

Compliance Assessment Report

Report ID:
CAR_NRW0035032

This form will report compliance with your permit as determined by an NRW officer

Site	Sims Tremorfa	Permit Ref	AB3993ZF		
Operator/Permit holder	Sims Group UK Limited				
Regime	Waste Operations				
Date of assessment	24/04/2019	Time in	10:30	Out	11:15
Assessment type	Site Inspection				
Parts of the permit assessed	Various				
Lead officer's name	Lee, Jonathan				
Accompanied by					
Recipient's name/position	Georgina Sidley, Lee Sands/ Environment Adviser. TCM	Date issued	24/04/2019		

Section 1 – Compliance Assessment Summary

This is based on the requirements of the permit under the Environmental Permitting Regulations or the licence under the Water Resources Act 1991 as amended by the Water Act 2003. A detailed explanation is captured in "Compliance Assessment Report Detail" (Section 2) and any actions you may need to take are given in the "Action(s)" (section 4). This summary details where we believe any non-compliance with the permit has occurred, the relevant condition and how the non-compliance has been categorised using our Compliance Classification Scheme (CCS). CCS Scores can be consolidated or suspended where appropriate, to reflect the impact of some non-compliances more accurately. For more details of our CCS scheme, contact your local office.

Permit conditions and compliance summary	CCS Category	Condition(s) breached
A1 - Specified by permit	A	
B3 - Infrastructure - Site drainage engineering (clean and foul)	A	
C1 - General Management - Staff competency/training	A	
D1 - Incident Management - Site security	A	
F1 - Amenity - Odour	A	
F2 - Amenity - Noise	A	
G4 - Monitoring and Records, Maintenance and Reporting - Reporting and notification to Natural Resources Wales	A	

KEY: See Section 5 for breach categories, suspended scores will be indicated as such.
A = Assessed or assessed in part (no evidence of non-compliance), **X** = Action only,
O = Ongoing non-compliance, not scored.

Number of breaches recorded	0	Total compliance score (see section 5 for scoring scheme)	0
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If the Number of breaches recorded is greater than zero, please see Section 3 for our proposed enforcement response

Section 2 – Compliance Assessment Report Detail

This section contains a report of our findings and will usually include information on:

- The part(s) of the permit that were assessed (eg. Maintenance, training, combustion plant, etc)
- Where the type of assessment was 'Data Review' details of the report/results triggering the assessment
- Any non-compliances identified
- Any non-compliances with directly applicable legislation
- Details of any multiple non-compliances
- Information on the compliance score accrued inc.
- Details of advice given
- Any other areas of concern
- Any actions requested
- Any examples of good practice
- A reference to photos taken

Officer Jonathan LEE in attendance of SIMS Group UK Limited, Tremorfa Industrial Estate to complete a compliance inspection of the environmental permit EPR-AB3993ZF. The permit was issued to SIMS Group UK in August 2018 and licences the operation of a bespoke non-hazardous and hazardous mixed metal waste treatment and vehicle depollution facility.

The visit was arranged to familiarise the operator with the conditions of the permit and for officer LEE to make an initial inspection of the permitted area. Weather was mild with heavy rain at the time of the inspection. Clare Haste, Georgina Sidney and Lee Sands in attendance on behalf of SIMS Group UK.

Officer LEE began the visit by explaining the conditions of the permit to SIMS Group staff and drew attention to conditions regarding site management, site infrastructure and operational limits to site activities. A brief inspection of the permitted area was then completed.

Compliance

Site Activities

The site had recently commenced operations. At the time of the inspection, activities were limited to the storage and mechanical sorting of various grades of metal wastes.

No vehicle depollution was being completed on site during the inspection. The operator was intending on completing ELV depollution within a building on site when operational conditions allow.

No breaches identified in relation to waste types present on site.

Site Management

Permit condition 1.1.1 requires the operator to manage the site and its activities in accordance with a written management system. Documents relating to the management of the site and its activities were submitted by the operator as part of the permit application process.

An assessment of the site management documents and site activities in accordance with these documents will be completed when the site has reached a fully operational status.

Fire Prevention and Mitigation Plan (FPMP)

Permit condition 3.5.1 requires the operator to manage the site and its activities in accordance with a written fire prevention plan which meets the current fire prevention guidance. Again, a comprehensive FPMP was submitted by the operator as part of the permit application process.

An assessment of the FPMP and the management of the site in accordance with the site FPMP will be completed when the site has reached a fully operational status.

Site Infrastructure

Table S1.1. specifies limits of activities. There is a requirement for all hazardous and non-hazardous waste to be stored and treated on an impermeable surface with sealed drainage.

All wastes were being stored on an impermeable surface with sealed drainage. The impermeable surface on site was in good condition and profiled such that liquids are directed towards the interceptor present on site. A concrete kerb was also present on the perimeter of the site to ensure that no liquids could run-off the site than through the site interceptor.

Part of the site currently consisted of a surface best described as 'hardstanding'. No waste was being stored on this portion of the site. Please ensure that no waste is stored in this area, unless an impermeable surface is constructed and the area benefits from a sealed drainage system.

Technically Competent Manager (TCM)

Permit condition 1.1.4 requires the operator to comply with the requirements of an approved competence scheme.

The allocated TCM for the site is Mr Lee Sands. Up to date Certificates of Continuing Competence for the site TCM were submitted following the inspection. These certificates are sufficient for the management of the site and confirm compliance with permit condition 1.1.4.

Waste Returns

Permit condition 4.2.2 require the operator to submit waste returns annually. The 2018 return has been submitted on time, thank you. Please continue to submit accurate waste returns on an annual basis.

Summary

No breaches were recorded as part of this compliance inspection. Further compliance work focusing on site management in line with the site FPMP and site management documents will be completed during future compliance inspections.

Thank you for your time.

If you have any queries regarding this Compliance Assessment Report please contact regulatory officer Jonathan Lee on 0300 065 3908, or via email at jonathan.lee@naturalresourceswales.gov.uk

In this document 'Natural Resources Wales' means the Natural Resources Body for Wales established by Article 3 of the Natural Resources Body for Wales (Establishment) order.

EPR Compliance Assessment Report

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CAR_NRW0035032**

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Site	Sims Tremorfa	Permit Ref	AB3993ZF
Operator/Permit holder	Sims Group UK Limited	Date	24/04/2019

Section 3 – Enforcement Response

You must take immediate action to rectify any non-compliance and prevent repetition.

Non-compliance with your permit conditions constitutes an offence and can result in criminal prosecutions and/or suspension or revocation of a permit. Please read the detailed assessment in Section 2 and the steps you need to take in Section 4 below.

Other than the provision of advice and guidance, at present we do not intend to take further enforcement action in respect of the non-compliance identified above. This does not preclude us from taking enforcement action if further relevant information comes to light or advice isn't followed.

Section 4 – Action(s)

This section summarises the actions identified during the assessment along with the timescales for when they will need to be completed.

Criteria Ref.	CCS Category	Action required/advised	Due Date
See Section 1 above			

Section 5 – Compliance notes for the Operator

To ensure you correct actual or potential non-compliance we may

- Advise on corrective actions verbally or in writing
- Require you to take specific actions verbally or in writing
- Issue a notice
- Require you to review your procedures or management system
- Change some of the conditions of your permit
- Decide to undertake a full review of your permit

Any breach of a permit condition is an offence and we may take legal action against you

- We will normally provide advice and guidance to assist you to come back into compliance either after an offence is committed or where we consider that an offence is likely to be committed. This is without prejudice to any other enforcement response that we consider may be required.
- Enforcement action can include the issue of a formal caution, prosecution, the service of a notice and/or suspension or revocation of the permit.

See our Enforcement and Civil Sanctions guidance for further information

This report does not relieve the site operator of the responsibility to

- Ensure you comply with the conditions of the permit at all times and prevent pollution of the environment
- Ensure you comply with other legislative provisions which may apply

Non-compliance scores and categories

CCS category	Description	Score
C1	A non-compliance that could have a major environmental effect	60
C2	A non-compliance which could have a significant environmental effect	31
C3	A non-compliance which could have a minor environmental effect	4
C4	A non-compliance which has no potential environmental effect	0.1

Operational Risk Appraisal (Opra) - Compliance assessment findings may affect your Opra score and/or your charges. This score influences the resource we use to assess permit compliance.

Section 6 – General information

Data protection notice

The information on this form will be processed by the Natural Resources Wales (NRW) to fulfil its regulatory and monitoring functions and to maintain the relevant public register(s). The NRW may also use and/or disclose it in connection with:

- Offering/providing you with its literature/services relating to environmental matters
- Consulting with the public, public bodies and other organisations (eg. Health and Safety Executive, local authorities) on environmental issues
- Carrying out statistical analysis, research and development on environmental issues
- Providing public register information to enquirers
- Investigating possible breaches of environmental law
- Assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Regulations request

The NRW may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

Disclosure of information

The NRW will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within fifteen working days of receipt of this form indicating which information it concerns and why it should not be released, giving your reasons in full.

Customer charter

What can I do if I disagree with this compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with officer's line managers using the informal appeals procedure. If you wish to raise your dispute further through our official Complaints and Commendations procedure, phone our general enquiry number 0300 065 3000 (Mon to Fri 08.00 – 18.00) and ask for the Customer Contact team or send an email to enquiries@naturalresourceswales.gov.uk. If you are still dissatisfied you can make a complaint to the Public Services Ombudsman for Wales. For advice on how to complain to the Ombudsman phone their helpline on 0845 607 0987.

Welsh Language

If you would like this form in Welsh please contact your Regulatory Officer.