

Compliance Assessment Report

Report ID:
CAR_NRW0031521

This form will report compliance with your permit as determined by an NRW officer

Site	Ammanford Metal Recycling	Permit Ref	RP3098FM			
Operator/Permit holder	Ammanford Recycling Ltd					
Regime	Waste Operations					
Date of assessment	13/04/2017	Time in	11:00	Out	12:00	
Assessment type	Audit					
Parts of the permit assessed	Site					
Lead officer's name	Lloyd, Cathy					
Accompanied by	Ellar, David					
Recipient's name/position	Adrian Stewart/ Operator	Date issued	21/04/2017			

Section 1 – Compliance Assessment Summary

This is based on the requirements of the permit under the Environmental Permitting Regulations or the licence under the Water Resources Act 1991 as amended by the Water Act 2003. A detailed explanation is captured in "Compliance Assessment Report Detail" (Section 2) and any actions you may need to take are given in the "Action(s)" (section 4). This summary details where we believe any non-compliance with the permit has occurred, the relevant condition and how the non-compliance has been categorised using our Compliance Classification Scheme (CCS). CCS Scores can be consolidated or suspended where appropriate, to reflect the impact of some non-compliances more accurately. For more details of our CCS scheme, contact your local office.

Permit conditions and compliance summary	CCS Category	Condition(s) breached
A1 - Specified by permit	C2	2.1.1
C1 - General Management - Staff competency/training	C2	1.2.2

KEY: See Section 5 for breach categories, suspended scores will be indicated as such.

A = Assessed or assessed in part (no evidence of non-compliance), **X** = Action only,

O = Ongoing non-compliance, not scored.

Number of breaches recorded	2	Total compliance score (see section 5 for scoring scheme)	62
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If the Number of breaches recorded is greater than zero, please see Section 3 for our proposed enforcement response

Section 2 – Compliance Assessment Report Detail

This section contains a report of our findings and will usually include information on:

- The part(s) of the permit that were assessed (eg. Maintenance, training, combustion plant, etc)
- Where the type of assessment was 'Data Review' details of the report/results triggering the assessment
- Any non-compliances identified
- Any non-compliances with directly applicable legislation
- Details of any multiple non-compliances
- Information on the compliance score accrued inc.
- Details of advice given
- Any other areas of concern
- Any actions requested
- Any examples of good practice
- A reference to photos taken

Present

Adrian Stewart Operator Ammanford Recycling Ltd

Ben Rees Geotechnology Ltd

Cathy Lloyd NRW

David Ellar NRW

The purpose of the visit was to determine what the sites priorities are and to discuss timescales required to improve the sites infrastructure and comply with the permit.

Adrian Stewart is to provide a written summary of the improvements he intends to make to the infrastructure and the timescales required to complete these improvements. This information will be taken into account when considering our enforcement into the continuing permit breaches and when producing a new Regulation 36 Notice that NRW intend to served on the site. Adrian Stewart explained that he would compile the summary as a priority and send it to NRW by the 'middle of next week' (20th - 21st April) We were expecting to receive this information by 21/4/2017.

A warning letter will be NRW's enforcement response for Ammanford Recycling Ltd. failure to comply with the previous Regulation 36 notice. This offence may be taken into consideration should further offences be committed.

I was pleased to see improvements to the depollution bay, with repairs being carried out to the sill.

a1 Specified by permit category 2 breach of condition 2.1.1

The site is currently accepting scrap metal. This activity is not authorised in your permit and you do not have an appropriate exemption that would permit the acceptance of scrap metal. NRW cannot allow you to operate illegally. We discussed Ammanford Recycling Ltd.'s intention to operate under a Standard Rules 2012 No.14. This permit would allow the acceptance of scrap metal and end of life vehicles. You should to apply to NRW to transfer the existing permit into the SR2012No14. Please explain how you intend to operate incorporating the acceptance of scrap metal.

C1 Staff competency training Category 2 breach of condition 1.2.2

While on site I witnessed 2 un-depolluted vehicles being put directly onto the pile of depolluted vehicles. This is unacceptable and would be a significant risk of pollution. Staff training is urgently required. A notice of powers and rights will be sent separately by post.

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EPR Compliance Assessment Report

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Operator/Permit holder	Ammanford Recycling Ltd	Date	13/04/2017

Section 3 – Enforcement Response

You must take immediate action to rectify any non-compliance and prevent repetition. Non-compliance with your permit conditions constitutes an offence and can result in criminal prosecutions and/or suspension or revocation of a permit. Please read the detailed assessment in Section 2 and the steps you need to take in Section 4 below.

We will now consider what enforcement action is appropriate and notify you, referencing this form.

Section 4 – Action(s)

This section summarises the actions identified during the assessment along with the timescales for when they will need to be completed.

Criteria Ref.	CCS Category	Action required/advised	Due Date
See Section 1 above			
C1	C2	Staff require training as a matter of some urgency	28/04/2017
A1	C2	Stop accepting non permitted waste and remove from site	28/04/2017

Section 5 – Compliance notes for the Operator

To ensure you correct actual or potential non-compliance we may

- Advise on corrective actions verbally or in writing
- Require you to take specific actions verbally or in writing
- Issue a notice
- Require you to review your procedures or management system
- Change some of the conditions of your permit
- Decide to undertake a full review of your permit

Any breach of a permit condition is an offence and we may take legal action against you

- We will normally provide advice and guidance to assist you to come back into compliance either after an offence is committed or where we consider that an offence is likely to be committed. This is without prejudice to any other enforcement response that we consider may be required.
- Enforcement action can include the issue of a formal caution, prosecution, the service of a notice and/or suspension or revocation of the permit.

See our Enforcement and Civil Sanctions guidance for further information

This report does not relieve the site operator of the responsibility to

- Ensure you comply with the conditions of the permit at all times and prevent pollution of the environment
- Ensure you comply with other legislative provisions which may apply

Non-compliance scores and categories

CCS category	Description	Score
C1	A non-compliance that could have a major environmental effect	60
C2	A non-compliance which could have a significant environmental effect	31
C3	A non-compliance which could have a minor environmental effect	4
C4	A non-compliance which has no potential environmental effect	0.1

Operational Risk Appraisal (Opra) - Compliance assessment findings may affect your Opra score and/or your charges. This score influences the resource we use to assess permit compliance.

Section 6 – General information

Data protection notice

The information on this form will be processed by the Natural Resources Wales (NRW) to fulfil its regulatory and monitoring functions and to maintain the relevant public register(s). The NRW may also use and/or disclose it in connection with:

- Offering/providing you with its literature/services relating to environmental matters
- Consulting with the public, public bodies and other organisations (eg. Health and Safety Executive, local authorities) on environmental issues
- Carrying out statistical analysis, research and development on environmental issues
- Providing public register information to enquirers
- Investigating possible breaches of environmental law
- Assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Regulations request

The NRW may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

Disclosure of information

The NRW will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within fifteen working days of receipt of this form indicating which information it concerns and why it should not be released, giving your reasons in full.

Customer charter

What can I do if I disagree with this compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with officer's line managers using the informal appeals procedure. If you wish to raise your dispute further through our official Complaints and Commendations procedure, phone our general enquiry number 0300 065 3000 (Mon to Fri 08.00 – 18.00) and ask for the Customer Contact team or send an email to enquiries@naturalresourceswales.gov.uk. If you are still dissatisfied you can make a complaint to the Public Services Ombudsman for Wales. For advice on how to complain to the Ombudsman phone their helpline on 0845 607 0987.

Welsh Language

If you would like this form in Welsh please contact your Regulatory Officer.