

This form will report compliance with your permit as determined by an NRW officer

Site	Newport Ceramic Works EPR/BP3532HX	Permit Ref	BP3532HX		
Operator/Permit holder	Kennametal UK Ltd				
Regime	Installations				
Date of assessment	19/06/2018	Time in	N/A	Out	N/A
Assessment type	Report/Data Review				
Parts of the permit assessed	3.1.2 Emissions to Air				
Lead officer's name	Green, Rebecca				
Accompanied by					
Recipient's name/position	James Wham/ EHS Analyst	Date issued	20/07/2018		

Section 1 – Compliance Assessment Summary

This is based on the requirements of the permit under the Environmental Permitting Regulations or the licence under the Water Resources Act 1991 as amended by the Water Act 2003. A detailed explanation is captured in "Compliance Assessment Report Detail" (Section 2) and any actions you may need to take are given in the "Action(s)" (section 4). This summary details where we believe any non-compliance with the permit has occurred, the relevant condition and how the non-compliance has been categorised using our Compliance Classification Scheme (CCS). CCS Scores can be consolidated or suspended where appropriate, to reflect the impact of some non-compliances more accurately. For more details of our CCS scheme, contact your local office.

Permit conditions and compliance summary	CCS Category	Condition(s) breached
A1 - Specified by permit	A	
B1 - Infrastructure - Engineering for prevention and control of emissions	C3	1.1.1 (a) Maintenance procedures
C2 - General Management - Management system and operating procedures	X	
E1 - Emissions - Air	O - Suspended	3.1.2 Emissions to air
	C3	3.1.2 Emissions to air
G1 - Monitoring and Records, Maintenance and Reporting - Monitoring of emissions and environment	A	
G4 - Monitoring and Records, Maintenance and Reporting - Reporting and notification to Natural Resources Wales	A	

KEY: See Section 5 for breach categories, suspended scores will be indicated as such.
A = Assessed or assessed in part (no evidence of non-compliance), **X** = Action only,
O = Ongoing non-compliance, not scored.

Number of breaches recorded	2	Total compliance score (see section 5 for scoring scheme)	8
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If the Number of breaches recorded is greater than zero, please see Section 3 for our proposed enforcement response

Section 2 – Compliance Assessment Report Detail

This section contains a report of our findings and will usually include information on:

- The part(s) of the permit that were assessed (eg. Maintenance, training, combustion plant, etc)
- Where the type of assessment was 'Data Review' details of the report/results triggering the assessment
- Any non-compliances identified
- Any non-compliances with directly applicable legislation
- Details of any multiple non-compliances
- Information on the compliance score accrued inc.
- Details of advice given
- Any other areas of concern
- Any actions requested
- Any examples of good practice
- A reference to photos taken

Natural Resources Wales (NRW) received a Schedule 6 notification from Kennametal on 18th July 2018. Particulate emissions from emission points A3, A6 and A7 had exceeded their respective emission limit values (ELV) when they were monitored on 19th June 2018.

The emission from A3 was measured as 14.7 +/-1.2mg/m³, the ELV is 5mg/m³.

The emission from A7 was measured as 5.31 +/-0.58mg/m³, the ELV is 5mg/m³. This result has not been assessed as a non-compliance because, when the uncertainty is taken into account, this result is very close to the ELV.

Upon further investigation Kennametal have found damaged HEPA filters in both sets of abatement equipment and have also discovered that HEPA filters are sometimes washed and re-used. They have taken immediate action to prohibit the re-use of these filters and also to increase the inspection frequency to weekly.

NRW agree with this course of action and feel that Kennametal should be commended for their prompt action.

The emission from A6 was 63.3 +/-1.9mg/m³, the ELV is 25mg/m³. Control of emissions from this emission point is the subject of a long term project and so the scores for any non-compliances from this emission point are presently suspended.

NRW should be updated regularly on the progress of this project and a frequency of every two weeks is suggested. Kennametal should also send NRW their plan of action, containing timescales and milestones, as we feel that the project has lost some of its momentum.

Kenametal have difficulty meeting the particulate ELVs from some emission points and it may be that a variation would be appropriate to increase the ELVs for particulates from these emission points. However, Kennametal will need to provide more data on emissions and NRW suggest that short term continuous emissions monitoring should be used to provide this data. Once this data has been gathered and analysed it may be possible to change the ELVs to daily emission limits.

NRW will be happy to discuss this proposal further with Kennametal.

Maintenance procedures for all abatement equipment will be audited this year.

END.

EPR Compliance Assessment Report

**Report ID:
CAR_NRW0033655**

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Section 3 – Enforcement Response

You must take immediate action to rectify any non-compliance and prevent repetition. Non-compliance with your permit conditions constitutes an offence and can result in criminal prosecutions and/or suspension or revocation of a permit. Please read the detailed assessment in Section 2 and the steps you need to take in Section 4 below.

Other than the provision of advice and guidance, at present we do not intend to take further enforcement action in respect of the non-compliance identified above. This does not preclude us from taking enforcement action if further relevant information comes to light or advice isn't followed.

Section 4 – Action(s)

This section summarises the actions identified during the assessment along with the timescales for when they will need to be completed.

Criteria Ref.	CCS Category	Action required/advised	Due Date
See Section 1 above			
E1	O	Continue investigation into improving control of emissions and update NRW every 2 weeks.	28/09/2018
E1	C3	Check HEPA filters more frequently and do not re-use them.	03/08/2018
C2	X	Ensure HEPA filters are not washed and re-used.	03/08/2018
B1	C3	Improve maintenance procedures.	03/08/2018

Section 5 – Compliance notes for the Operator

To ensure you correct actual or potential non-compliance we may

- Advise on corrective actions verbally or in writing
- Require you to take specific actions verbally or in writing
- Issue a notice
- Require you to review your procedures or management system
- Change some of the conditions of your permit
- Decide to undertake a full review of your permit

Any breach of a permit condition is an offence and we may take legal action against you

- We will normally provide advice and guidance to assist you to come back into compliance either after an offence is committed or where we consider that an offence is likely to be committed. This is without prejudice to any other enforcement response that we consider may be required.
- Enforcement action can include the issue of a formal caution, prosecution, the service of a notice and/or suspension or revocation of the permit.

See our Enforcement and Civil Sanctions guidance for further information

This report does not relieve the site operator of the responsibility to

- Ensure you comply with the conditions of the permit at all times and prevent pollution of the environment
- Ensure you comply with other legislative provisions which may apply

Non-compliance scores and categories

CCS category	Description	Score
C1	A non-compliance that could have a major environmental effect	60
C2	A non-compliance which could have a significant environmental effect	31
C3	A non-compliance which could have a minor environmental effect	4
C4	A non-compliance which has no potential environmental effect	0.1

Operational Risk Appraisal (Opra) - Compliance assessment findings may affect your Opra score and/or your charges. This score influences the resource we use to assess permit compliance.

Section 6 – General information

Data protection notice

The information on this form will be processed by the Natural Resources Wales (NRW) to fulfil its regulatory and monitoring functions and to maintain the relevant public register(s). The NRW may also use and/or disclose it in connection with:

- Offering/providing you with its literature/services relating to environmental matters
- Consulting with the public, public bodies and other organisations (eg. Health and Safety Executive, local authorities) on environmental issues
- Carrying out statistical analysis, research and development on environmental issues
- Providing public register information to enquirers
- Investigating possible breaches of environmental law
- Assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Regulations request

The NRW may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

Disclosure of information

The NRW will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within fifteen working days of receipt of this form indicating which information it concerns and why it should not be released, giving your reasons in full.

Customer charter

What can I do if I disagree with this compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with officer's line managers using the informal appeals procedure. If you wish to raise your dispute further through our official Complaints and Commendations procedure, phone our general enquiry number 0300 065 3000 (Mon to Fri 08.00 – 18.00) and ask for the Customer Contact team or send an email to enquiries@naturalresourceswales.gov.uk. If you are still dissatisfied you can make a complaint to the Public Services Ombudsman for Wales. For advice on how to complain to the Ombudsman phone their helpline on 0845 607 0987.

Welsh Language

If you would like this form in Welsh please contact your Regulatory Officer.