

Compliance Assessment Report

Report ID:
CAR_NRW0032599

This form will report compliance with your permit as determined by an NRW officer

Site	Lawrence Landfill	Permit Ref	LB3930AT		
Operator/Permit holder	Lawrence Landfill Ltd				
Regime	Waste Operations				
Date of assessment	12/12/2017	Time in	10:30	Out	13:00
Assessment type	Audit				
Parts of the permit assessed	EMS, DoC, TCM, Waste Returns				
Lead officer's name	Bacon-Weekes, Liane				
Accompanied by					
Recipient's name/position	Guy Lawrence/ Director	Date issued	14/12/2017		

Section 1 – Compliance Assessment Summary

This is based on the requirements of the permit under the Environmental Permitting Regulations or the licence under the Water Resources Act 1991 as amended by the Water Act 2003. A detailed explanation is captured in "Compliance Assessment Report Detail" (Section 2) and any actions you may need to take are given in the "Action(s)" (section 4). This summary details where we believe any non-compliance with the permit has occurred, the relevant condition and how the non-compliance has been categorised using our Compliance Classification Scheme (CCS). CCS Scores can be consolidated or suspended where appropriate, to reflect the impact of some non-compliances more accurately. For more details of our CCS scheme, contact your local office.

Permit conditions and compliance summary	CCS Category	Condition(s) breached
A1 - Specified by permit	A	
B1 - Infrastructure - Engineering for prevention and control of emissions	A	
B3 - Infrastructure - Site drainage engineering (clean and foul)	A	
B4 - Infrastructure - Containment of stored materials	A	
C1 - General Management - Staff competency/training	C3	1.1.4
C2 - General Management - Management system and operating procedures	C3	1.1.1
C3 - General Management - Materials acceptance	A	
C4 - General Management - Storage, handling labelling and Segregation	A	
D1 - Incident Management - Site security	A	
D2 - Incident Management - Accidents, emergency and incident planning	A	
G2 - Monitoring and Records, Maintenance and Reporting - Records of activity, site diary/journal/events	A	
G3 - Monitoring and Records, Maintenance and Reporting - Maintenance records	A	

KEY: See Section 5 for breach categories, suspended scores will be indicated as such.
A = Assessed or assessed in part (no evidence of non-compliance), **X** = Action only,
O = Ongoing non-compliance, not scored.

Number of breaches recorded	2	Total compliance score (see section 5 for scoring scheme)	8
------------------------------------	----------	---	----------

If the Number of breaches recorded is greater than zero, please see Section 3 for our proposed enforcement response

Section 2 – Compliance Assessment Report Detail

This section contains a report of our findings and will usually include information on:

- The part(s) of the permit that were assessed (eg. Maintenance, training, combustion plant, etc)
- Where the type of assessment was 'Data Review' details of the report/results triggering the assessment
- Any non-compliances identified
- Any non-compliances with directly applicable legislation
- Details of any multiple non-compliances
- Information on the compliance score accrued inc.
- Details of advice given
- Any other areas of concern
- Any actions requested
- Any examples of good practice
- A reference to photos taken

This site inspection was conducted on the 12th December 2017 by Liane Bacon-Weekes and accompanied by Mr. Guy Lawrence (Director). It was an announced inspection, and focussed on permit compliance, waste duty of care, Technical Competence, management and operating procedures, and reporting.

At the time of the inspection, the site was being well managed and it was good to see the improvements you have made with filing waste transfer notes, plans and weekly site inspection sheets. Having these located in a single file allows them to be easily reviewed and demonstrates that measures are being taken to prevent any adverse environmental impacts from your activity.

However, as discussed, improvements are still required with your EMS. The existing management system lacks site specific risks assessments and the management controls that will be used to control those risks. It is important that your management plan covers this, as it will enable you to demonstrate / evidence that all appropriate control measures were in place, if incident were to happen..

This has been scored a CCS 3 breach of permit condition 1.1.1(a) under C2 General Management - Management systems and operating procedures.

ACTION: This issue has been raised in previous CAR forms and must be addressed. Please refer to the 'How to Comply with your Permit Guidance' which provides guidance on what is required in the EMS. Please ensure a revised EMS is submitted to NRW by 28th February 2018. Failing to submit a revised plan by this date will result in further regulatory action being taken.

<https://naturalresources.wales/media/2110/how-to-comply-with-your-environmental-permit.pdf>

TCM

Condition 1.1.4 of your permit requires that the operator shall comply with the requirements of an approved competence scheme. During the inspection, it was identified that your WAMITAB qualification has expired and you are required to undertake continuing competency. This assessment must be completed every two years to demonstrate this. I understand that you were unaware that you needed to complete this, however, as the permit holder, the onus and responsibility to comply with permit conditions and understand the requirements of the permit, rests with you.

<http://www.wamitab.org.uk/pg/continuing-competence>

In addition to this, you must also ensure that modules you have completed cover the waste activities you undertake on site. If you vary your permit to allow additional waste activities, then you must have the corresponding qualification. Information and advice on this can be found on the WAMITAB website.

<http://www.wamitab.org.uk/pg/risk-tier-table-for-wales>

By not obtaining your continuing competency has been scored a CCS 3 breach of permit condition 1.1.4 under C1 General Management Staff competency and training.

ACTION: Complete continuing competency and ensure that the modules you have cover the waste activities on site.

Many thanks for your time during the inspection and if you have any questions or queries, then please do not hesitate to contact me.

END

EPR Compliance Assessment Report

**Report ID:
CAR_NRW0032599**

This form will report compliance with your permit as determined by an NRW officer

Site	Lawrence Landfill	Permit Ref	LB3930AT
Operator/Permit holder	Lawrence Landfill Ltd	Date	12/12/2017

Section 3 – Enforcement Response

You must take immediate action to rectify any non-compliance and prevent repetition. Non-compliance with your permit conditions constitutes an offence and can result in criminal prosecutions and/or suspension or revocation of a permit. Please read the detailed assessment in Section 2 and the steps you need to take in Section 4 below.

In respect of the above non-compliance you have been issued with a warning. At present we do not intend to take further enforcement action. This does not preclude us from taking additional enforcement action if further relevant information comes to light or offences continue.

Section 4 – Action(s)

This section summarises the actions identified during the assessment along with the timescales for when they will need to be completed.

Criteria Ref.	CCS Category	Action required/advised	Due Date
See Section 1 above			
C2	C3	EMS requires site specific risk assessments	28/02/2018
C1	C3	obtain wamitab continuing competence	28/02/2018

Section 5 – Compliance notes for the Operator

To ensure you correct actual or potential non-compliance we may

- Advise on corrective actions verbally or in writing
- Require you to take specific actions verbally or in writing
- Issue a notice
- Require you to review your procedures or management system
- Change some of the conditions of your permit
- Decide to undertake a full review of your permit

Any breach of a permit condition is an offence and we may take legal action against you

- We will normally provide advice and guidance to assist you to come back into compliance either after an offence is committed or where we consider that an offence is likely to be committed. This is without prejudice to any other enforcement response that we consider may be required.
- Enforcement action can include the issue of a formal caution, prosecution, the service of a notice and/or suspension or revocation of the permit.

See our Enforcement and Civil Sanctions guidance for further information

This report does not relieve the site operator of the responsibility to

- Ensure you comply with the conditions of the permit at all times and prevent pollution of the environment
- Ensure you comply with other legislative provisions which may apply

Non-compliance scores and categories

CCS category	Description	Score
C1	A non-compliance that could have a major environmental effect	60
C2	A non-compliance which could have a significant environmental effect	31
C3	A non-compliance which could have a minor environmental effect	4
C4	A non-compliance which has no potential environmental effect	0.1

Operational Risk Appraisal (Opra) - Compliance assessment findings may affect your Opra score and/or your charges. This score influences the resource we use to assess permit compliance.

Section 6 – General information

Data protection notice

The information on this form will be processed by the Natural Resources Wales (NRW) to fulfil its regulatory and monitoring functions and to maintain the relevant public register(s). The NRW may also use and/or disclose it in connection with:

- Offering/providing you with its literature/services relating to environmental matters
- Consulting with the public, public bodies and other organisations (eg. Health and Safety Executive, local authorities) on environmental issues
- Carrying out statistical analysis, research and development on environmental issues
- Providing public register information to enquirers
- Investigating possible breaches of environmental law
- Assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Regulations request

The NRW may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

Disclosure of information

The NRW will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within fifteen working days of receipt of this form indicating which information it concerns and why it should not be released, giving your reasons in full.

Customer charter

What can I do if I disagree with this compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with officer's line managers using the informal appeals procedure. If you wish to raise your dispute further through our official Complaints and Commendations procedure, phone our general enquiry number 0300 065 3000 (Mon to Fri 08.00 – 18.00) and ask for the Customer Contact team or send an email to enquiries@naturalresourceswales.gov.uk. If you are still dissatisfied you can make a complaint to the Public Services Ombudsman for Wales. For advice on how to complain to the Ombudsman phone their helpline on 0845 607 0987.

Welsh Language

If you would like this form in Welsh please contact your Regulatory Officer.