

Compliance Assessment Report CAR_NRW0052541

Permit being assessed: BB3790FX.

For: ALUK (GB) LTD, **held by:** ALUK (GB) Ltd

At: Aluk GB Ltd, Newhouse Farm Industrial Estate, Mathern, Chepstow, Monmouthshire, NP16 6UD.

Type of assessment: Report/Data Review,

Reason: Routine.

On: 30/07/2026.

Parts of permit assessed: See comments section.

NRW Lead Officer: David Thomson.

Report sent to: Redacted, Health, Safety and Environmental Manager, on 30/07/2026.

1. Summary of our findings (full details in section 4)

Part of permitted activity assessed (compliance criteria)	Assessment result	Permit condition
IR4B - Installations - Information - Reporting	Assessed (A)	
IR3A(1) - Installations - Emissions and monitoring - Emissions to water	Assessed (A)	
IR4C - Installations - Information - Notification	Assessed (A)	

Result types are explained in more detail in the 'Important Information' section below.

Total non-compliances recorded	Total non-compliance score
0	0

How we use the non-compliance score to calculate your annual fee is explained in the 'Important Information' section below.

2. What action is required?

No action required.

3. What will happen next?

Any non-compliance we have identified and recorded on this form is an offence. It can result in criminal prosecution and/or suspension or revocation of your permit.

At this time, we do not intend to take any further action.

This statement does not stop us from taking additional enforcement action if further relevant information comes to light or offences continue.

4. Details of our assessment

Assessment Details

This Compliance Assessment Report (CAR) is a record of Natural Resources Wales' (NRW) assessment of ALUK (GB) Limited's (hereafter *the operator*) Q2 2026 [April to June] Water1 and Sewer1 reporting forms against the relevant permit conditions for Reporting, Emissions & Monitoring and Notifications.

Document submitted by the operator

Reference – Q2 2026 Water1 Sewer1 20200624-BB3790FX ALUK- Quarterly report

Assessment Structure

Compliance Criteria (i.e., IR4B, IR3A and IR4C)

- a. Permit Condition
- b. Compliance Assessment
- c. Consolidation of Non-Compliance Score
- d. Root Cause Analysis (when applicable)

IR4B – Information – Reporting

(a) Permit Condition

Environmental permit condition 4.2.3 states:

Within 28 days of the end of the reporting period the operator shall, unless otherwise agreed in writing by Natural Resources Wales, submit reports of the monitoring and assessment carried out in accordance with the conditions of this permit, as follows:

- (a) in respect of the parameters and emission points specified in schedule 4 table S4.1;*
- (b) for the reporting periods specified in schedule 4 table S4.1 and using the forms specified in schedule 4 table S4.4; and*
- (c) giving the information from such results and assessments as may be required by the forms specified in those tables.*

Table S4.1 of Schedule 4 to the environmental permit is partially reproduced below.

Table S4.1 Reporting of monitoring data

Parameter	Emission or monitoring point/reference	Reporting period	Period begins
Emissions to water Parameters as required by condition 3.5.1	W1, W2	Every three months	01 January 01 Apr 01 Jul 01 Oct
Emissions to sewer Parameters as required by condition 3.5.1	Monitoring point: M1	Every three months	01 January 01 Apr 01 Jul 01 Oct

Table S4.4 of Schedule 4 the environmental permit is partially reproduced below.

Table S4.4 Reporting forms		
Media/parameter	Reporting format	Date of form
Water	Form water1 or other form as agreed in writing by Natural Resources Wales	24/06/20
Sewer	Form sewer1 or other form as agreed in writing by Natural Resources Wales	24/06/20

(b) Compliance Assessment

Point Source Emissions to Water – W1, W2

1. The operator submitted monitoring results for emissions to water via email on 14 July 2026. This is within the 28 day reporting window which represents compliance with the environmental permit.
2. The operator submitted monitoring results using the Water1 form specified in Schedule 4(b) Table 4.4 which represents compliance with the environmental permit.
3. The operator submitted monitoring results for the reporting period specified in Schedule 4 Table S4.1 which represents compliance with the environmental permit.
4. The operator submitted monitoring results for all parameters and emission points specified in Schedule 4 Table S4.1 which represents compliance with the environmental permit

Compliance Classification Scheme (CCS): There are no incidents of non-compliance with permit condition 4.2.3.

Action: no action required.

Point Source Emissions to Sewer – M1

1. The operator submitted monitoring results for emissions to sewer via email on 14 July 2026. This is within the 28 day reporting window which represents compliance with the environmental permit.
2. The operator submitted monitoring results using the Sewer1 form specified in Schedule 4(b) Table 4.4 which represents compliance with the environmental permit.
3. The operator submitted monitoring results for the reporting period specified in Schedule 4(b) Table S4.1 which represents compliance with the environmental permit.
4. The operator submitted monitoring results for all parameters and emission points specified in Schedule 4 Table S4.1 which represents compliance with the environmental permit.

Compliance Classification Scheme (CCS): There are no incidents of non-compliance with permit condition 4.2.3.

Action: no action required.

(c) Consolidation of Non-Compliance Scores

Consolidation of CCS scores is not required.

(d) Root Cause Analysis

NA

IR3A – Emissions and Monitoring – Emissions to Water

(a) Permit Condition

Environmental permit condition 3.1.2 states:

The limits given in schedule 3 shall not be exceeded.

Table S3.2 of Schedule 3 to the environmental permit is partially reproduced below.

Table S3.2 Point source emissions to water (other than sewer) and land – emission limits and monitoring requirements

Emission point ref. & location	Source	Parameter	Limit (incl. unit)	Reference period	Monitoring frequency	Monitoring standard or method
W1 & W2 [point W1 and W2 on the site plan in schedule 7 emission to surface water and ground from lagoon]	Uncontaminated surface water drainage via interceptors into unlined balancing lagoon.	Oil and grease	None visible	Spot sample	Weekly	Visual check
		pH	Minimum 6, Maximum 9	Spot sample	Weekly	SCA Blue Book 14 ISBN 0117514284 or as agreed in writing with Natural Resources Wales

Table S3.3 of Schedule 3 to the environmental permit is reproduced below.

Table S3.3 Point source emissions to sewer, effluent treatment plant or other transfers off-site – emission limits and monitoring requirements

Emission point ref. & location	Source	Parameter	Limit (incl. unit)	Reference period	Monitoring frequency	Monitoring standard or method
Emission point S1 on the site plan in Schedule 7 emission into foul sewer.	Effluent treatment plant	Total Aluminium	2.0 mg/l	Weekly average	Monthly	As agreed in writing with Natural Resources Wales ¹
Monitoring point M1 on site plan in schedule 7		pH	Minimum 6, Maximum 9	Daily maximum and minimum	Continuous	SCA Blue Book 14 ISBN 0117514284 or as agreed in writing with Natural Resources Wales
Monitoring point: NGR: ST 52951 91163 Emission point: NGR: ST 53030 91144		Flow	144 m ³ /day	Instantaneous	Continuous	As agreed in writing with Natural Resources Wales ²

Note – test methods specified in Q1 2026 reporting form:

1 – Eurofins Water Hygiene Testing Ltd, ISO 17025 Accreditation number 9658

2 – Flow meter

(b) Compliance Assessment

Point Source Emissions to Water – W1, W2

The Q2 monitoring results for emissions to water demonstrate that all parameters were within the permitted emission limits which represents compliance with the environmental permit.

Compliance Classification Scheme (CCS): *There are no incidents of non-compliance with permit condition 3.1.2.*

Action: *no action required*

Point Source Emissions to Sewer – M1

The Q2 monitoring results for emissions to sewer demonstrate that all parameters were within the permitted emission limits which represents compliance with the environmental permit.

Compliance Classification Scheme (CCS): *There are no incidents of non-compliance with permit condition 3.1.2.*

Action: *no action required*

(c) Consolidation of Non-Compliance Scores

Consolidation of CCS scores is not required.

(d) Root Cause Analysis

NA

IR4C – Information – Notification**(a) Permit Condition**

Environmental permit condition 4.3.1 (b)(i) states:

*(b) in the event of a breach of any permit condition the operator must immediately
(i) inform Natural Resources Wales*

Condition 4.3.2 of the environmental permit states:

Any information provided under condition 4.3.1(a)(i) or 4.3.1(b)(i) where the information relates to the breach of a limit specified in the permit, shall be confirmed by sending the information listed in schedule 5 to this permit within the time period specified in that schedule.

(b) Compliance Assessment

The operator did not exceed any parameter limits specified in Tables S3.2 or S3.3 of Schedule 3 and was therefore not required to submit a schedule 5 notification to NRW, which represents compliance with the environmental permit.

Compliance Classification Scheme: *There are no incidents of non-compliance with permit condition 4.3.1 (b)(i) and / or 4.3.2*

Action: *no action required*

(c) Consolidation of Non-Compliance Scores

Consolidation of CCS scores is not required.

(d) Root Cause Analysis

NA

[END]

If you have any queries about this report, or to discuss completion of any actions, please contact the NRW Officer named above.

Important information

Legal status of this report

Your permit is issued to you under the Environmental Permitting Regulations. You have a responsibility to comply with the conditions of your permit and prevent pollution/harm of the environment. You must also ensure that you comply with any other relevant legislation that may apply to your site's operations.

This report explains the findings of our assessment and any action you are required to take. We categorise non-compliance using our guidance for assessing non-compliance at regulated sites.

When we find potential non-compliance/s we will normally give you advice on how to maintain compliance.

To correct non-compliance, we may:

- require you to take specific actions
- issue a notice
- review the conditions of your permit.

Any advice and guidance we give will be without prejudice to any other enforcement response that we consider may be required.

Assessment results and non-compliance categories (used in section 1):

Assessment result	Description
Assessed (A)	Assessed or assessed in part, no evidence of non-compliance found
Action only (X)	Action required for the permit condition assessed to avoid non-compliance. No non-compliance scored at this time
Ongoing (O)	Ongoing non-compliance, not scored

Non-compliance category	Description	Score
C1 Major	Potential to have a major, serious, persistent and/or extensive impact or effect on the environment, people and/or property	60
C2 Significant	Potential to have a significant impact or effect on the environment, people and/or property	31
C3 Minor	Potential to have a minor or minimal impact or effect on the environment, people and/or property	4
C4 No environmental impact	Non-compliance at a regulated site that cannot foreseeably have any impact on the environment, people and/or property	0.1

How we use assessment scores

The number and severity of non-compliances recorded in a year will affect your annual subsistence fee the following year. A non-compliance factor is added to your site's Operator Performance Risk Appraisal (OPRA) score when we calculate your fee to reflect the additional resource we use to assess permit compliance.

If your assessment result in Section 1 is suspended, what does this mean?

In line with our guidance, we may suspend scores for up to six months to allow time for remedial action to be taken. Suspended scores will be re-instated if the action is not completed.

Full list of Industry compliance criteria (used in section 1 and 2):**1. Management**

- IR1A – General management
- IR1B – Finance (only applicable to Landfill)
- IR1C – Energy efficiency
- IR1D - Efficient use of raw materials
- IR1E - Avoidance, recovery and disposal of wastes produced by the activities
- IR1F - Multiple operator installations

2. Operations

- IR2A – Permitted activities
- IR2B – The site
- IR2C – Operating techniques
- IR2D – Technical requirements
- IR2E – Improvement programme
- IR2F – Pre-operational conditions
- IR2G – Landfill engineering (only applicable to Landfill)
- IR2H – Waste acceptance (only applicable to Landfill)
- IR2I – Leachate levels (only applicable to Landfill)
- IR2J – Closure and aftercare (only applicable to Landfill)
- IR2K – Landfill gas management (only applicable to Landfill)

3. Emission and Monitoring

- IR3A(1) – Emissions to water
- IR3A(2) – Emissions to air
- IR3A(3) – Emissions to land
- IR3B – Emissions of substances not controlled by emission limits
- IR3C – Odour
- IR3D – Noise and vibration
- IR3E – Monitoring
- IR3F – Pests
- IR3G – Air quality management plans
- IR3H – Monitoring for the purposes of the Industrial Emissions Directive (this heading includes Large Combustion Plants)
- IR3I – Fire

4. Information

- IR4A – Records
- IR4B – Reporting
- IR4C – Notification

Enforcement response

Any non-compliance with a permit condition is an offence and we may take legal action against you. Action we take can include prosecution, serving a notice on you and/or suspension or revocation of your permit. See our Enforcement and Sanctions Guidance for further information.

Data protection notice

You should make sure that anyone named in this report knows that the information it contains will be processed by Natural Resources Wales to fulfil its regulatory and monitoring functions and to maintain the relevant public register(s).

We may also use and/or disclose the report in connection with:

- offering or providing you with our literature or services relating to environmental matters
- consulting with the public, public bodies and other organisations (e.g. Health and Safety Executive, local authorities) on environmental issues
- carrying out statistical analysis, research and development on environmental issues
- providing public register information to enquirers
- investigating possible breaches of environmental law
- assessing customer service satisfaction and improving our service
- Freedom of Information Act or Environmental Information Regulations requests.

We may also pass it on to our agents or representatives to do these things on our behalf.

Disclosure of information – this report will be available to view on-line

If you think this report contains commercially confidential information that should not be placed on our public register, you must contact your local Natural Resources Wales office within **fifteen working days** of receiving this report, using the contact details in the accompanying email or letter. You must give a full explanation of why it should not be added to our public register, including specifying which information is commercially confidential. We will assess your request and respond to you within twenty working days to let you know if we agree to your request.

Disputing the Content of this Compliance Assessment Report Form

If you disagree with the content of this Compliance Assessment Report form, you should submit your concerns, in writing, to the regulating officer who issued it within **15 working days** of its issue. This will be treated as a **Stage 1 review**.

If you are not satisfied with the outcome of the stage 1 review, you may request a **Stage 2 appeal**. This request must be submitted **within 21 working days** of receiving the response from the stage 1 review.

Further details on our review and appeal process are available at: [Natural Resources Wales / Appeal a regulatory decision from Natural Resources Wales](#)

Concerns Not Related to the Content of this Compliance Assessment Report Form

If your concerns do not relate to the content of the Compliance Assessment Report form, you should first attempt to resolve the issue with the regulating officer or their line manager.

If the issue remains unresolved, please contact our **Customer Contact Team**:

- **Telephone:** 0300 065 3000 (Monday to Friday, 09:00–17:00)
- **Email:** enquiries@naturalresourceswales.gov.uk

They will provide details on how to escalate your concerns through our **Complaints and Commendations procedure**.

If you are dissatisfied with our response, you may contact the **Public Services Ombudsman for Wales**:

- **Telephone:** 0300 790 0203
- **Email:** ask@ombudsman.wales

Welsh Language Standards

We are committed to establishing Natural Resources Wales as a naturally bilingual organisation. We will provide compliance reports in your preferred language.