# Compliance Assessment Report CAR_NRW0053013

**Permit being assessed:** SP3090VU.

**For:** S P Waste Services Ltd, **held by:** S P Waste Services Ltd

**At:** Newtown, Powys, SY16 3JE.

**Type of assessment:** Site Inspection,

**Reason:** Routine.

**On:** 28/07/2026 between 10:20 and 10:50.

**Parts of permit assessed:** See criteria listed below.

**NRW Lead Officer:** Luke Taylor.

**Report sent to**: Stephen Pryce, Operator, on 24/09/2026.

## 1. Summary of our findings (full details in section 4)

| Part of permitted activity assessed (compliance criteria) | Assessment result | Permit condition |
|---|---|---|
| W1A - Waste - Management - General management | C3 Minor | 1.1.4 |
| W4B - Waste - Information - Reporting | C3 Minor | 4.2.2 |
| W1A - Waste - Management - General management | Action only (X) | |

Result types are explained in more detail in the 'Important Information' section below.

| Total non-compliances recorded | Total non-compliance score |
|---|---|
| 2 | 8 |

How we use the non-compliance score to calculate your annual fee is explained in the 'Important Information' section below.

## 2. What action is required?

| Criteria | Action needed | Complete by |
|---|---|---|
| W1A | Obtain the relevant continuing competency qualification, or arrange for the services of a suitably qualified person to act as TCM | 30/11/2026 |
| W4B | Submit all outstanding waste returns, from Q2 2022 up to the current reporting period, to NRW as required by your permit | 30/11/2026 |
| W1A | Arrange for a new sign to be installed, which includes all of | 30/11/2026 |

| Criteria | Action needed | Complete by |
|---|---|---|
| | the required information | |

Compliance criteria codes are listed in the 'Important information' section below.

## 3. What will happen next?

Any non-compliance we have identified and recorded on this form is an offence. It can result in criminal prosecution and/or suspension or revocation of your permit.

**You are non-compliant with your permit.**

**We are currently considering taking enforcement action against you for the non-compliance recorded above. We will contact you in due course.**

## 4. Details of our assessment

This compliance assessment report is being produced following an inspection conducted by Luke Taylor (Senior Officer - Waste Regulation) of Natural Resources Wales.

The weather at the time of the inspection was dry and overcast.

The following non compliance was identified.

**W1A General Management - Permit Condition 1.1.4 - Category 3 Breach**

You have been scored for the above breach as your continuing competency qualification expired on 15/01/2026. Your permit states that you must comply with the requirements of an approved competency scheme. A review of the CIWM/WAMITAB records shows that you last obtained a continuing competency award on 15/01/2024, and the award last for two years.

When reviewing the CIWM/WAMITAB records it is evident that you have only been obtaining the generic knowledge assessment module. This alone does not cover the activity at site, and you are required to obtain the correct competency award. This was highlighted on the previous compliance assessment report, following the visit on 30/09/2025 (Compliance Assessment Report CAR_NRW0049562 refers).

Whilst you were exempt from obtaining a primary award when you first obtained the site permit, under the Environmental Permitting Regulations 2010, you are still required to obtain the relevant activity specific continuing competency award, alongside the generic knowledge assessment. The correct continuing competency award with CIWM for your activity is Treatment Non-Hazardous Waste (TMNH).

It is essential that the site continues to be managed by a suitably qualified person and that the Continuing Competence requirements are always maintained. The Technically Competent Manager (TCM) plays a critical role in ensuring that waste operations are undertaken in accordance with permit conditions, relevant legislation, and industry best practice. You must ensure that Continuing Competence assessments are completed within the timescales and that suitable arrangements are in place to prevent any lapse in qualification status. Failure to maintain technical competence may result in regulatory enforcement action being taken.

**ACTION - Obtain the relevant continuing competency qualification, or arrange for the services of a suitably qualified person to act as TCM. Deadline 30/11/2026**

**W4B Reporting - Permit Condition 4.2.2 - Category 3 Breach**

You have been scored for the above breach as you have failed to submit waste returns to Natural Resources Wales for all reporting periods since Q1 2022. Your permit states 'Within one month of the end of each quarter, the operator shall submit to Natural Resources Wales using the form made available for the purpose, the information specified on the form relating to the site and the waste accepted and removed from it during the previous quarter'.

This has been highlighted to you on several previously issued compliance assessment reports:

- CAR_NRW0049562 - 30/09/2025
- CAR_NRW0044571 - 04/06/2024

Include the two above CAR's, the issue of waste returns on time has also been highlighted previously on many occasions during permit compliance activity. The failure to submit the required waste returns by the deadline below will result in the issuing of a formal notice under the Environmental Permitting (England and Wales) Regulations 2016.

Failure to submit waste returns within the timescales specified by your environmental permit constitutes a breach of permit conditions and undermines the regulatory framework designed to ensure effective monitoring and control of waste activities. Timely submission of accurate waste returns enables the tracking of waste movements and the identification of potential environmental risks. Late or missing returns hinders the ability to verify that waste is being managed in accordance with permit requirements. You must implement appropriate management system practices to ensure all required returns are completed accurately and submitted by the relevant deadlines to demonstrate ongoing compliance with your environmental permit.

**ACTION - Submit all outstanding waste returns, from Q2 2022 up to the current reporting period, to NRW as required by your permit. Deadline 30/11/2026**

**W1A General Management - Permit Condition 1.1.1 - ACTION ONLY**

Your permit states under the above condition that you shall manage and operate the activities:

(a) in accordance with a written management system that identifies and minimises risks of pollution, including those arising from operations, maintenance, accidents, incidents, non conformances, closure and those drawn to the attention of the operator as a result of complaints.

The document 'How to comply with your environmental permit' states that you must display a notice at or near the site entrance telling the public about the nature of the site and who they can contact for further information or who to notify if they have a concern. It needs to be easily readable from outside the site in daylight hours and must include:

• the permit holder's name (company name at least)
• the operator's name if different (company name at least)
• an emergency contact name and the operator's telephone number
• a statement that the site is permitted by Natural Resources Wales
• the permit number
• Natural Resources Wales national numbers, 0300 0653000 and 0800 807060 (incident hotline), or any other number we subsequently notify you about in writing.

Whilst there is a sign at the entrance to the site, this only includes the name of the company.
**ACTION - Arrange for a new sign to be installed, which includes all the required information, as listed above. Deadline 30/11/2026**

If you wish to discuss any aspect of this compliance assessment report, please contact me: luke.taylor@cyfoethnaturiolcymru.gov.uk

Regards,
Luke Taylor

***In this document 'Natural Resources Wales' means the Natural Resources Body for Wales established by Article 3 of the Natural Resources Body for Wales (Establishment) order 2012***

If you have any queries about this report, or to discuss completion of any actions, please contact the NRW Officer named above.

# Important information

### Legal status of this report

Your permit is issued to you under the Environmental Permitting Regulations. You have a responsibility to comply with the conditions of your permit and prevent pollution/harm of the environment. You must also ensure that you comply with any other relevant legislation that may apply to your site's operations.

This report explains the findings of our assessment and any action you are required to take. We categorise non-compliance using our guidance for assessing non-compliance at regulated sites.

When we find potential non-compliance/s we will normally give you advice on how to maintain compliance.

To correct non-compliance, we may:

- require you to take specific actions
- issue a notice
- review the conditions of your permit.

Any advice and guidance we give will be without prejudice to any other enforcement response that we consider may be required.

**Assessment results and non-compliance categories (used in section 1):**

| Assessment result | Description |
|---|---|
| Assessed (A) | Assessed or assessed in part, no evidence of non-compliance found |
| Action only (X) | Action required for the permit condition assessed to avoid non-compliance. No non-compliance scored at this time |
| Ongoing (O) | Ongoing non-compliance, not scored |

| Non-compliance category | Description | Score |
|---|---|---|
| C1 Major | Potential to have a major, serious, persistent and/or extensive impact or effect on the environment, people and/or property | 60 |
| C2 Significant | Potential to have a significant impact or effect on the environment, people and/or property | 31 |
| C3 Minor | Potential to have a minor or minimal impact or effect on the environment, people and/or property | 4 |
| C4 No environmental impact | Non-compliance at a regulated site that cannot foreseeably have any impact on the environment, people and/or property | 0.1 |

**How we use assessment scores**
The number and severity of non-compliances recorded in a year will affect your annual subsistence fee the following year. A non-compliance factor is added to your site's Operator Performance Risk Appraisal (OPRA) score when we calculate your fee to reflect the additional resource we use to assess permit compliance.

**If your assessment result in Section 1 is suspended, what does this mean?**
In line with our guidance, we may suspend scores for up to six months to allow time for remedial action to be taken. Suspended scores will be re-instated if the action is not completed.

**Full list of Waste compliance criteria** (used in section 1 and 2):

**1. Management**
- W1A – General management
- W1B – Energy Efficiency (MCP/SG facilities only)
- W1C – Avoidance, recovery and disposal of wastes produced by the activities

**2. Operations**
- W2A – Permitted activities
- W2B – Waste recovery plan
- W2C – Operating techniques
- W2D – The site
- W2E – Waste acceptance
- W2F – Technical requirements
- W2G – Improvement programme
- W2H – Pre-operational conditions

**3. Emission and Monitoring**
- W3A(1) – Emissions to water
- W3A(2) – Emissions to air
- W3A(3) – Emissions to land
- W3B – Emissions of substances not controlled by emission limits
- W3C – Odour
- W3D – Noise and vibration
- W3E – Monitoring
- W3F – Pests
- W3G – Fire

**4. Information**
- W4A – Records
- W4B – Reporting
- W4C – Notification

**Enforcement response**
Any non-compliance with a permit condition is an offence and we may take legal action against you. Action we take can include prosecution, serving a notice on you and/or suspension or revocation of your permit. See our Enforcement and Sanctions Guidance for further information.

**Data protection notice**
You should make sure that anyone named in this report knows that the information it contains will be processed by Natural Resources Wales to fulfil its regulatory and monitoring functions and to maintain the relevant public register(s).

We may also use and/or disclose the report in connection with:

- offering or providing you with our literature or services relating to environmental matters
- consulting with the public, public bodies and other organisations (e.g. Health and Safety Executive, local authorities) on environmental issues
- carrying out statistical analysis, research and development on environmental issues
- providing public register information to enquirers
- investigating possible breaches of environmental law
- assessing customer service satisfaction and improving our service
- Freedom of Information Act or Environmental Information Regulations requests.

We may also pass it on to our agents or representatives to do these things on our behalf.

**Disclosure of information – this report will be available to view on-line**
If you think this report contains commercially confidential information that should not be placed on our public register, you must contact your local Natural Resources Wales office within **fifteen working days** of receiving this report, using the contact details in the accompanying email or letter. You must give a full explanation of why it should not be added to our public register, including specifying which information is commercially confidential. We will assess your request and respond to you within twenty working days to let you know if we agree to your request.

**Disputing the Content of this Compliance Assessment Report Form**
If you disagree with the content of this Compliance Assessment Report form, you should submit your concerns, in writing, to the regulating officer who issued it within **15 working days** of its issue. This will be treated as a **Stage 1 review**.

If you are not satisfied with the outcome of the stage 1 review, you may request a **Stage 2 appeal**. This request must be submitted **within 21 working days** of receiving the response from the stage 1 review.

Further details on our review and appeal process are available at: Natural Resources Wales / Appeal a regulatory decision from Natural Resources Wales

**Concerns Not Related to the Content of this Compliance Assessment Report Form**
If your concerns do not relate to the content of the Compliance Assessment Report form, you should first attempt to resolve the issue with the regulating officer or their line manager.

If the issue remains unresolved, please contact our **Customer Contact Team**:

- **Telephone**: 0300 065 3000 (Monday to Friday, 09:00–17:00)
- **Email**: enquiries@naturalresourceswales.gov.uk

They will provide details on how to escalate your concerns through our **Complaints and Commendations procedure**.

If you are dissatisfied with our response, you may contact the **Public Services Ombudsman for Wales**:

- **Telephone**: 0300 790 0203
- **Email**: ask@ombudsman.wales

**Welsh Language Standards**

We are committed to establishing Natural Resources Wales as a naturally bilingual organisation. We will provide compliance reports in your preferred language.