

Compliance Assessment Report

Report ID:
CAR_NRW0035353

This form will report compliance with your permit as determined by an NRW officer

Site	Newtown Household Waste Recycling Centre	Permit Ref	BB3196CL			
Operator/Permit holder	Sundorne Products (Llanidloe) Limited					
Regime	Waste Operations					
Date of assessment	12/06/2019	Time in	12:30	Out	14:00	
Assessment type	Site Inspection					
Parts of the permit assessed	General Management					
Lead officer's name	Lloyd, Julia					
Accompanied by						
Recipient's name/position	Mark Phillips/Debbie Potter/ TCM	Date issued	04/07/2019			

Section 1 – Compliance Assessment Summary

This is based on the requirements of the permit under the Environmental Permitting Regulations or the licence under the Water Resources Act 1991 as amended by the Water Act 2003. A detailed explanation is captured in "Compliance Assessment Report Detail" (Section 2) and any actions you may need to take are given in the "Action(s)" (section 4). This summary details where we believe any non-compliance with the permit has occurred, the relevant condition and how the non-compliance has been categorised using our Compliance Classification Scheme (CCS). CCS Scores can be consolidated or suspended where appropriate, to reflect the impact of some non-compliances more accurately. For more details of our CCS scheme, contact your local office.

Permit conditions and compliance summary	CCS Category	Condition(s) breached
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KEY: See Section 5 for breach categories, suspended scores will be indicated as such.

A = Assessed or assessed in part (no evidence of non-compliance), **X** = Action only,

O = Ongoing non-compliance, not scored.

Number of breaches recorded	0	Total compliance score (see section 5 for scoring scheme)	0
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If the Number of breaches recorded is greater than zero, please see Section 3 for our proposed enforcement response

Section 2 – Compliance Assessment Report Detail

This section contains a report of our findings and will usually include information on:

- The part(s) of the permit that were assessed (eg. Maintenance, training, combustion plant, etc)
- Where the type of assessment was 'Data Review' details of the report/results triggering the assessment
- Any non-compliances identified
- Any non-compliances with directly applicable legislation
- Details of any multiple non-compliances
- Information on the compliance score accrued inc.
- Details of advice given
- Any other areas of concern
- Any actions requested
- Any examples of good practice
- A reference to photos taken

At 12:30 on Wednesday 5th June 2019 Environment Officer Julia Lloyd carried out a site inspection of the Household Waste Recycling Centre in Newtown, operated by Sundorne Products (Llanidloes) Ltd. The weather conditions were cloudy with light rain at the time of visit, the previous 24hrs had be continual heavy rain.

Upon arrival at the office I signed into the site diary, I met with Mark Phillips one of the sites Technical Competent Managers (TCM) and Kevin Pryce who will be the TCM for the site upon completion of his WAMITAB qualification.

The **"First Inspection Checklist"** was discussed and completed.

Technical Guidance - We discussed the document how 'to comply with your environmental permit'. A copy of the standard rules 2008 No. 13 and the risk assessment will be added to the office file for reference with the permit.

Environmental Management System - Reviewed, Kevin to email NRW a copy, further information is being collated to include residential sensitive receptors to the site. It is understood that a Fire Prevention and Mitigation Plan is in place, this is currently being updated to include further information. Please submit the completed copy of the plan within a month of the date on CAR form for review.

Technical competence – Certificates on file for Debbie Potter and Mark Phillips. Attendance time on site by TCM was discussed. DP spends on average 3hrs per week, MP spends on average 4 hrs per week and KP spends 5 hrs per week (although these hours do not count until fully competent). Kevin Pryce has around 5 units to complete, evidence gathering and has estimated to finish this by the end of July 2019.

The site is open 5 days a week, a total of 30 hours per week. The minimum attendance for a standard rules permit is 20% of the time it is operational. Therefore a TCM should be on site for 6hrs per week. This is something that will be reviewed on future site visits.

Waste Returns – The waste returns information is collated in Welshpool and sent to the South Wales office to be completed.

Compliance Assessment Report – Discussed, both employees are aware of reports. Both employees are aware of the enforcement action that can be taken.

Public Register – It was explained that the Compliance report will be put onto the public register.

Duty of Care – Waste transfer notes were discussed. KP is fully aware of duty of care responsibilities.

Hazardous Waste Regulations – All waste on site comes in as household waste. Site CAP938 registration held. Consignment notes are completed if hazardous waste leaves the site.

Site – The site appears well organised, clean and tidy. The staff were on hand to aid the public. Concerns had been raised over the size of the concrete drainage covers installed. Powys County Council have now provided inserts into the covers for safety. The drain and interceptor were inspected and found to be clean. Within the coming months the site will have white lines directing the traffic around the site and parking bays marked up. This has not yet been done as they are

ensuring that the site is set up to best accommodate the space.

The land is owned by Powys County Council, they give permission for the Household Waste Recycling Centre, it was noted that the first CAR form is sent to the landowner and a copy has also been requested to be sent to Mark Phillips as TCM currently.



Thank-you for your time on site.

In this document 'Natural Resources Wales' means the Natural Resources Body for Wales established by Article 3 of the Natural Resources Body for Wales (Establishment) order 2012.

EPR Compliance Assessment Report

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Operator/Permit holder	Sundorne Products (Llanidloe) Limited	Date	12/06/2019

Section 3 – Enforcement Response

You must take immediate action to rectify any non-compliance and prevent repetition. Non-compliance with your permit conditions constitutes an offence and can result in criminal prosecutions and/or suspension or revocation of a permit. Please read the detailed assessment in Section 2 and the steps you need to take in Section 4 below.

Section 4 – Action(s)

This section summarises the actions identified during the assessment along with the timescales for when they will need to be completed.

Criteria Ref.	CCS Category	Action required/advised	Due Date
See Section 1 above			

Section 5 – Compliance notes for the Operator

To ensure you correct actual or potential non-compliance we may

- Advise on corrective actions verbally or in writing
- Require you to take specific actions verbally or in writing
- Issue a notice
- Require you to review your procedures or management system
- Change some of the conditions of your permit
- Decide to undertake a full review of your permit

Any breach of a permit condition is an offence and we may take legal action against you

- We will normally provide advice and guidance to assist you to come back into compliance either after an offence is committed or where we consider that an offence is likely to be committed. This is without prejudice to any other enforcement response that we consider may be required.
- Enforcement action can include the issue of a formal caution, prosecution, the service of a notice and/or suspension or revocation of the permit.

See our Enforcement and Civil Sanctions guidance for further information

This report does not relieve the site operator of the responsibility to

- Ensure you comply with the conditions of the permit at all times and prevent pollution of the environment
- Ensure you comply with other legislative provisions which may apply

Non-compliance scores and categories

CCS category	Description	Score
C1	A non-compliance that could have a major environmental effect	60
C2	A non-compliance which could have a significant environmental effect	31
C3	A non-compliance which could have a minor environmental effect	4
C4	A non-compliance which has no potential environmental effect	0.1

Operational Risk Appraisal (Opra) - Compliance assessment findings may affect your Opra score and/or your charges. This score influences the resource we use to assess permit compliance.

Section 6 – General information

Data protection notice

The information on this form will be processed by the Natural Resources Wales (NRW) to fulfil its regulatory and monitoring functions and to maintain the relevant public register(s). The NRW may also use and/or disclose it in connection with:

- Offering/providing you with its literature/services relating to environmental matters
- Consulting with the public, public bodies and other organisations (eg. Health and Safety Executive, local authorities) on environmental issues
- Carrying out statistical analysis, research and development on environmental issues
- Providing public register information to enquirers
- Investigating possible breaches of environmental law
- Assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Regulations request

The NRW may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

Disclosure of information

The NRW will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within fifteen working days of receipt of this form indicating which information it concerns and why it should not be released, giving your reasons in full.

Customer charter

What can I do if I disagree with this compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with officer's line managers using the informal appeals procedure. If you wish to raise your dispute further through our official Complaints and Commendations procedure, phone our general enquiry number 0300 065 3000 (Mon to Fri 08.00 – 18.00) and ask for the Customer Contact team or send an email to enquiries@naturalresourceswales.gov.uk. If you are still dissatisfied you can make a complaint to the Public Services Ombudsman for Wales. For advice on how to complain to the Ombudsman phone their helpline on 0845 607 0987.

Welsh Language

If you would like this form in Welsh please contact your Regulatory Officer.