

Compliance Assessment Report

Report ID:
CAR_NRW0031118

This form will report compliance with your permit as determined by an NRW officer

Site	JR Works	Permit Ref	EB3397TU			
Operator/Permit holder	Gavin Griffiths Recycling Ltd					
Regime	Waste Operations					
Date of assessment	26/01/2017	Time in	10:00	Out	11:00	
Assessment type	Audit					
Parts of the permit assessed	A, B, C, D					
Lead officer's name	Morgan, David					
Accompanied by						
Recipient's name/position	Andrew Wright/ Business Development Manager	Date issued	10/02/2017			

Section 1 – Compliance Assessment Summary

This is based on the requirements of the permit under the Environmental Permitting Regulations or the licence under the Water Resources Act 1991 as amended by the Water Act 2003. A detailed explanation is captured in "Compliance Assessment Report Detail" (Section 2) and any actions you may need to take are given in the "Action(s)" (section 4). This summary details where we believe any non-compliance with the permit has occurred, the relevant condition and how the non-compliance has been categorised using our Compliance Classification Scheme (CCS). CCS Scores can be consolidated or suspended where appropriate, to reflect the impact of some non-compliances more accurately. For more details of our CCS scheme, contact your local office.

Permit conditions and compliance summary	CCS Category	Condition(s) breached
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KEY: See Section 5 for breach categories, suspended scores will be indicated as such.

A = Assessed or assessed in part (no evidence of non-compliance), **X** = Action only,

O = Ongoing non-compliance, not scored.

Number of breaches recorded	0	Total compliance score (see section 5 for scoring scheme)	0
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If the Number of breaches recorded is greater than zero, please see Section 3 for our proposed enforcement response

Section 2 – Compliance Assessment Report Detail

This section contains a report of our findings and will usually include information on:

- The part(s) of the permit that were assessed (eg. Maintenance, training, combustion plant, etc)
- Where the type of assessment was 'Data Review' details of the report/results triggering the assessment
- Any non-compliances identified
- Any non-compliances with directly applicable legislation
- Details of any multiple non-compliances
- Information on the compliance score accrued inc.
- Details of advice given
- Any other areas of concern
- Any actions requested
- Any examples of good practice
- A reference to photos taken

A routine site inspection was undertaken on Thursday 26th January 2017. The following observations were made;

Since becoming operational, the site has accepted very small volumes of waste materials. It appears the main purpose so far has been to test equipment and procedures.

During the inspection it was noted that small amounts of plastic and glass were on site and were at different stages of the treatment process.

At the time of the inspection all of the waste was contained inside the building. Our records indicate that the permit has recently been varied and the permit boundary area has changed. It is understood that major infrastructure works are due to commence with the demolition of the current building with the construction of a new waste treatment transfer station.

While on site we discussed the inclusion of additional waste codes (hazardous) to incorporate the treatment of contaminated land. Guidance on the potential permit variation was given in email on 27/01/17. Please contact me if you would like additional advice in progressing this permit variation. The facility would then operate under a Bespoke Permit.

While on site I queried the acceptance (one occasion) of a waste type that was not in the list of permitted wastes. I also requested a copy of the Environment Management System for the site.

I can confirm the receipt of this information and that the non-permitted waste issue arose out of an incorrect coding by a haulier. Please ensure all staff are made aware of the permitted wastes allowed on site.

The document received regarding management procedures doesn't technically constitute an Environment Management System (please see page 1, paragraph 2). However, given the very small volume of waste on site and the pending infrastructure works, I am satisfied with the current level of information we have on how the business will operate.

Following the completion of infrastructure works please take time to review this document and develop a site specific Environment Management System for the site. You should refer to the 'How to Comply with Your Environmental Permit' document.

<http://cyfoethnaturiol.cymru/media/2110/how-to-comply-with-your-environmental-permit.pdf>

No non-compliances were recorded during the visit. Thank you for your time during the inspection.

EPR Compliance Assessment Report

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Operator/Permit holder	Gavin Griffiths Recycling Ltd	Date	26/01/2017

Section 3 – Enforcement Response

You must take immediate action to rectify any non-compliance and prevent repetition.

Non-compliance with your permit conditions constitutes an offence and can result in criminal prosecutions and/or suspension or revocation of a permit. Please read the detailed assessment in Section 2 and the steps you need to take in Section 4 below.

Other than the provision of advice and guidance, at present we do not intend to take further enforcement action in respect of the non-compliance identified above. This does not preclude us from taking enforcement action if further relevant information comes to light or advice isn't followed.

Section 4 – Action(s)

This section summarises the actions identified during the assessment along with the timescales for when they will need to be completed.

Criteria Ref.	CCS Category	Action required/advised	Due Date
See Section 1 above			

Section 5 – Compliance notes for the Operator

To ensure you correct actual or potential non-compliance we may

- Advise on corrective actions verbally or in writing
- Require you to take specific actions verbally or in writing
- Issue a notice
- Require you to review your procedures or management system
- Change some of the conditions of your permit
- Decide to undertake a full review of your permit

Any breach of a permit condition is an offence and we may take legal action against you

- We will normally provide advice and guidance to assist you to come back into compliance either after an offence is committed or where we consider that an offence is likely to be committed. This is without prejudice to any other enforcement response that we consider may be required.
- Enforcement action can include the issue of a formal caution, prosecution, the service of a notice and/or suspension or revocation of the permit.

See our Enforcement and Civil Sanctions guidance for further information

This report does not relieve the site operator of the responsibility to

- Ensure you comply with the conditions of the permit at all times and prevent pollution of the environment
- Ensure you comply with other legislative provisions which may apply

Non-compliance scores and categories

CCS category	Description	Score
C1	A non-compliance that could have a major environmental effect	60
C2	A non-compliance which could have a significant environmental effect	31
C3	A non-compliance which could have a minor environmental effect	4
C4	A non-compliance which has no potential environmental effect	0.1

Operational Risk Appraisal (Opra) - Compliance assessment findings may affect your Opra score and/or your charges. This score influences the resource we use to assess permit compliance.

Section 6 – General information

Data protection notice

The information on this form will be processed by the Natural Resources Wales (NRW) to fulfil its regulatory and monitoring functions and to maintain the relevant public register(s). The NRW may also use and/or disclose it in connection with:

- Offering/providing you with its literature/services relating to environmental matters
- Consulting with the public, public bodies and other organisations (eg. Health and Safety Executive, local authorities) on environmental issues
- Carrying out statistical analysis, research and development on environmental issues
- Providing public register information to enquirers
- Investigating possible breaches of environmental law
- Assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Regulations request

The NRW may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

Disclosure of information

The NRW will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within fifteen working days of receipt of this form indicating which information it concerns and why it should not be released, giving your reasons in full.

Customer charter

What can I do if I disagree with this compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with officer's line managers using the informal appeals procedure. If you wish to raise your dispute further through our official Complaints and Commendations procedure, phone our general enquiry number 0300 065 3000 (Mon to Fri 08.00 – 18.00) and ask for the Customer Contact team or send an email to enquiries@naturalresourceswales.gov.uk. If you are still dissatisfied you can make a complaint to the Public Services Ombudsman for Wales. For advice on how to complain to the Ombudsman phone their helpline on 0845 607 0987.

Welsh Language

If you would like this form in Welsh please contact your Regulatory Officer.