part of this assessment.

### **Permit conditions being assessed**

Only the following conditions from the environmental permit are being assessed in this CAR: 3.1.2, 3.6.1, 4.2.2 and 4.2.3.

For the purpose of brevity these conditions and their related tables within the environmental permit have not been duplicated in this CAR.

### **Assessments and Conclusions**

#### **Leachate levels as required by condition 3.6.1**

NB the leachate level monitoring frequency may return to monthly, as per the permit.

#### **Quarter 1 reporting, monitoring and level limit**

Quarter 1 submissions received on 02/07/25 and 04/06/25 were made outside of the reporting window.

The late Quarter 1 submission represents one non-compliance with permit condition 4.2.3.

The non-compliance with permit condition 4.2.3 has been scored one C4 non-compliance.

The submissions demonstrate compliance with permit condition 3.6.1(a).

The submission demonstrates that the leachate level was above the level limit three times in January and once in February, but was otherwise within the level limit. This represents two non-compliances with permit condition 3.1.2.

Each of the two non-compliances with permit condition 3.1.2 have been scored one C3 non-compliance.

In line with NRW's non-compliance consolidation principle we can group together multiple non-compliances into one single score. The non-compliance with permit condition 3.1.2 has therefore been scored one C3 non-compliance.

#### **Quarter 2 reporting, monitoring and level limit**

Quarter 2 submissions received on 02/07/25 were made inside the reporting window. Partial submissions were also made on 05/08/25 and duplicated some of the Quarter 2 submissions received earlier.

The submissions represent compliance with permit condition 4.2.3.

The submissions demonstrate compliance with permit condition 3.1.2.

The submissions demonstrate compliance with permit condition 3.6.1(a).

#### **Quarter 3 reporting, monitoring and level limit**

Despite some of the Quarter 3 submissions being made on 27/08/25 they were incomplete

and further, partial submissions for the remaining reporting were then made on 24/11/ 25. Submissions for Quarter 3 were therefore partially made outside the reporting window.

The late Quarter 3 submission represents one non-compliance with permit condition 4.2.3.

The non-compliance with permit condition 4.2.3 has been scored one C4 non-compliance.

The submissions demonstrate compliance with permit condition 3.6.1(a).

The submissions demonstrate compliance with permit condition 3.1.2.

#### **Quarter 4 reporting, monitoring and level limit**

Quarter 4 submissions received on 25/02/26 were made outside of the reporting window.

The late Quarter 4 submission represents one non-compliance with permit condition 4.2.3.

The non-compliance with permit condition 4.2.3 has been scored one C4 non-compliance.

The submissions demonstrate compliance with permit condition 3.6.1(a).

The submission demonstrates that the leachate level was above the level once in December but was otherwise within the level limit. This represents one non-compliance with permit condition 3.1.2.

The non-compliance with permit condition 3.1.2 has been scored one C3 non-compliance.

Action – Leachate level reporting : Operator must report all leachate levels as required by permit conditions 4.2.3.

Compared to previous years, the operator has maintained far greater compliance with permitted leachate levels.

Action – Leachate Level Control: The operator shall ensure that all leachate levels are maintained within the limits specified in the site's environmental permit.

Leachate monitoring location C2B is noted as not accessible. Compliance with condition 3.6.5 [monitoring infrastructure accessibility] was assessed and a non-compliance scored separately and an action required in CAR\_NRW0050482.

#### **Groundwater parameters as required by condition 3.6.1**

##### **Quarter 1 reporting, monitoring and limits**

Quarter 1 submissions received on 04/06/25 were made outside of the reporting window.

The late Quarter 1 submission represents one non-compliance with permit condition 4.2.3.

The non-compliance with permit condition 4.2.3 has been scored one C4 non-compliance.

The submissions demonstrate compliance with permit condition 3.6.1(c).

The submissions demonstrate compliance with permit condition 3.1.2.

### **Quarter 2 reporting, monitoring and limits**

Quarter 2 submissions received on 05/08/25 were made outside of the reporting window.

The late Quarter 2 submission represents one non-compliance with permit condition 4.2.3.

The non-compliance with permit condition 4.2.3 has been scored one C4 non-compliance.

The submissions demonstrate compliance with permit condition 3.6.1(c).

The submissions demonstrate compliance with permit condition 3.1.2.

A concentration of 734µg/l Extractable Petroleum Hydrocarbons (EPH) was detected in GW06\_36. This is not an exceedance of any permitted trigger level and therefore no non-compliance has been scored.

Action – Groundwater parameters GW06\_36: Operator to review the suspected cause of the EPH exceedance and report back their findings to NRW.\_

### **Quarter 3 reporting, monitoring and limits**

Quarter 3 submissions received on 24/11/25 were made outside of the reporting window.

The late Quarter 3 submission represents one non-compliance with permit condition 4.2.3.

The non-compliance with permit condition 4.2.3 has been scored one C4 non-compliance.

The submissions demonstrate compliance with permit condition 3.6.1(c).

The submissions demonstrate compliance with permit condition 3.1.2.

### **Quarter 4 reporting, monitoring and limits**

Quarter 4 submissions received on 25/02/26 were made outside of the reporting window.

The late Quarter 4 submission represents one non-compliance with permit condition 4.2.3.

The non-compliance with permit condition 4.2.3 has been scored one C4 non-compliance.

The submissions demonstrate compliance with permit condition 3.6.1(c).

The submissions demonstrate compliance with permit condition 3.1.2, except for potassium where levels above the trigger limit were detected in GW12\_38.

The exceedance of the trigger limit for potassium in GW12\_38 represents one non-compliance with permit condition 3.1.2.

The non-compliance with permit condition 3.1.2 has been scored one C3 non-compliance.

Action – Groundwater parameters GW12\_38: Operator to review the suspected cause of

the potassium exceedance and report back their findings to NRW.\_

Action – Groundwater parameters reporting : Operator must report all groundwater parameters as required by permit conditions 4.2.3.

### **Landfill gas surface emission parameters as required by condition 3.6.1**

Landfill gas surface emission parameters as required by condition 3.6.1 were submitted on 23/05/25.

The submission represents compliance with permit condition 4.2.3 and 3.6.1(d) on the basis that the monitoring method used in this survey is understood, in this instance, to comply with LFTGN 07 issued September 2004 'Guidance on monitoring landfill gas surface emissions'.

An assessment of compliance with the limits in Table S3.5 of Schedule 3 and permit condition 3.1.2 has not been made.

### **Landfill gas lateral migration parameters as required by condition 3.6.1**

#### **Quarter 1 reporting, monitoring and limits**

Quarter 1 submissions received on 04/06/25 were made outside of the reporting window.

The late Quarter 1 submission represents one non-compliance with permit condition 4.2.3.

The non-compliance with permit condition 4.2.3 has been scored one C4 non-compliance.

The submissions demonstrate compliance with permit condition 3.6.1(d).

The submissions demonstrate compliance with permit condition 3.1.2.

#### **Quarter 2 reporting, monitoring and limits**

Quarter 2 submissions received on 05/08/25 were made outside of the reporting window.

The late Quarter 2 submission represents one non-compliance with permit condition 4.2.3.

The non-compliance with permit condition 4.2.3 has been scored one C4 non-compliance.

The submissions demonstrate compliance with permit condition 3.6.1(d).

The submissions demonstrate compliance with permit condition 3.1.2, except for methane at GP05\_17 in June.

The exceedance of the limit for methane in GP05\_17 represents one non-compliance with permit condition 3.1.2.

The non-compliances with permit condition 3.1.2 has been scored one C3 non-compliance.

Action – Landfill gas methane at GP05\_17: Operator to review the suspected cause of the methane exceedances and report back their findings to NRW.

**Quarter 3 reporting, monitoring and limits**

Quarter 3 submissions received on 24/11/25 were made outside of the reporting window.

The late Quarter 3 submission represents one non-compliance with permit condition 4.2.3.

The non-compliance with permit condition 4.2.3 has been scored one C4 non-compliance.

The submissions demonstrate compliance with permit condition 3.6.1(d).

The submissions demonstrate compliance with permit condition 3.1.2.

**Quarter 4 reporting, monitoring and limits**

Quarter 4 submissions received on 25/02/26 were made outside of the reporting window.

The late Quarter 4 submission represents one non-compliance with permit condition 4.2.3.

The non-compliance with permit condition 4.2.3 has been scored one C4 non-compliance.

The submissions demonstrate compliance with permit condition 3.6.1(d).

The submissions demonstrate compliance with permit condition 3.1.2, except for methane at GP05\_17 in September and December.

The exceedance of the limit for methane in GP05\_17 represents two non-compliances with permit condition 3.1.2.

Each of the two non-compliances with permit condition 3.1.2 have been scored one C3 non-compliance.

In line with NRW's non-compliance consolidation principle we can group together multiple non-compliances into one single score. The non-compliance with permit condition 3.1.2 has therefore been scored one C3 non-compliance.

Action – Landfill gas methane at GP05\_17: Operator to review the suspected cause of the methane exceedances and report back their findings to NRW.

Landfill gas monitoring location GP06\_25 is noted as not accessible. Compliance with condition 3.6.5 [monitoring infrastructure accessibility] was assessed and a non-compliance scored separately and an action required in CAR\_NRW0050482.

Action – Landfill gas lateral gas monitoring parameters reporting: Operator must report all landfill gas lateral gas monitoring parameters as required by permit conditions 4.2.3.

**Other leachate monitoring parameters as required by condition 3.6.1****Quarter 1 reporting and monitoring**

Quarter 1 submissions received on 04/06/25 were made outside of the reporting window.

The late Quarter 1 submission represents one non-compliance with permit condition 4.2.3.

The non-compliance with permit condition 4.2.3 has been scored one C4 non-compliance.

The submissions demonstrate compliance with permit condition 3.6.1(a).

#### **Quarter 2 reporting and monitoring**

Quarter 2 submissions received on 05/08/25 were made outside of the reporting window.

The late Quarter 2 submission represents one non-compliance with permit condition 4.2.3.

The non-compliance with permit condition 4.2.3 has been scored one C4 non-compliance.

The submissions demonstrate compliance with permit condition 3.6.1(a).

#### **Quarter 3 reporting and monitoring**

Quarter 3 submissions received on 24/11/25 were made outside of the reporting window.

The late Quarter 3 submission represents one non-compliance with permit condition 4.2.3.

The non-compliance with permit condition 4.2.3 has been scored one C4 non-compliance.

The submissions demonstrate compliance with permit condition 3.6.1(a).

#### **Quarter 4 reporting and monitoring**

Quarter 4 submissions received on 25/02/26 were made outside of the reporting window.

The late Quarter 3 submission represents one non-compliance with permit condition 4.2.3.

The non-compliance with permit condition 4.2.3 has been scored one C4 non-compliance.

The submissions demonstrate compliance with permit condition 3.6.1(a).

Action – Other leachate monitoring parameters reporting: Operator must report all other leachate monitoring parameters as required by permit conditions 4.2.3.

#### **Other groundwater monitoring parameters as required by condition 3.6.1**

##### **Quarter 1 reporting and monitoring**

Quarter 1 submissions received on 04/06/25 were made outside of the reporting window.

The late Quarter 1 submission represents one non-compliance with permit condition 4.2.3.

The non-compliance with permit condition 4.2.3 has been scored one C4 non-compliance.

The submissions demonstrate compliance with permit condition 3.6.1(c).

##### **Quarter 2 reporting and monitoring**

Quarter 2 submissions received on 05/08/25 were made outside of the reporting window.

The late Quarter 2 submission represents one non-compliance with permit condition 4.2.3.

The non-compliance with permit condition 4.2.3 has been scored one C4 non-compliance.

The submissions demonstrate compliance with permit condition 3.6.1(c).

### **Quarter 3 reporting and monitoring**

Quarter 3 submissions received on 24/11/25 were made outside of the reporting window.

The late Quarter 3 submission represents one non-compliance with permit condition 4.2.3.

The non-compliance with permit condition 4.2.3 has been scored one C4 non-compliance.

The submissions demonstrate compliance with permit condition 3.6.1(c).

### **Quarter 4 reporting and monitoring**

Quarter 4 submissions received on 25/02/26 were made outside of the reporting window.

The late Quarter 3 submission represents one non-compliance with permit condition 4.2.3.

The non-compliance with permit condition 4.2.3 has been scored one C4 non-compliance.

The submissions demonstrate compliance with permit condition 3.6.1(c).

Action – Other groundwater monitoring parameters reporting: Operator must report all other groundwater monitoring parameters as required by permit conditions 4.2.3.

### **Emissions to water as required by condition 3.6.1**

Submissions were made on a monthly basis throughout 2025. Submissions were therefore made inside the reporting window.

The submissions represent compliance with permit condition 4.2.3.

The submissions demonstrate compliance with permit condition 3.6.1(b).

The submissions demonstrate compliance with permit condition 3.1.2.

### **Particulate matter parameters as required by condition 3.6.1**

Particulate matter parameters as required by condition 3.6.1 were submitted on 17/07/25 and 24/12/25.

The submissions represent compliance with permit condition 4.2.3.

The submission represent compliance with permit condition 3.6.1(e).

Submissions for condition 3.6.1(e) demonstrate compliance with the limits in Table S3.8 of Schedule 3 and therefore compliance with condition 3.1.2.

### **Separation bund topography and separation bund inclination as required by**

**condition 3.6.1**

No separation bund topography and separation bund inclination monitoring data has been submitted for 2025 at either of the required 6 monthly intervals.

The lack of submission represent two non-compliances with permit condition 4.2.3.

Each of the two non-compliances with permit condition 4.2.3 have been scored one C3 non-compliance.

Compliance with condition 3.6.1(f) [monitoring] was assessed and a non-compliance scored separately and an action required in CAR\_NRW0050482

**Process monitoring requirements as required by condition 3.6.1**

Process monitoring requirements as required by condition 3.6.1 were submitted on at regular intervals throughout the year.

The submissions demonstrate non-compliance with permit condition 4.2.3 in that process monitoring requirements as required by condition 3.6.1 have not been reported for all 4 Vibrating Wire Piezometers – due to PZ-401 providing no readings.

The submissions demonstrate non-compliance with permit condition 3.6.1(g) in that process monitoring requirements as required by condition 3.6.1(g) have not been completed for all 4 Vibrating Wire Piezometers – due to PZ-401 providing no readings.

The non-compliance with permit condition 3.6.1(g) has been scored one C3 non-compliance.

Action - Vibrating Wire Piezometers: Operator must provide a plan detailing how you intend to reinstate, replace, or otherwise manage the malfunctioning vibrating wire piezometer including the measures you will implement to ensure continued compliance with monitoring requirements going forward

A piezometer review report for 2024 was submitted on 09/05/25. This CAR does not include an assessment of this report.

**Report on the performance of the activities over the previous year**

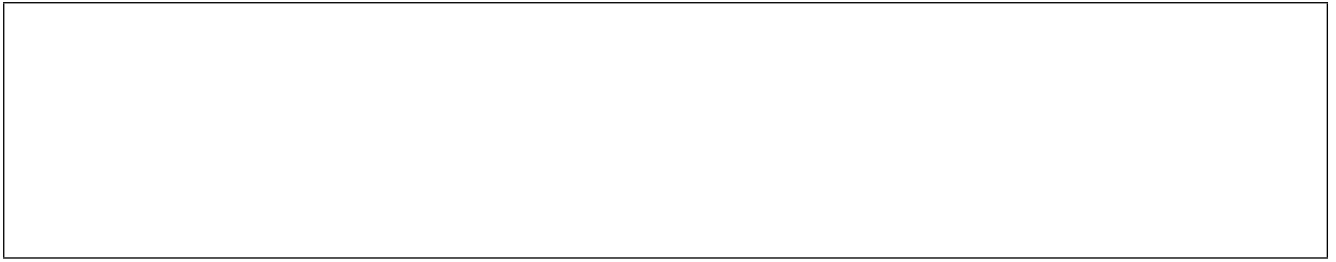
Submission received on 25/02/26 were made outside the reporting window.

The late submission represents one non-compliance with permit condition 4.2.2.

The non-compliance with permit condition 4.2.2 has been scored one C4 non-compliance.

Otherwise, and notwithstanding the assessment of the deformation behaviour of the separation bund based on the results of topographic surveys and inclinometer readings – due to the completion of the asbestos cell - the submissions demonstrate compliance with permit condition 4.2.2.

END



If you have any queries about this report, or to discuss completion of any actions, please contact the NRW Officer named above.

## Important information

### Legal status of this report

Your permit is issued to you under the Environmental Permitting Regulations. You have a responsibility to comply with the conditions of your permit and prevent pollution/harm of the environment. You must also ensure that you comply with any other relevant legislation that may apply to your site's operations.

This report explains the findings of our assessment and any action you are required to take. We categorise non-compliance using our guidance for assessing non-compliance at regulated sites.

When we find potential non-compliance/s we will normally give you advice on how to maintain compliance.

To correct non-compliance, we may:

- require you to take specific actions
- issue a notice
- review the conditions of your permit.

Any advice and guidance we give will be without prejudice to any other enforcement response that we consider may be required.

### Assessment results and non-compliance categories (used in section 1):

Assessment result	Description
Assessed (A)	Assessed or assessed in part, no evidence of non-compliance found
Action only (X)	Action required for the permit condition assessed to avoid non-compliance. No non-compliance scored at this time
Ongoing (O)	Ongoing non-compliance, not scored

Non-compliance category	Description	Score
C1 Major	Potential to have a major, serious, persistent and/or extensive impact or effect on the environment, people and/or property	60
C2 Significant	Potential to have a significant impact or effect on the environment, people and/or property	31
C3 Minor	Potential to have a minor or minimal impact or effect on the environment, people and/or property	4
C4 No environmental impact	Non-compliance at a regulated site that cannot foreseeably have any impact on the environment, people and/or property	0.1

**How we use assessment scores**

The number and severity of non-compliances recorded in a year will affect your annual subsistence fee the following year. A non-compliance factor is added to your site's Operator Performance Risk Appraisal (OPRA) score when we calculate your fee to reflect the additional resource we use to assess permit compliance.

**If your assessment result in Section 1 is suspended, what does this mean?**

In line with our guidance, we may suspend scores for up to six months to allow time for remedial action to be taken. Suspended scores will be re-instated if the action is not completed.

**Full list of Industry compliance criteria (used in section 1 and 2):****1. Management**

- IR1A – General management
- IR1B – Finance (only applicable to Landfill)
- IR1C – Energy efficiency
- IR1D - Efficient use of raw materials
- IR1E - Avoidance, recovery and disposal of wastes produced by the activities
- IR1F - Multiple operator installations

**2. Operations**

- IR2A – Permitted activities
- IR2B – The site
- IR2C – Operating techniques
- IR2D – Technical requirements
- IR2E – Improvement programme
- IR2F – Pre-operational conditions
- IR2G – Landfill engineering (only applicable to Landfill)
- IR2H – Waste acceptance (only applicable to Landfill)
- IR2I – Leachate levels (only applicable to Landfill)
- IR2J – Closure and aftercare (only applicable to Landfill)
- IR2K – Landfill gas management (only applicable to Landfill)

**3. Emission and Monitoring**

- IR3A(1) – Emissions to water
- IR3A(2) – Emissions to air
- IR3A(3) – Emissions to land
- IR3B – Emissions of substances not controlled by emission limits
- IR3C – Odour
- IR3D – Noise and vibration
- IR3E – Monitoring
- IR3F – Pests
- IR3G – Air quality management plans
- IR3H – Monitoring for the purposes of the Industrial Emissions Directive (this heading includes Large Combustion Plants)
- IR3I – Fire

**4. Information**

- IR4A – Records
- IR4B – Reporting
- IR4C – Notification

### Enforcement response

Any non-compliance with a permit condition is an offence and we may take legal action against you. Action we take can include prosecution, serving a notice on you and/or suspension or revocation of your permit. See our Enforcement and Sanctions Guidance for further information.

### Data protection notice

You should make sure that anyone named in this report knows that the information it contains will be processed by Natural Resources Wales to fulfil its regulatory and monitoring functions and to maintain the relevant public register(s).

We may also use and/or disclose the report in connection with:

- offering or providing you with our literature or services relating to environmental matters
- consulting with the public, public bodies and other organisations (e.g. Health and Safety Executive, local authorities) on environmental issues
- carrying out statistical analysis, research and development on environmental issues
- providing public register information to enquirers
- investigating possible breaches of environmental law
- assessing customer service satisfaction and improving our service
- Freedom of Information Act or Environmental Information Regulations requests.

We may also pass it on to our agents or representatives to do these things on our behalf.

### Disclosure of information – this report will be available to view on-line

If you think this report contains commercially confidential information that should not be placed on our public register, you must contact your local Natural Resources Wales office within **fifteen working days** of receiving this report, using the contact details in the accompanying email or letter. You must give a full explanation of why it should not be added to our public register, including specifying which information is commercially confidential. We will assess your request and respond to you within twenty working days to let you know if we agree to your request.

### Disputing the Content of this Compliance Assessment Report Form

If you disagree with the content of this Compliance Assessment Report form, you should submit your concerns, in writing, to the regulating officer who issued it within **15 working days** of its issue. This will be treated as a **Stage 1 review**.

If you are not satisfied with the outcome of the stage 1 review, you may request a **Stage 2 appeal**. This request must be submitted **within 21 working days** of receiving the response from the stage 1 review.

Further details on our review and appeal process are available at: [Natural Resources Wales / Appeal a regulatory decision from Natural Resources Wales](#)

### Concerns Not Related to the Content of this Compliance Assessment Report Form

If your concerns do not relate to the content of the Compliance Assessment Report form, you should first attempt to resolve the issue with the regulating officer or their line manager.

If the issue remains unresolved, please contact our **Customer Contact Team**:

- **Telephone:** 0300 065 3000 (Monday to Friday, 09:00–17:00)
- **Email:** [enquiries@naturalresourceswales.gov.uk](mailto:enquiries@naturalresourceswales.gov.uk)

They will provide details on how to escalate your concerns through our **Complaints and Commendations procedure**.

If you are dissatisfied with our response, you may contact the **Public Services Ombudsman for Wales**:

- **Telephone:** 0300 790 0203
- **Email:** [ask@ombudsman.wales](mailto:ask@ombudsman.wales)

### **Welsh Language Standards**

We are committed to establishing Natural Resources Wales as a naturally bilingual organisation. We will provide compliance reports in your preferred language.