

Compliance Assessment Report

Report ID:
CAR_NRW0036055

This form will report compliance with your permit as determined by an NRW officer

Site	Afon Crugnant	Permit Ref	WA/062/0001/0010		
Operator/Permit holder	Hydro Schemes UK Limited				
Regime	Water Abstraction				
Date of assessment	23/10/2019	Time in	12:45	Out	13:25
Assessment type	Site Inspection				
Parts of the permit assessed	Impoundment/Abstraction Point				
Lead officer's name	Markham, Heidi				
Accompanied by	Rachel Auckland,Rhodri Thomas				
Recipient's name/position	Mr Kevin Jones/ Director of Hydro Scheme UK Limited	Date issued	11/12/2019		

Section 1 – Compliance Assessment Summary

This is based on the requirements of the permit under the Environmental Permitting Regulations or the licence under the Water Resources Act 1991 as amended by the Water Act 2003. A detailed explanation is captured in "Compliance Assessment Report Detail" (Section 2) and any actions you may need to take are given in the "Action(s)" (section 4). This summary details where we believe any non-compliance with the permit has occurred, the relevant condition and how the non-compliance has been categorised using our Compliance Classification Scheme (CCS). CCS Scores can be consolidated or suspended where appropriate, to reflect the impact of some non-compliances more accurately. For more details of our CCS scheme, contact your local office.

Permit conditions and compliance summary	CCS Category	Condition(s) breached
A1 - Specified by permit	C3	9.9
	C3	4.5

KEY: See Section 5 for breach categories, suspended scores will be indicated as such.

A = Assessed or assessed in part (no evidence of non-compliance), **X** = Action only,

O = Ongoing non-compliance, not scored.

Number of breaches recorded	2	Total compliance score (see section 5 for scoring scheme)	8
------------------------------------	----------	---	----------

If the Number of breaches recorded is greater than zero, please see Section 3 for our proposed enforcement response

Section 2 – Compliance Assessment Report Detail

This section contains a report of our findings and will usually include information on:

- The part(s) of the permit that were assessed (eg. Maintenance, training, combustion plant, etc)
- Where the type of assessment was 'Data Review' details of the report/results triggering the assessment
- Any non-compliances identified
- Any non-compliances with directly applicable legislation
- Details of any multiple non-compliances
- Information on the compliance score accrued inc.
- Details of advice given
- Any other areas of concern
- Any actions requested
- Any examples of good practice
- A reference to photos taken

A Water Resources inspection was carried out by Heidi Markham from the Ceredigion Environment Team on 25th September 2019 and 23rd October 2019. Rachel Auckland was also present on 25th September 2019 and Rhodri Thomas was the accompanying Officer on 23rd October 2019. Both are Natural Resources Wales staff.

The river flows were higher during the September inspection than during the one carried out in October. On both occasions, these levels were as expected due to recent weather conditions.

It was heavily raining for much of the day during the inspection on 25th September 2019, but was dry on the 23rd October 2019 during the inspection.

Crugnant Impoundment Structure

Water is abstracted from Afon Crugnant near Pontrhydfendigaid, Ceredigion at National Grid Reference SN 75404 63544 as per Licence Conditions 1.1 and 2.1. Photographs 1 & 2 below show the abstraction point at the time of the visits.



Photograph 1: Point of abstraction on 25/09/2019



Photograph 2: Point of abstraction 23/10/2019

As can be seen from Photographs 1 & 2, there is a piece of wood obstructing part of the fish easement section of the structure. Photographs 3 & 4 below, show close-up images of the obstruction. These were both taken during the visit on 23rd October 2019.



Photograph 3: Wood blocking part of fish easement

Photograph 4: Wood blocking part of fish easement

Licence Schedule of Condition 4.5 of the Impoundment Licence states ' The Licence Holder shall construct, operate and maintain the intake works in accordance with the specifications and drawings referred to in Condition 3.1 of this licence and keep them free from leakage and obstruction at all times'.

- **Obstruction to Fish Easement**

As can be seen from the photographs, the piece of wood that has been secured to the top of the fish easement, is causing an obstruction, which is a non-compliance of Condition 4.5 of the licence.

Action: Remove the piece of wood that is obstructing the fish easement section of the structure.

- **Scheme Operational**

Licence Schedule of Condition 9.9 states 'The Licence Holder shall notify NRW in writing within 7 calendar days after the abstraction of water for the purpose of power production first starts'.

Action: Provide data of when the scheme became operational.

ENDS

EPR Compliance Assessment Report

**Report ID:
CAR_NRW0036055**

This form will report compliance with your permit as determined by an NRW officer

Site	Afon Crugnant	Permit Ref	WA/062/0001/0010
Operator/Permit holder	Hydro Schemes UK Limited	Date	23/10/2019

Section 3 – Enforcement Response

You must take immediate action to rectify any non-compliance and prevent repetition. Non-compliance with your permit conditions constitutes an offence and can result in criminal prosecutions and/or suspension or revocation of a permit. Please read the detailed assessment in Section 2 and the steps you need to take in Section 4 below.

We will now consider what enforcement action is appropriate and notify you, referencing this form.

Section 4 – Action(s)

This section summarises the actions identified during the assessment along with the timescales for when they will need to be completed.

Criteria Ref.	CCS Category	Action required/advised	Due Date
See Section 1 above			
A1	C3	Provide data of when the scheme became operational.	31/01/2020
A1	C3	Remove the piece of wood and ensure that the intake works are free from obstruction at all times.	31/01/2020

Section 5 – Compliance notes for the Operator

To ensure you correct actual or potential non-compliance we may

- Advise on corrective actions verbally or in writing
- Require you to take specific actions verbally or in writing
- Issue a notice
- Require you to review your procedures or management system
- Change some of the conditions of your permit
- Decide to undertake a full review of your permit

Any breach of a permit condition is an offence and we may take legal action against you

- We will normally provide advice and guidance to assist you to come back into compliance either after an offence is committed or where we consider that an offence is likely to be committed. This is without prejudice to any other enforcement response that we consider may be required.
- Enforcement action can include the issue of a formal caution, prosecution, the service of a notice and/or suspension or revocation of the permit.

See our Enforcement and Civil Sanctions guidance for further information

This report does not relieve the site operator of the responsibility to

- Ensure you comply with the conditions of the permit at all times and prevent pollution of the environment
- Ensure you comply with other legislative provisions which may apply

Non-compliance scores and categories

CCS category	Description	Score
C1	A non-compliance that could have a major environmental effect	60
C2	A non-compliance which could have a significant environmental effect	31
C3	A non-compliance which could have a minor environmental effect	4
C4	A non-compliance which has no potential environmental effect	0.1

Operational Risk Appraisal (Opra) - Compliance assessment findings may affect your Opra score and/or your charges. This score influences the resource we use to assess permit compliance.

Section 6 – General information

Data protection notice

The information on this form will be processed by the Natural Resources Wales (NRW) to fulfil its regulatory and monitoring functions and to maintain the relevant public register(s). The NRW may also use and/or disclose it in connection with:

- Offering/providing you with its literature/services relating to environmental matters
- Consulting with the public, public bodies and other organisations (eg. Health and Safety Executive, local authorities) on environmental issues
- Carrying out statistical analysis, research and development on environmental issues
- Providing public register information to enquirers
- Investigating possible breaches of environmental law
- Assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Regulations request

The NRW may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

Disclosure of information

The NRW will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within fifteen working days of receipt of this form indicating which information it concerns and why it should not be released, giving your reasons in full.

Customer charter

What can I do if I disagree with this compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with officer's line managers using the informal appeals procedure. If you wish to raise your dispute further through our official Complaints and Commendations procedure, phone our general enquiry number 0300 065 3000 (Mon to Fri 08.00 – 18.00) and ask for the Customer Contact team or send an email to enquiries@naturalresourceswales.gov.uk. If you are still dissatisfied you can make a complaint to the Public Services Ombudsman for Wales. For advice on how to complain to the Ombudsman phone their helpline on 0845 607 0987.

Welsh Language

If you would like this form in Welsh please contact your Regulatory Officer.