

Compliance Assessment Report

Report ID:
CAR_NRW0032908

This form will report compliance with your permit as determined by an NRW officer

Site	M & R Commercials Vehicle Dismantling & Recycling Facility	Permit Ref	GP3193MV			
Operator/Permit holder	T M Wiberg Limited					
Regime	Waste Operations					
Date of assessment	13/02/2018	Time in	12:40	Out	13:40	
Assessment type	Report/Data Review					
Parts of the permit assessed	Paperwork					
Lead officer's name	Lloyd, Cathy					
Accompanied by	Ellar, David					
Recipient's name/position	T M Wiberg/ Operator	Date issued	22/02/2018			

Section 1 – Compliance Assessment Summary

This is based on the requirements of the permit under the Environmental Permitting Regulations or the licence under the Water Resources Act 1991 as amended by the Water Act 2003. A detailed explanation is captured in "Compliance Assessment Report Detail" (Section 2) and any actions you may need to take are given in the "Action(s)" (section 4). This summary details where we believe any non-compliance with the permit has occurred, the relevant condition and how the non-compliance has been categorised using our Compliance Classification Scheme (CCS). CCS Scores can be consolidated or suspended where appropriate, to reflect the impact of some non-compliances more accurately. For more details of our CCS scheme, contact your local office.

Permit conditions and compliance summary	CCS Category	Condition(s) breached
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KEY: See Section 5 for breach categories, suspended scores will be indicated as such.

A = Assessed or assessed in part (no evidence of non-compliance), **X** = Action only,

O = Ongoing non-compliance, not scored.

Number of breaches recorded	0	Total compliance score (see section 5 for scoring scheme)	0
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If the Number of breaches recorded is greater than zero, please see Section 3 for our proposed enforcement response

Section 2 – Compliance Assessment Report Detail

This section contains a report of our findings and will usually include information on:

- The part(s) of the permit that were assessed (eg. Maintenance, training, combustion plant, etc)
- Where the type of assessment was 'Data Review' details of the report/results triggering the assessment
- Any non-compliances identified
- Any non-compliances with directly applicable legislation
- Details of any multiple non-compliances
- Information on the compliance score accrued inc.
- Details of advice given
- Any other areas of concern
- Any actions requested
- Any examples of good practice
- A reference to photos taken

Mal and Mark Wiberg were present on site during the visit.

The purpose of the site visit was to discuss the documentation that is required for your Environment Management System and to serve a regulation 36 Enforcement Notice under the Environmental Permitting Regulations 2016. We also discussed the shipment of waste and reusable parts from ELVs.

M&R have been exporting engines to Africa for reuse, some of these engines are still attached to a front end of the vehicle. It has been practice in M&R to simply cut the front end of a vehicle off leaving the engine and its associated parts still attached. These 'front ends' are then loaded into a shipping container for export. In their present form the front ends are classified as hazardous ELV waste and must be treated so. They are not able to be exported.

Parts (including engines) **removed from a depolluted ELV** can cease to be a waste if:

- They are certain to be used for their original intended purpose
- They are handled and properly stored
- They are fit for reuse without further repair or testing

Mal Wiberg agreed during the meeting that M&R will stop the current practice of cutting the front end off an ELV and all engines for export will now be removed from the vehicle as part of the depollution process.

Shipments of reusable parts that have been assessed as working and they are intended for reuse for their original intended purpose are not waste and are not controlled by the EU Waste Shipment Regulations. Reusable parts must be stored and transported in a manner that prevents pollution.

Engines should be assessed as suitable for reuse prior to depollution of the ELV and removal of the engine.

For best practice, shipments of waste engines should record:

- Marque of ELV
- engine size, and
- engine number

Engines should be drained and bunged prior to export, retaining no more than is required to prevent corrosion during storage and transport.

The paperwork that accompanies reusable parts and engines should include details of your site including the ELV permit number and details of the purchaser. It should also include a statement 'all items listed originate from deregistered UK vehicles and are sold as working used parts for

reuse only'

A copy of the Regulation 36 notice was emailed on the 15 February 2018.

EPR Compliance Assessment Report

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CAR_NRW0032908**

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Site	M & R Commercials Vehicle Dismantling & Recycling Facility	Permit Ref	GP3193MV
Operator/Permit holder	T M Wiberg Limited	Date	13/02/2018

Section 3 – Enforcement Response

You must take immediate action to rectify any non-compliance and prevent repetition.

Non-compliance with your permit conditions constitutes an offence and can result in criminal prosecutions and/or suspension or revocation of a permit. Please read the detailed assessment in Section 2 and the steps you need to take in Section 4 below.

Section 4 – Action(s)

This section summarises the actions identified during the assessment along with the timescales for when they will need to be completed.

Criteria Ref.	CCS Category	Action required/advised	Due Date
See Section 1 above			

Section 5 – Compliance notes for the Operator

To ensure you correct actual or potential non-compliance we may

- Advise on corrective actions verbally or in writing
- Require you to take specific actions verbally or in writing
- Issue a notice
- Require you to review your procedures or management system
- Change some of the conditions of your permit
- Decide to undertake a full review of your permit

Any breach of a permit condition is an offence and we may take legal action against you

- We will normally provide advice and guidance to assist you to come back into compliance either after an offence is committed or where we consider that an offence is likely to be committed. This is without prejudice to any other enforcement response that we consider may be required.
- Enforcement action can include the issue of a formal caution, prosecution, the service of a notice and/or suspension or revocation of the permit.

See our Enforcement and Civil Sanctions guidance for further information

This report does not relieve the site operator of the responsibility to

- Ensure you comply with the conditions of the permit at all times and prevent pollution of the environment
- Ensure you comply with other legislative provisions which may apply

Non-compliance scores and categories

CCS category	Description	Score
C1	A non-compliance that could have a major environmental effect	60
C2	A non-compliance which could have a significant environmental effect	31
C3	A non-compliance which could have a minor environmental effect	4
C4	A non-compliance which has no potential environmental effect	0.1

Operational Risk Appraisal (Opra) - Compliance assessment findings may affect your Opra score and/or your charges. This score influences the resource we use to assess permit compliance.

Section 6 – General information

Data protection notice

The information on this form will be processed by the Natural Resources Wales (NRW) to fulfil its regulatory and monitoring functions and to maintain the relevant public register(s). The NRW may also use and/or disclose it in connection with:

- Offering/providing you with its literature/services relating to environmental matters
- Consulting with the public, public bodies and other organisations (eg. Health and Safety Executive, local authorities) on environmental issues
- Carrying out statistical analysis, research and development on environmental issues
- Providing public register information to enquirers
- Investigating possible breaches of environmental law
- Assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Regulations request

The NRW may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

Disclosure of information

The NRW will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within fifteen working days of receipt of this form indicating which information it concerns and why it should not be released, giving your reasons in full.

Customer charter

What can I do if I disagree with this compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with officer's line managers using the informal appeals procedure. If you wish to raise your dispute further through our official Complaints and Commendations procedure, phone our general enquiry number 0300 065 3000 (Mon to Fri 08.00 – 18.00) and ask for the Customer Contact team or send an email to enquiries@naturalresourceswales.gov.uk. If you are still dissatisfied you can make a complaint to the Public Services Ombudsman for Wales. For advice on how to complain to the Ombudsman phone their helpline on 0845 607 0987.

Welsh Language

If you would like this form in Welsh please contact your Regulatory Officer.