

## Compliance Assessment Report

Report ID:  
CAR\_NRW0031446

This form will report compliance with your permit as determined by an NRW officer

Site	Cole Contractors Cardiff	Permit Ref	UB3397TY			
Operator/Permit holder	Cole Contractors Cardiff Ltd					
Regime	Waste Operations					
Date of assessment	23/03/2017	Time in	10:00	Out	11:00	
Assessment type	Site Inspection					
Parts of the permit assessed	Operational area					
Lead officer's name	Tye, Laoni					
Accompanied by	Dearle, Nessa					
Recipient's name/position	Steve Nichol/ Director and TCM	Date issued	31/03/2017			

### Section 1 – Compliance Assessment Summary

This is based on the requirements of the permit under the Environmental Permitting Regulations or the licence under the Water Resources Act 1991 as amended by the Water Act 2003. A detailed explanation is captured in "Compliance Assessment Report Detail" (Section 2) and any actions you may need to take are given in the "Action(s)" (section 4). This summary details where we believe any non-compliance with the permit has occurred, the relevant condition and how the non-compliance has been categorised using our Compliance Classification Scheme (CCS). CCS Scores can be consolidated or suspended where appropriate, to reflect the impact of some non-compliances more accurately. For more details of our CCS scheme, contact your local office.

Permit conditions and compliance summary	CCS Category	Condition(s) breached
B3 - Infrastructure - Site drainage engineering (clean and foul)	A	
B4 - Infrastructure - Containment of stored materials	A	
D1 - Incident Management - Site security	A	

**KEY:** See Section 5 for breach categories, suspended scores will be indicated as such.

**A** = Assessed or assessed in part (no evidence of non-compliance), **X** = Action only,

**O** = Ongoing non-compliance, not scored.

Number of breaches recorded	0	Total compliance score (see section 5 for scoring scheme)	0
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If the Number of breaches recorded is greater than zero, please see Section 3 for our proposed enforcement response

## Section 2 – Compliance Assessment Report Detail

This section contains a report of our findings and will usually include information on:

- The part(s) of the permit that were assessed (eg. Maintenance, training, combustion plant, etc)
- Where the type of assessment was 'Data Review' details of the report/results triggering the assessment
- Any non-compliances identified
- Any non-compliances with directly applicable legislation
- Details of any multiple non-compliances
- Information on the compliance score accrued inc.
- Details of advice given
- Any other areas of concern
- Any actions requested
- Any examples of good practice
- A reference to photos taken

Environment officers Laoni Tye and Nessa Dearle visited site on the 23rd March 2017 and met with Steve Nichol. The purpose of the visit was to discuss a potential variation of the permit and associated queries, along with conducting a site inspection. The weather was dry at the time of the visit. There were no breaches recorded during the visit.

### Details of the visit

#### Waste classification

We discussed the types of waste that are received on site and have agreed that some of the waste brought onto site may not be municipal street sweepings 200303. The majority of waste collected in the street sweepers is soil and stone from construction sites where lorries and vehicles track mud around the site and out onto the roads.

We therefore have recommended you apply for a variation to add additional codes to your permit. In the application please include as much information as possible as to why the codes need to be added. Please also include any justification as to why the additional codes will not have any increased risk of the site or change the sites activity (if applicable). Please use the link below for advice and guidance and application forms:

<https://naturalresources.wales/apply-for-a-permit/waste/waste-permitting/apply-to-vary-change-a-permit-for-waste-operations/?lang=en>

### EMS

The EMS will need to be updated to show how you will operate now the site is changing its processes. As discussed there will need to be strict waste pre-acceptance procedures to ensure that any waste types are kept separately, during collection, transport, storage and when they leave site. Any public roads that are swept will need to be classed as municipal. It is up to the site to correctly classify waste and ensure the correct waste codes are used. For advice and guidance on management systems please use the link below:

<https://naturalresources.wales/media/2110/how-to-comply-with-your-environmental-permit.pdf>

As discussed, waste must be coded correctly and due to there being no treatment on site that changes the characteristics of the waste only waste codes accepted onto site should be leaving site. I.e any waste bough onto site as 200303 MUST leave site as this. Please look into what sites can take this waste code in

your area, I believe it will be landfill as I am unaware of any treatment sites in the area. The closest to you I believe are Lamby Way and Bryn Pica.

There were no issues recorded on site during the visit. There was no movement of waste in or out of the site but there was a small volume of waste stored in the first de-watering bay. The waste did not appear to have any contamination and small volumes of litter had been picked out.

Thank you for your time. If you have any queries please get in touch.

Many thanks,

## EPR Compliance Assessment Report

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### Section 3 – Enforcement Response

You must take immediate action to rectify any non-compliance and prevent repetition. Non-compliance with your permit conditions constitutes an offence and can result in criminal prosecutions and/or suspension or revocation of a permit. Please read the detailed assessment in Section 2 and the steps you need to take in Section 4 below.

Other than the provision of advice and guidance, at present we do not intend to take further enforcement action in respect of the non-compliance identified above. This does not preclude us from taking enforcement action if further relevant information comes to light or advice isn't followed.

### Section 4 – Action(s)

This section summarises the actions identified during the assessment along with the timescales for when they will need to be completed.

Criteria Ref.	CCS Category	Action required/advised	Due Date
See Section 1 above			

## Section 5 – Compliance notes for the Operator

To ensure you correct actual or potential non-compliance we may

- Advise on corrective actions verbally or in writing
- Require you to take specific actions verbally or in writing
- Issue a notice
- Require you to review your procedures or management system
- Change some of the conditions of your permit
- Decide to undertake a full review of your permit

Any breach of a permit condition is an offence and we may take legal action against you

- We will normally provide advice and guidance to assist you to come back into compliance either after an offence is committed or where we consider that an offence is likely to be committed. This is without prejudice to any other enforcement response that we consider may be required.
- Enforcement action can include the issue of a formal caution, prosecution, the service of a notice and/or suspension or revocation of the permit.

**See our Enforcement and Civil Sanctions guidance for further information**

This report does not relieve the site operator of the responsibility to

- Ensure you comply with the conditions of the permit at all times and prevent pollution of the environment
- Ensure you comply with other legislative provisions which may apply

### Non-compliance scores and categories

CCS category	Description	Score
C1	A non-compliance that could have a major environmental effect	60
C2	A non-compliance which could have a significant environmental effect	31
C3	A non-compliance which could have a minor environmental effect	4
C4	A non-compliance which has no potential environmental effect	0.1

**Operational Risk Appraisal (Opra)** - Compliance assessment findings may affect your Opra score and/or your charges. This score influences the resource we use to assess permit compliance.

## Section 6 – General information

### Data protection notice

The information on this form will be processed by the Natural Resources Wales (NRW) to fulfil its regulatory and monitoring functions and to maintain the relevant public register(s). The NRW may also use and/or disclose it in connection with:

- Offering/providing you with its literature/services relating to environmental matters
- Consulting with the public, public bodies and other organisations (eg. Health and Safety Executive, local authorities) on environmental issues
- Carrying out statistical analysis, research and development on environmental issues
- Providing public register information to enquirers
- Investigating possible breaches of environmental law
- Assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Regulations request

The NRW may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

### Disclosure of information

The NRW will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within fifteen working days of receipt of this form indicating which information it concerns and why it should not be released, giving your reasons in full.

### Customer charter

#### What can I do if I disagree with this compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with officer's line managers using the informal appeals procedure. If you wish to raise your dispute further through our official Complaints and Commendations procedure, phone our general enquiry number 0300 065 3000 (Mon to Fri 08.00 – 18.00) and ask for the Customer Contact team or send an email to [enquiries@naturalresourceswales.gov.uk](mailto:enquiries@naturalresourceswales.gov.uk). If you are still dissatisfied you can make a complaint to the Public Services Ombudsman for Wales. For advice on how to complain to the Ombudsman phone their helpline on 0845 607 0987.

#### Welsh Language

If you would like this form in Welsh please contact your Regulatory Officer.