

Compliance Assessment Report for: CAR_NRW0037421

Permit number	BX9471IU	Operator name	Tata Steel (UK) Ltd.
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Site name	Trostre Works
Site address	Trostre, Llanelli, Carmarthenshire, SA14 9SD
Type of assessment	Report Review

Date of assessment	28 th January 2020
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Parts of permit assessed	Part Review of 2020 Quarter 2, Quarter 3 and Quarter 4 Monitoring submissions
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NRW Lead officer	Neil Herbert	Accompanied by	N/A
Report sent to – Name and position	Darryl Lewis Energy & Environment Operations Manager	Date	28 th January 2020

1. Summary of our findings (full details in section 4)

Part of permitted activity assessed (use action criteria below)	Assessment result	Permit condition
G1 Monitoring and records, maintenance and reporting – Monitoring of emissions and environment	Assessed or assessed in part (A)	
G4 Monitoring and records, maintenance and reporting – Reporting and notification to Natural Resources Wales	Assessed or assessed in part (A)	
E1 Emissions – Air	Assessed or assessed in part (A)	
E3 Emissions – Surface water	Assessed or assessed in part (A)	
G4 Monitoring and records, maintenance and reporting – Reporting and notification to Natural Resources Wales	Assessed or assessed in part (A)	

Result types are explained in more detail in the 'Important Information' section below.

Total number of non-compliances recorded
0

2. What action is required?

Criteria	Action needed	Complete by

Action criteria codes are listed in the 'Important information' section below.

3. What will happen next?

Any non-compliance we have identified and recorded on this form is an offence. It can result in criminal prosecutions and/or suspension or revocation of your permit.

No non-compliances were recorded during this assessment

4. Details of our assessment

Introduction

- This is a partial review of the Quarter 2 to Quarter 4 2020 monitoring submissions made by the operator in July 2020, September 2020 and January 2021 (G4).
- The Quarter 1 2020 monitoring submission has already been assessed – see CAR CAR_NRW0036770, dated 8th July 2020.

Comments/Observations:

Air Quality 2020

Quarter 2 Air (A6 - Extractive Monitoring)

- Based on the information provided, the emission from the A6 emission point was compliant with the permit's specified emission limits, on the date of the monitoring (June 2020). e1
- The report was received within the reporting deadline specified in this permit (g4)

Quarter 3 Air (A6 - Extractive Monitoring)

- Based on the information provided, the emission from the A6 emission point was compliant with the permit's specified emission limits, on the date of the monitoring (September 2020). e1
- The report was received within the reporting deadline specified in this permit (g4)

Quarter 4 Air (A6, A24, A25, A26, A27 & A1- Extractive Monitoring)

- Based on the information provided, the emission from the A6, A24, A25, A26, A27 & A1 emission points were compliant with the permit's specified emission limits, on the date of the monitoring (December 2020). (e1)
- The report was received within the reporting deadline specified in this permit (g4)

Water Emission 2020

Quarter 2 Surface Water Emission - W1 & W2

- Based on the information provided, the emission from the W1 and W2 emission points were compliant with the permit's specified emission limits the three months reported. e3
- The report was received within the reporting deadline specified in this permit (g4)

Quarter 3 Surface Water Emission - W1 & W2

- Based on the information provided, the emission from the W1 and W2 emission points were compliant with the permit's specified emission limits the three months reported. e3
- The report was received within the reporting deadline specified in this permit (g4)

Quarter 4 Surface Water Emission - W1 & W2

- Based on the information provided, the emission from the W1 and W2 emission points were compliant with the permit's specified emission limits the three months reported. e3
- The report was received within the reporting deadline specified in this permit (g4)

2020 Annual Surface Water W1 Mass Release

- Based on the information provided, the mass release emission from the W1 emission point were compliant with the permit's specified emission limits during the 2020 period. e3

2020 Annual Production

- The operator has also submitted the annual production and other process summaries as part of the Jan 2021 submission.

If you have any queries about this report, or wish to discuss completion of any actions, please contact the NRW Officer named above.

End of section 4

Important information

Legal status of this report

Your permit is issued to you under the Environmental Permitting Regulations. You have a responsibility to comply with the conditions of your permit and prevent pollution/harm of the environment. You must also ensure that you comply with any other relevant legislation that may apply to your site's operations.

This report explains the findings of our assessment and any action you are required to take. We categorise non-compliance using our guidance for assessing non-compliance at regulated sites.

When we find potential non-compliance/s we will normally give you advice on how to maintain compliance.

To correct non-compliance, we may:

- require you to take specific actions
- issue a notice
- review the conditions of your permit.

Any advice and guidance we give will be without prejudice to any other enforcement response that we consider may be required.

Assessment results and non-compliance categories (used in section 1):

Assessment result	Description
Assessed (A)	Assessed or assessed in part, no evidence of non-compliance found in the aspects assessed.
Action only (X)	Action only relating to the activity assessment
Ongoing (O)	Ongoing non-compliance, not scored

Non-compliance category	Description
C1 Major	Potential to have a major, serious, persistent and/or extensive impact or effect on the environment, people and/or property
C2 Significant	Potential to have a significant impact or effect on the environment, people and/or property
C3 Minor	Potential to have a minor or minimal impact or effect on the environment, people and/or property
C4 No environmental impact	Non-compliance at a regulated site that cannot foreseeably have any impact on the environment, people and/or property

Full list of Industry and Waste action criteria (used in section 1 and 2):

A: Permitted activities

- A1 Specified by permit

B: Infrastructure

- B1 Infrastructure – Engineering for prevention and control of emissions
- B2 Infrastructure – Closure and decommissioning
- B3 Infrastructure – Site drainage engineering (clean and foul)
- B4 Infrastructure – Containment of stored materials
- B5 Infrastructure – Plant and equipment

C: General management

- C1 General management – Staff competency/training
- C2 General management – Management system and operating procedures
- C3 General management – Materials acceptance
- C4 General management – Storage, handling, labelling and segregation

D: Incident management

- D1 Incident management – Site security
- D2 Incident management – Accidents, emergency and incident planning

E: Emissions

- E1 Emissions – Air
- E2 Emissions – Land and groundwater
- E3 Emissions – Surface water
- E4 Emissions – Sewer
- E5 Emissions – Waste

F: Amenity

- F1 Amenity – Odour
- F2 Amenity – Noise
- F3 Amenity – Dust/fibres/particulates and litter
- F4 Amenity – Pests/birds and scavengers
- F5 Amenity – Deposits on road

G: Monitoring and records, maintenance and reporting

- G1 Monitoring and records, maintenance and reporting – Monitoring of emissions and environment
- G2 Monitoring and records, maintenance and reporting – Records of activity, site diary/journal/events
- G3 Monitoring and records, maintenance and reporting – Maintenance records
- G4 Monitoring and records, maintenance and reporting – Reporting and notification to Natural Resources Wales

H: Resources efficiency

- H1 Resource efficiency – Efficient use of raw materials
- H2 Resource efficiency – Energy efficiency

Enforcement response

Any permit condition non-compliance is an offence and we may take legal action against you. Action we take can include prosecution, formal caution or warnings. We may also take a protective response to a permit non-compliance and this can include serving a notice on you and/or suspension or revocation of your permit. See our Enforcement and Sanctions Guidance for further information.

Data protection notice

You should make sure that anyone named in this report knows that the information it contains will be processed by Natural Resources Wales to fulfil its regulatory and monitoring functions and to maintain the relevant public register(s).

We may also use and/or disclose the report in connection with:

- offering or providing you with our literature or services relating to environmental matters
- consulting with the public, public bodies and other organisations (e.g. Health and Safety Executive, local authorities) on environmental issues
- carrying out statistical analysis, research and development on environmental issues
- providing public register information to enquirers
- investigating possible breaches of environmental law
- assessing customer service satisfaction and improving our service
- Freedom of Information Act or Environmental Information Regulations requests.

We may also pass it on to our agents or representatives to do these things on our behalf.

Disclosure of information – this report will be available to view on-line

If you think this report contains commercially confidential information that should not be placed on our public register, you must contact your local Natural Resources Wales office within **fifteen working days** of receiving this report, using the contact details in the accompanying email or letter. You must give a full explanation of why it should not be added to our public register, including specifying which information is commercially confidential. We will assess your request and respond to you within 20 working days to let you know if we agree to your request.

What do I do if I disagree with the report or have a complaint?

If you disagree with this compliance assessment report, you should contact the lead officer without delay to discuss your concerns.

If you are unable to resolve the issue with the lead officer or their line manager you should contact our Customer Contact team on 0300 065 3000 (Monday to Friday 08:00 – 18:00), or email enquiries@naturalresourceswales.gov.uk for details of how to raise your dispute further through our Complaints and Commendations procedure.

If you are dissatisfied with our response, you can contact the Public Services Ombudsman for Wales by phone on 0300 7900203 or by email at ask@ombudsman.wales

Welsh Language Standards

We are committed to establishing Natural Resources Wales as a naturally bilingual organisation. We will provide compliance reports in your preferred language.