

This form will report compliance with your permit as determined by an NRW officer

Site	Baling Plant Transfer Station	Permit Ref	QB3023RW			
Operator/Permit holder	City & County of Swansea					
Regime	Waste Operation					
Date of assessment	23/06/2016	Time in	13:30	Out	14:30	
Assessment type	Inspection					
Parts of the permit assessed	MRF Activities					
Lead officer's name	Sally Wakeford	Accompanied by		David Morgan		
Recipient's name/position	Chris Fender	Date issued	13/07/2016			

Section 1 – Compliance Assessment Summary

This is based on the requirements of the permit under the Environmental Permitting Regulations. A detailed explanation is captured in "Compliance Assessment Report Detail" (Section 2) and any actions you may need to take are given in the "Action(s)" (Section 4). This summary details where we believe any non-compliance with the permit has occurred, the relevant condition and how the non-compliance has been categorised using our Compliance Classification Scheme (CCS). CCS Scores can be consolidated or suspended where appropriate, to reflect the impact of some non-compliances more accurately. For more details of our CCS scheme, contact your local office.

Permit conditions and compliance summary	CCS Category	Condition(s) breached
A1 - Specified by permit	A	
B1 - Infrastructure - Engineering for prevention and control of emissions	N	
B2 - Infrastructure - Closure and decommissioning	N	
B3 - Infrastructure - Site drainage engineering (clean and foul)	N	
B4 - Infrastructure - Containment of stored materials	N	
B4 - Infrastructure – Plant and equipment	N	
C1 - General Management - Staff competency/training	A	
C2 - General Management - Management system and operating procedures	N	
C3 - General Management - Materials acceptance	A	
C4 - General Management - Storage, handling labelling, labelling and Segregation	A	
D1 - Incident Management - Site security	N	
D2 - Incident Management - accidents, emergency and incident planning	N	
E1 - Emissions - Air	N	
E2 - Emissions - Land and groundwater	N	
E3 - Emissions - Surface water	N	
E4 - Emissions - Sewer	N	
E5 - Emissions - Waste	N	
F1 - Amenity - Odour	N	
F2 - Amenity - Noise	N	
F3 - Amenity - Dust/ fibres/ particulates/ litter	N	
F4 - Amenity - Pests/birds/scavengers	N	
F5 - Amenity - Deposits on road	N	
G1 - Monitoring and Records, Maintenance and Reporting - Monitoring of emissions and environment	N	
G2 - Monitoring and Records, Maintenance and Reporting - Records of activity, site diary/journal/events	N	
G3 - Monitoring and Records, Maintenance and Reporting - Maintenance records	N	
G4 - Monitoring and Records, Maintenance and Reporting - Reporting and notification to Natural Resources Wales	A	
H1 - Resource Efficiency - Efficient use of raw materials	N	
H2 - Resource Efficiency - Energy efficiency	N	

KEY: See Section 4 for breach categories, suspended scores will be indicated as such.

A = Assessed or assessed in part (no evidence of non-compliance), **N** = Not Assessed, **X** = Action only

Number of breaches recorded	0	Total compliance score (see section 5 for scoring scheme)	0
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If the number of breaches recorded is greater than zero, please see Section 3 for our proposed enforcement response

Section 2 – Compliance Assessment Report Detail

This section contains a report of our findings and will usually include information on:

- The part(s) of the permit that were assessed (e.g. maintenance, training, combustion plant, etc.)
- Where the type of assessment was 'Data Review' details of the report/results triggering the assessment
- Any non-compliances identified
- Any non-compliances with directly applicable legislation
- Details of any multiple non-compliances
- Information on the compliance score accrued including:
 - details of advice given;
 - any other areas of concern;
 - any actions requested;
 - any examples of good practice;
 - a reference to photos taken.

On 23 June 2016 the site was inspected by Sally Wakeford and David Morgan, for activities undertaken at the Material Recycling Facility (MRF) namely the sorting of cans and glass from Swansea Council's curb side collection.

We briefly discussed the waste returns submitted by you for Q1 (January to March) 2016. According to the analysis of these waste returns provided to me, you are currently fulfilling requirements regarding the sampling and the reporting of your sampling.

There was one small note regarding using the MF1 code on the front page of the returns, however you explained that this is such a small part of the activities the site undertakes overall, you feel it is better suited to using the A15 code. We undertook an inspection on the waste facility, no breaches were found during our visit.

The input wastes appeared consistent with reported sampling and waste code. The first bay consistent of input waste, the second contained a "residual" waste from the process which would be put through the system again to obtain better quality outputs.

Photograph showing inputs



Photograph showing waste to go through the system for a second pass.



The glass output contained some contaminates, however the ring pulls and straws would weigh very little, and therefore appears consistent with your sampling of the wastes. You informed me your customers were happy with the quality of this output.

Photographs showing glass outputs.





Output steel and aluminium also appeared consistent with the sampling reported on the recent returns.
Photographs showing outputs





You have a large amount of stockpiled aluminium and steel cans, and as such it shows on your waste returns that very little has been removed from site compared to the inputs. You informed me this is due to the lower price for the metals, and you were waiting for the price to increase to move these on. Please bear in mind that if wastes of this type are left on site for any length of time this can create an issue with flies and odour. At the time of the inspection this was not an issue.

You have moved your sampling area to a quieter area, with less moving traffic and away from waste sorting areas. This is an improvement as there is less chance of cross contamination. You still use your miniature trommel, and store the samples in battery boxes prior to sampling.

You have a spreadsheet of all incoming wastes to the MRF, which calculates when samples are required to be taken. You showed me your spreadsheet which is completed for samples taken for incoming and outgoing wastes, which also informs of the size and frequency a sample should be taken.

We discussed the changing of the requirements in October for the sampling. The input sampling regime change is the only one affecting your operations. Which will need to be increased in frequency, from every 160 tonnes to every 125 tonnes of input wastes.

Thank you for your time during the inspection.

In this document 'Natural Resources Wales' means the Natural Resource Body for Wales established by Article 3 of the Natural Resource Body for Wales (Establishment) Order 2012.

END

Compliance Assessment Report

Report ID: NRW0000230616

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Site	Baling Plant Transfer Station	Permit Ref	QB3932RW
Operator/Permit holder	City & County of Swansea	Date	13/07/2016

Section 3 – Enforcement Response

Only one box should be ticked

You must take immediate action to rectify any non-compliance and prevent repetition.

Non-compliance with your permit conditions constitutes an offence and can result in criminal prosecutions and/or suspension or revocation of a permit. Please read the detailed assessment in Section 2 and the steps you need to take in Section 4 below.

Other than the provision of advice and guidance, at present we do not intend to take further enforcement action in respect of the non-compliance identified above. This does not preclude us from taking enforcement action if further relevant information comes to light or advice isn't followed.

In respect of the above non-compliance you have been issued with a warning. At present we do not intend to take further enforcement action. This does not preclude us from taking additional enforcement action if further relevant information comes to light or offences continue.

We will now consider what enforcement action is appropriate and notify you, referencing this form.

Section 4 – Action(s)

This section summarises the actions identified during the assessment along with the timescales for when they will need to be completed.

Criteria Ref.	CCS Category	Action required/ advised	Due Date
See Section 1 above			

Section 5 – Compliance notes for the Operator

To ensure you correct actual or potential non-compliance we may

- Advise on corrective actions verbally or in writing
- Require you to take specific actions verbally or in writing
- Issue a notice
- Require you to review your procedures or management system
- Change some of the conditions of your permit
- Decide to undertake a full review of your permit

Any breach of a permit condition is an offence and we may take legal action against you

- We will normally provide advice and guidance to assist you to come back into compliance either after an offence is committed or where we consider that an offence is likely to be committed. This is without prejudice to any other enforcement response that we consider may be required.
- Enforcement action can include the issue of a formal caution, prosecution, the service of a notice and/or suspension or revocation of the permit.

See our Enforcement and Civil Sanctions guidance for further information

This report does not relieve the site operator of the responsibility to

- Ensure you comply with the conditions of the permit at all times and prevent pollution of the environment
- Ensure you comply with other legislative provisions which may apply

Non-compliance scores and categories

CCS category	Description	Score
C1	A non-compliance that could have a major environmental effect	60
C2	A non-compliance which could have a significant environmental effect	31
C3	A non-compliance which could have a minor environmental effect	4
C4	A non-compliance which has no potential environmental effect	0.1

Operational Risk Appraisal (Opra) - Compliance assessment findings may affect your Opra score and/or your charges. This score influences the resource we use to assess permit compliance.

Section 6 – General information

Data protection notice

The information on this form will be processed by the Natural Resources Wales (NRW) to fulfil its regulatory and monitoring functions and to maintain the relevant public register(s). The NRW may also use and/or disclose it in connection with:

- Offering/providing you with its literature/services relating to environmental matters
- Consulting with the public, public bodies and other organisations (e.g. Health and Safety Executive, local authorities) on environmental issues
- Carrying out statistical analysis, research and development on environmental issues
- Providing public register information to enquirers
- Investigating possible breaches of environmental law
- Assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Regulations request

The NRW may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

Disclosure of information

The NRW will provide a copy of this report to the public register(s). However, if you consider that any information contained in this reports should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within twenty working days of receipt of this form indicating which information it concerns and why it should not be released, giving your reasons in full.

Customer charter

What can I do if I disagree with this compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with officer's line managers using the informal appeals procedure. If you wish to raise your dispute further through our official Complaints and Commendations procedure, phone our general enquiry number 0300 065 3000 (Mon to Fri 08.00 – 18.00) and ask for the Customer Contact team or send an email to enquiries@naturalresourceswales.gov.uk. If you are still dissatisfied you can make a complaint to the Public Services Ombudsman for Wales. For advice on how to complain to the Ombudsman phone their helpline on 0845 607 0987.

Welsh Language

If you would like this form in Welsh please contact your Regulatory Officer.