

	EPR Compliance Assessment Report	Report ID: I.150226.34014		
This form will report compliance with your permit as determined by an NRW officer				
Site	Swansea Bailing Plant	Permit Ref	EPR – QB303RW	
Operator/ Permit holder	City and county of Swansea			
Date	11 February 2015	Time in	10:00	12:00
What parts of the permit were assessed				
Assessment	Site Inspection	EPR Activity:	Installation	Waste Op x Water Discharge
Recipient's name/position	Chris Fender/Wynn Lawrence			
Officer's name	Chris Palmer	Date issued	26 February 2015	

Section 1 - Compliance Assessment Summary

This is based on the requirements of the permit under the Environmental Permitting Regulations. A detailed explanation and any action you may need to take are given in the "Detailed Assessment of Compliance" (section 3). This summary details where we believe any non-compliance with the permit has occurred, the relevant condition and how the non-compliance has been categorised using our Compliance Classification Scheme (CCS). CCS scores can be consolidated or suspended, where appropriate, to reflect the impact of some non-compliances more accurately. For more details of our CCS scheme, contact your local office.

Permit Conditions and Compliance Summary

Condition(s) breached

a) Permitted activities	1. Specified by permit		
b) Infrastructure	1. Engineering for prevention & control of pollution	A	
	2. Closure & decommissioning		
	3. Site drainage engineering (clean & foul)	A	
	4. Containment of stored materials	A	
	5. Plant and equipment		
c) General management	1. Staff competency/ training		
	2. Management system & operating procedures		
	3. Materials acceptance	A	
	4. Storage handling, labelling, segregation	A	
d) Incident management	1. Site security	A	
	2. Accident, emergency & incident planning	A	
e) Emissions	1. Air		
	2. Land & Groundwater		
	3. Surface water		
	4. Sewer		
	5. Waste		
f) Amenity	1. Odour		
	2. Noise		
	3. Dust/fibres/particulates		
	4. Pests, birds & scavengers	O	
	5. Deposits on road		
g) Monitoring and records, maintenance and reporting	1. Monitoring of emissions & environment		
	2. Records of activity, site diary, journal & events		
	3. Maintenance records		
	4. Reporting & notification		
h) Resource efficiency	1. Efficient use of raw materials		
	2. Energy	A	

KEY: C1, C2, C3, C4 = CCS breach category (* suspended scores are marked with an asterisk),
A = Assessed (no evidence of non-compliance), N = Not assessed, NA = Not Applicable, O = Ongoing non-compliance – not scored

Number of breaches recorded		Total compliance score (see section 5 for scoring scheme)	
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If the Total No Breaches is greater than zero, then please see Section 3 for details of our proposed enforcement response

Section 2 – Compliance Assessment Report Detail

This section contains a report of our findings and will usually include information on:

- | | |
|--|---|
| ➤ the part(s) of the permit that were assessed (e.g. maintenance, training, combustion plant, etc) | ➤ information on the compliance score accrued inc. details of suspended or consolidated scores. |
| ➤ where the type of assessment was 'Data Review' details of the report/results triggering the assessment | ➤ details of advice given |
| ➤ any non-compliances identified | ➤ any other areas of concern |
| ➤ any non-compliances with directly applicable legislation | ➤ all actions requested |
| ➤ details of any multiple non-compliances | ➤ any examples of good practice. |
| | ➤ a reference to photos taken |

This report should be clear, comprehensive, unambiguous and normally completed within 14 days of an assessment.

Chris

Thanks for the meeting and accompanying me on the inspection.

The main points of discussion were

- **Oil interceptor** – The interceptor has finally been installed. Surface water drainage will now exit site, via the full retention interceptor installed in the highways department depot. The interceptor is fitted with a level alarm linked to the office in case of overflow or incident.
- **Wheel washing infrastructure.** New wheel washing facilities will be installed on the footprint of the former bio-filter. The facility will include rainwater harvesting, accomplished by the installation of a new collection tank. This will include the ability to top up with mains water as necessary. All foul water will be directed to the foul drainage system.
- **Materials Facility sampling requirement** – As part of the MF requirements under EPR, we discussed how you would comply with the sampling requirements. You provided a demonstration of your impressive bespoke sorting machine. A full and detailed audit under MF requirements will be carried out in the future.
- **New food waste bay** – The new food waste storage bay has been in use for a number of months. You explained that capacity was exceeded during the Christmas period, but you would be able to manage this increased throughput more effectively next Christmas. We have not received any odour complaints up to this point. More effective management of seagulls and other birds is required. Upon inspection large flocks were present.
- **Permit variation** – The permit variation to increase permitted area and to include the dirty MRF is still to be carried out. Please contact me for pre-app discussions as required.

No issues noted on site.

Please contact me to discuss any of the above.

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Section 3- Enforcement Response	Only one of the boxes below should be ticked
You must take immediate action to rectify any non-compliance and prevent repetition. Non-compliance with your permit conditions constitutes an offence and can result in criminal prosecutions and/or suspension or revocation of a permit. Please read the detailed assessment in Section 2 and the steps you need to take in Section 4 below.	
Other than the provision of advice and guidance, at present we do not intend to take further enforcement action in respect of the non-compliance identified above. This does not preclude us from taking enforcement action if further relevant information comes to light or advice isn't followed.	☐
In respect of the above non-compliance you have been issued with a warning. At present we do not intend to take further enforcement action. This does not preclude us from taking additional enforcement action if further relevant information comes to light or offences continue.	☐
We will now consider what enforcement action is appropriate and notify you, referencing this form.	☐

Section 4- Action(s)			
Where non-compliance has been detected and an enforcement response has been selected above, this section summarises the steps you need to take to return to compliance and also provides timescales for this to be done.			
Criteria Ref.	CCS Category	Action Required/Advised	Due Date
See Section 1 above			

Section 5 - Compliance notes for the Operator

To ensure you correct actual or potential non-compliance we may

- advise on corrective actions verbally or in writing
- require you to take specific actions in writing
- issue a notice
- require you to review your procedures or management system
- change some of the conditions of your permit
- decide to undertake a full review of your permit

Any breach of a permit condition is an offence and we may take legal action against you.

● We will normally provide advice and guidance to assist you to come back into compliance either after an offence is committed or where we consider that an offence is likely to be committed. This is without prejudice to any other enforcement response that we consider may be required.

● Enforcement action can include the issue of a formal caution, prosecution, the service of a notice and or suspension or revocation of the permit.

See our Enforcement and Civil Sanctions guidance for further information

This report does not relieve the site operator of the responsibility to

- ensure you comply with the conditions of the permit at all times and prevent pollution of the environment
- ensure you comply with other legislative provisions which may apply.

Non-compliance scores and categories

CCS category	Description	Score
C1	A non-compliance which could have a major environmental effect	60
C2	A non-compliance which could have a significant environmental effect	31
C3	A non-compliance which could have a minor environmental effect	4
C4	A non-compliance which has no potential environmental effect	0.1

Operational Risk Appraisal (Opra) - Compliance assessment findings may affect your Opra score and/or your charges. This score influences the resource we use to assess permit compliance.

Section 6 – General Information

Data protection notice

The information on this form will be processed by the Natural Resources Wales (NRW) to fulfill its regulatory and monitoring functions and to maintain the relevant [public register\(s\)](#). The NRW may also use and/or disclose it in connection with:

- offering/providing you with its literature/services relating to environmental matters
- consulting with the public, public bodies and other organisations (e.g. Health and Safety Executive, local authorities) on environmental issues
- carrying out statistical analysis, research and development on environmental issues
- providing public register information to enquirers
- investigating possible breaches of environmental law and taking any resulting action
- preventing breaches of environmental law
- assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Information Regulations request.

The NRW may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

Disclosure of information

The NRW will provide a copy of this report to the [public register\(s\)](#). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within twenty working days of receipt of this form indicating which information it concerns and why it should not be released, giving your reasons in full.

[Customer charter](#)

What can I do if I disagree with this compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with the officer's line managers. If you wish to raise your dispute further through our official [Complaints](#) and Commendations procedure, phone our general enquiry number 0300 065 3000 (Mon to Fri 08.00–18.00) and ask for the [Customer Contact](#) team or send an email to enquiries@naturalresourceswales.gov.uk. If you are still dissatisfied you can make a complaint to the [Public Services Ombudsman for Wales](#). For advice on how to complain to the Ombudsman phone their helpline on 0845 607 0987.