Cyfoeth Naturiol Cymru Natural Resources Wales

Compliance Assessment Report

Report ID: CAR_NRW0026581

This form will report compliance with your permit as determined by an NRW officer					
Site	Poeton Cardiff	Permit Ref	BP1772IZ		
Operator/Permit holder	Poeton (Cardiff) Limited				
Regime	Installations				
Date of assessment	13/10/2016	Time in	10:05	Out	12:10
Assessment type	Audit				
Parts of the permit assessed	see below				
Lead officer's name	Jones, Gwyn (Cardiff)				
Accompanied by	Green, Rebecca				
Recipient's name/position	Chris Rochelle/ Poeton	Date issued	04/11/2016		

Section 1 – Compliance Assessment Summary

This is based on the requirements of the permit under the Environmental Permitting Regulations. A detailed explanation is captured in "Compliance Assessment Report Detail" (Section 2) and any actions you may need to take are given in the "Action(s)" (section 4). This summary details where we believe any non-compliance with the permit has occurred, the relevant condition and how the non-compliance has been categorised using our Compliance Classification Scheme (CCS). CCS Scores can be consolidated or suspended where appropriate, to reflect the impact of some non-compliances more accurately. For more details of our CCS scheme, contact your local office.

Permit conditions and compliance summary	**CCS Category**	**Condition(s) breached**

KEY: See Section 5 for breach categories, suspended scores will be indicated as such.
A = Assessed or assessed in part (no evidence of non-compliance), **X** = Action only,
O = Ongoing non-compliance, not scored.

Number of breaches recorded	**0**	**Total compliance score** (see section 5 for scoring scheme)	0

If the Number of breaches recorded is greater than zero, please see Section 3 for our proposed enforcement response

Section 2 – Compliance Assessment Report Detail

This section contains a report of our findings and will usually include information on:

- The part(s) of the permit that were assessed (eg. Maintenance, training, combustion plant, etc)
- Where the type of assessment was 'Data Review' details of the report/results triggering the assessment
- Any non-compliances identified
- Any non-compliances with directly applicable legislation
- Details of any multiple non-compliances
- Information on the compliance score accrued inc.
- Details of advice given
- Any other areas of concern
- Any actions requested
- Any examples of good practice
- A reference to photos taken

Upon being greeted by CHRIS ROCHELLE, REBECCA GREEN and GWYN JONES signed the visitors book and went upstairs to the meeting room.

On entering the meeting room RG and GJ also did introductions with MIKE HOLMES, IAN JAMES and JAN HORTON. (so 6 people around the table).

During general discussions CR mentioned that water usage had increased as activity (i.e. work load) had increased substantially.

GJ noted that no trespass onto the site or vandalism of site assets or damage to pollution control equipment.

CR, IJ and RG discussed the merits of Atotech vs Dipsol methodologies. (Cadmium may be phased out whilst ZnNi may be its replacement).

MH and CR accompanied RG and GJ on a tour of the site. The site tour included,

First port of call was the old NDT area,

Then into Paint prep room,

Walk back down the tank line (i.e. Anodising plant),

Walked through the General Plating area (inc brush plating system),

New cleaning station for Cd (scouring station),

Low Hydrogen Embritlement (LHE) area,

Walked through opening into new build.

GROUND FLOOR – NEW BUILD

Past the storage area,

Inspected the Part marking machine (computerised ink jet),

Then into the new paint shop area (inc 4 booths and 1 mixing booth),

Inspection of the electrostatic unit (complete with its overhead circular rail system),

Also inspected the Mag bench (behind a black curtain).

UPSTAIRS - NEW BUILD

Upstairs is an open plan area (work in progress to set out office space),

CR showed RG and GJ the stack testing location (4 + 1 on outside wall),

Went back downstairs and out through a door to inspect external areas,

Inspected chemical area (inc 2 steel containers with sliding doors incorporates each steel container has an integral drip tray),

GJ Inspected Paint storage steel container (locked asset) opposite the Canteen portacabin,

GJ inspected Maintenance container adjacent to Canteen portacabin,

GJ inspected BIFFA skips (2 of), both OK.

MH explained the purpose of the membrane sheeting pinned down by railway sleepers (purpose prevent / reduce prevalence of Japanese Knotweed),

Whilst walking back past the chemical storage area GJ inspected the compactor (used for special waste e.g. gloves etc.),

Whilst walking to the effluent treatment plant the group stopped and CR and GJ looked inside the Storage container (shipping container and black in colour),

CR and GJ continued down the roadway and discussed the efficiency of the Effluent Treatment Plant and the frequency of testing undertaken by DCWW (level of Chrome in effluent is occasionally flagged up to Poeton but doesn't exceed the discharge limit),

During the debrief CR repeated that SWFRS do a yearly visit to site but do not intend entering site if a fire occurs.

After the debrief the visit concluded at 12:10h

Cyfoeth Naturiol Cymru Natural Resources Wales	**EPR Compliance Assessment Report**	**Report ID: CAR_NRW0026581**

This form will report compliance with your permit as determined by an NRW officer

Site	Poeton Cardiff	Permit Ref	BP1772IZ
Operator/Permit holder	Poeton (Cardiff) Limited	Date	13/10/2016

Section 3 – Enforcement Response

You must take immediate action to rectify any non-compliance and prevent repetition.
Non-compliance with your permit conditions constitutes an offence and can result in criminal prosecutions and/or suspension or revocation of a permit. Please read the detailed assessment in Section 2 and the steps you need to take in Section 4 below.

Other than the provision of advice and guidance, at present we do not intend to take further enforcement action in respect of the non-compliance identified above. This does not preclude us from taking enforcement action if further relevant information comes to light or advice isn't followed.

Section 4 – Action(s)

This section summarises the actions identified during the assessment along with the timescales for when they will need to be completed.

Criteria Ref.	**CCS Category**	**Action required/advised**	**Due Date**
See Section 1 above			

Section 5 – Compliance notes for the Operator

To ensure you correct actual or potential non-compliance we may

- Advise on corrective actions verbally or in writing
- Require you to take specific actions verbally or in writing
- Issue a notice
- Require you to review your procedures or management system
- Change some of the conditions of your permit
- Decide to undertake a full review of your permit

Any breach of a permit condition is an offence and we may take legal action against you

- We will normally provide advice and guidance to assist you to come back into compliance either after an offence is committed or where we consider that an offence is likely to be committed. This is without prejudice to any other enforcement response that we consider may be required.
- Enforcement action can include the issue of a formal caution, prosecution, the service of a notice and/or suspension or revocation of the permit.

See our Enforcement and Civil Sanctions guidance for further information

This report does not relieve the site operator of the responsibility to

- Ensure you comply with the conditions of the permit at all times and prevent pollution of the environment
- Ensure you comply with other legislative provisions which may apply

Non-compliance scores and categories

CCS category	Description	Score
C1	A non-compliance that could have a major environmental effect	60
C2	A non-compliance which could have a significant environmental effect	31
C3	A non-compliance which could have a minor environmental effect	4
C4	A non-compliance which has no potential environmental effect	0.1

Operational Risk Appraisal (Opra) - Compliance assessment findings may affect your Opra score and/or your charges. This score influences the resource we use to assess permit compliance.

Section 6 – General information

Data protection notice

The information on this form will be processed by the Natural Resources Wales (NRW) to fulfil its regulatory and monitoring functions and to maintain the relevant public register(s). The NRW may also use and/or disclose it in connection with:

- Offering/providing you with its literature/services relating to environmental matters
- Consulting with the public, public bodies and other organisations (eg. Health and Safety Executive, local authorities) on environmental issues
- Carrying out statistical analysis, research and development on environmental issues
- Providing public register information to enquirers
- Investigating possible breaches of environmental law
- Assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Regulations request

The NRW may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

Disclosure of information

The NRW will provide a copy of this report to the public register(s). However, if you consider that any information contained in this reports should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within twenty working days of receipt of this form indicating which information it concerns and why it should not be released, giving your reasons in full.

Customer charter

What can I do if I disagree with this compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with officer's line managers using the informal appeals procedure. If you wish to raise your dispute further through our official Complaints and Commendations procedure, phone our general enquiry number 0300 065 3000 (Mon to Fri 08.00 – 18.00) and ask for the Customer Contact team or send an email to enquiries@naturalresourceswales.gov.uk. If you are still dissatisfied you can make a complaint to the Public Services Ombudsman for Wales. For advice on how to complain to the Ombudsman phone their helpline on 0845 607 0987.

Welsh Language
If you would like this form in Welsh please contact your Regulatory Officer.