

 Cyfoeth Naturiol Cymru Natural Resources Wales	EPR Compliance Assessment Report		Report ID: UP3237CP/0253026	
This form will report compliance with your permit as determined by an NRW officer				
Site	Rogerstone Park Food Factory EPR/UP3237CP		Permit Ref	UP3237CP
Operator/ Permit holder	Solway Foods Limited			
Date	12/11/2015	Time in	11:30	Out 14:00
What parts of the permit were assessed	OMA for air			
Assessment	Check monitoring/sampling	EPR Activity:	Installation X	Waste Op Water Discharge
Recipient's name/position	Alica Thomas			
Officer's name	David A Willey		Date issued	25/11/2015

Section 1 - Compliance Assessment Summary

This is based on the requirements of the permit under the Environmental Permitting Regulations. A detailed explanation and any action you may need to take are given in the "Detailed Assessment of Compliance" (section 3). This summary details where we believe any non-compliance with the permit has occurred, the relevant condition and how the non-compliance has been categorised using our Compliance Classification Scheme (CCS). CCS scores can be consolidated or suspended, where appropriate, to reflect the impact of some non-compliances more accurately. For more details of our CCS scheme, contact your local office.

Permit Conditions and Compliance Summary

Condition(s) breached

a) Permitted activities	1. Specified by permit	N	
b) Infrastructure	1. Engineering for prevention & control of pollution	N	
	2. Closure & decommissioning	N	
	3. Site drainage engineering (clean & foul)	N	
	4. Containment of stored materials	N	
	5. Plant and equipment	A	
c) General management	1. Staff competency/ training	A	
	2. Management system & operating procedures	A	
	3. Materials acceptance	N	
	4. Storage handling, labelling, segregation	N	
d) Incident management	1. Site security	N	
	2. Accident, emergency & incident planning	N	
e) Emissions	1. Air	A	
	2. Land & Groundwater	N	
	3. Surface water	N	
	4. Sewer	N	
	5. Waste	N	
f) Amenity	1. Odour	N	
	2. Noise	N	
	3. Dust/fibres/particulates	A	
	4. Pests, birds & scavengers	A	
	5. Deposits on road	A	
g) Monitoring and records, maintenance and reporting	1. Monitoring of emissions & environment	N	
	2. Records of activity, site diary, journal & events	N	
	3. Maintenance records	N	
	4. Reporting & notification	N	
h) Resource efficiency	1. Efficient use of raw materials	N	
	2. Energy	N	

KEY: C1, C2, C3, C4 = CCS breach category (* suspended scores are marked with an asterisk),
A = Assessed (no evidence of non-compliance), N = Not assessed, NA = Not Applicable, O = Ongoing non-compliance – not scored

Number of breaches recorded	0	Total compliance score (see section 5 for scoring scheme)	0
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Section 2 – Compliance Assessment Report Detail

This section contains a report of our findings and will usually include information on:

- the part(s) of the permit that were assessed (e.g. maintenance, training, combustion plant, etc)
- where the type of assessment was 'Data Review' details of the report/results triggering the assessment
- any non-compliances identified
- any non-compliances with directly applicable legislation
- details of any multiple non-compliances
- information on the compliance score accrued inc. details of suspended or consolidated scores.
- details of advice given
- any other areas of concern
- all actions requested
- any examples of good practice.
- a reference to photos taken

This report should be clear, comprehensive, unambiguous and normally completed within 14 days of an assessment.

OMA Air assessment undertaken on 12th November 2015

The following points are recommendations made following the OMA audit.

OMA 1 – Management of monitoring

2B – Recommend the identification of a deputy to carry out the monitoring arrangements in the absence of the post holder for continuity.

2D – Site has no emission limits set in the permit. There is no driver to reduce the emissions from site other than improvements in combustion efficiency as a by product of Maintenance. Recommend site plot the incoming data on a control graph to identify long term trends which are used to improve site environmental performance.

2E – Site should be aware of the correct permit requirements and the latest monitoring standards, and not rely wholly on the monitoring company.

OMA 2 – Periodic monitoring and test laboratories

2A - In order to score higher for this requirement the monitoring platforms will need to be brought in to line with M1. The current arrangements suffice for the annual spot sampling required by the permit. The Operator should be able to provide labelling for the stacks.

2G - The operator will need to demonstrate that they can fix any breakdown within 48 hours for a score of 4 or 24 hours to obtain a score of 5.

ACTION: There is not a clear defined walking route to the stack. The Operator needs to demonstrate that the roof used to access the monitoring for A7 is suitable for walking on.

ACTION: The SSP states that the stack has a cowl on that does not allow emissions to disperse correctly and safely. Operator to look at an alternate design, or provide sufficient justification for not changing the design, that is safer for the monitoring personnel and would aid dispersion.

OMA 3 – Not applicable

OMA 4 – Quality assurance

4A - To obtain a higher score they need to be able to advise whether the monitoring company participate in an inter-laboratory proficiency testing scheme and whether the lab used is UKAS accredited to EN ISO/IEC 17025.

4B - To score higher the Operator needs to provide evidence that the data is reviewed for its validity with associated actions if the data is not valid. There should be a systematic process with documented procedure to assess the data.

4D - A clear procedure is required as part of the on site auditing. This would include ensuring that the monitoring is undertaken in line with the agreed SSP. Any evidence for auditing the monitoring reports will gain a higher score, this should feed into the sites management system.

4E - To obtain a score of 5 the operator should be able to demonstrate the effectiveness of the corrective actions as well as evidence of how these corrective actions have been investigated to prove their effectiveness.

The Operator may benefit in some OMA training to raise awareness of the requirements of the permit in regards to monitoring as well as the requirements of the monitoring contractor.

Site inspection

There was a Group Environmental internal audit undertaken at the site. The waste storage area was noted as being a problem and considered as a major non conformance.

Amber Foods, the 2 Sisters Group waste company, have taken note of this non-conformance and have improved their performance on site. Following a previous site visit on the 13th October NRW noted a number of potential permit breaches in regard to waste storage and pests. The visit on the 12th November showed an improved waste collection area with no sign of the waste deposits previously noted.

One area that requires attention are the waste bins used to transport the waste collected from the screening area. Deposits of food waste were visible that have the potential for attracting pests. This was noted by the Operator.

ACTION: The Operator should have a procedure / system in place to ensure that the bins are cleaned regularly and avoid the build up of food waste.

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Section 3- Enforcement Response		Only one of the boxes below should be ticked
You must take immediate action to rectify any non-compliance and prevent repetition. Non-compliance with your permit conditions constitutes an offence and can result in criminal prosecutions and/or suspension or revocation of a permit. Please read the detailed assessment in Section 2 and the steps you need to take in Section 4 below.		
Other than the provision of advice and guidance, at present we do not intend to take further enforcement action in respect of the non-compliance identified above. This does not preclude us from taking enforcement action if further relevant information comes to light or advice isn't followed.	☐	
In respect of the above non-compliance you have been issued with a warning. At present we do not intend to take further enforcement action. This does not preclude us from taking additional enforcement action if further relevant information comes to light or offences continue.	☐	
We will now consider what enforcement action is appropriate and notify you, referencing this form.		

Section 4- Action(s)			
Where non-compliance has been detected and an enforcement response has been selected above, this section summarises the steps you need to take to return to compliance and also provides timescales for this to be done.			
Criteria Ref.	CCS Category	Action Required/Advised	Due Date
See Section 1 above			

Section 5 - Compliance notes for the Operator

To ensure you correct actual or potential non-compliance we may

- advise on corrective actions verbally or in writing
- require you to take specific actions in writing
- issue a notice
- require you to review your procedures or management system
- change some of the conditions of your permit
- decide to undertake a full review of your permit

Any breach of a permit condition is an offence and we may take legal action against you.

● We will normally provide advice and guidance to assist you to come back into compliance either after an offence is committed or where we consider that an offence is likely to be committed. This is without prejudice to any other enforcement response that we consider may be required.

● Enforcement action can include the issue of a formal caution, prosecution, the service of a notice and or suspension or revocation of the permit.

See our Enforcement and Civil Sanctions guidance for further information

This report does not relieve the site operator of the responsibility to

- ensure you comply with the conditions of the permit at all times and prevent pollution of the environment
- ensure you comply with other legislative provisions which may apply.

Non-compliance scores and categories

CCS category	Description	Score
C1	A non-compliance which could have a major environmental effect	60
C2	A non-compliance which could have a significant environmental effect	31
C3	A non-compliance which could have a minor environmental effect	4
C4	A non-compliance which has no potential environmental effect	0.1

Operational Risk Appraisal (Opra) - Compliance assessment findings may affect your Opra score and/or your charges. This score influences the resource we use to assess permit compliance.

Section 6 – General Information

Data protection notice

The information on this form will be processed by the Natural Resources Wales (NRW) to fulfill its regulatory and monitoring functions and to maintain the relevant public register(s). The NRW may also use and/or disclose it in connection with:

- offering/providing you with its literature/services relating to environmental matters
- consulting with the public, public bodies and other organisations (e.g. Health and Safety Executive, local authorities) on environmental issues
- carrying out statistical analysis, research and development on environmental issues
- providing public register information to enquirers
- investigating possible breaches of environmental law and taking any resulting action
- preventing breaches of environmental law
- assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Information Regulations request.

The NRW may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

Disclosure of information

The NRW will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within twenty working days of receipt of this form indicating which information it concerns and why it should not be released, giving your reasons in full.

Customer charter

What can I do if I disagree with this compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with the officer's line managers. If you wish to raise your dispute further through our official Complaints and Commendations procedure, phone our general enquiry number 0300 065 3000 (Mon to Fri 08.00–18.00) and ask for the Customer Contact team or send an email to enquiries@naturalresourceswales.gov.uk. If you are still dissatisfied you can make a complaint to the Public Services Ombudsman for Wales. For advice on how to complain to the Ombudsman phone their helpline on 0845 607 0987.

