

Compliance Assessment Report

Report ID:
CAR_NRW0031547

This form will report compliance with your permit as determined by an NRW officer

Site	Waun-y-Pound EPR/BX3376IG	Permit Ref	BX3376IG
Operator/Permit holder	CONTINENTAL TEVES UK LTD		
Regime	Installations		
Date of assessment	06/04/2017	Time in	N/A
Assessment type	Report/Data Review		
Parts of the permit assessed	2.2.2.8 Emissions to sewer		
Lead officer's name	Green, Rebecca		
Accompanied by			
Recipient's name/position	Chris Johns/ EHS & Site Services Co-ordinator	Date issued	27/04/2017

Section 1 – Compliance Assessment Summary

This is based on the requirements of the permit under the Environmental Permitting Regulations or the licence under the Water Resources Act 1991 as amended by the Water Act 2003. A detailed explanation is captured in "Compliance Assessment Report Detail" (Section 2) and any actions you may need to take are given in the "Action(s)" (section 4). This summary details where we believe any non-compliance with the permit has occurred, the relevant condition and how the non-compliance has been categorised using our Compliance Classification Scheme (CCS). CCS Scores can be consolidated or suspended where appropriate, to reflect the impact of some non-compliances more accurately. For more details of our CCS scheme, contact your local office.

Permit conditions and compliance summary	CCS Category	Condition(s) breached
B1 - Infrastructure - Engineering for prevention and control of emissions	X	
C1 - General Management - Staff competency/training	X	
C2 - General Management - Management system and operating procedures	C4	2.3.3
E4 - Emissions - Sewer	C4	2.2.2.8
G1 - Monitoring and Records, Maintenance and Reporting - Monitoring of emissions and environment	A	
G4 - Monitoring and Records, Maintenance and Reporting - Reporting and notification to Natural Resources Wales	X	

KEY: See Section 5 for breach categories, suspended scores will be indicated as such.
A = Assessed or assessed in part (no evidence of non-compliance), **X** = Action only,
O = Ongoing non-compliance, not scored.

Number of breaches recorded	2	Total compliance score (see section 5 for scoring scheme)	0.2
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If the Number of breaches recorded is greater than zero, please see Section 3 for our proposed enforcement response

Section 2 – Compliance Assessment Report Detail

This section contains a report of our findings and will usually include information on:

- The part(s) of the permit that were assessed (eg. Maintenance, training, combustion plant, etc)
- Where the type of assessment was 'Data Review' details of the report/results triggering the assessment
- Any non-compliances identified
- Any non-compliances with directly applicable legislation
- Details of any multiple non-compliances
- Information on the compliance score accrued inc. Details of advice given
- Any other areas of concern
- Any actions requested
- Any examples of good practice
- A reference to photos taken

On 6th April 2017 Natural Resources Wales were informed by telephone that Continental Teves (CT) had breached their emission limit value (ELV) for zinc emissions to sewer. Appropriate action was taken promptly to prevent further emissions to sewer. The non-compliant emission was short-lived and CT estimated that 24mg of excess Zn was emitted to sewer. There was no risk to the environment or to subsequent waste water treatment processes at Welsh Water's works.

CT have been issued with two Cat4 non-compliances because this permit breach has highlighted a weakness in CT's operating procedures, as well as breaching an ELV.

The Schedule 1 Notification was not received until 26th April, but NRW were informed of the breach, by telephone, within 2 hours of its discovery. The notification form should have been received sooner, even if Part B was incomplete.

NRW received a copy of CT's internal 8D Corrective Action Report which identified the root causes and actions necessary to minimise a recurrence. NRW fully accept these conclusions and actions and their completion dates of 30th May 2017, which take precedence over the dates given in Section 4 of this CAR. Please send confirmation to NRW by 9th June 2017 that these actions have been completed.

CT took prompt and effective action to minimise any environmental risk. Their review of the incident was comprehensive and identified the root cause and actions necessary to prevent a recurrence. The investigation procedures obviously work well and are adhered to and CT should be commended for using such a robust system.

END.



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Resources
Wales**

EPR Compliance Assessment Report

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Site	Waun-y-Pound EPR/BX3376IG	Permit Ref	BX3376IG
Operator/Permit holder	CONTINENTAL TEVES UK LTD	Date	06/04/2017

Section 3 – Enforcement Response

You must take immediate action to rectify any non-compliance and prevent repetition. Non-compliance with your permit conditions constitutes an offence and can result in criminal prosecutions and/or suspension or revocation of a permit. Please read the detailed assessment in Section 2 and the steps you need to take in Section 4 below.

Other than the provision of advice and guidance, at present we do not intend to take further enforcement action in respect of the non-compliance identified above. This does not preclude us from taking enforcement action if further relevant information comes to light or advice isn't followed.

Section 4 – Action(s)

This section summarises the actions identified during the assessment along with the timescales for when they will need to be completed.

Criteria Ref.	CCS Category	Action required/advised	Due Date
See Section 1 above			
E4	C4	Investigate cause of permit breach. Report findings to NRW. Take necessary corrective action.	26/05/2017
G4	X	Ensure Schedule 1 notifications are sent to NRW in a timely manner.	28/04/2017
C2	C4	Ensure procedures are in place, updated and communicated to all concerned.	12/05/2017
C1	X	Ensure operators know action to take when waste storage tank is full. Improve training.	26/04/2017
B1	X	Ensure floor washings cannot routinely enter effluent treatment system.	26/04/2017

Section 5 – Compliance notes for the Operator

To ensure you correct actual or potential non-compliance we may

- Advise on corrective actions verbally or in writing
- Require you to take specific actions verbally or in writing
- Issue a notice
- Require you to review your procedures or management system
- Change some of the conditions of your permit
- Decide to undertake a full review of your permit

Any breach of a permit condition is an offence and we may take legal action against you

- We will normally provide advice and guidance to assist you to come back into compliance either after an offence is committed or where we consider that an offence is likely to be committed. This is without prejudice to any other enforcement response that we consider may be required.
- Enforcement action can include the issue of a formal caution, prosecution, the service of a notice and/or suspension or revocation of the permit.

See our Enforcement and Civil Sanctions guidance for further information

This report does not relieve the site operator of the responsibility to

- Ensure you comply with the conditions of the permit at all times and prevent pollution of the environment
- Ensure you comply with other legislative provisions which may apply

Non-compliance scores and categories

CCS category	Description	Score
C1	A non-compliance that could have a major environmental effect	60
C2	A non-compliance which could have a significant environmental effect	31
C3	A non-compliance which could have a minor environmental effect	4
C4	A non-compliance which has no potential environmental effect	0.1

Operational Risk Appraisal (Opra) - Compliance assessment findings may affect your Opra score and/or your charges. This score influences the resource we use to assess permit compliance.

Section 6 – General information

Data protection notice

The information on this form will be processed by the Natural Resources Wales (NRW) to fulfil its regulatory and monitoring functions and to maintain the relevant public register(s). The NRW may also use and/or disclose it in connection with:

- Offering/providing you with its literature/services relating to environmental matters
- Consulting with the public, public bodies and other organisations (eg. Health and Safety Executive, local authorities) on environmental issues
- Carrying out statistical analysis, research and development on environmental issues
- Providing public register information to enquirers
- Investigating possible breaches of environmental law
- Assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Regulations request

The NRW may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

Disclosure of information

The NRW will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within fifteen working days of receipt of this form indicating which information it concerns and why it should not be released, giving your reasons in full.

Customer charter

What can I do if I disagree with this compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with officer's line managers using the informal appeals procedure. If you wish to raise your dispute further through our official Complaints and Commendations procedure, phone our general enquiry number 0300 065 3000 (Mon to Fri 08.00 – 18.00) and ask for the Customer Contact team or send an email to enquiries@naturalresourceswales.gov.uk. If you are still dissatisfied you can make a complaint to the Public Services Ombudsman for Wales. For advice on how to complain to the Ombudsman phone their helpline on 0845 607 0987.

Welsh Language

If you would like this form in Welsh please contact your Regulatory Officer.