

## Compliance Assessment Report

**Report ID:**  
**CAR\_NRW0035082**

**This form will report compliance with your permit as determined by an NRW officer**

Site	C T Skip Hire	Permit Ref	DB3332RH		
Operator/Permit holder	Carl Thompson				
Regime	Waste Operations				
Date of assessment	03/05/2019	Time in	14:50	Out	15:25
Assessment type	Site Inspection				
Parts of the permit assessed	See below				
Lead officer's name	Challender, Paul				
Accompanied by	Williams, Carys				
Recipient's name/position	Mr Carl Thompson/ Operator	Date issued	08/05/2019		

### Section 1 – Compliance Assessment Summary

This is based on the requirements of the permit under the Environmental Permitting Regulations or the licence under the Water Resources Act 1991 as amended by the Water Act 2003. A detailed explanation is captured in "Compliance Assessment Report Detail" (Section 2) and any actions you may need to take are given in the "Action(s)" (section 4). This summary details where we believe any non-compliance with the permit has occurred, the relevant condition and how the non-compliance has been categorised using our Compliance Classification Scheme (CCS). CCS Scores can be consolidated or suspended where appropriate, to reflect the impact of some non-compliances more accurately. For more details of our CCS scheme, contact your local office.

Permit conditions and compliance summary	CCS Category	Condition(s) breached
A1 - Specified by permit	A	
B4 - Infrastructure - Containment of stored materials	C3	Permit Condition 2.3.1, Table 2.3
B5 - Infrastructure - Plant and equipment	A	
C2 - General Management - Management system and operating procedures	C3	Permit Condition 1.1.1, EMS 6.7.3
C4 - General Management - Storage, handling labelling and Segregation	C3	Permit Condition 1.1.1, EMS 4.4.4
D1 - Incident Management - Site security	A	
E1 - Emissions - Air	A	
E5 - Emissions - Waste	A	
F1 - Amenity - Odour	A	
F2 - Amenity - Noise	A	
F3 - Amenity - Dust/fibres/particulates and litter	A	
F4 - Amenity - Pests/birds and scavengers	A	
F5 - Amenity - Deposits on road	A	

**KEY:** See Section 5 for breach categories, suspended scores will be indicated as such.  
**A** = Assessed or assessed in part (no evidence of non-compliance), **X** = Action only,  
**O** = Ongoing non-compliance, not scored.

<b>Number of breaches recorded</b>	<b>3</b>	<b>Total compliance score</b> (see section 5 for scoring scheme)	<b>12</b>
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**If the Number of breaches recorded is greater than zero, please see Section 3 for our proposed enforcement response**

## Section 2 – Compliance Assessment Report Detail

This section contains a report of our findings and will usually include information on:

- The part(s) of the permit that were assessed (eg. Maintenance, training, combustion plant, etc)
- Where the type of assessment was 'Data Review' details of the report/results triggering the assessment
- Any non-compliances identified
- Any non-compliances with directly applicable legislation
- Details of any multiple non-compliances
- Information on the compliance score accrued inc.
- Details of advice given
- Any other areas of concern
- Any actions requested
- Any examples of good practice
- A reference to photos taken

This was a pre-arranged site inspection of the C T Skip Hire permitted site by Environment Officers (EOs) Paul Challender and Carys Williams. Mr Carl Thompson was present to assist the officers for the duration of the inspection visit. During the inspection the weather was cool, still and overcast following a recent period of rain.

The inspection was conducted to assess compliance with the Environmental Permitting Regulations 2016, Regulation 36 notice issued to Mr Carl Thompson on Friday 29th March 2019. The notice required the operator to:

- Effect repairs to the building to ensure it provides sheltering cover and minimises emissions of noise, particulate matter, odour and litter.
- Ensure all non-specified waste is stored within the confines of the building.
- Ensure all non-specified waste is stored on an impermeable surface with sealed drainage system.

These actions were required by the notice to be completed by the date of the inspection, Friday 3rd May 2019.

Prior to the inspection, the regulating officer Paul Challender had received confirmation from Mr Thompson that he had completed repairs to the building and that two 40-yard containers of mixed waste had been removed from the site.

The waste transfer building had previously been in poor condition. Several panels had been missing from the building which, impeded the structure's ability to perform as a building as defined in the Standard Rules permit. Mr Thompson had replaced these panels as required by the recently issued notice, thus fulfilling this notice requirement. It should be noted that the building should be maintained to its current standard as an absolute minimum as it only just fulfils its permit requirement to provide sheltering cover and minimise emissions of noise, particulate matter, odour and litter.



Mixed unsorted skip waste was overflowing from the building. The permit conditions require that all non-specified waste is both stored within a building and on an impermeable surface with sealed drainage. The current quantity of mixed waste stored on the site prohibits the ability to store the waste as per permit conditions. This breach has attracted a CCS score and is discussed below.



Rats were not observed during this inspection.

The construction and demolition waste stockpiles are still present on site however, Mr Thompson had re-profiled the stockpile nearest to the gate to maintain the availability of the quarantined area as detailed by his FPMP. This stockpile nearest the gate is in excess of 4 metres high which, exceeds the storage height allowable under his planning consent. Although the operator is working on this waste stream, volumes are still as high as reported during previous inspections and it is now displaying mixed waste contamination. This is a breach of permit conditions and is discussed below.

Numerous containers and other articles have been removed from the yard.

There are a number of skips in the yard as the operator is bringing these into site at the moment for re-painting.

**The following breaches to the permit have been recorded:**

**B4) Containment of stored materials. Permit Condition 2.3.1, Table 2.3. CCS Score C3.**

Permit Condition 2.3.1 requires the operator to conduct their activities in line with the parameters listed in Table 2.3 Operating techniques. Unless stored or treated outside as specified waste: all bulking, transfer or treatment of waste shall be carried out inside a building, all waste shall be stored in a building or within a secure container and all waste shall be stored and treated on an impermeable surface with sealed drainage system. Currently non-specified waste is still spilling from the waste transfer building and is not stored wholly stored on the impermeable surface with sealed drainage; this is contrary to the permit condition and the terms of the issued notice. This non-compliance will be reassessed on Monday 13th May 2019.

**(C2) Management System and operating procedures. Permit Condition 1.1.1, EMS 6.7.3. CCS Score C3.**

The Environmental Management System (EMS) Section 6.7 refers to site inspection and maintenance procedures. EMS condition 6.7.3 states areas of hardstanding impermeable pavement, sealed drainage system etc., will be inspected monthly. Since the transfer building has been full of mixed waste for over 12 months, it would not have been possible to carry out a full inspection of the impermeable pavement and sealed drainage system. The volume of mixed waste has reduce since my last inspection but it is still spilling out of the building. Mixed skip waste is stored at such a volume that it is no longer able to store it on the impermeable surface and therefore the impermeable surface cannot be seen. Although the sealed sump can be inspected the quantity of waste within the bulking/deposit area of the building has been stored at a quantity which prevents the operator from conducting a full inspection by rotation of the waste stockpile or otherwise.

**(C4) Storage, handling, labelling and segregation - Permit Condition 1.1.1, EMS 4.4.4. CCS Score C3.**

Inert waste is stored in excessive volumes and for excessive periods of time. The inert waste stockpile is essentially a permanent feature of the site. Frequency of removal of inert waste from the site is not sufficient to comply with the 6-month limit stated in the EMS the frequency of the removal of waste should be increased. The high volumes of both stored inert waste and mixed household waste is causing inert waste to become incorporated into the mixed waste and similarly mixed waste to contaminate the inert waste stockpile. Lower volumes of correctly stored waste streams would mitigate against this cross-contamination of waste streams. The operator currently has skips out which, contain inert waste but arrangements have been made to convey these skips direct to an appropriately permitted site.

### **Enforcement action**

Prolonged non-compliant activities on site poses a risk of pollution of the environment and harm to human health. It is recognised that Mr Thompson has suffered external issues which currently affect his circumstances and he is considering surrendering the permit. As a result of the previous inspection a notice was served to reduce volumes of stored waste and to effect repairs to the waste transfer building.

The requirement to effect repairs to the building has been completed.

The requirement to store all non-specified waste within the building has not been completed. The operator has however, made efforts to reduce the quantity of stored non-specified waste with 2 x 40-yard containers leaving the yard last week. It was observed during the inspection that although a portion of the waste was stored outside the building and not on the impermeable pavement that the volume currently present could have fit within the confines of the infrastructure required by the permit conditions. Mr Thompson explained that his shovel loader had broken down that morning and that he had arranged for someone to operate a 360 grab to move the waste into the building. The ineffective shovel loader and the ongoing efforts to correctly store the waste were witnessed by the inspecting officers. In addition, another 40-yard container is being arranged for waste removal week commencing Monday 6th May 2019 with efforts to repair the shovel loader running concurrently.

**As the mixed waste is not stored wholly within the building or completely upon the impermeable surface with sealed drainage system the issued notice has *not* been fully complied with and enforcement action will be considered as a result. Due to the efforts made to comply, the site will be revisited to assess the waste storage terms of the notice on Monday 13th May 2019 as arranged with the operator.**

*In this document 'Natural Resources Wales' means the Natural Resources Body for Wales established by Article 3 of the Natural Resources Body for Wales (Establishment) order 2012.*

## EPR Compliance Assessment Report

**Report ID:  
CAR\_NRW0035082**

**This form will report compliance with your permit as determined by an NRW officer**

Site	C T Skip Hire	Permit Ref	DB3332RH
Operator/Permit holder	Carl Thompson	Date	03/05/2019

### Section 3 – Enforcement Response

You must take immediate action to rectify any non-compliance and prevent repetition. Non-compliance with your permit conditions constitutes an offence and can result in criminal prosecutions and/or suspension or revocation of a permit. Please read the detailed assessment in Section 2 and the steps you need to take in Section 4 below.

We will now consider what enforcement action is appropriate and notify you, referencing this form.

### Section 4 – Action(s)

This section summarises the actions identified during the assessment along with the timescales for when they will need to be completed.

Criteria Ref.	CCS Category	Action required/advised	Due Date
See Section 1 above			
C2	C3	Reduce volume of stored waste to allow the regular inspection of impermeable surface	21/06/2019
C4	C3	Reduce volume of stored inert waste.	21/06/2019
B4	C3	Ensure all non-specified waste is stored within the confines of the building as detailed in the served Regulation 36 notice. Maintain the current condition of the waste transfer building as an absolute minimum.	13/05/2019



## Section 5 – Compliance notes for the Operator

To ensure you correct actual or potential non-compliance we may

- Advise on corrective actions verbally or in writing
- Require you to take specific actions verbally or in writing
- Issue a notice
- Require you to review your procedures or management system
- Change some of the conditions of your permit
- Decide to undertake a full review of your permit

Any breach of a permit condition is an offence and we may take legal action against you

- We will normally provide advice and guidance to assist you to come back into compliance either after an offence is committed or where we consider that an offence is likely to be committed. This is without prejudice to any other enforcement response that we consider may be required.
- Enforcement action can include the issue of a formal caution, prosecution, the service of a notice and/or suspension or revocation of the permit.

**See our Enforcement and Civil Sanctions guidance for further information**

This report does not relieve the site operator of the responsibility to

- Ensure you comply with the conditions of the permit at all times and prevent pollution of the environment
- Ensure you comply with other legislative provisions which may apply

### Non-compliance scores and categories

CCS category	Description	Score
C1	A non-compliance that could have a major environmental effect	60
C2	A non-compliance which could have a significant environmental effect	31
C3	A non-compliance which could have a minor environmental effect	4
C4	A non-compliance which has no potential environmental effect	0.1

**Operational Risk Appraisal (Opra)** - Compliance assessment findings may affect your Opra score and/or your charges. This score influences the resource we use to assess permit compliance.

## Section 6 – General information

### Data protection notice

The information on this form will be processed by the Natural Resources Wales (NRW) to fulfil its regulatory and monitoring functions and to maintain the relevant public register(s). The NRW may also use and/or disclose it in connection with:

- Offering/providing you with its literature/services relating to environmental matters
- Consulting with the public, public bodies and other organisations (eg. Health and Safety Executive, local authorities) on environmental issues
- Carrying out statistical analysis, research and development on environmental issues
- Providing public register information to enquirers
- Investigating possible breaches of environmental law
- Assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Regulations request

The NRW may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

### Disclosure of information

The NRW will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within fifteen working days of receipt of this form indicating which information it concerns and why it should not be released, giving your reasons in full.

### Customer charter

#### What can I do if I disagree with this compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with officer's line managers using the informal appeals procedure. If you wish to raise your dispute further through our official Complaints and Commendations procedure, phone our general enquiry number 0300 065 3000 (Mon to Fri 08.00 – 18.00) and ask for the Customer Contact team or send an email to [enquiries@naturalresourceswales.gov.uk](mailto:enquiries@naturalresourceswales.gov.uk). If you are still dissatisfied you can make a complaint to the Public Services Ombudsman for Wales. For advice on how to complain to the Ombudsman phone their helpline on 0845 607 0987.

#### Welsh Language

If you would like this form in Welsh please contact your Regulatory Officer.