

## Compliance Assessment Report

**Report ID:**  
**CAR\_NRW0036043**

**This form will report compliance with your permit as determined by an NRW officer**

|                              |                           |             |            |     |       |  |
|------------------------------|---------------------------|-------------|------------|-----|-------|--|
| Site                         | Dyfed Recycling Services  | Permit Ref  | DP3098FN   |     |       |  |
| Operator/Permit holder       | Dafen Service Station Ltd |             |            |     |       |  |
| Regime                       | Waste Operations          |             |            |     |       |  |
| Date of assessment           | 06/11/2019                | Time in     | 11:35      | Out | 12:00 |  |
| Assessment type              | Audit                     |             |            |     |       |  |
| Parts of the permit assessed | Permitted activities      |             |            |     |       |  |
| Lead officer's name          | Wakeford, Sally           |             |            |     |       |  |
| Accompanied by               | Ellar, David              |             |            |     |       |  |
| Recipient's name/position    | Steve Brown/ TCM          | Date issued | 09/12/2019 |     |       |  |

### Section 1 – Compliance Assessment Summary

This is based on the requirements of the permit under the Environmental Permitting Regulations or the licence under the Water Resources Act 1991 as amended by the Water Act 2003. A detailed explanation is captured in "Compliance Assessment Report Detail" (Section 2) and any actions you may need to take are given in the "Action(s)" (section 4). This summary details where we believe any non-compliance with the permit has occurred, the relevant condition and how the non-compliance has been categorised using our Compliance Classification Scheme (CCS). CCS Scores can be consolidated or suspended where appropriate, to reflect the impact of some non-compliances more accurately. For more details of our CCS scheme, contact your local office.

| Permit conditions and compliance summary                              | CCS Category | Condition(s) breached |
|-----------------------------------------------------------------------|--------------|-----------------------|
| A1 - Specified by permit                                              | A            |                       |
| B3 - Infrastructure - Site drainage engineering (clean and foul)      | C3           | 2.1.2                 |
| B4 - Infrastructure - Containment of stored materials                 | A            |                       |
| C2 - General Management - Management system and operating procedures  | C3           | 1.1.1                 |
| C3 - General Management - Materials acceptance                        | A            |                       |
| C4 - General Management - Storage, handling labelling and Segregation | A            |                       |
| F3 - Amenity - Dust/fibres/particulates and litter                    | A            |                       |

**KEY:** See Section 5 for breach categories, suspended scores will be indicated as such.  
**A** = Assessed or assessed in part (no evidence of non-compliance), **X** = Action only,  
**O** = Ongoing non-compliance, not scored.

|                                    |          |                                                                     |          |
|------------------------------------|----------|---------------------------------------------------------------------|----------|
| <b>Number of breaches recorded</b> | <b>2</b> | <b>Total compliance score</b><br>(see section 5 for scoring scheme) | <b>8</b> |
|------------------------------------|----------|---------------------------------------------------------------------|----------|

**If the Number of breaches recorded is greater than zero, please see Section 3 for our proposed enforcement response**

## Section 2 – Compliance Assessment Report Detail

This section contains a report of our findings and will usually include information on:

- The part(s) of the permit that were assessed (eg. Maintenance, training, combustion plant, etc)
- Where the type of assessment was 'Data Review' details of the report/results triggering the assessment
- Any non-compliances identified
- Any non-compliances with directly applicable legislation
- Details of any multiple non-compliances
- Information on the compliance score accrued inc.
- Details of advice given
- Any other areas of concern
- Any actions requested
- Any examples of good practice
- A reference to photos taken

The site was inspected on 06 November 2019 by Sally Wakeford and David Ellar.

We were met on site by Steve Brown and Simon Morris. Simon was due to leave the site on 19 November, and Steve would be taking over as TCM. Steve already has his WAMITAB certificate. ACTION: Please provide a copy of the current TCM Wamitab certificate.

### **B3 – Infrastructure – Site Drainage engineering (clean and foul) – Permit Condition 2.1.2 – CAT 3.**

You have been given a category 3 breach of the above permit condition because it was noted that a large puddle has formed at the front of the site, near the weighbridge, and a large amount of waste wood was stored in this area. This is understood to be on the impermeable pavement, which should have a sealed drainage system. At the time of the inspection water could be seen flowing off the impermeable pavement and towards to the open brook.

#### *2.1.2 Table 2.1 states:*

*Areas of impermeable pavement, bunding and sills shall be constructed and maintained so as to prevent fluids running off the pavement and the transmission of fluids through the pavement or joints.*

**ACTION: Ensure that no water leaves the impermeable pavement unless via the sealed drainage system.**

There was some confusion as to where the end of the sealed pad is, as the pad had been extended. This pavement should have a drainage system connected and measures to ensure no liquid escapes other than via the system.

**ACTION: provide information regarding the drainage of the impermeable pavement and details of the drainage system servicing it.**



## **C2 – General Management – Management system and operating procedures – Permit Condition 1.1.1 – CAT 3 Breach.**

You have been given a category 3 breach of the above permit condition because during the inspection it was noted that the management of the site including the drainage of the impermeable pavement and the housekeeping of the downpipes from the building, and the tracking of plasterboard outside from the building required some attention.

*1.1.1 No waste management operations shall be authorised by this licence unless specified in and undertaken in accordance with the limitations in ... the working plan.*

**ACTION: Ensure operations and maintenance are completed in accordance with your permit and environment management system.**







### **Other Inspection Notes:**

The mixed waste and plasterboard in the building were not in clearly defined piles and were mingling where the two piles met. Better segregation of these waste piles is needed.

The glass, wood and plastic were all stored in separate bins outside. The skips near the entrance way were all clearly defined, and the area was clean and tidy.



Thank you for the time taken to accompany us around site.

Any compliance criteria not highlighted in the above summary should be considered as not assessed.

In this document 'Natural Resources Wales' means the Natural Resources Body for Wales established by Article 3 of the Natural Resource Body for Wales (Establishment) Order 2012.

You should note that the Natural Resources Body for Wales has been formed by bringing together the Countryside Council for Wales, Forestry Commission Wales and Environment Agency Wales. The Natural Resources Body for Wales has been empowered to exercise Welsh devolved functions since 1st April 2013 and has, generally, taken over the responsibilities of the Countryside Council for Wales, the Forestry Commissioners and the Environment Agency for Wales.

## EPR Compliance Assessment Report

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|                        |                           |            |            |
|------------------------|---------------------------|------------|------------|
| Site                   | Dyfed Recycling Services  | Permit Ref | DP3098FN   |
| Operator/Permit holder | Dafen Service Station Ltd | Date       | 06/11/2019 |

### Section 3 – Enforcement Response

You must take immediate action to rectify any non-compliance and prevent repetition. Non-compliance with your permit conditions constitutes an offence and can result in criminal prosecutions and/or suspension or revocation of a permit. Please read the detailed assessment in Section 2 and the steps you need to take in Section 4 below.

Other than the provision of advice and guidance, at present we do not intend to take further enforcement action in respect of the non-compliance identified above. This does not preclude us from taking enforcement action if further relevant information comes to light or advice isn't followed.

### Section 4 – Action(s)

This section summarises the actions identified during the assessment along with the timescales for when they will need to be completed.

| Criteria Ref.       | CCS Category | Action required/advised                                                                                                                                                                                                | Due Date   |
|---------------------|--------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|
| See Section 1 above |              |                                                                                                                                                                                                                        |            |
| C2                  | C3           | nsure operations and maintenance are completed in accordance with your permit and environment management system.                                                                                                       | 06/02/2020 |
| B3                  | C3           | Ensure that no water leaves the impermeable pavement unless via the sealed drainage system.<br>provide information regarding the drainage of the impermeable pavement and details of the drainage system servicing it. | 06/02/2020 |

## Section 5 – Compliance notes for the Operator

To ensure you correct actual or potential non-compliance we may

- Advise on corrective actions verbally or in writing
- Require you to take specific actions verbally or in writing
- Issue a notice
- Require you to review your procedures or management system
- Change some of the conditions of your permit
- Decide to undertake a full review of your permit

Any breach of a permit condition is an offence and we may take legal action against you

- We will normally provide advice and guidance to assist you to come back into compliance either after an offence is committed or where we consider that an offence is likely to be committed. This is without prejudice to any other enforcement response that we consider may be required.
- Enforcement action can include the issue of a formal caution, prosecution, the service of a notice and/or suspension or revocation of the permit.

**See our Enforcement and Civil Sanctions guidance for further information**

This report does not relieve the site operator of the responsibility to

- Ensure you comply with the conditions of the permit at all times and prevent pollution of the environment
- Ensure you comply with other legislative provisions which may apply

### Non-compliance scores and categories

| CCS category | Description                                                          | Score |
|--------------|----------------------------------------------------------------------|-------|
| C1           | A non-compliance that could have a major environmental effect        | 60    |
| C2           | A non-compliance which could have a significant environmental effect | 31    |
| C3           | A non-compliance which could have a minor environmental effect       | 4     |
| C4           | A non-compliance which has no potential environmental effect         | 0.1   |

**Operational Risk Appraisal (Opra)** - Compliance assessment findings may affect your Opra score and/or your charges. This score influences the resource we use to assess permit compliance.

## Section 6 – General information

### Data protection notice

The information on this form will be processed by the Natural Resources Wales (NRW) to fulfil its regulatory and monitoring functions and to maintain the relevant public register(s). The NRW may also use and/or disclose it in connection with:

- Offering/providing you with its literature/services relating to environmental matters
- Consulting with the public, public bodies and other organisations (eg. Health and Safety Executive, local authorities) on environmental issues
- Carrying out statistical analysis, research and development on environmental issues
- Providing public register information to enquirers
- Investigating possible breaches of environmental law
- Assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Regulations request

The NRW may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

### Disclosure of information

The NRW will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within fifteen working days of receipt of this form indicating which information it concerns and why it should not be released, giving your reasons in full.

### Customer charter

#### What can I do if I disagree with this compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with officer's line managers using the informal appeals procedure. If you wish to raise your dispute further through our official Complaints and Commendations procedure, phone our general enquiry number 0300 065 3000 (Mon to Fri 08.00 – 18.00) and ask for the Customer Contact team or send an email to [enquiries@naturalresourceswales.gov.uk](mailto:enquiries@naturalresourceswales.gov.uk). If you are still dissatisfied you can make a complaint to the Public Services Ombudsman for Wales. For advice on how to complain to the Ombudsman phone their helpline on 0845 607 0987.

#### Welsh Language

If you would like this form in Welsh please contact your Regulatory Officer.