

**This form will report compliance with your permit as determined by an NRW officer**

Site	Afan Combined Heat And Power Facility	Permit Ref	ZP3032KQ		
Operator/Permit holder	Dwr Cymru Pentwyn Road				
Regime	Waste Operations				
Date of assessment	31/01/2017	Time in	N/A	Out	N/A
Assessment type	Report/Data Review				
Parts of the permit assessed	4.2.2				
Lead officer's name	Thomas, Ffion				
Accompanied by					
Recipient's name/position	Andrew Dixon/ Energy Operations Manager	Date issued	01/02/2017		

### Section 1 – Compliance Assessment Summary

This is based on the requirements of the permit under the Environmental Permitting Regulations or the licence under the Water Resources Act 1991 as amended by the Water Act 2003. A detailed explanation is captured in "Compliance Assessment Report Detail" (Section 2) and any actions you may need to take are given in the "Action(s)" (section 4). This summary details where we believe any non-compliance with the permit has occurred, the relevant condition and how the non-compliance has been categorised using our Compliance Classification Scheme (CCS). CCS Scores can be consolidated or suspended where appropriate, to reflect the impact of some non-compliances more accurately. For more details of our CCS scheme, contact your local office.

Permit conditions and compliance summary	CCS Category	Condition(s) breached
E1 - Infrastructure - Air	A	
G4 - Infrastructure - Reporting and notification to Natural Resources Wales	A	
H2 - Infrastructure - Energy efficiency	A	

**KEY:** See Section 5 for breach categories, suspended scores will be indicated as such.  
**A** = Assessed or assessed in part (no evidence of non-compliance), **X** = Action only,  
**O** = Ongoing non-compliance, not scored.

<b>Number of breaches recorded</b>	<b>0</b>	<b>Total compliance score</b> (see section 5 for scoring scheme)	0
------------------------------------	----------	---	---

**If the Number of breaches recorded is greater than zero, please see Section 3 for our proposed enforcement response**

## Section 2 – Compliance Assessment Report Detail

This section contains a report of our findings and will usually include information on:

- The part(s) of the permit that were assessed (eg. Maintenance, training, combustion plant, etc)
- Where the type of assessment was 'Data Review' details of the report/results triggering the assessment
- Any non-compliances identified
- Any non-compliances with directly applicable legislation
- Details of any multiple non-compliances
- Information on the compliance score accrued inc.
- Details of advice given
- Any other areas of concern
- Any actions requested
- Any examples of good practice
- A reference to photos taken

This compliance assessment report form has been completed following review of the 2016 Annual performance data submission for Afan CHP EPR ZP30-32KQ, operated by Dwr Cymru, received on 25<sup>th</sup> January 2017 and clarification provided 31<sup>st</sup> January 2017.

Part of permit assessed:

4.2.2 A report or reports on the performance of the activities over the previous year shall be submitted to the Environment Agency (NRW) by 31 January (or other date agreed in writing by the Environment Agency (NRW)) each year. The reports(s) shall include as a minimum:

(a) a review of the results of the monitoring and assessment carried out in accordance with the permit including an interpretative review of that data; (note)

(b) the annual production/treatment data set out in schedule 4 table S4.2; and

(c) the performance parameters set out in schedule 4 table S4.3 using the forms specified in table S4.4 of that schedule.

Note: a verbal request was received to extend the submission data of the interpretative report to the co-include with other government required energy production data.

Action DCWW: Please confirm in writing that a request is made and the proposed submission data as per condition 4.2.2 (a)

Data submission review:

Emissions to air monitoring for the period Jan 16 to Dec 16 was received via email on the 25<sup>th</sup> January 2017. The emission testing occurred between the 21-22 September 2016.

A review of the emissions and trend tracking has been undertaken for the last 6 years.

Emissions to air- annual return			2011		2012		2013		2014		2015		2016		
Parameter	Limits	results	ncertainty	results	uncertainty %	results	uncertainty %	results	uncertainty %	results	uncertainty %	results	Uncertain		
A1- CHP unit 1	Nox	500	386.1	3	405.4	3.5	370.2	2.1	487.23	3	495.11	2	449.9	2	
	CO	1400	896.8	3.6	814.5	1.8	1188.7	3	723.8	2	800.44	2	825.61	3	
	SO2	339	54.1	0.43	89.7	4.2	224.7	10.5	27.23	13	22.78	13	22.06	13	
A2 CHP unit 2	NOx	500	451.4	3.6	331.8	3.4	409.2	4	386.62	3	458.97	2	349.36	2	
	CO	1400	859.7	3.5	787.6	1.8	1085.1	2.8	736.58	2	913.45	2	826.59	3	
	SO2	339	20	0.33	38.1	1.8	236.5	11	26.84	13	24.65	13	7.32	13	
A3 - Boiler unit 1 -A4 boiler unit 2 Nox expre:	Nox	170	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	NA	NA	124.22	3
	CO	75	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	NA	NA	NA	0.1	3
	SO2	160	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	NA	NA	NA	1.79	4
A5 - Boigas flares	Nox	150	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	NA	NA	143.4	3	
	CO	50	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	NA	NA	7.87	3	
	SO2	339	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	NA	NA	13.41	4	
operations: >1000 C		N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	NA	NA	na	NA		

The emissions are within permit limit and over all consistency across the data set.

Emissions were provided for the boilers for the first year and was in compliance with permit limits. Previous agreements had not required this data but inclusion is appreciated to better understand the overall operations on site. (Comment note under A5 row refers to A4 data submitted)

The performance indicators data was submitted 25<sup>th</sup> January and clarification confirmed via email on 31<sup>st</sup> January.

A review of the performance indicators and trends are tracked below:

performance indicators	2011	2012	2013	2014	2015	2016
Electrical Energy generated	9179MWh	7900MWh	9300.6MWh	no record	10669MWh	10495.03MWh
Thermal Energy generated	8566MWh	9500MWh	11761Wh	no record	13450MWh	12123.84MWh
Total Biogas input into CHP engines	28026MWh	34295MWh	29070.4MWh	no record	3545MWh	36,045.6 MWh
Total biogas into boilers	240MWh	512MWh	98.5MWh	no record	no data submitted	181,310m3 1702.9MWh
Engine (electrical) efficiency	34%	%	31.99%	no record		
Boiler (thermal) efficiency	134%	%	126%	no record	3.0MWh/m3 biogas	1.75KWh/m3 biogas 27%
Hours of operation for CHP engines	8516hrs	8819hrs	8337 hrs	no record	no data submitted	8418
Operational hours of flare	47hrs	84hrs (0.9% of time)	11 Hrs (0.1% of time)	no record	no data submitted	35.45h <10% of time <8760
Operational hours of boilers fired on biogas	224Hrs (2.6% of time)	330Hrs (3.7% of time)	72 hrs (0.8% of time)	no record	<10%	65Hrs
Abnormal operation of pressure release valves	None	None	No abnormal operations	no record	no abnormal operation	none
Water usage	15423m <sup>3</sup>	18829m <sup>3</sup>	17019m <sup>3</sup>	no record	no data submitted	35,732m <sup>3</sup>

There appears to be some changes to the performance data this year, which I suggest is discussed further when the interpretative report is submitted. It would seem the thermal and electrical energy generated is slightly lower whilst biogas input is higher previous year submissions. The hours to flare has increased too.

I strongly suggest checking over your previous submissions and data and perhaps checking data quality during the interpretative review as the comments submitted stated “an excellent year with record electricity generated.... with no prolonged engine downtime” does lead to questions over the hour of flare operation for example.

It is noted that due to internal staff changes there has been a marked improvement in overall site communication and that the submissions were made within the permitted reporting timeframe. This overall improvement in management is noted and reflects I feel in there being no non-compliances noted under this monitoring report review.

**END.**

## EPR Compliance Assessment Report

**Report ID:  
CAR\_NRW0027046**

**This form will report compliance with your permit as determined by an NRW officer**

Site	Afan Combined Heat And Power Facility	Permit Ref	ZP3032KQ
Operator/Permit holder	Dwr Cymru Pentwyn Road	Date	31/01/2017

### Section 3 – Enforcement Response

You must take immediate action to rectify any non-compliance and prevent repetition. Non-compliance with your permit conditions constitutes an offence and can result in criminal prosecutions and/or suspension or revocation of a permit. Please read the detailed assessment in Section 2 and the steps you need to take in Section 4 below.

### Section 4 – Action(s)

This section summarises the actions identified during the assessment along with the timescales for when they will need to be completed.

Criteria Ref.	CCS Category	Action required/advised	Due Date
See Section 1 above			

## Section 5 – Compliance notes for the Operator

To ensure you correct actual or potential non-compliance we may

- Advise on corrective actions verbally or in writing
- Require you to take specific actions verbally or in writing
- Issue a notice
- Require you to review your procedures or management system
- Change some of the conditions of your permit
- Decide to undertake a full review of your permit

Any breach of a permit condition is an offence and we may take legal action against you

- We will normally provide advice and guidance to assist you to come back into compliance either after an offence is committed or where we consider that an offence is likely to be committed. This is without prejudice to any other enforcement response that we consider may be required.
- Enforcement action can include the issue of a formal caution, prosecution, the service of a notice and/or suspension or revocation of the permit.

**See our Enforcement and Civil Sanctions guidance for further information**

This report does not relieve the site operator of the responsibility to

- Ensure you comply with the conditions of the permit at all times and prevent pollution of the environment
- Ensure you comply with other legislative provisions which may apply

### Non-compliance scores and categories

CCS category	Description	Score
C1	A non-compliance that could have a major environmental effect	60
C2	A non-compliance which could have a significant environmental effect	31
C3	A non-compliance which could have a minor environmental effect	4
C4	A non-compliance which has no potential environmental effect	0.1

**Operational Risk Appraisal (Opra)** - Compliance assessment findings may affect your Opra score and/or your charges. This score influences the resource we use to assess permit compliance.

## Section 6 – General information

### Data protection notice

The information on this form will be processed by the Natural Resources Wales (NRW) to fulfil its regulatory and monitoring functions and to maintain the relevant public register(s). The NRW may also use and/or disclose it in connection with:

- Offering/providing you with its literature/services relating to environmental matters
- Consulting with the public, public bodies and other organisations (eg. Health and Safety Executive, local authorities) on environmental issues
- Carrying out statistical analysis, research and development on environmental issues
- Providing public register information to enquirers
- Investigating possible breaches of environmental law
- Assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Regulations request

The NRW may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

### Disclosure of information

The NRW will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within fifteen working days of receipt of this form indicating which information it concerns and why it should not be released, giving your reasons in full.

### Customer charter

#### What can I do if I disagree with this compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with officer's line managers using the informal appeals procedure. If you wish to raise your dispute further through our official Complaints and Commendations procedure, phone our general enquiry number 0300 065 3000 (Mon to Fri 08.00 – 18.00) and ask for the Customer Contact team or send an email to [enquiries@naturalresourceswales.gov.uk](mailto:enquiries@naturalresourceswales.gov.uk). If you are still dissatisfied you can make a complaint to the Public Services Ombudsman for Wales. For advice on how to complain to the Ombudsman phone their helpline on 0845 607 0987.

#### Welsh Language

If you would like this form in Welsh please contact your Regulatory Officer.