

## Compliance Assessment Report CAR\_NRW0052409

**Permit being assessed:** PP3834CB.

**For:** Ruabon Electro Ceramics, **held by:** CeramTec UK Ltd

**At:** VAUXHALL INDUSTRIAL ESTATE , RUABON, WREXHAM, WREXHAM, CLWYD, LL14 6HY.

**Type of assessment:** Site Inspection,

**Reason:** Routine.

**On:** 15/07/2026 between 11:00 and 12:00.

**Parts of permit assessed:** See below.

**NRW Lead Officer:** Rick Prichard.

**Report sent to:** SHE Manager, SHE Manager, on 29/07/2026.

### 1. Summary of our findings (full details in section 4)

| Part of permitted activity assessed (compliance criteria)               | Assessment result | Permit condition |
|-------------------------------------------------------------------------|-------------------|------------------|
| IR2A - Installations - Operations - Permitted activities                | Assessed (A)      |                  |
| IR3A(1) - Installations - Emissions and monitoring - Emissions to water | Assessed (A)      |                  |
| IR3E - Installations - Emissions and monitoring - Monitoring            | Assessed (A)      |                  |
| IR4B - Installations - Information - Reporting                          | Assessed (A)      |                  |

Result types are explained in more detail in the 'Important Information' section below.

| Total non-compliances recorded | Total non-compliance score |
|--------------------------------|----------------------------|
| 0                              | 0                          |

How we use the non-compliance score to calculate your annual fee is explained in the 'Important Information' section below.

### 2. What action is required?

No action required.

### 3. What will happen next?

Any non-compliance we have identified and recorded on this form is an offence. It can result in criminal prosecution and/or suspension or revocation of your permit.

**At this time, we do not intend to take any further action.**

This statement does not stop us from taking additional enforcement action if further relevant information comes to light or offences continue.

### 4. Details of our assessment

This Compliance Assessment Report (CAR) follows a routine, arranged site inspection at CeramTec UK Ltd in Ruabon. The purpose of this inspection was to review and assess the effluent testing procedure highlighted in CAR\_NRW0052164 dated 22/06/26. The Safety Health and Environment Manager and two other staff accompanied the officer during the inspection.

#### **Permitted activities.**

The site itself was clean and tidy given that it is a busy operational site. The Effluent Treatment Plant (ETP) sampling site itself was locked to prevent unauthorised access.

#### **Emissions to water and monitoring.**

There is now a new sampling building/lab adjacent to the sampling point to allow for prompt sample testing on site. The inside of this building was clean with well maintained HACH water testing equipment on the bench. The walls had the water testing procedure flow charts stuck to them to allow for ease of use and a record sheet with the testing results. The operator was unsure of the DCWW procedure for correctly splitting the main sample taken for testing. It was explained that the sample should be decanted into the three separate sample bottles a bit at a time into each bottle in turn, inverting the main sample bottle between pours to ensure each bottle has a broadly similar amount of any suspended solids, and not fill one bottle up before moving to the next. This ensures an even distribution of the main sample.

DCWW take one bottle away for their testing, the operator sends one bottle off to their preferred UKAS accredited testing lab (ALS), and the final bottle is used for testing on site.

#### **Action 1.**

**Ensure staff are aware of the correct sample splitting procedure and are present when DCWW visit the site in future.**

The operator was asked about the numbers of staff carrying out the in-house testing, and the level of redundancy in the system to account for sickness, A/L and shift patterns. The operator was satisfied there were enough numbers of trained staff to satisfactorily carry this out. On this subject, the operator was asked about the level and certification of staff training for undertaking the ETP sample testing.

#### **Action 2.**

**Provide the regulator with evidence of the staff training in ETP sampling/testing.**

#### **Action 3.**

**Provide the regulator with copies of the HACH testing equipment calibration**

**certificates.**

**Reporting.**

The operator has reported issues in a timely manner. The operator is requested to supply evidence of the 3 actions mentioned above by 17/08/2026.

If you have any queries about this report, or to discuss completion of any actions, please contact the NRW Officer named above.

## Important information

### Legal status of this report

Your permit is issued to you under the Environmental Permitting Regulations. You have a responsibility to comply with the conditions of your permit and prevent pollution/harm of the environment. You must also ensure that you comply with any other relevant legislation that may apply to your site's operations.

This report explains the findings of our assessment and any action you are required to take. We categorise non-compliance using our guidance for assessing non-compliance at regulated sites.

When we find potential non-compliance/s we will normally give you advice on how to maintain compliance.

To correct non-compliance, we may:

- require you to take specific actions
- issue a notice
- review the conditions of your permit.

Any advice and guidance we give will be without prejudice to any other enforcement response that we consider may be required.

### Assessment results and non-compliance categories (used in section 1):

| Assessment result | Description                                                                                                      |
|-------------------|------------------------------------------------------------------------------------------------------------------|
| Assessed (A)      | Assessed or assessed in part, no evidence of non-compliance found                                                |
| Action only (X)   | Action required for the permit condition assessed to avoid non-compliance. No non-compliance scored at this time |
| Ongoing (O)       | Ongoing non-compliance, not scored                                                                               |

| Non-compliance category    | Description                                                                                                                 | Score |
|----------------------------|-----------------------------------------------------------------------------------------------------------------------------|-------|
| C1 Major                   | Potential to have a major, serious, persistent and/or extensive impact or effect on the environment, people and/or property | 60    |
| C2 Significant             | Potential to have a significant impact or effect on the environment, people and/or property                                 | 31    |
| C3 Minor                   | Potential to have a minor or minimal impact or effect on the environment, people and/or property                            | 4     |
| C4 No environmental impact | Non-compliance at a regulated site that cannot foreseeably have any impact on the environment, people and/or property       | 0.1   |

**How we use assessment scores**

The number and severity of non-compliances recorded in a year will affect your annual subsistence fee the following year. A non-compliance factor is added to your site's Operator Performance Risk Appraisal (OPRA) score when we calculate your fee to reflect the additional resource we use to assess permit compliance.

**If your assessment result in Section 1 is suspended, what does this mean?**

In line with our guidance, we may suspend scores for up to six months to allow time for remedial action to be taken. Suspended scores will be re-instated if the action is not completed.

**Full list of Industry compliance criteria** (used in section 1 and 2):**1. Management**

- IR1A – General management
- IR1B – Finance (only applicable to Landfill)
- IR1C – Energy efficiency
- IR1D - Efficient use of raw materials
- IR1E - Avoidance, recovery and disposal of wastes produced by the activities
- IR1F - Multiple operator installations

**2. Operations**

- IR2A – Permitted activities
- IR2B – The site
- IR2C – Operating techniques
- IR2D – Technical requirements
- IR2E – Improvement programme
- IR2F – Pre-operational conditions
- IR2G – Landfill engineering (only applicable to Landfill)
- IR2H – Waste acceptance (only applicable to Landfill)
- IR2I – Leachate levels (only applicable to Landfill)
- IR2J – Closure and aftercare (only applicable to Landfill)
- IR2K – Landfill gas management (only applicable to Landfill)

**3. Emission and Monitoring**

- IR3A(1) – Emissions to water
- IR3A(2) – Emissions to air
- IR3A(3) – Emissions to land
- IR3B – Emissions of substances not controlled by emission limits
- IR3C – Odour
- IR3D – Noise and vibration
- IR3E – Monitoring
- IR3F – Pests
- IR3G – Air quality management plans
- IR3H – Monitoring for the purposes of the Industrial Emissions Directive (this heading includes Large Combustion Plants)
- IR3I – Fire

**4. Information**

- IR4A – Records
- IR4B – Reporting
- IR4C – Notification

### Enforcement response

Any non-compliance with a permit condition is an offence and we may take legal action against you. Action we take can include prosecution, serving a notice on you and/or suspension or revocation of your permit. See our Enforcement and Sanctions Guidance for further information.

### Data protection notice

You should make sure that anyone named in this report knows that the information it contains will be processed by Natural Resources Wales to fulfil its regulatory and monitoring functions and to maintain the relevant public register(s).

We may also use and/or disclose the report in connection with:

- offering or providing you with our literature or services relating to environmental matters
- consulting with the public, public bodies and other organisations (e.g. Health and Safety Executive, local authorities) on environmental issues
- carrying out statistical analysis, research and development on environmental issues
- providing public register information to enquirers
- investigating possible breaches of environmental law
- assessing customer service satisfaction and improving our service
- Freedom of Information Act or Environmental Information Regulations requests.

We may also pass it on to our agents or representatives to do these things on our behalf.

### Disclosure of information – this report will be available to view on-line

If you think this report contains commercially confidential information that should not be placed on our public register, you must contact your local Natural Resources Wales office within **fifteen working days** of receiving this report, using the contact details in the accompanying email or letter. You must give a full explanation of why it should not be added to our public register, including specifying which information is commercially confidential. We will assess your request and respond to you within twenty working days to let you know if we agree to your request.

### Disputing the Content of this Compliance Assessment Report Form

If you disagree with the content of this Compliance Assessment Report form, you should submit your concerns, in writing, to the regulating officer who issued it within **15 working days** of its issue. This will be treated as a **Stage 1 review**.

If you are not satisfied with the outcome of the stage 1 review, you may request a **Stage 2 appeal**. This request must be submitted **within 21 working days** of receiving the response from the stage 1 review.

Further details on our review and appeal process are available at: [Natural Resources Wales / Appeal a regulatory decision from Natural Resources Wales](#)

### Concerns Not Related to the Content of this Compliance Assessment Report Form

If your concerns do not relate to the content of the Compliance Assessment Report form, you should first attempt to resolve the issue with the regulating officer or their line manager.

If the issue remains unresolved, please contact our **Customer Contact Team**:

- **Telephone:** 0300 065 3000 (Monday to Friday, 09:00–17:00)
- **Email:** [enquiries@naturalresourceswales.gov.uk](mailto:enquiries@naturalresourceswales.gov.uk)

They will provide details on how to escalate your concerns through our **Complaints and Commendations procedure**.

If you are dissatisfied with our response, you may contact the **Public Services Ombudsman for Wales**:

- **Telephone:** 0300 790 0203
- **Email:** [ask@ombudsman.wales](mailto:ask@ombudsman.wales)

### **Welsh Language Standards**

We are committed to establishing Natural Resources Wales as a naturally bilingual organisation. We will provide compliance reports in your preferred language.