	EPR Compliance Assessment Report	Report ID: PAN-005139_150321			
This form will report compliance with your permit as determined by an NRW officer					
Site	North West Biomass Ltd, Unit B&C, Tir Llwyd Enterprise Park, Kinnel Bay, Conway LL18 5JH		Permit Ref	PAN-005139	
Operator/ Permit holder	North West Biomass Ltd				
Date	15 th March 2021		Time in	N/A	Out N/A
What parts of the permit were assessed	Specified by permit				
Assessment		EPR Activity:	Installation: X	Waste Op:	Water Discharge:
Recipient's name/position	Phillip McGrath -Health & Safety Manager				
Officer's name	David Wilby		Date issued	9 th April 2021	

Section 1 - Compliance Assessment Summary					
<p>This is based on the requirements of the permit under the Environmental Permitting Regulations. A detailed explanation and any action you may need to take are given in the "Detailed Assessment of Compliance" (section 3). This summary details where we believe any non-compliance with the permit has occurred, the relevant condition and how the non-compliance has been categorised using our Compliance Classification Scheme (CCS). CCS scores can be consolidated or suspended, where appropriate, to reflect the impact of some non-compliances more accurately. For more details of our CCS scheme, contact your local office.</p>					
Permit Conditions and Compliance Summary				Condition(s) breached	
a) Permitted activities	1. Specified by permit	A			
b) Infrastructure	1. Engineering for prevention & control of pollution				
	2. Closure & decommissioning				
	3. Site drainage engineering (clean & foul)				
	4. Containment of stored materials				
	5. Plant and equipment				
c) General management	1. Staff competency/ training				
	2. Management system & operating procedures				
	3. Materials acceptance				
	4. Storage handling, labelling, segregation				
d) Incident management	1. Site security				
	2. Accident, emergency & incident planning				
e) Emissions	1. Air				
	2. Land & Groundwater				
	3. Surface water				
	4. Sewer				
	5. Waste				
f) Amenity	1. Odour				
	2. Noise				
	3. Dust/fibres/particulates				
	4. Pests, birds & scavengers				
	5. Deposits on road				
g) Monitoring and records, maintenance and reporting	1. Monitoring of emissions & environment				
	2. Records of activity, site diary, journal & events				
	3. Maintenance records				
	4. Reporting & notification				
h) Resource efficiency	1. Efficient use of raw materials				
	2. Energy				
KEY: C1, C2, C3, C4 = CCS breach category (*suspended scores are marked with an asterisk), A = Assessed (no evidence of non-compliance), N = Not assessed, NA = Not Applicable					

CCS record number	N/A	Number of breaches recorded	1	Total compliance score (see section 5 for scoring scheme)	3
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If the Total No Breaches is greater than zero, then please see Section 3 for details of our proposed enforcement response

Section 2 – Compliance Assessment Report Detail

This section contains a report of our findings and will usually include information on:

- the part(s) of the permit that were assessed (e.g. maintenance, training, combustion plant, etc)
- where the type of assessment was 'Data Review' details of the report/results triggering the assessment
- any non-compliances identified
- any non-compliances with directly applicable legislation
- details of any multiple non-compliances
- information on the compliance score accrued inc. details of suspended or consolidated scores.
- details of advice given
- any other areas of concern
- all actions requested
- any examples of good practice.
- a reference to photos taken

This report should be clear, comprehensive, unambiguous and completed within 5 days of an assessment.

Compliance assessment report issued in response to email notification from NW Biomass Ltd of a 'visible emission' from the exhaust stack. Email dated 15/03/21.

No site visit was conducted in this instance

Further email received on 25th March 2021 clarified that the plant was operational but running on 'tick over' due to reduced feedstock availability.

Review of production data provided by the operator covering the period of the 12th March through to 15th March, shows that the plant was operational during this period.

A Ringelmann's card of 2 was recorded on the 14th March 2021

Based on the production data for the period specified, self reporting email and subsequent clarification email, I believe that the plant was not shut down or restarted, feedstock was reduced and the temperature dropped but the plant was operational and under part load.

A compliance classification score of 3 has been applied for breach of permit condition 2.3.1 operating techniques with reference to table S1.2:


a) requirements of Environmental Permitting Technical Note 5/1(18), Final Draft -The tables in section 5 all require a Ringelmann's card of 1 during operation & part load.

There is an action recorded in Section 4 of this report.

Note: This compliance assessment report also acknowledges the self reporting email with supporting production data dated 29th March 2021 detailing shut down of plant operations on the 21st March 2021 and subsequent re start.

If you have any queries regarding this report, please contact David Wilby.

In this document 'Natural Resources Wales' means the Natural Resources Body for Wales established by Article 3 of the Natural Resources Body for Wales (Establishment) order 2012.

	EPR Compliance Assessment Report	Report ID: PAN-005139_130321	
This form will report compliance with your permit as determined by an NRW officer			
Site	North West Biomass Ltd, Unit B&C, Tir Llwyd Enterprise Park, Kinmel Bay, Conway LL18 5JH	Permit	PAN-005139
Operator/ Permit	North West Biomass Ltd	Date	9 th April 2021

Section 3- Enforcement Response		Only one of the boxes below should be ticked	
You must take immediate action to rectify any non-compliance and prevent repetition. Non-compliance with your permit conditions constitutes an offence and can result in criminal prosecutions and/or suspension or revocation of a permit. Please read the detailed assessment in Section 2 and the steps you need to take in Section 4 below.			
Other than the provision of advice and guidance, at present we do not intend to take further enforcement action in respect of the non-compliance identified above. This does not preclude us from taking enforcement action if further relevant information comes to light or advice isn't followed.			X
In respect of the above non-compliance you have been issued with a warning. At present we do not intend to take further enforcement action. This does not preclude us from taking additional enforcement action if further relevant information comes to light or offences continue.			
We will now consider what enforcement action is appropriate and notify you, referencing this form.			

Section 4- Action(s)			
Where non-compliance has been detected and an enforcement response has been selected above, this section summarises the steps you need to take to return to compliance and also provides timescales for this to be done.			
Criteria Ref.	CCS Category	Action Required/Advised	Due Date
See Section 1 above			
2.3.1	3	Action 1 ; Provide a site specific environment management system (EMS) that links operations, management, maintenance, incident management and reporting in with the permit requirements for the site at Kinmel Bay. Ensure that the EMS has a well-defined set of start-up and shut down criteria specific to the Kinmel bay plant.	1/8/2021

Section 5 - Compliance notes for the Operator

To ensure you correct actual or potential non-compliance we may

- advise on corrective actions verbally or in writing
- require you to take specific actions in writing
- issue a notice
- require you to review your procedures or management system
- change some of the conditions of your permit
- decide to undertake a full review of your permit

Any breach of a permit condition is an offence and we may take legal action against you.

● We will normally provide advice and guidance to assist you to come back into compliance either after an offence is committed or where we consider that an offence is likely to be committed. This is without prejudice to any other enforcement response that we consider may be required.

● Enforcement action can include the issue of a formal caution, prosecution, the service of a notice and or suspension or revocation of the permit.

See our Enforcement and Civil Sanctions guidance for further information

This report does not relieve the site operator of the responsibility to

- ensure you comply with the conditions of the permit at all times and prevent pollution of the environment
- ensure you comply with other legislative provisions which may apply.

Non-compliance scores and categories

CCS category	Description	Score
C1	A non-compliance which could have a major environmental effect	60
C2	A non-compliance which could have a significant environmental effect	31
C3	A non-compliance which could have a minor environmental effect	4
C4	A non-compliance which has no potential environmental effect	0.1

Operational Risk Appraisal (Opra) - Compliance assessment findings may affect your Opra score and/or your charges. This score influences the resource we use to assess permit compliance.

Section 6 – General Information

Data protection notice

The information on this form will be processed by the Natural Resources Wales (NRW) to fulfill its regulatory and monitoring functions and to maintain the relevant public register(s). The NRW may also use and/or disclose it in connection with:

- offering/providing you with its literature/services relating to environmental matters
- consulting with the public, public bodies and other organisations (e.g. Health and Safety Executive, local authorities) on environmental issues
- carrying out statistical analysis, research and development on environmental issues
- providing public register information to enquirers
- investigating possible breaches of environmental law and taking any resulting action
- preventing breaches of environmental law
- assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Information Regulations request.

The NRW may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

Disclosure of information

The NRW will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within twenty working days of receipt of this form indicating which information it concerns and why it should not be released, giving your reasons in full.

Customer charter

What can I do if I disagree with this compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with the officer's line managers. If you wish to raise your dispute further through our official Complaints and Commendations procedure, phone our general enquiry number 0300 065 3000 (Mon to Fri 08.00–18.00) and ask for the Customer Contact team or send an email to enquiries@naturalresourceswales.gov.uk. If you are still dissatisfied you can make a complaint to the Public Services Ombudsman for Wales. For advice on how to complain to the Ombudsman phone their helpline on 0845 607 0987.