

## Compliance Assessment Report

**Report ID:**  
**CAR\_NRW0035951**

**This form will report compliance with your permit as determined by an NRW officer**

|                              |                            |             |              |     |       |
|------------------------------|----------------------------|-------------|--------------|-----|-------|
| Site                         | SARN STW                   | Permit Ref  | S/01/56020/R |     |       |
| Operator/Permit holder       | Hafren Dyfrdwy Cyfyngedig  |             |              |     |       |
| Regime                       | WaterQuality               |             |              |     |       |
| Date of assessment           | 08/11/2019                 | Time in     | 09:30        | Out | 11:00 |
| Assessment type              | Site Inspection            |             |              |     |       |
| Parts of the permit assessed | All                        |             |              |     |       |
| Lead officer's name          | Lloyd, Julia               |             |              |     |       |
| Accompanied by               | Arlett, Timothy            |             |              |     |       |
| Recipient's name/position    | Barry Wills/ Asset Manager | Date issued | 20/11/2019   |     |       |

### Section 1 – Compliance Assessment Summary

This is based on the requirements of the permit under the Environmental Permitting Regulations or the licence under the Water Resources Act 1991 as amended by the Water Act 2003. A detailed explanation is captured in "Compliance Assessment Report Detail" (Section 2) and any actions you may need to take are given in the "Action(s)" (section 4). This summary details where we believe any non-compliance with the permit has occurred, the relevant condition and how the non-compliance has been categorised using our Compliance Classification Scheme (CCS). CCS Scores can be consolidated or suspended where appropriate, to reflect the impact of some non-compliances more accurately. For more details of our CCS scheme, contact your local office.

| Permit conditions and compliance summary | CCS Category | Condition(s) breached                                               |
|------------------------------------------|--------------|---------------------------------------------------------------------|
| A1 - Specified by permit                 | C3           | 3b - Discharge to pass through the said sampling point at all times |

**KEY:** See Section 5 for breach categories, suspended scores will be indicated as such.

**A** = Assessed or assessed in part (no evidence of non-compliance), **X** = Action only,

**O** = Ongoing non-compliance, not scored.

|                                    |          |                                                                     |          |
|------------------------------------|----------|---------------------------------------------------------------------|----------|
| <b>Number of breaches recorded</b> | <b>1</b> | <b>Total compliance score</b><br>(see section 5 for scoring scheme) | <b>4</b> |
|------------------------------------|----------|---------------------------------------------------------------------|----------|

**If the Number of breaches recorded is greater than zero, please see Section 3 for our proposed enforcement response**

## Section 2 – Compliance Assessment Report Detail

This section contains a report of our findings and will usually include information on:

- The part(s) of the permit that were assessed (eg. Maintenance, training, combustion plant, etc)
- Where the type of assessment was 'Data Review' details of the report/results triggering the assessment
- Any non-compliances identified
- Any non-compliances with directly applicable legislation
- Details of any multiple non-compliances
- Information on the compliance score accrued inc.
- Details of advice given
- Any other areas of concern
- Any actions requested
- Any examples of good practice
- A reference to photos taken

This was a pre-planned audit carried out by Natural Resources Wales Officers Julia Lloyd and Tim Arlett who were accompanied by Hafren Dyfrdwy staff.

### Observations during visit -

Weather Dry over cast following heavy rain the previous day. Site locked and secure on arrival.

The site is pumped from the village to the works inlet, no storm tank on site, the septic tank then discharges to a filter bed via a dosing syphon, through the works to a reedbed.

The dosing syphon chamber appeared to be overwhelmed due to heavy rain fall. There was evidence of carry over on the walls of the final tank, the staining looked as though it is ongoing,



This will now be investigated, and a review of the pipework will be carried out.

### Advisory – Investigation to establish if further storage is required.

**Filter Bed** - The operator noticed that some of the sparge holes the rotary arm were blocked, the operator removed the end caps of the rotary arms to elevate pressure from the chamber. No ponding observed on the filter bed.

**Reed Bed** - The effluent then passes to the reed bed, nettles growing in the centre of the bed, effluent does not appear to be flowing evenly over the bed, possible level issues, effluent then discharges to the Caebitra brook.



NRW Officer witnessed effluent from the back of the reed bed breaking out and discharging over land, the effluent was not leaving site as it was running off down the side of the bed through the soil to ground. The tracks looked fresh, ground was not worn, this appears to be a current issue.

**Condition breach 3b.** – The consent holder shall ensure that all constituents of the Discharge pass through the said sampling point at all times and in any legal proceedings it shall, in accordance with Section 10 (1) of the Rivers (Prevention of Pollution) Act 1961 (as applied by subsequent legislation), be presumed, until the contrary is shown, that any sample of the discharge taken at the said sampling point is a sample of what was discharging into controlled waters.



On review it appeared that the final effluent flow level control was seized and not set at the right height, the pipe also had moss growing within it.

**Advisory - increased maintenance to control systems for site. Nettle growth needs attention.**

The break out from the reed bed had led to a discharge outside of the permit conditions.  
No visual impact at the final discharge point.

The operator immediately called an engineer to look at the pipe from the filter bed and requested a tanker to pump out the septic tank to relieve the effluent discharging to the filter bed which will give it a chance to drain. The dosing syphon and pipework will now be investigated along with the filter bed density.

Please investigate long-term solution to improve this asset, provide an action plan and timeframe to BRW for this to be undertaken. Also confirm what short term measures have been put in place to ease pressure on filterbeds. Please provide this information by the 24-01-2020.

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## EPR Compliance Assessment Report

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|                        |                           |            |              |
|------------------------|---------------------------|------------|--------------|
| Site                   | SARN STW                  | Permit Ref | S/01/56020/R |
| Operator/Permit holder | Hafren Dyfrdwy Cyfyngedig | Date       | 08/11/2019   |

### Section 3 – Enforcement Response

You must take immediate action to rectify any non-compliance and prevent repetition. Non-compliance with your permit conditions constitutes an offence and can result in criminal prosecutions and/or suspension or revocation of a permit. Please read the detailed assessment in Section 2 and the steps you need to take in Section 4 below.

We will now consider what enforcement action is appropriate and notify you, referencing this form.

### Section 4 – Action(s)

This section summarises the actions identified during the assessment along with the timescales for when they will need to be completed.

| Criteria Ref.       | CCS Category | Action required/advised                                                                                      | Due Date   |
|---------------------|--------------|--------------------------------------------------------------------------------------------------------------|------------|
| See Section 1 above |              |                                                                                                              |            |
| A1                  | C3           | The leak must be stopped, improve infrastructure, plan and timescale for improvements to be submitted to NRW | 24/01/2020 |



## Section 5 – Compliance notes for the Operator

To ensure you correct actual or potential non-compliance we may

- Advise on corrective actions verbally or in writing
- Require you to take specific actions verbally or in writing
- Issue a notice
- Require you to review your procedures or management system
- Change some of the conditions of your permit
- Decide to undertake a full review of your permit

Any breach of a permit condition is an offence and we may take legal action against you

- We will normally provide advice and guidance to assist you to come back into compliance either after an offence is committed or where we consider that an offence is likely to be committed. This is without prejudice to any other enforcement response that we consider may be required.
- Enforcement action can include the issue of a formal caution, prosecution, the service of a notice and/or suspension or revocation of the permit.

**See our Enforcement and Civil Sanctions guidance for further information**

This report does not relieve the site operator of the responsibility to

- Ensure you comply with the conditions of the permit at all times and prevent pollution of the environment
- Ensure you comply with other legislative provisions which may apply

### Non-compliance scores and categories

| CCS category | Description                                                          | Score |
|--------------|----------------------------------------------------------------------|-------|
| C1           | A non-compliance that could have a major environmental effect        | 60    |
| C2           | A non-compliance which could have a significant environmental effect | 31    |
| C3           | A non-compliance which could have a minor environmental effect       | 4     |
| C4           | A non-compliance which has no potential environmental effect         | 0.1   |

**Operational Risk Appraisal (Opra)** - Compliance assessment findings may affect your Opra score and/or your charges. This score influences the resource we use to assess permit compliance.

## Section 6 – General information

### Data protection notice

The information on this form will be processed by the Natural Resources Wales (NRW) to fulfil its regulatory and monitoring functions and to maintain the relevant public register(s). The NRW may also use and/or disclose it in connection with:

- Offering/providing you with its literature/services relating to environmental matters
- Consulting with the public, public bodies and other organisations (eg. Health and Safety Executive, local authorities) on environmental issues
- Carrying out statistical analysis, research and development on environmental issues
- Providing public register information to enquirers
- Investigating possible breaches of environmental law
- Assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Regulations request

The NRW may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

### Disclosure of information

The NRW will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within fifteen working days of receipt of this form indicating which information it concerns and why it should not be released, giving your reasons in full.

### Customer charter

#### What can I do if I disagree with this compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with officer's line managers using the informal appeals procedure. If you wish to raise your dispute further through our official Complaints and Commendations procedure, phone our general enquiry number 0300 065 3000 (Mon to Fri 08.00 – 18.00) and ask for the Customer Contact team or send an email to [enquiries@naturalresourceswales.gov.uk](mailto:enquiries@naturalresourceswales.gov.uk). If you are still dissatisfied you can make a complaint to the Public Services Ombudsman for Wales. For advice on how to complain to the Ombudsman phone their helpline on 0845 607 0987.

#### Welsh Language

If you would like this form in Welsh please contact your Regulatory Officer.