

This form will report compliance with your permit as determined by an NRW officer

Site	Water from Afon Crafnant for Trefriw Woollen Mill, Wool Treatment	Permit Ref	23/66/9/0014		
Operator/Permit holder	Trefriw Woollen Mills Ltd				
Regime	Water Abstraction				
Date of assessment	10/12/2018	Time in	11:00	Out	12:00
Assessment type	Site Inspection				
Parts of the permit assessed	Schedule of Conditions B				
Lead officer's name	Williams, Carys				
Accompanied by	Callum Brannan				
Recipient's name/position	Morgan Williams/ Company Director - Trefriw Woollen Mills Limited	Date issued	05/02/2019		

Section 1 – Compliance Assessment Summary

This is based on the requirements of the permit under the Environmental Permitting Regulations or the licence under the Water Resources Act 1991 as amended by the Water Act 2003. A detailed explanation is captured in "Compliance Assessment Report Detail" (Section 2) and any actions you may need to take are given in the "Action(s)" (section 4). This summary details where we believe any non-compliance with the permit has occurred, the relevant condition and how the non-compliance has been categorised using our Compliance Classification Scheme (CCS). CCS Scores can be consolidated or suspended where appropriate, to reflect the impact of some non-compliances more accurately. For more details of our CCS scheme, contact your local office.

Permit conditions and compliance summary	CCS Category	Condition(s) breached
A1 - Specified by permit	C4	Schedule of Conditions B. 7. Means of Measurement of Water Abstracted

KEY: See Section 5 for breach categories, suspended scores will be indicated as such.

A = Assessed or assessed in part (no evidence of non-compliance), **X** = Action only,

O = Ongoing non-compliance, not scored.

Number of breaches recorded	1	Total compliance score (see section 5 for scoring scheme)	0.1
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If the Number of breaches recorded is greater than zero, please see Section 3 for our proposed enforcement response

Section 2 – Compliance Assessment Report Detail

This section contains a report of our findings and will usually include information on:

- The part(s) of the permit that were assessed (eg. Maintenance, training, combustion plant, etc)
- Where the type of assessment was 'Data Review' details of the report/results triggering the assessment
- Any non-compliances identified
- Any non-compliances with directly applicable legislation
- Details of any multiple non-compliances
- Information on the compliance score accrued inc.
- Details of advice given
- Any other areas of concern
- Any actions requested
- Any examples of good practice
- A reference to photos taken

Officers Carys Williams and Callum Brannan completed a routine site inspection of abstraction licence 23/66/9/0014 on the 10th December 2018. The visit was accompanied by Mr M Williams (Director of Trefriw Woollen Mills Limited).

The abstraction licence was originally issued on 31st January 1966 for the purpose of power production and commercial wool treatment. On 28th December 2012 a variation of that licence was issued to increase the quantities of water abstracted in order to install a new hydropower system at the site.

The varied licence includes two schedules:

- **Schedule of Conditions A** - This schedule shall expire on 31st March 2028, this schedule is time limited to a date to reflect the timing of a future review of the catchment resources availability. Schedule A reflects the increase in abstraction quantities and the update of licence conditions to current standards from the old licence.
- **Schedule of Conditions B** - After 31st March 2028, conditions of Schedule B will apply. These conditions reflect the original licence conditions.

It was confirmed during the site inspection that the new hydropower system was not installed and the abstraction has remained in line with the old licence. The additional conditions required by this schedule have also not been installed. **Condition 9.10 of Schedule A** states: "Schedule of Conditions A shall cease to be of any effect if the abstraction it authorises has not commenced within 3 years of the licence issue date." As no abstraction has taken place in line with Schedule A, it is advised that Schedule A is removed from the licence via a minor variation, the licence will then reflect the old licence conditions only.

Action: Vary the abstraction licence to remove Schedule of Conditions A by 14th July 2019. A minor licence variation can be completed by filling in application form WRF (attached), guidance to fill out this form can be found in Guidance note WRX (attached).

Schedule of Conditions B - Condition 7 currently requires abstraction quantities to be measured by an abstraction meter. Abstracted water is currently and historically has been measured by hours run at this site. As this does not currently reflect the condition of the licence, two options are available:

Action: 1. Install a water meter to take abstraction measurements or 2. Vary the licence to reflect the current means of measurement, please be aware that there is no guarantee that a variation will be accepted for this condition. This is to be completed by 14th July 2019.

Trefriw Woollen Mills are no longer abstracting for the purpose of "Commercial: Wool Treatment", if abstraction for this purpose is no longer, this can also be removed from the licence. Please be aware if you remove this purpose, there is no guarantee you will be able to reinstate this condition in the future.

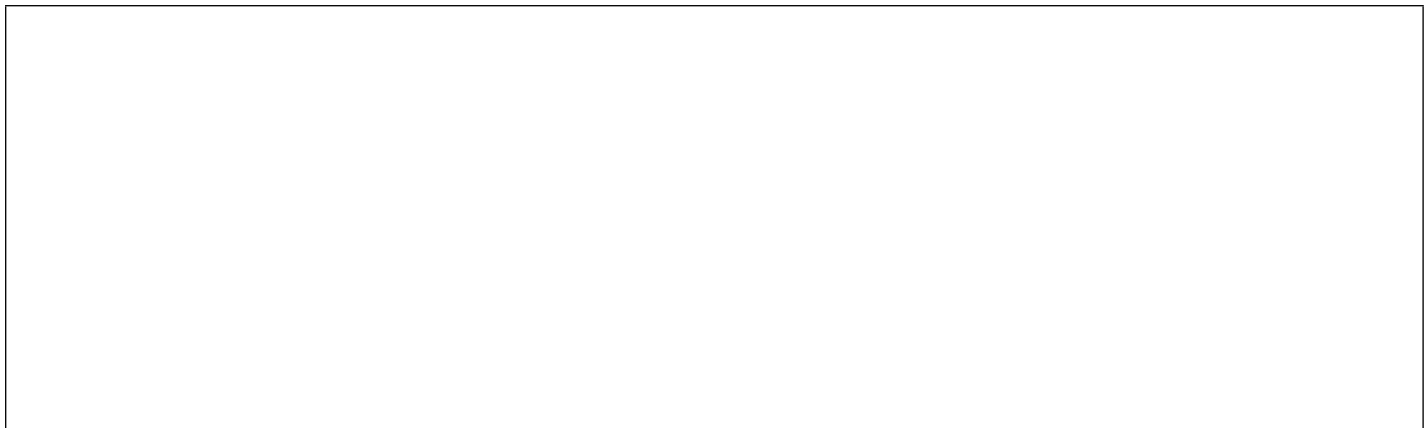
Thank you very much for your time during the inspection, if you have any further queries, please do not hesitate to contact me on the details below. If you would like this Compliance Assessment Report or any further correspondence through the medium of Welsh, please let me know and I will arrange for this to be provided.

Carys Williams

03000 65 3671

Carys.Williams@naturalresourceswales.gov.uk

N.B. Please ignore any reference to Operational Risk Appraisal (OPRA) and Environmental Permitting Regulations (EPR) mentioned on the back of this form as this is not applicable to abstraction licensing.



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Section 3 – Enforcement Response

You must take immediate action to rectify any non-compliance and prevent repetition. Non-compliance with your permit conditions constitutes an offence and can result in criminal prosecutions and/or suspension or revocation of a permit. Please read the detailed assessment in Section 2 and the steps you need to take in Section 4 below.

Other than the provision of advice and guidance, at present we do not intend to take further enforcement action in respect of the non-compliance identified above. This does not preclude us from taking enforcement action if further relevant information comes to light or advice isn't followed.

Section 4 – Action(s)

This section summarises the actions identified during the assessment along with the timescales for when they will need to be completed.

Criteria Ref.	CCS Category	Action required/advised	Due Date
See Section 1 above			
A1	C4	1. Install an abstraction meter or 2. Vary the licence to include the current means of measurement.	14/07/2019

Section 5 – Compliance notes for the Operator

To ensure you correct actual or potential non-compliance we may

- Advise on corrective actions verbally or in writing
- Require you to take specific actions verbally or in writing
- Issue a notice
- Require you to review your procedures or management system
- Change some of the conditions of your permit
- Decide to undertake a full review of your permit

Any breach of a permit condition is an offence and we may take legal action against you

- We will normally provide advice and guidance to assist you to come back into compliance either after an offence is committed or where we consider that an offence is likely to be committed. This is without prejudice to any other enforcement response that we consider may be required.
- Enforcement action can include the issue of a formal caution, prosecution, the service of a notice and/or suspension or revocation of the permit.

See our Enforcement and Civil Sanctions guidance for further information

This report does not relieve the site operator of the responsibility to

- Ensure you comply with the conditions of the permit at all times and prevent pollution of the environment
- Ensure you comply with other legislative provisions which may apply

Non-compliance scores and categories

CCS category	Description	Score
C1	A non-compliance that could have a major environmental effect	60
C2	A non-compliance which could have a significant environmental effect	31
C3	A non-compliance which could have a minor environmental effect	4
C4	A non-compliance which has no potential environmental effect	0.1

Operational Risk Appraisal (Opra) - Compliance assessment findings may affect your Opra score and/or your charges. This score influences the resource we use to assess permit compliance.

Section 6 – General information

Data protection notice

The information on this form will be processed by the Natural Resources Wales (NRW) to fulfil its regulatory and monitoring functions and to maintain the relevant public register(s). The NRW may also use and/or disclose it in connection with:

- Offering/providing you with its literature/services relating to environmental matters
- Consulting with the public, public bodies and other organisations (eg. Health and Safety Executive, local authorities) on environmental issues
- Carrying out statistical analysis, research and development on environmental issues
- Providing public register information to enquirers
- Investigating possible breaches of environmental law
- Assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Regulations request

The NRW may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

Disclosure of information

The NRW will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within fifteen working days of receipt of this form indicating which information it concerns and why it should not be released, giving your reasons in full.

Customer charter

What can I do if I disagree with this compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with officer's line managers using the informal appeals procedure. If you wish to raise your dispute further through our official Complaints and Commendations procedure, phone our general enquiry number 0300 065 3000 (Mon to Fri 08.00 – 18.00) and ask for the Customer Contact team or send an email to enquiries@naturalresourceswales.gov.uk. If you are still dissatisfied you can make a complaint to the Public Services Ombudsman for Wales. For advice on how to complain to the Ombudsman phone their helpline on 0845 607 0987.

Welsh Language

If you would like this form in Welsh please contact your Regulatory Officer.