Compliance Assessment Report CAR_NRW0053023

Permit being assessed: AN0249201

For: RHIWSAESON STW RHIWSAESON LLANTRI, **held by:** DWR CYMRU CYFYNGEDIG

At: Bryn Creigiog, Rhiwsaeson, Pontyclun, Rhondda Cynon Taff, CF72 8NX.

Type of assessment carried out: Check Monitoring/Sampling,

Reason: Routine.

On 14/09/2026, between 10:00 and 11:30.

Parts of permit assessed: N/A Regulatory Sampler Audit only

NRW Lead Officer: Paul Latham, accompanied by: Callum Murray.

Report sent to: Sampling Services Manager, CARS@dwrcymru.com, on 29/09/2026.

1. Summary of our findings (full details in section 4)

Part of permitted activity assessed (criteria)	Assessment result	Non-compliant permit condition
WQ-A1 - Water Quality - Management - General management	Assessed (A)	

Result types are explained in more detail in the 'Important Information' section below.

2. What action is required?

No action required.

3. What will happen next?

Any non-compliance we have identified and recorded on this form is an offence. It can result in criminal prosecution and/or suspension or revocation of your permit.

At this time, we do not intend to take any further action.

This statement does not stop us from taking additional enforcement action if further relevant information comes to light or offences continue.

4. Details of our assessment

Site Report

On 14th and 15th September 2026 NRW Officers carried out a Regulatory inspection of a

DCWW Regulatory Sampling Technician for OSM and Urban Wastewater Directive sampling at Rhiwsaeson WWTW. This assessment is based solely on what was witnessed on the day.

The observations from this audit will be recorded as part of the operator monitoring assessment (OMA) audit for the year 2026. The purpose of this audit is to observe that sampling methods follow company procedures and to ensure that representative samples of the treated effluent are obtained.

2 Officers from NRW were present during the inspection along with the DCWW Regulatory Sampling Technician and a member of the office based sampler support team. The weather at the time of our visits was mostly dry and mild with intermittent light showers.

OSM collection. 14th September 2026:

The Regulatory Sampling Technician appeared competent at collecting an OSM sample and completing in-situ effluent analysis, following all procedures to complete the task and secure the sample in the fridge.

UWWD set up. 14th September 2026:

Both FE and Inlet Autosamplers, along with associated hoses appeared in good condition and the sampler followed procedure to set the sampling program to run for 24 hours. The sampler understood the reasons for following the set up procedure, and understood the process for escalating any sampling issues or anomalies. Ice packs were also used in the FE autosampler fridge. Padlocks were used to lock both autosampler compartments.

UWWD sample collection. 15th September 2026:

Final Effluent (FE). The autosampler had completed the required amount of shots and the sample was agitated within the composite container prior to any use.

The sample was poured from the composite container into a stainless-steel jug. This was taken back to the van where the sample bottles were filled and in-situ tests completed. This does not follow the procedure described in LSP042.

The max/min thermometer read as follows, Min – 1.8c. Max – 5.3c. The maximum temperatures were out of permitted range but not escalated as per procedures LSC027 & LSP024.

Inlet. The autosampler had completed the required amount of shorts and the sample was agitated within the sample composite container prior to any use and poured directly into the sample bottles.

The max/min thermometer read as follow, Min - 1.3c. Max - 6.6c. The maximum temperatures were out of permitted range and not escalated as per procedures LSC027 & LSP024.

Please find attached our report of the inspection. Identified issues or points of clarification will be raised at the audit meeting in October.

If you have any queries about this report, or to discuss completion of any actions, please contact the NRW Officer named above.

Important information

Legal status of this report

Your permit is issued to you under the Environmental Permitting Regulations. You have a responsibility to comply with the conditions of your permit and prevent pollution/harm to the environment. You must also ensure that you comply with any other relevant legislation that may apply to your site's operations.

This report explains the findings of our assessment and any action you are required to take. We categorise non-compliance using our guidance for assessing non-compliance at regulated sites.

When we find potential non-compliance/s we will normally give you advice on how to maintain compliance.

To correct non-compliance, we may:

- require you to take specific actions
- issue a notice
- review the conditions of your permit.

Any advice and guidance we give will be without prejudice to any other enforcement response that we consider may be required.

Assessment results and non-compliance categories (used in section 1):

Assessment result	Description
Assessed (A)	Assessed or assessed in part, no evidence of non-compliance found
Action only (X)	Action required for the permit condition assessed to avoid non-compliance. No non-compliance scored at this time
Ongoing (O)	Ongoing non-compliance, not scored

Non-compliance category	Description
C1 Major	Potential to have a major, serious, persistent and/or extensive impact or effect on the environment, people and/or property
C2 Significant	Potential to have a significant impact or effect on the environment, people and/or property
C3 Minor	Potential to have a minor or minimal impact or effect on the environment, people and/or property
C4 No environmental impact	Non-compliance at a regulated site that cannot foreseeably have any impact on the environment, people and/or property

Full list of water quality action criteria (used in section 1 and 2):

WQ A: Management

- WQ-A1 General management

WQ B: Operations

- WQ-B1 Permitted activities
- WQ-B2 The site
- WQ-B3 Operating techniques
- WQ-B4 Improvement programme
- WQ-B5 Pre-operational conditions

WQ C: Emissions and monitoring

- WQ-C1 Emissions to water
- WQ-C2 Emissions to land
- WQ-C3 Emissions of substances not controlled by emission limits
- WQ-C4 Monitoring
- WQ-C5 Installation of monitoring boreholes

WQ D: Information

- WQ-D1 Records
- WQ-D2 Reporting
- WQ-D3 Notifications

Enforcement response

Any non-compliance with a permit condition is an offence and we may take legal action against you. Action we take can include prosecution, serving a notice on you and/or suspension or revocation of your permit. See our Enforcement and Sanctions Guidance for further information.

Data protection notice

You should make sure that anyone named in this report knows that the information it contains will be processed by Natural Resources Wales to fulfil its regulatory and monitoring functions and to maintain the relevant public register(s).

We may also use and/or disclose the report in connection with:

- offering or providing you with our literature or services relating to environmental matters
- consulting with the public, public bodies and other organisations (e.g. Health and Safety Executive, local authorities) on environmental issues
- carrying out statistical analysis, research and development on environmental issues
- providing public register information to enquirers
- investigating possible breaches of environmental law
- assessing customer service satisfaction and improving our service
- Freedom of Information Act or Environmental Information Regulations requests.

We may also pass it on to our agents or representatives to do these things on our behalf.

Disclosure of information – this report will be available to view on-line
If you think this report contains commercially confidential information that should not be placed on our public register, you must contact your local Natural Resources Wales office within **fifteen working days** of receiving this report, using the contact details in the accompanying email or letter. You must give a full explanation of why it should not be added to our public register, including specifying which information is commercially confidential. We will assess your request and respond to you within twenty working days to let you know if we agree to your request.

Disputing the Content of this Compliance Assessment Report Form
If you disagree with the content of this Compliance Assessment Report form, you should submit your concerns, in writing, to the regulating officer who issued it within **15 working days** of its issue. This will be treated as a **Stage 1 review**.

If you are not satisfied with the outcome of the stage 1 review, you may request a **Stage 2 appeal**. This request must be submitted **within 21 working days** of receiving the response from the stage 1 review.

Further details on our review and appeal process are available at: Natural Resources Wales / Appeal a regulatory decision from Natural Resources Wales

Concerns Not Related to the Content of this Compliance Assessment Report Form
If your concerns do not relate to the content of the Compliance Assessment Report form, you should first attempt to resolve the issue with the regulating officer or their line manager.

If the issue remains unresolved, please contact our **Customer Contact Team**:

- **Telephone**: 0300 065 3000 (Monday to Friday, 09:00–17:00)
- **Email**: enquiries@naturalresourceswales.gov.uk

They will provide details on how to escalate your concerns through our **Complaints and Commendations procedure**.

If you are dissatisfied with our response, you may contact the **Public Services Ombudsman for Wales**:

- **Telephone**: 0300 790 0203
- **Email**: ask@ombudsman.wales

Welsh Language Standards
We are committed to establishing Natural Resources Wales as a naturally bilingual organisation. We will provide compliance reports in your preferred language.