

Compliance Assessment Report

Report ID:
CAR_NRW0033532

This form will report compliance with your permit as determined by an NRW officer

Site	Glass Tech Recycling Ltd	Permit Ref	YB3993HW			
Operator/Permit holder	Glass Tech Recycling Ltd					
Regime	Waste Operations					
Date of assessment	22/05/2018	Time in	10:30	Out	12:30	
Assessment type	Audit					
Parts of the permit assessed	Permitted area					
Lead officer's name	Davies, Gareth					
Accompanied by						
Recipient's name/position	Karen John/ Director	Date issued	27/06/2018			

Section 1 – Compliance Assessment Summary

This is based on the requirements of the permit under the Environmental Permitting Regulations or the licence under the Water Resources Act 1991 as amended by the Water Act 2003. A detailed explanation is captured in "Compliance Assessment Report Detail" (Section 2) and any actions you may need to take are given in the "Action(s)" (section 4). This summary details where we believe any non-compliance with the permit has occurred, the relevant condition and how the non-compliance has been categorised using our Compliance Classification Scheme (CCS). CCS Scores can be consolidated or suspended where appropriate, to reflect the impact of some non-compliances more accurately. For more details of our CCS scheme, contact your local office.

Permit conditions and compliance summary	CCS Category	Condition(s) breached
A1 - Specified by permit	A	
B1 - Infrastructure - Engineering for prevention and control of emissions	A	
B4 - Infrastructure - Containment of stored materials	A	
C1 - General Management - Staff competency/training	A	
C3 - General Management - Materials acceptance	A	
F1 - Amenity - Odour	A	
F2 - Amenity - Noise	A	

KEY: See Section 5 for breach categories, suspended scores will be indicated as such.

A = Assessed or assessed in part (no evidence of non-compliance), **X** = Action only,

O = Ongoing non-compliance, not scored.

Number of breaches recorded	0	Total compliance score (see section 5 for scoring scheme)	0
-----------------------------	---	--	---

If the Number of breaches recorded is greater than zero, please see Section 3 for our proposed enforcement response

Section 2 – Compliance Assessment Report Detail

This section contains a report of our findings and will usually include information on:

- The part(s) of the permit that were assessed (eg. Maintenance, training, combustion plant, etc)
- Where the type of assessment was 'Data Review' details of the report/results triggering the assessment
- Any non-compliances identified
- Any non-compliances with directly applicable legislation
- Details of any multiple non-compliances
- Information on the compliance score accrued inc.
- Details of advice given
- Any other areas of concern
- Any actions requested
- Any examples of good practice
- A reference to photos taken

Present

Karen John (KJ) - Glass Tech Recycling Limited (GTRL)

Gareth Davies (GD) - Natural Resources Wales (NRW)

This was an unannounced inspection to assess GTRL's compliance with their environmental permit, EPR/YB3993HW.

KJ gave an update to current and future operations on site ahead of a site tour.

KJ confirmed that they have recently secured funding to purchasing 3 colour separators to replace the manual picking line. The manual picking line hasn't achieved the desired throughput. This order has been placed and is awaiting delivery, expected during June. Manufacture of the frame is being undertaken off site. The picking line will be removed and replaced by the colour separators. These machines will require limited installation and testing so should be up and running quickly. It is scheduled that the colour separators will be fitted by the first week of July.

The separators will have the capacity to treat 15 tonnes per hour of material. The material will be separated in 3 stages; other (ceramics and plastic) and glass, clear and other glass and then green and amber. This enables GTRL to satisfy different orders from different customers. This will ensure that the business is resilient for future markets and demands. Following installation the written management system for GTRL operations at Kings Dock should be updated to reflect this change in treatment activity and its operation.

In order to meet the demand for upcoming orders GTRL intend to run a 24/7 shift pattern with time included for down time and maintenance. GTRL are in the process of recruiting staff in order to run the shifts

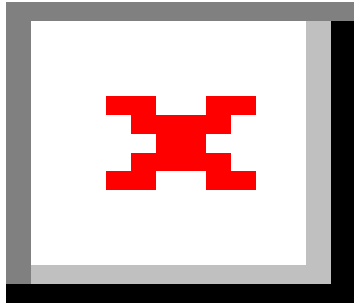
Site Tour

The treatment operation was not running at the time of the inspection ahead of the installation of the separators in place of the picking line.

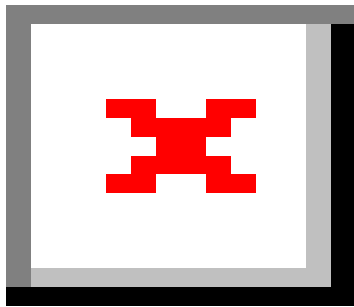
A number of waste stockpiles were being stored on site. All of these were being stored within the building as required within Table S1.1 of the permit. Some manual and mechanical treatment of window panes and component parts of windows was also being undertaken ahead of preparing for export of material via the dock side. These treatment operations were compliant with Table S1.1 of the permit. These treatment activities have been relocated from another site operated by GTRL as part of upcoming relocation.

The stockpiles were beginning stored separately and KJ was able to identify each of the stockpiles source / content and the intended destination for each of the stockpiles. The photographs below show of the stockpiles and a description of their content.

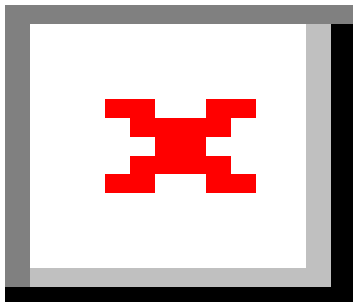
Stockpile of material awaiting export



Stockpile of bottles - This has been kept as a separate stockpile.



Stockpile of glass window panes awaiting export.



Once the colour separators have been installed and the further operations relocated from GTRL's other permitted site, it is recommended that the written management system and site plans / layout be updated to reflect the operations being undertaken on site.

There were no non-compliances identified during the site inspection.

END

EPR Compliance Assessment Report

**Report ID:
CAR_NRW0033532**

This form will report compliance with your permit as determined by an NRW officer

Site	Glass Tech Recycling Ltd	Permit Ref	YB3993HW
Operator/Permit holder	Glass Tech Recycling Ltd	Date	22/05/2018

Section 3 – Enforcement Response

You must take immediate action to rectify any non-compliance and prevent repetition. Non-compliance with your permit conditions constitutes an offence and can result in criminal prosecutions and/or suspension or revocation of a permit. Please read the detailed assessment in Section 2 and the steps you need to take in Section 4 below.

Section 4 – Action(s)

This section summarises the actions identified during the assessment along with the timescales for when they will need to be completed.

Criteria Ref.	CCS Category	Action required/advised	Due Date
See Section 1 above			

Section 5 – Compliance notes for the Operator

To ensure you correct actual or potential non-compliance we may

- Advise on corrective actions verbally or in writing
- Require you to take specific actions verbally or in writing
- Issue a notice
- Require you to review your procedures or management system
- Change some of the conditions of your permit
- Decide to undertake a full review of your permit

Any breach of a permit condition is an offence and we may take legal action against you

- We will normally provide advice and guidance to assist you to come back into compliance either after an offence is committed or where we consider that an offence is likely to be committed. This is without prejudice to any other enforcement response that we consider may be required.
- Enforcement action can include the issue of a formal caution, prosecution, the service of a notice and/or suspension or revocation of the permit.

See our Enforcement and Civil Sanctions guidance for further information

This report does not relieve the site operator of the responsibility to

- Ensure you comply with the conditions of the permit at all times and prevent pollution of the environment
- Ensure you comply with other legislative provisions which may apply

Non-compliance scores and categories

CCS category	Description	Score
C1	A non-compliance that could have a major environmental effect	60
C2	A non-compliance which could have a significant environmental effect	31
C3	A non-compliance which could have a minor environmental effect	4
C4	A non-compliance which has no potential environmental effect	0.1

Operational Risk Appraisal (Opra) - Compliance assessment findings may affect your Opra score and/or your charges. This score influences the resource we use to assess permit compliance.

Section 6 – General information

Data protection notice

The information on this form will be processed by the Natural Resources Wales (NRW) to fulfil its regulatory and monitoring functions and to maintain the relevant public register(s). The NRW may also use and/or disclose it in connection with:

- Offering/providing you with its literature/services relating to environmental matters
- Consulting with the public, public bodies and other organisations (eg. Health and Safety Executive, local authorities) on environmental issues
- Carrying out statistical analysis, research and development on environmental issues
- Providing public register information to enquirers
- Investigating possible breaches of environmental law
- Assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Regulations request

The NRW may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

Disclosure of information

The NRW will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within fifteen working days of receipt of this form indicating which information it concerns and why it should not be released, giving your reasons in full.

Customer charter

What can I do if I disagree with this compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with officer's line managers using the informal appeals procedure. If you wish to raise your dispute further through our official Complaints and Commendations procedure, phone our general enquiry number 0300 065 3000 (Mon to Fri 08.00 – 18.00) and ask for the Customer Contact team or send an email to enquiries@naturalresourceswales.gov.uk. If you are still dissatisfied you can make a complaint to the Public Services Ombudsman for Wales. For advice on how to complain to the Ombudsman phone their helpline on 0845 607 0987.

Welsh Language

If you would like this form in Welsh please contact your Regulatory Officer.