

Compliance Assessment Report

Report ID:
CAR_NRW0026346

This form will report compliance with your permit as determined by an NRW officer

Site	Clyne Civic Amenity Site	Permit Ref	HB3397TK		
Operator/Permit holder	City And County Of Swansea				
Regime	Waste Operations				
Date of assessment	06/09/2016 - 07/09/2016	Time in	N/A	Out	N/A
Assessment type	Report/Data Review				
Parts of the permit assessed	1.3, 1.7.1, Table 1.7, 6.3.1				
Lead officer's name	Thomas, Ffion				
Accompanied by					
Recipient's name/position	Matthew Perkins/ Project/contract manager	Date issued	12/01/2017		

Section 1 – Compliance Assessment Summary

This is based on the requirements of the permit under the Environmental Permitting Regulations. A detailed explanation is captured in "Compliance Assessment Report Detail" (Section 2) and any actions you may need to take are given in the "Action(s)" (section 4). This summary details where we believe any non-compliance with the permit has occurred, the relevant condition and how the non-compliance has been categorised using our Compliance Classification Scheme (CCS). CCS Scores can be consolidated or suspended where appropriate, to reflect the impact of some non-compliances more accurately. For more details of our CCS scheme, contact your local office.

Permit conditions and compliance summary	CCS Category	Condition(s) breached
A1 - Specified by permit	A	
C2 - General Management - Management system and operating procedures	A	
G4 - Monitoring and Records, Maintenance and Reporting - Reporting and notification to Natural Resources Wales	A	

KEY: See Section 5 for breach categories, suspended scores will be indicated as such.

A = Assessed or assessed in part (no evidence of non-compliance), **X** = Action only,

O = Ongoing non-compliance, not scored.

Number of breaches recorded	0	Total compliance score (see section 5 for scoring scheme)	0
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If the Number of breaches recorded is greater than zero, please see Section 3 for our proposed enforcement response

Section 2 – Compliance Assessment Report Detail

This section contains a report of our findings and will usually include information on:

- The part(s) of the permit that were assessed (eg. Maintenance, training, combustion plant, etc)
- Where the type of assessment was 'Data Review' details of the report/results triggering the assessment
- Any non-compliances identified
- Any non-compliances with directly applicable legislation
- Details of any multiple non-compliances
- Information on the compliance score accrued inc.
- Details of advice given
- Any other areas of concern
- Any actions requested
- Any examples of good practice
- A reference to photos taken

This Compliance Assessment Report (CAR) form has been completed following Natural Resources Wales (NRW) review of the of an updated copy of the "City & County of Swansea Clyne Household Waste recycling Centre Environmental Management Plan Permit number EPR/HB3397TK August 2016" "August 2016 rev 4" received by NRW on the 31st August 2016 by email in compliance with Schedule 1 "steps to be taken" of the regulatory 36 Enforcement Notice served in relation to noise compliance.

Permit Conditions 1.7 - covers amendments to the working plan (Environmental management plan EMP)

Permit Condition 1.7.1- has been complied with through the prior notice in writing of any proposed changes to the sections of the working plan as specified in table 1.7 of the permit.

Permit Condition 1.7.2- is considered to have been complied with as the proposed changes to the working plan have been undertaken to reflect the changes associated with the Noise Action Management Plan (as required by the reg 36 notice) and as such "a written assessment of the effects that implementing the proposed changes to the working plan would have on risk posed by the site to human health and the environment" as detailed under condition 1.7.2 is considered to have been undertaken in the Noise Action Management Plan (NMAP)

Permit Condition 1.7.3- The regulatory officer no longer considers it necessary to provide 6 copies of the proposed changes as electronic copies as advancements in NRW electronic filing since permit issue has improved filing and sharing of documents.

Permit Condition 1.7.4- states the proposed changes to the working plan shall not be implemented unless the Agency (NWR) has given written consent to it.

The following comments are made having reviewed the submitted proposed changes to the EMP.

EMP Section 1.3.1 – there appears to be a contradiction in the preparation opening times. Section 1.3.1 states the site preparation opening time will be at 8am, whilst section 6.3.7, appendix 5 proposes to restrict preparation prior to 8:25am.

Permit condition 1.3.1 states "The waste management activities authorised by this licence shall only be carried out within the time specified in section 1.3 of the working plan" NRW do not consider site preparation as being a waste management activity and therefore the preparation opening time is not considered to be a breach of permit condition 1.3.1

However whilst the preparations of the site are not considered to be waste management activities (as stipulated in table 1.1 of the permit) any preoperational works need to be undertaken in compliance with condition 6.3.1 of the permit and section 6.3 of the EMP which proposes to incorporate appendix 5.

ACTION CCS- Clarification of preoperational opening times required by 18th September (or otherwise agreed in writing to NRW)

EMP Section 3.1 – Additional update suggested to include and reflect the installation of acoustic barrier fencing at specified locations identified in the Noise Management Action Plan.

EMP Section 3.1.5- Suggest ensuring the CCTV provisions reflect current and proposed future set up.

EMP Section 4.1.1 - Suggest Mechanical sweeping practices are up dated to reflect those identified in the Noise Action Management Plan

EMP Section 4.6.20- the amendments to the plate glass waste acceptance are noted. The EMP states the "service temporarily withdrawn to comply with noise action plan" note that any proposed changes to reaccept would require the prior notice to NRW of any proposed changes to the EMP.

EMP Section 6.3.7 - Now incorporates the NMAP summary as appendix 5.

NRW are unable to confirm consent to this updated working plan until such time as City & County Swansea responses to the above made comments. **A response is requested by 18th September** or as otherwise agreed in writing by NRW.

END.

EPR Compliance Assessment Report

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Site	Clyne Civic Amenity Site	Permit Ref	HB3397TK
Operator/Permit holder	City And County Of Swansea	Date	06/09/2016

Section 3 – Enforcement Response

You must take immediate action to rectify any non-compliance and prevent repetition.
Non-compliance with your permit conditions constitutes an offence and can result in criminal prosecutions and/or suspension or revocation of a permit. Please read the detailed assessment in Section 2 and the steps you need to take in Section 4 below.

Section 4 – Action(s)

This section summarises the actions identified during the assessment along with the timescales for when they will need to be completed.

Criteria Ref.	CCS Category	Action required/advised	Due Date
See Section 1 above			

Section 5 – Compliance notes for the Operator

To ensure you correct actual or potential non-compliance we may

- Advise on corrective actions verbally or in writing
- Require you to take specific actions verbally or in writing
- Issue a notice
- Require you to review your procedures or management system
- Change some of the conditions of your permit
- Decide to undertake a full review of your permit

Any breach of a permit condition is an offence and we may take legal action against you

- We will normally provide advice and guidance to assist you to come back into compliance either after an offence is committed or where we consider that an offence is likely to be committed. This is without prejudice to any other enforcement response that we consider may be required.
- Enforcement action can include the issue of a formal caution, prosecution, the service of a notice and/or suspension or revocation of the permit.

See our Enforcement and Civil Sanctions guidance for further information

This report does not relieve the site operator of the responsibility to

- Ensure you comply with the conditions of the permit at all times and prevent pollution of the environment
- Ensure you comply with other legislative provisions which may apply

Non-compliance scores and categories

CCS category	Description	Score
C1	A non-compliance that could have a major environmental effect	60
C2	A non-compliance which could have a significant environmental effect	31
C3	A non-compliance which could have a minor environmental effect	4
C4	A non-compliance which has no potential environmental effect	0.1

Operational Risk Appraisal (Opra) - Compliance assessment findings may affect your Opra score and/or your charges. This score influences the resource we use to assess permit compliance.

Section 6 – General information

Data protection notice

The information on this form will be processed by the Natural Resources Wales (NRW) to fulfil its regulatory and monitoring functions and to maintain the relevant public register(s). The NRW may also use and/or disclose it in connection with:

- Offering/providing you with its literature/services relating to environmental matters
- Consulting with the public, public bodies and other organisations (eg. Health and Safety Executive, local authorities) on environmental issues
- Carrying out statistical analysis, research and development on environmental issues
- Providing public register information to enquirers
- Investigating possible breaches of environmental law
- Assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Regulations request

The NRW may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

Disclosure of information

The NRW will provide a copy of this report to the public register(s). However, if you consider that any information contained in this reports should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within twenty working days of receipt of this form indicating which information it concerns and why it should not be released, giving your reasons in full.

Customer charter

What can I do if I disagree with this compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with officer's line managers using the informal appeals procedure. If you wish to raise your dispute further through our official Complaints and Commendations procedure, phone our general enquiry number 0300 065 3000 (Mon to Fri 08.00 – 18.00) and ask for the Customer Contact team or send an email to enquiries@naturalresourceswales.gov.uk. If you are still dissatisfied you can make a complaint to the Public Services Ombudsman for Wales. For advice on how to complain to the Ombudsman phone their helpline on 0845 607 0987.

Welsh Language

If you would like this form in Welsh please contact your Regulatory Officer.