

## Compliance Assessment Report

Report ID:  
CAR\_NRW0033966

This form will report compliance with your permit as determined by an NRW officer

|                              |                                                 |             |            |     |       |
|------------------------------|-------------------------------------------------|-------------|------------|-----|-------|
| Site                         | Llantrisant Autos                               | Permit Ref  | BP3695FA   |     |       |
| Operator/Permit holder       | A Griffiths                                     |             |            |     |       |
| Regime                       | Waste Operations                                |             |            |     |       |
| Date of assessment           | 21/09/2018                                      | Time in     | 11:15      | Out | 12:10 |
| Assessment type              | Site Inspection                                 |             |            |     |       |
| Parts of the permit assessed | Management, infrastructure & paperwork          |             |            |     |       |
| Lead officer's name          | Dearle, Nessa                                   |             |            |     |       |
| Accompanied by               | Peppicelli, Claudia                             |             |            |     |       |
| Recipient's name/position    | Andrew Griffiths/ Techninally competent manager | Date issued | 04/10/2018 |     |       |

### Section 1 – Compliance Assessment Summary

This is based on the requirements of the permit under the Environmental Permitting Regulations or the licence under the Water Resources Act 1991 as amended by the Water Act 2003. A detailed explanation is captured in "Compliance Assessment Report Detail" (Section 2) and any actions you may need to take are given in the "Action(s)" (section 4). This summary details where we believe any non-compliance with the permit has occurred, the relevant condition and how the non-compliance has been categorised using our Compliance Classification Scheme (CCS). CCS Scores can be consolidated or suspended where appropriate, to reflect the impact of some non-compliances more accurately. For more details of our CCS scheme, contact your local office.

| Permit conditions and compliance summary                                  | CCS Category | Condition(s) breached |
|---------------------------------------------------------------------------|--------------|-----------------------|
| B1 - Infrastructure - Engineering for prevention and control of emissions | A            |                       |
| C3 - General Management - Materials acceptance                            | A            |                       |
| C4 - General Management - Storage, handling labelling and Segregation     | A            |                       |
| D1 - Incident Management - Site security                                  | A            |                       |

**KEY:** See Section 5 for breach categories, suspended scores will be indicated as such.

**A** = Assessed or assessed in part (no evidence of non-compliance), **X** = Action only,

**O** = Ongoing non-compliance, not scored.

|                             |   |                                                           |   |
|-----------------------------|---|-----------------------------------------------------------|---|
| Number of breaches recorded | 0 | Total compliance score (see section 5 for scoring scheme) | 0 |
|-----------------------------|---|-----------------------------------------------------------|---|

If the Number of breaches recorded is greater than zero, please see Section 3 for our proposed enforcement response

## Section 2 – Compliance Assessment Report Detail

This section contains a report of our findings and will usually include information on:

- The part(s) of the permit that were assessed (eg. Maintenance, training, combustion plant, etc)
- Where the type of assessment was 'Data Review' details of the report/results triggering the assessment
- Any non-compliances identified
- Any non-compliances with directly applicable legislation
- Details of any multiple non-compliances
- Information on the compliance score accrued inc.
- Details of advice given
- Any other areas of concern
- Any actions requested
- Any examples of good practice
- A reference to photos taken

Environment officers Nessa Dearle and Claudia Peppicelli completed an inspection on the 21<sup>st</sup> of September 2018, to assess compliance with the Regulation 36 notice that has been in place on your site. We were shown around site by technically competent manager, Andrew Griffiths.

Thank you for taking the time to show us around site. I was happy to see the progress that has been made in line with your notice.

### Notes

#### Impermeable surface

I was happy to see that almost all the waste from the unconcreted area at the back of your site had been removed in line with your notice. You advised that the remaining soil needed to be tested before it could be removed from site. Thank you for supplying waste transfer notes for the removal of waste from this area. Once the remaining soil has been removed, please provide waste transfer notes to demonstrate this.

As discussed on site, your permit only allows waste storage on an impermeable surface, as such no waste should be stored on the hardstanding surface. Newer permits are available that allow storage on hardstanding surfaces, however, you would need to apply for a variation to change to your permit. Our newer permits require you to submit a fire prevention and mitigation plan with your application and your drainage would need to be sealed. Sealed drainage means that your drainage goes to foul sewer rather than discharging to a watercourse, after treatment through interceptors. You must make the decision on whether you want to go through the process to change your permit or if you intend to continue to limit your waste storage to concreted areas.

#### Drainage system

You advised that you had cleared all drains on site and upon inspection of the interceptor, it was clear that the system was working more effectively. I could see that you had put a drainage channel in place from the front to the back of the site. As these drains are uncovered, they will need to be regularly inspected and maintained, to ensure that the debris doesn't enter the system and cause further blockages. Similarly, your interceptor should be regularly inspected to ensure that the system is working effectively.

### Training records

On site, you discussed the training that had been put in place on site, including spill kit training. However, no written records of this training were produced, as requested in the Section 36 notice. Your training plan should demonstrate that your staff are familiar with your environmental permit and environmental management system (working plan); to allow staff to recognize environmental risks and prevent mismanagement of waste on site.

Since this visit, records and procedures detailing training that is provided to site staff has been submitted. This demonstrates that training is in place on site to identify and mitigate environmental risks.

Following the submission of these details, I am happy that your site has complied with the Section 36 notice that has been in place on site. As such your site is no longer under notice. If you have any queries in relation to any of the details outlined in this form, please do not hesitate to get in contact on the details below.

**Nessa Dearle**

**03000653352**

**[Nessa.dearle@naturalresourceswales.gov.uk](mailto:Nessa.dearle@naturalresourceswales.gov.uk)**

## EPR Compliance Assessment Report

**Report ID:  
CAR\_NRW0033966**

**This form will report compliance with your permit as determined by an NRW officer**

|                        |                   |            |            |
|------------------------|-------------------|------------|------------|
| Site                   | Llantrisant Autos | Permit Ref | BP3695FA   |
| Operator/Permit holder | A Griffiths       | Date       | 21/09/2018 |

### Section 3 – Enforcement Response

You must take immediate action to rectify any non-compliance and prevent repetition. Non-compliance with your permit conditions constitutes an offence and can result in criminal prosecutions and/or suspension or revocation of a permit. Please read the detailed assessment in Section 2 and the steps you need to take in Section 4 below.

We will now consider what enforcement action is appropriate and notify you, referencing this form.

### Section 4 – Action(s)

This section summarises the actions identified during the assessment along with the timescales for when they will need to be completed.

| Criteria Ref.       | CCS Category | Action required/advised | Due Date |
|---------------------|--------------|-------------------------|----------|
| See Section 1 above |              |                         |          |

## Section 5 – Compliance notes for the Operator

To ensure you correct actual or potential non-compliance we may

- Advise on corrective actions verbally or in writing
- Require you to take specific actions verbally or in writing
- Issue a notice
- Require you to review your procedures or management system
- Change some of the conditions of your permit
- Decide to undertake a full review of your permit

Any breach of a permit condition is an offence and we may take legal action against you

- We will normally provide advice and guidance to assist you to come back into compliance either after an offence is committed or where we consider that an offence is likely to be committed. This is without prejudice to any other enforcement response that we consider may be required.
- Enforcement action can include the issue of a formal caution, prosecution, the service of a notice and/or suspension or revocation of the permit.

**See our Enforcement and Civil Sanctions guidance for further information**

This report does not relieve the site operator of the responsibility to

- Ensure you comply with the conditions of the permit at all times and prevent pollution of the environment
- Ensure you comply with other legislative provisions which may apply

### Non-compliance scores and categories

| CCS category | Description                                                          | Score |
|--------------|----------------------------------------------------------------------|-------|
| C1           | A non-compliance that could have a major environmental effect        | 60    |
| C2           | A non-compliance which could have a significant environmental effect | 31    |
| C3           | A non-compliance which could have a minor environmental effect       | 4     |
| C4           | A non-compliance which has no potential environmental effect         | 0.1   |

**Operational Risk Appraisal (Opra)** - Compliance assessment findings may affect your Opra score and/or your charges. This score influences the resource we use to assess permit compliance.

## Section 6 – General information

### Data protection notice

The information on this form will be processed by the Natural Resources Wales (NRW) to fulfil its regulatory and monitoring functions and to maintain the relevant public register(s). The NRW may also use and/or disclose it in connection with:

- Offering/providing you with its literature/services relating to environmental matters
- Consulting with the public, public bodies and other organisations (eg. Health and Safety Executive, local authorities) on environmental issues
- Carrying out statistical analysis, research and development on environmental issues
- Providing public register information to enquirers
- Investigating possible breaches of environmental law
- Assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Regulations request

The NRW may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

### Disclosure of information

The NRW will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within fifteen working days of receipt of this form indicating which information it concerns and why it should not be released, giving your reasons in full.

### Customer charter

#### What can I do if I disagree with this compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with officer's line managers using the informal appeals procedure. If you wish to raise your dispute further through our official Complaints and Commendations procedure, phone our general enquiry number 0300 065 3000 (Mon to Fri 08.00 – 18.00) and ask for the Customer Contact team or send an email to [enquiries@naturalresourceswales.gov.uk](mailto:enquiries@naturalresourceswales.gov.uk). If you are still dissatisfied you can make a complaint to the Public Services Ombudsman for Wales. For advice on how to complain to the Ombudsman phone their helpline on 0845 607 0987.

#### Welsh Language

If you would like this form in Welsh please contact your Regulatory Officer.