

**This form will report compliance with your permit as determined by an NRW officer**

Site	Caerhun Farm	Permit Ref	NB3634RX		
Operator/Permit holder	Sion Roberts				
Regime	Waste Operations				
Date of assessment	03/12/2019	Time in	14:55	Out	15:10
Assessment type	Site Inspection				
Parts of the permit assessed	see below				
Lead officer's name	Hughes, Julie				
Accompanied by	Wilby, David				
Recipient's name/position	Sion Roberts/ Operator	Date issued	14/02/2020		

### Section 1 – Compliance Assessment Summary

This is based on the requirements of the permit under the Environmental Permitting Regulations or the licence under the Water Resources Act 1991 as amended by the Water Act 2003. A detailed explanation is captured in "Compliance Assessment Report Detail" (Section 2) and any actions you may need to take are given in the "Action(s)" (section 4). This summary details where we believe any non-compliance with the permit has occurred, the relevant condition and how the non-compliance has been categorised using our Compliance Classification Scheme (CCS). CCS Scores can be consolidated or suspended where appropriate, to reflect the impact of some non-compliances more accurately. For more details of our CCS scheme, contact your local office.

Permit conditions and compliance summary	CCS Category	Condition(s) breached
A1 - Specified by permit	C3	2.1, schedule 1, table S1.1
	C3	2.1, schedule 1, table S1.1
C2 - General Management - Management system and operating procedures	C3	1.1.4
	C3	1.1.4
G4 - Monitoring and Records, Maintenance and Reporting - Reporting and notification to Natural Resources Wales	C3	4.2.2
	C3	4.2.2

**KEY:** See Section 5 for breach categories, suspended scores will be indicated as such.  
**A** = Assessed or assessed in part (no evidence of non-compliance), **X** = Action only,  
**O** = Ongoing non-compliance, not scored.

<b>Number of breaches recorded</b>	<b>6</b>	<b>Total compliance score</b> (see section 5 for scoring scheme)	12
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**If the Number of breaches recorded is greater than zero, please see Section 3 for our proposed enforcement response**

## Section 2 – Compliance Assessment Report Detail

This section contains a report of our findings and will usually include information on:

- The part(s) of the permit that were assessed (eg. Maintenance, training, combustion plant, etc)
- Where the type of assessment was 'Data Review' details of the report/results triggering the assessment
- Any non-compliances identified
- Any non-compliances with directly applicable legislation
- Details of any multiple non-compliances
- Information on the compliance score accrued inc.
- Details of advice given
- Any other areas of concern
- Any actions requested
- Any examples of good practice
- A reference to photos taken

A pre-arranged visit took place on 3<sup>rd</sup> December 2019. Present were Julie Hughes Tackling Waste Crime Officer, Phil Harper Environment Officer – waste and David Wilby Senior Environment Officer – waste.

Mr Sion Roberts Operator was not present during the inspection.

The purpose of the visit was to assess progress with the action plan submitted by Mr Roberts during his interview on 8<sup>th</sup> October 2019. The visit was also an opportunity to introduce Phil Harper to the site. Phil Harper will be the new Regulating Officer.

Some work had been carried out on the ventilation system, however the works had not been completed. The proposed action plan submitted by Mr Roberts on the 8<sup>th</sup> October 2019 stated:

***Complete bio-filter infrastructure. Date 30<sup>th</sup> November 2019***

Mr Roberts provided an update of the works on 11<sup>th</sup> December 2019 by email.

WAMITAB

Evidence has not been submitted to NRW regarding the enrolment of Mr Roberts onto a suitable WAMITAB course. Neither has details been provided that anyone else has been appointed as Technically Competent Manager to over see the activities of the permit whilst Mr Roberts completes the course.

Breach recorded

**CAR Sub criteria C1 staff competency and training. Condition 1.1.4 has been recorded as a category 3 non-compliance.**

Waste Returns have not been submitted to NRW for the following quarters:

Q3 July – Sept 2018

Q4 Oct – Dec 2018

Q1 Jan – March 2019

Q2 April – June 2019

Q3 July – Sept 2019

Q4 Oct – Dec 2019

Breach recorded

**CAR sub-criteria G4 Reporting & Notifications – Permit condition 4.2.2 has been recorded a category 3 non-compliance.**

Doors of the composting building were open allowing bio-aerosols/steam to vent through the open doors.

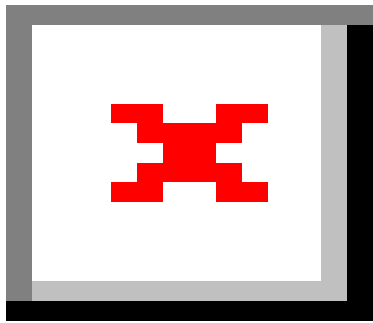
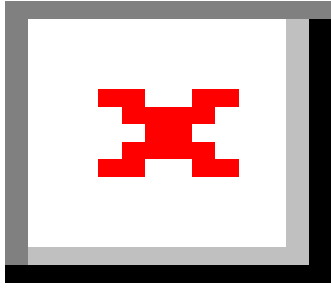
Breaches recorded

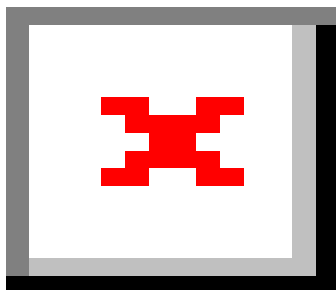
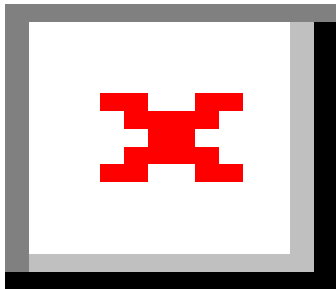
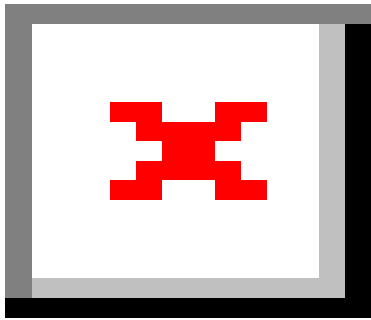
**CAR sub criteria A1 Specified by permit – Permit condition 2.1, schedule 1, table S1.1 has been recorded as a category 3 non-compliance.**

The permit states that all activities should be carried out within a building, with an impermeable surface and sealed drainage system, biofilter and abatement system. The bio-filter and abatement system are not in place. There is no bio-filter installed resulting in a build-up of bio-aerosols within the building. He electricity supply is still awaiting installation, until this is done the permit can't be adhered to.









New Regulating Officer contact details:

Philip Harper

Environment Officer – Waste

Natural Resources Wales

Maes y Ffynnon

Penrhosgarnedd

Bangor

LL57 2DW

Email [Philip.harper@cyfoethnaturiolcymru.gov.uk](mailto:Philip.harper@cyfoethnaturiolcymru.gov.uk)

## EPR Compliance Assessment Report

**Report ID:  
CAR\_NRW0036342**

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Site	Caerhun Farm	Permit Ref	NB3634RX
Operator/Permit holder	Sion Roberts	Date	03/12/2019

### Section 3 – Enforcement Response

You must take immediate action to rectify any non-compliance and prevent repetition. Non-compliance with your permit conditions constitutes an offence and can result in criminal prosecutions and/or suspension or revocation of a permit. Please read the detailed assessment in Section 2 and the steps you need to take in Section 4 below.

We will now consider what enforcement action is appropriate and notify you, referencing this form.

### Section 4 – Action(s)

This section summarises the actions identified during the assessment along with the timescales for when they will need to be completed.

Criteria Ref.	CCS Category	Action required/advised	Due Date
See Section 1 above			
G4	C3	submit all missing waste returns	30/04/2020
C2	C3	provide evidence that WAMITAB course has been registered and that a temporary TCM appointed.	30/04/2020
A1	C3	provide details as to when systems will be completed and operational	30/04/2020
G4	C3	submit all missing waste returns	30/04/2020
C2	C3	provide evidence that WAMITAB course has been registered and that a temporary TCM appointed.	30/04/2020
A1	C3	provide details as to when systems will be completed and operational	30/04/2020

## Section 5 – Compliance notes for the Operator

To ensure you correct actual or potential non-compliance we may

- Advise on corrective actions verbally or in writing
- Require you to take specific actions verbally or in writing
- Issue a notice
- Require you to review your procedures or management system
- Change some of the conditions of your permit
- Decide to undertake a full review of your permit

Any breach of a permit condition is an offence and we may take legal action against you

- We will normally provide advice and guidance to assist you to come back into compliance either after an offence is committed or where we consider that an offence is likely to be committed. This is without prejudice to any other enforcement response that we consider may be required.
- Enforcement action can include the issue of a formal caution, prosecution, the service of a notice and/or suspension or revocation of the permit.

**See our Enforcement and Civil Sanctions guidance for further information**

This report does not relieve the site operator of the responsibility to

- Ensure you comply with the conditions of the permit at all times and prevent pollution of the environment
- Ensure you comply with other legislative provisions which may apply

### Non-compliance scores and categories

CCS category	Description	Score
C1	A non-compliance that could have a major environmental effect	60
C2	A non-compliance which could have a significant environmental effect	31
C3	A non-compliance which could have a minor environmental effect	4
C4	A non-compliance which has no potential environmental effect	0.1

**Operational Risk Appraisal (Opra)** - Compliance assessment findings may affect your Opra score and/or your charges. This score influences the resource we use to assess permit compliance.

## Section 6 – General information

### Data protection notice

The information on this form will be processed by the Natural Resources Wales (NRW) to fulfil its regulatory and monitoring functions and to maintain the relevant public register(s). The NRW may also use and/or disclose it in connection with:

- Offering/providing you with its literature/services relating to environmental matters
- Consulting with the public, public bodies and other organisations (eg. Health and Safety Executive, local authorities) on environmental issues
- Carrying out statistical analysis, research and development on environmental issues
- Providing public register information to enquirers
- Investigating possible breaches of environmental law
- Assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Regulations request

The NRW may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

### Disclosure of information

The NRW will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within fifteen working days of receipt of this form indicating which information it concerns and why it should not be released, giving your reasons in full.

### Customer charter

#### What can I do if I disagree with this compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with officer's line managers using the informal appeals procedure. If you wish to raise your dispute further through our official Complaints and Commendations procedure, phone our general enquiry number 0300 065 3000 (Mon to Fri 08.00 – 18.00) and ask for the Customer Contact team or send an email to enquiries@naturalresourceswales.gov.uk. If you are still dissatisfied you can make a complaint to the Public Services Ombudsman for Wales. For advice on how to complain to the Ombudsman phone their helpline on 0845 607 0987.

#### Welsh Language

If you would like this form in Welsh please contact your Regulatory Officer.