

Compliance Assessment Report

Report ID:
CAR_NRW0033634

This form will report compliance with your permit as determined by an NRW officer

Site	Unit 2	Permit Ref	RB3993HH		
Operator/Permit holder	Siteserv Recycling (SW) Limited				
Regime	Waste Operations				
Date of assessment	23/05/2018	Time in	12:05	Out	12:15
Assessment type	Site Inspection				
Parts of the permit assessed	Storage area and security				
Lead officer's name	Tye, Laoni				
Accompanied by	Moggridge, Lara				
Recipient's name/position	Nigel England and Carl Jones/ Director and TCM	Date issued	17/07/2018		

Section 1 – Compliance Assessment Summary

This is based on the requirements of the permit under the Environmental Permitting Regulations or the licence under the Water Resources Act 1991 as amended by the Water Act 2003. A detailed explanation is captured in "Compliance Assessment Report Detail" (Section 2) and any actions you may need to take are given in the "Action(s)" (section 4). This summary details where we believe any non-compliance with the permit has occurred, the relevant condition and how the non-compliance has been categorised using our Compliance Classification Scheme (CCS). CCS Scores can be consolidated or suspended where appropriate, to reflect the impact of some non-compliances more accurately. For more details of our CCS scheme, contact your local office.

Permit conditions and compliance summary	CCS Category	Condition(s) breached
C4 - General Management - Storage, handling labelling and Segregation	O	2.1.1
D1 - Incident Management - Site security	C3	1.1.1
F1 - Amenity - Odour	A	

KEY: See Section 5 for breach categories, suspended scores will be indicated as such.

A = Assessed or assessed in part (no evidence of non-compliance), **X** = Action only,

O = Ongoing non-compliance, not scored.

Number of breaches recorded	1	Total compliance score (see section 5 for scoring scheme)	4
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If the Number of breaches recorded is greater than zero, please see Section 3 for our proposed enforcement response

Section 2 – Compliance Assessment Report Detail

This section contains a report of our findings and will usually include information on:

- The part(s) of the permit that were assessed (eg. Maintenance, training, combustion plant, etc)
- Where the type of assessment was 'Data Review' details of the report/results triggering the assessment
- Any non-compliances identified
- Any non-compliances with directly applicable legislation
- Details of any multiple non-compliances
- Information on the compliance score accrued inc.
- Details of advice given
- Any other areas of concern
- Any actions requested
- Any examples of good practice
- A reference to photos taken

Environment officers Laoni Tye and Lara Moggridge visited site on the 23rd May 2018. The site is non-operational and it was closed with no site personnel. There is a compliance notice in force for fire damaged waste to be removed from site. The waste is still on site and although the score is no longer suspended for this breach (due to the notice having been in force for longer than 6 months) we will not be scoring it as a breach on this occasion due to the fact the site was recently visited and scored at that time. It is however recorded below as an ongoing non-compliance. There was a breach for security however which is detailed below.

C4 – Management - Storage, Handling, Labelling and segregation. Category 3 breach. **Ongoing non-compliance, not scored on this occasion***

Condition 2.1.1 Table S1.1 which states that all wastes unless otherwise specified shall be stored and treated inside a building upon an impermeable surface with sealed drainage.

There is fire damaged waste left over from the previous fire stored outside of the building which is not in line with the requirements of the permit. The volume provided by the site has estimated approximately 400 tonnes left on site. There is a compliance notice in force for its removal.

We are concerned that there has not been much progress with the removal of waste. There is still a relatively large volume of waste fines on site along with all the other wastes such as tyres, which all needs to be removed to comply with the notice.

Action: The deadline of 31st August is the deadline for removal. Please proceed with this removal as a matter of urgency.

D1 – site security. Category 3 breach.

Condition 1.1.1 states that the operator shall manage and operate the activities in accordance with a written management system that identifies and minimises risks from pollution...'

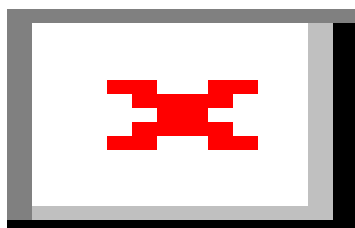
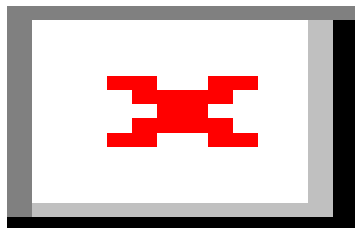
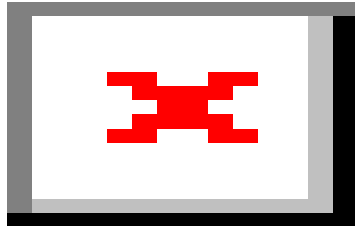
The working plan states that the site will be manned and enclosed with a perimeter fence. There is a large

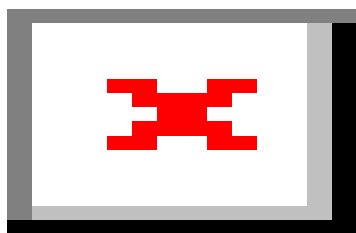
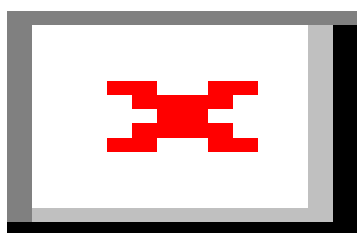
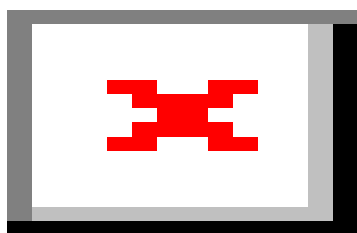
gap in the site fencing which is large enough to fit through with ease. This is putting your site at risk of unwarranted people entering which is cause for concern. We understand that there is a security guard on the estate who assists with this but there is still a requirement for a site to be fully secured.

Action: Please ensure the site is secured by closing any gaps in the fence.

Other comments

We noticed that some of the waste had been moved into the building. We also noticed that the trommell had been moved around since our last visit. We have been advised by yourselves that no trommelling on this site has occurred and that no waste will be processed before being sent off for recovery/disposal.





Many thanks

EPR Compliance Assessment Report

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Section 3 – Enforcement Response

You must take immediate action to rectify any non-compliance and prevent repetition. Non-compliance with your permit conditions constitutes an offence and can result in criminal prosecutions and/or suspension or revocation of a permit. Please read the detailed assessment in Section 2 and the steps you need to take in Section 4 below.

Section 4 – Action(s)

This section summarises the actions identified during the assessment along with the timescales for when they will need to be completed.

Criteria Ref.	CCS Category	Action required/advised	Due Date
See Section 1 above			
D1	C3	Gap needs to be repaired to secure the site	31/08/2018
C4	O	Compliance notice in force	31/08/2018

Section 5 – Compliance notes for the Operator

To ensure you correct actual or potential non-compliance we may

- Advise on corrective actions verbally or in writing
- Require you to take specific actions verbally or in writing
- Issue a notice
- Require you to review your procedures or management system
- Change some of the conditions of your permit
- Decide to undertake a full review of your permit

Any breach of a permit condition is an offence and we may take legal action against you

- We will normally provide advice and guidance to assist you to come back into compliance either after an offence is committed or where we consider that an offence is likely to be committed. This is without prejudice to any other enforcement response that we consider may be required.
- Enforcement action can include the issue of a formal caution, prosecution, the service of a notice and/or suspension or revocation of the permit.

See our Enforcement and Civil Sanctions guidance for further information

This report does not relieve the site operator of the responsibility to

- Ensure you comply with the conditions of the permit at all times and prevent pollution of the environment
- Ensure you comply with other legislative provisions which may apply

Non-compliance scores and categories

CCS category	Description	Score
C1	A non-compliance that could have a major environmental effect	60
C2	A non-compliance which could have a significant environmental effect	31
C3	A non-compliance which could have a minor environmental effect	4
C4	A non-compliance which has no potential environmental effect	0.1

Operational Risk Appraisal (Opra) - Compliance assessment findings may affect your Opra score and/or your charges. This score influences the resource we use to assess permit compliance.

Section 6 – General information

Data protection notice

The information on this form will be processed by the Natural Resources Wales (NRW) to fulfil its regulatory and monitoring functions and to maintain the relevant public register(s). The NRW may also use and/or disclose it in connection with:

- Offering/providing you with its literature/services relating to environmental matters
- Consulting with the public, public bodies and other organisations (eg. Health and Safety Executive, local authorities) on environmental issues
- Carrying out statistical analysis, research and development on environmental issues
- Providing public register information to enquirers
- Investigating possible breaches of environmental law
- Assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Regulations request

The NRW may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

Disclosure of information

The NRW will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within fifteen working days of receipt of this form indicating which information it concerns and why it should not be released, giving your reasons in full.

Customer charter

What can I do if I disagree with this compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with officer's line managers using the informal appeals procedure. If you wish to raise your dispute further through our official Complaints and Commendations procedure, phone our general enquiry number 0300 065 3000 (Mon to Fri 08.00 – 18.00) and ask for the Customer Contact team or send an email to enquiries@naturalresourceswales.gov.uk. If you are still dissatisfied you can make a complaint to the Public Services Ombudsman for Wales. For advice on how to complain to the Ombudsman phone their helpline on 0845 607 0987.

Welsh Language

If you would like this form in Welsh please contact your Regulatory Officer.