

## Compliance Assessment Report CAR\_NRW0050937

**Permit being assessed:** CG0393801

**For:** PENMAENMAWR WWTW PENMAENMAWR, **held by:** DWR CYMRU CYFYNGEDIG

**At:** PENMAENMAWR WWTW, PENMAENMAWR, GWYNEDD, .

**Type of assessment carried out:** Report/Data Review,

**Reason:** Other.

**On** 04/03/2026.

**Parts of permit assessed:** 3

**NRW Lead Officer:** Nathan Owen.

**Report sent to:** CARS mailbox , CARS mailbox , on 04/03/2026.

### 1. Summary of our findings (full details in section 4)

Part of permitted activity assessed (criteria)	Assessment result	Non-compliant permit condition
WQ-C1 - Water Quality - Emissions and monitoring - Emissions to water	C3 Minor	3.1.5(d)
WQ-B1 - Water Quality - Operations - Permitted activities	Action only (X)	

Result types are explained in more detail in the 'Important Information' section below.

### 2. What action is required?

Criteria	Action needed	Complete by
WQ-C1	DCWW are required to comply with actions in CAR_NRW0049720 namely submit a full investigation proposal by the 21s January 2026	30/04/2026
WQ-B1	DCWW are required to provided dates for the following actions <ul style="list-style-type: none"> <li>• New flow meters on Storm Rising Main.</li> <li>• Installation of new inlet Screen</li> <li>• Modify storm tank returns</li> <li>• DWF Pump Modifications.</li> </ul>	30/04/2026

Action criteria codes are listed in the 'Important information' section below.

### 3. What will happen next?

Any non-compliance we have identified and recorded on this form is an offence. It can result in criminal prosecution and/or suspension or revocation of your permit.

**You are non-compliant with your permit.**

**We are currently considering taking enforcement action against you for the non-compliance recorded above. We will contact you in due course.**

### 4. Details of our assessment

On the 3<sup>rd</sup> of March 2026 NRW received a Stage 4 Spill reduction plan for the PENMAENMAWR WWTW CG0393801.

On receipt of the report, NRW wishes to clarify several points and make comment on the report.

To NRW's knowledge a Stage 2 full investigation proposal was not received by the 21<sup>st</sup> of January 2026 as was requested in CAR\_NRW0049720 sent on the 21/09/2025. DCWW have instead submitted a Stage 4 Spill reduction Plan with several actions with no delivery dates.

Within the Stage 4 – Spill reduction Plan, section 1.3 it states that “*Detailed root cause analysis, optioneering and solution development has been undertaken with the deliverables as stated in 4.2 Stage 2: Next Steps currently being carried out by Welsh Waters Capital Alliance team. These will be delivered by end of YR2 in AMP8.*”

Action 1, Can DCWW please explain what is being planned at the site in YR2 AMP8 for the site?

Item 4.2 states that the following will be carried out -

- New flow meters on Storm Rising Main.
- Installation of new inlet Screen
- Modify storm tank returns
- DWF Pump Modifications.

Action 2 - DCWW are required to place delivery dates with the above actions and submit these in a revised Stage 4 Spill reduction plan, NRW are keen to press that these actions should take place prior to the 2026 bathing water season in order to limit the number of spills taking place at the site.

Section 2.2 of the document shows a screen shot of a permit, it should be known that the permit presented in the report has been superseded by a new permit issued 22/07/2025.

Section 3.1 depicts a screen grab of telemetry dated in 2023, this report is relating to spills occurring in 2025.

Action 3 - can DCWW please clarify why this date range is in the current report and if there is any up to date telemetry for the 2025 bathing water season?

If you have any queries about this report, or to discuss completion of any actions, please contact the NRW Officer named above.

## Important information

### Legal status of this report

Your permit is issued to you under the Environmental Permitting Regulations. You have a responsibility to comply with the conditions of your permit and prevent pollution/harm to the environment. You must also ensure that you comply with any other relevant legislation that may apply to your site's operations.

This report explains the findings of our assessment and any action you are required to take. We categorise non-compliance using our guidance for assessing non-compliance at regulated sites.

When we find potential non-compliance/s we will normally give you advice on how to maintain compliance.

To correct non-compliance, we may:

- require you to take specific actions
- issue a notice
- review the conditions of your permit.

Any advice and guidance we give will be without prejudice to any other enforcement response that we consider may be required.

### Assessment results and non-compliance categories (used in section 1):

Assessment result	Description
Assessed (A)	Assessed or assessed in part, no evidence of non-compliance found
Action only (X)	Action required for the permit condition assessed to avoid non-compliance. No non-compliance scored at this time
Ongoing (O)	Ongoing non-compliance, not scored

Non-compliance category	Description
C1 Major	Potential to have a major, serious, persistent and/or extensive impact or effect on the environment, people and/or property
C2 Significant	Potential to have a significant impact or effect on the environment, people and/or property
C3 Minor	Potential to have a minor or minimal impact or effect on the environment, people and/or property
C4 No environmental impact	Non-compliance at a regulated site that cannot foreseeably have any impact on the environment, people and/or property

**Full list of water quality action criteria (used in section 1 and 2):****WQ A: Management**

- WQ-A1 General management

**WQ B: Operations**

- WQ-B1 Permitted activities
- WQ-B2 The site
- WQ-B3 Operating techniques
- WQ-B4 Improvement programme
- WQ-B5 Pre-operational conditions

**WQ C: Emissions and monitoring**

- WQ-C1 Emissions to water
- WQ-C2 Emissions to land
- WQ-C3 Emissions of substances not controlled by emission limits
- WQ-C4 Monitoring
- WQ-C5 Installation of monitoring boreholes

**WQ D: Information**

- WQ-D1 Records
- WQ-D2 Reporting
- WQ-D3 Notifications

**Enforcement response**

Any non-compliance with a permit condition is an offence and we may take legal action against you. Action we take can include prosecution, serving a notice on you and/or suspension or revocation of your permit. See our Enforcement and Sanctions Guidance for further information.

**Data protection notice**

You should make sure that anyone named in this report knows that the information it contains will be processed by Natural Resources Wales to fulfil its regulatory and monitoring functions and to maintain the relevant public register(s).

We may also use and/or disclose the report in connection with:

- offering or providing you with our literature or services relating to environmental matters
- consulting with the public, public bodies and other organisations (e.g. Health and Safety Executive, local authorities) on environmental issues
- carrying out statistical analysis, research and development on environmental issues
- providing public register information to enquirers
- investigating possible breaches of environmental law
- assessing customer service satisfaction and improving our service
- Freedom of Information Act or Environmental Information Regulations requests.

We may also pass it on to our agents or representatives to do these things on our behalf.

**Disclosure of information – this report will be available to view on-line**

If you think this report contains commercially confidential information that should not be placed on our public register, you must contact your local Natural Resources Wales office within **fifteen working days** of receiving this report, using the contact details in the accompanying email or letter. You must give a full explanation of why it should not be added to our public register, including specifying which information is commercially confidential. We will assess your request and respond to you within twenty working days to let you know if we agree to your request.

**Disputing the Content of this Compliance Assessment Report Form**

If you disagree with the content of this Compliance Assessment Report form, you should submit your concerns, in writing, to the regulating officer who issued it within **15 working days** of its issue. This will be treated as a **Stage 1 review**.

If you are not satisfied with the outcome of the stage 1 review, you may request a **Stage 2 appeal**. This request must be submitted **within 21 working days** of receiving the response from the stage 1 review.

Further details on our review and appeal process are available at: [Natural Resources Wales / Appeal a regulatory decision from Natural Resources Wales](#)

**Concerns Not Related to the Content of this Compliance Assessment Report Form**

If your concerns do not relate to the content of the Compliance Assessment Report form, you should first attempt to resolve the issue with the regulating officer or their line manager.

If the issue remains unresolved, please contact our **Customer Contact Team**:

- **Telephone:** 0300 065 3000 (Monday to Friday, 09:00–17:00)
- **Email:** [enquiries@naturalresourceswales.gov.uk](mailto:enquiries@naturalresourceswales.gov.uk)

They will provide details on how to escalate your concerns through our **Complaints and Commendations procedure**.

If you are dissatisfied with our response, you may contact the **Public Services Ombudsman for Wales**:

- **Telephone:** 0300 790 0203
- **Email:** [ask@ombudsman.wales](mailto:ask@ombudsman.wales)

**Welsh Language Standards**

We are committed to establishing Natural Resources Wales as a naturally bilingual organisation. We will provide compliance reports in your preferred language.