

This form will report compliance with your permit as determined by an NRW officer

Site	The Mount	Permit Ref	BB3493ZS		
Operator/Permit holder	Puritan Stone Ltd.				
Regime	Waste Operations				
Date of assessment	13/12/2019	Time in	10:00	Out	10:50
Assessment type	Site Inspection				
Parts of the permit assessed	1.1				
Lead officer's name	Henderson, Amy				
Accompanied by	White, Steven				
Recipient's name/position	Nicola Daniels/ Site contact/TCM	Date issued	16/01/2020		

Section 1 – Compliance Assessment Summary

This is based on the requirements of the permit under the Environmental Permitting Regulations or the licence under the Water Resources Act 1991 as amended by the Water Act 2003. A detailed explanation is captured in "Compliance Assessment Report Detail" (Section 2) and any actions you may need to take are given in the "Action(s)" (section 4). This summary details where we believe any non-compliance with the permit has occurred, the relevant condition and how the non-compliance has been categorised using our Compliance Classification Scheme (CCS). CCS Scores can be consolidated or suspended where appropriate, to reflect the impact of some non-compliances more accurately. For more details of our CCS scheme, contact your local office.

Permit conditions and compliance summary	CCS Category	Condition(s) breached
D2 - Incident Management - Accidents, emergency and incident planning	X	
G2 - Monitoring and Records, Maintenance and Reporting - Records of activity, site diary/journal/events	X	

KEY: See Section 5 for breach categories, suspended scores will be indicated as such.
A = Assessed or assessed in part (no evidence of non-compliance), **X** = Action only,
O = Ongoing non-compliance, not scored.

Number of breaches recorded	0	Total compliance score (see section 5 for scoring scheme)	0
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If the Number of breaches recorded is greater than zero, please see Section 3 for our proposed enforcement response

Section 2 – Compliance Assessment Report Detail

This section contains a report of our findings and will usually include information on:

- The part(s) of the permit that were assessed (eg. Maintenance, training, combustion plant, etc)
- Where the type of assessment was 'Data Review' details of the report/results triggering the assessment
- Any non-compliances identified
- Any non-compliances with directly applicable legislation
- Details of any multiple non-compliances
- Information on the compliance score accrued inc.
- Details of advice given
- Any other areas of concern
- Any actions requested
- Any examples of good practice
- A reference to photos taken

This was a first site inspection at the Puritan Stone site, following the site being granted a permit on 12th November 2019 for an inert and excavation waste transfer station with treatment. The inspection had been pre-arranged with Nicola Daniels. The aim of the visit was to complete the first inspection checklist.

The weather at the time was overcast, with rain showers & drizzle. Regulatory Officers Amy Henderson and Steve White arrived on site at 10am as had been previously agreed. We were met by Nicola Daniels, who accompanied us throughout the visit and John Hebson who accompanied us for the majority of the visit also.

The site has not yet started operations listed on the permit and is in the process of preparing site ready for operations to commence in the coming months. There is therefore no waste currently on site. There is currently one crusher on site. The company has 7 wagons in total including 4 x 8 wheeler & 3 x 6 wheeler. There is a total of 8 staff who work for the company. Nicola Daniels will be the designated Technically Competent Manager for the site. Nicola has recently gained her EPOC qualification and will be working towards the full WAMITAB qualifications needed to be TCM.



Photograph's taken of the site & crusher during inspection.

The paperwork relevant to the site was discussed. As the site is not yet operational, they are yet to familiarise themselves fully with all parts of the permit. It was agreed that Amy Henderson would email a link to the SR2008No11, which forms part of the permit. The site is yet to produce an Environmental Management System (EMS), guidance on completing this will also be included within the email. An EMS will need to be in place before the site begins operations.

The need for completing waste returns was discussed. The site is aware that these should be sent to Natural Resources Wales within one month of the end of each quarter.

A follow up email was sent after the visit, containing the links mentioned above and also links to How to Comply with your Environmental Permit and also to the Aggregates Protocol.

There were no permit breaches from the visit, however the following actions were agreed:

- Ensure a site diary is in use and kept up to date.
- Installation of a Site notice board detailing emergency numbers.
- Ensure an EMS is in place before the site becomes operational.

In this document 'Natural Resources Wales' means the Natural Resources Body for Wales established by Article 3 of the Natural Resources Body for Wales (Establishment) order 2012

EPR Compliance Assessment Report

**Report ID:
CAR_NRW0036133**

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Operator/Permit holder	Puritan Stone Ltd.	Date	13/12/2019

Section 3 – Enforcement Response

You must take immediate action to rectify any non-compliance and prevent repetition. Non-compliance with your permit conditions constitutes an offence and can result in criminal prosecutions and/or suspension or revocation of a permit. Please read the detailed assessment in Section 2 and the steps you need to take in Section 4 below.

Section 4 – Action(s)

This section summarises the actions identified during the assessment along with the timescales for when they will need to be completed.

Criteria Ref.	CCS Category	Action required/advised	Due Date
See Section 1 above			
D2	X	Ensure Site board in place listing emergency contact numbers	28/02/2020
G2	X	Ensure a site diary is in use and kept up to date	14/02/2020

Section 5 – Compliance notes for the Operator

To ensure you correct actual or potential non-compliance we may

- Advise on corrective actions verbally or in writing
- Require you to take specific actions verbally or in writing
- Issue a notice
- Require you to review your procedures or management system
- Change some of the conditions of your permit
- Decide to undertake a full review of your permit

Any breach of a permit condition is an offence and we may take legal action against you

- We will normally provide advice and guidance to assist you to come back into compliance either after an offence is committed or where we consider that an offence is likely to be committed. This is without prejudice to any other enforcement response that we consider may be required.
- Enforcement action can include the issue of a formal caution, prosecution, the service of a notice and/or suspension or revocation of the permit.

See our Enforcement and Civil Sanctions guidance for further information

This report does not relieve the site operator of the responsibility to

- Ensure you comply with the conditions of the permit at all times and prevent pollution of the environment
- Ensure you comply with other legislative provisions which may apply

Non-compliance scores and categories

CCS category	Description	Score
C1	A non-compliance that could have a major environmental effect	60
C2	A non-compliance which could have a significant environmental effect	31
C3	A non-compliance which could have a minor environmental effect	4
C4	A non-compliance which has no potential environmental effect	0.1

Operational Risk Appraisal (Opra) - Compliance assessment findings may affect your Opra score and/or your charges. This score influences the resource we use to assess permit compliance.

Section 6 – General information

Data protection notice

The information on this form will be processed by the Natural Resources Wales (NRW) to fulfil its regulatory and monitoring functions and to maintain the relevant public register(s). The NRW may also use and/or disclose it in connection with:

- Offering/providing you with its literature/services relating to environmental matters
- Consulting with the public, public bodies and other organisations (eg. Health and Safety Executive, local authorities) on environmental issues
- Carrying out statistical analysis, research and development on environmental issues
- Providing public register information to enquirers
- Investigating possible breaches of environmental law
- Assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Regulations request

The NRW may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

Disclosure of information

The NRW will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within fifteen working days of receipt of this form indicating which information it concerns and why it should not be released, giving your reasons in full.

Customer charter

What can I do if I disagree with this compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with officer's line managers using the informal appeals procedure. If you wish to raise your dispute further through our official Complaints and Commendations procedure, phone our general enquiry number 0300 065 3000 (Mon to Fri 08.00 – 18.00) and ask for the Customer Contact team or send an email to enquiries@naturalresourceswales.gov.uk. If you are still dissatisfied you can make a complaint to the Public Services Ombudsman for Wales. For advice on how to complain to the Ombudsman phone their helpline on 0845 607 0987.

Welsh Language

If you would like this form in Welsh please contact your Regulatory Officer.