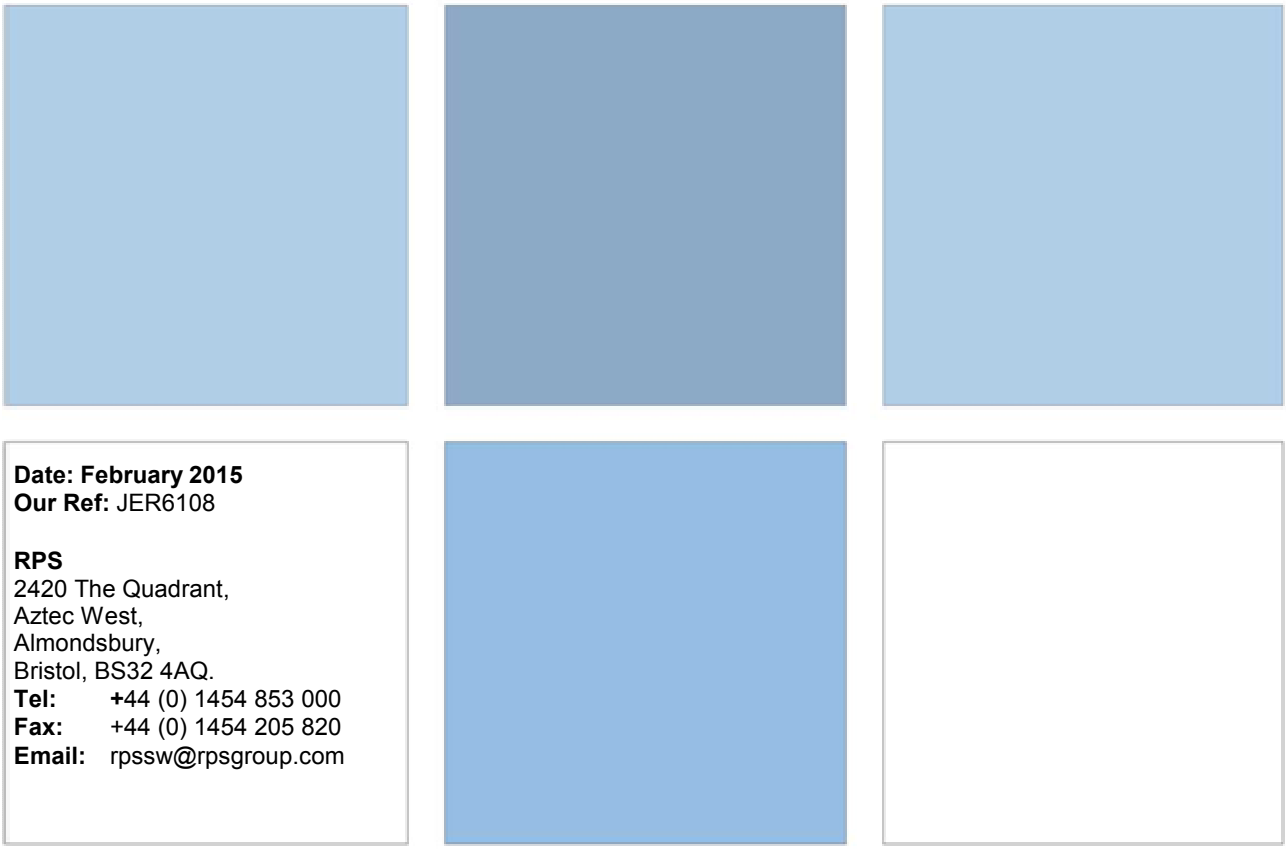
# Surrender Site Condition Report

## EPR/BP3992SG

## Newport WEEE Facility

## On behalf of Sims Group UK Limited.




**Date: February 2015**
**Our Ref:** JER6108

**RPS**
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# Quality Management

| | | |
|---|---|---|
| **Prepared by:** | Franck Baudrain | **Principal Geotechnical Consultant** |
| **Authorised by:** | Henry Bonham | **Technical Director** |
| **Date:** | **February 2015** | |
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ISO14001
EMS 70824

FS 32940

# Executive Summary

A Surrender Site Condition Report (SSCR) is required to be submitted by the operator of any facility regulated by the Environment Agency under the Environmental Permitting (England and Wales) (amended) Regulations 2012 (EPR) that seeks to surrender their permit. The SSCR should describe the condition of the site at the point at which the operator applies to surrender the environmental permit and provide the basis for assessment to ascertain whether the land is in a satisfactory state for surrender of the permit. Records of site history, operations, previous site investigation and correspondence with the Environment Agency Wales have been reviewed in order to establish the condition of the site and to identify any site practice or incident that could potentially have resulted in the contamination of soil and groundwater in the vicinity of the site prior to or during operation under the permit.

Baseline investigations were undertaken prior to the construction of the facility, which identified that the site is made up ground associated with the reclamation of marsh land, development of neighbouring docks, use as coal wharf / rail sidings, and landfill to provide a level yard area, initially for storage of WEEE and refrigeration equipment and then development of the existing facility. The ground conditions were assessed to comply with planning conditions and determined that no remedial action was necessary with contaminant concentrations in soil and groundwater not considered to represent a risk to the environment.

The permit for which this surrender application relates ref EPR/BP3992SG was issued 28th October 2008, with the site becoming operation 9th February 2009. The site was purpose built and operated by Sims in accordance with an Environmental Management System and was routinely inspected by the Environment Agency Wales (now NRW) with no contamination concerns raised and no record of any incidents that would affect site condition since the permit was issued in 2008.

Decommissioning activities commenced 19th September 2014 with the site having since been subject to site clearance ahead of vacating the site on 14th January 2015. Site decommissioning has been undertaken to remove all waste materials and associated processing plant to leave a cleared yard area. Drainage infrastructure, including the interceptor, has been cleaned and inspected to ensure it is free from contamination. On clearance of the site, the surface of the concrete was inspected by RPS on 13th January 2015. No damage has been identified that would give cause for concern that ground contamination has resulted from the permitted activities.

Based on the information presented within this report RPS concludes that following site decommissioning the land is in a satisfactory condition to enable surrender of the permit reference EPR/BP3992SG.

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***Appendix A Environmental Permit***

***Appendix B Operating Techniques***

***Appendix C Environment Agency Wales Inspection Records***

***Appendix D Photographic log (taken 13th January 2015)***

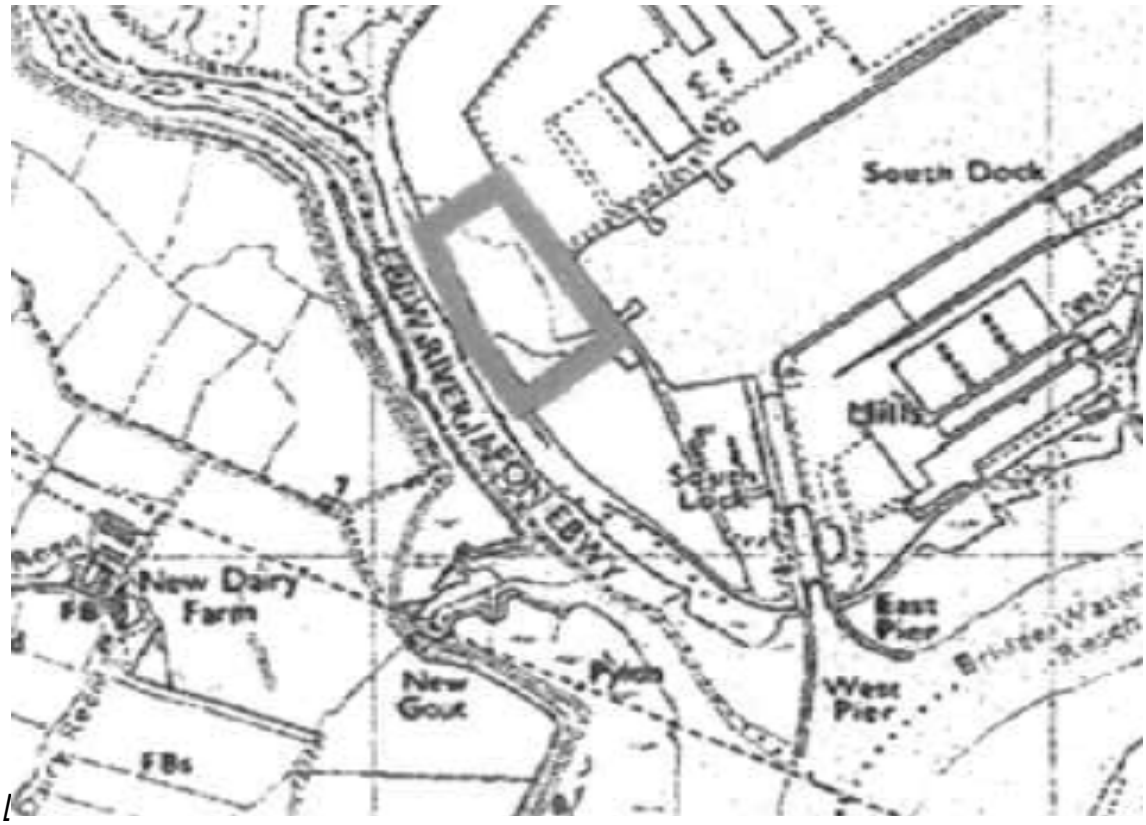
***Appendix E Drainage Clearance Certificate***

# 1 Site Details

## 1.1 Background

1.1.1 The site is located at West Quay, South Dock, Westway Road, Newport, Gwent, Wales, NP20 2WE and covers an area of approximately 12 acres.

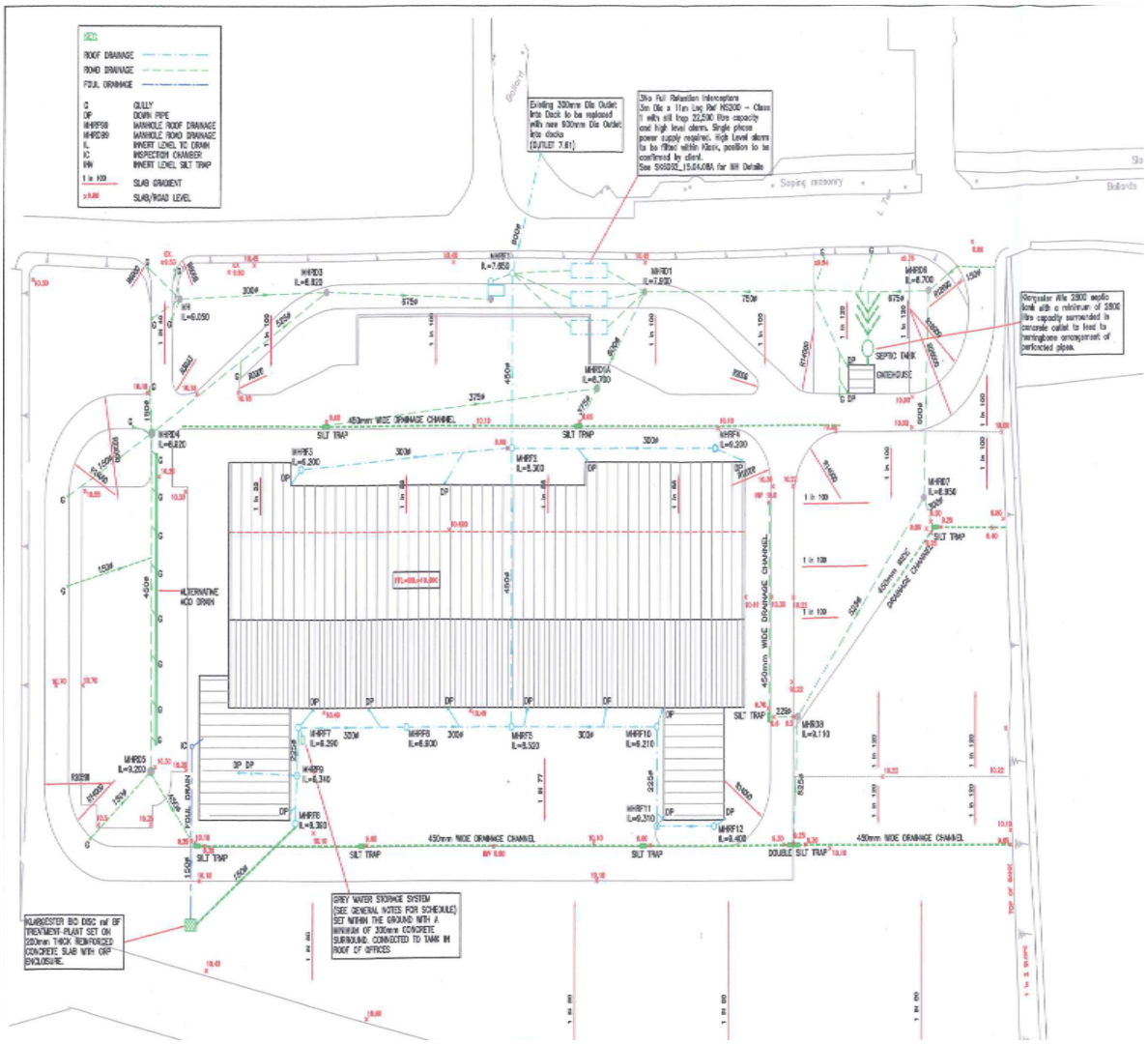
1.1.2 The site has been operated by Sims Group UK Limited in accordance with EP/BP3992SG, with the permit boundary shown below [*and referred to as 'the permit' in this report with copy attached at Appendix A*]. The permit was issued 19th October 2008.




*[*

1.1.3 The site comprises a main building with WEEE recycling facility with two storey offices at the northern end, an open sided storage building on the southwest corner of the site, a workshop building on the western boundary and a weighbridge and portacabin gate house office on southeast of the site.

1.1.4 On-site drainage comprises a parallel drainage channels running through the yard areas either side of the main building, connected to an interceptor on the eastern boundary of the site prior to discharge to the dock.

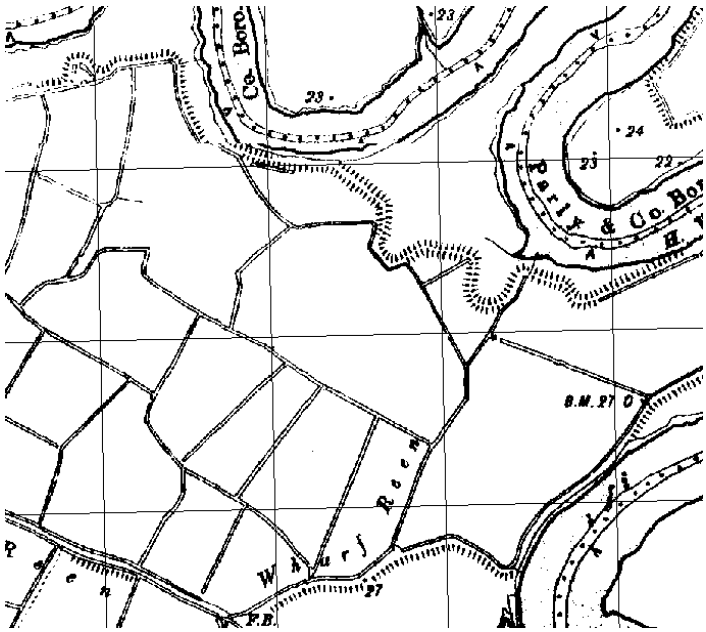


# 2 Condition of the Land at Permit Issue

## 2.1 Site History

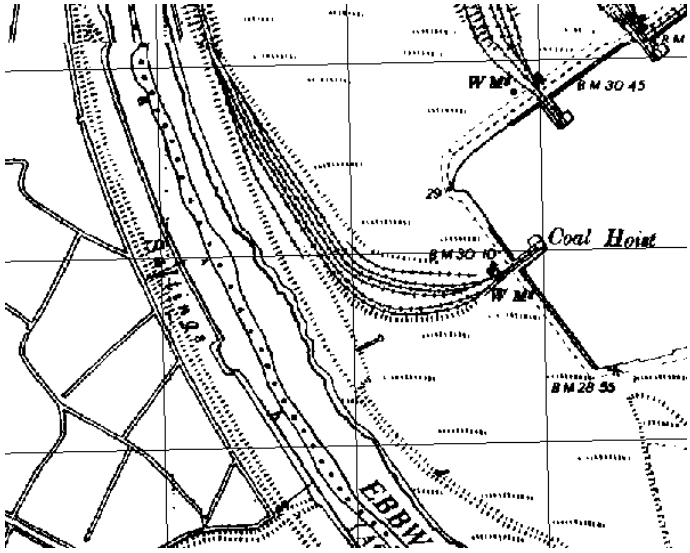
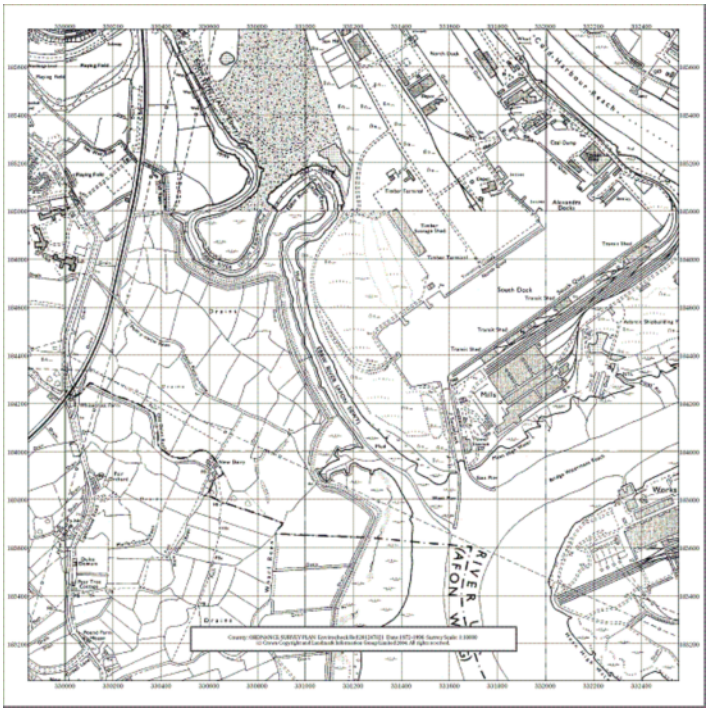
2.1.1 The site occupies an area of former dockland on the western fringe of Newport South Dock. The WEEE processing facility, covered by Permit EP/BP3992SG was purpose built by Sims and opened 9th February 2009.

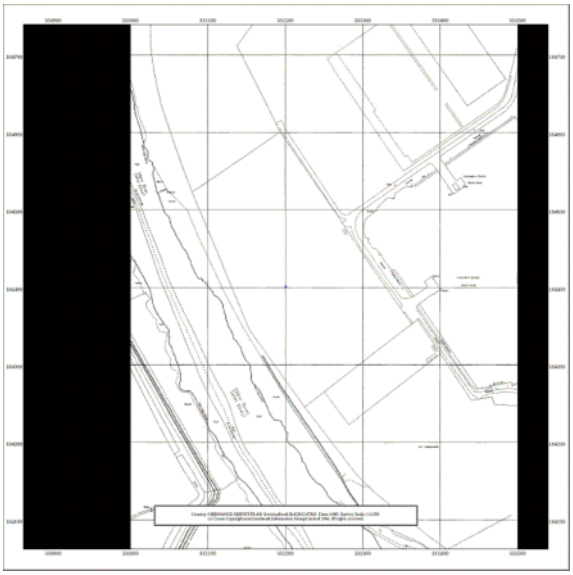
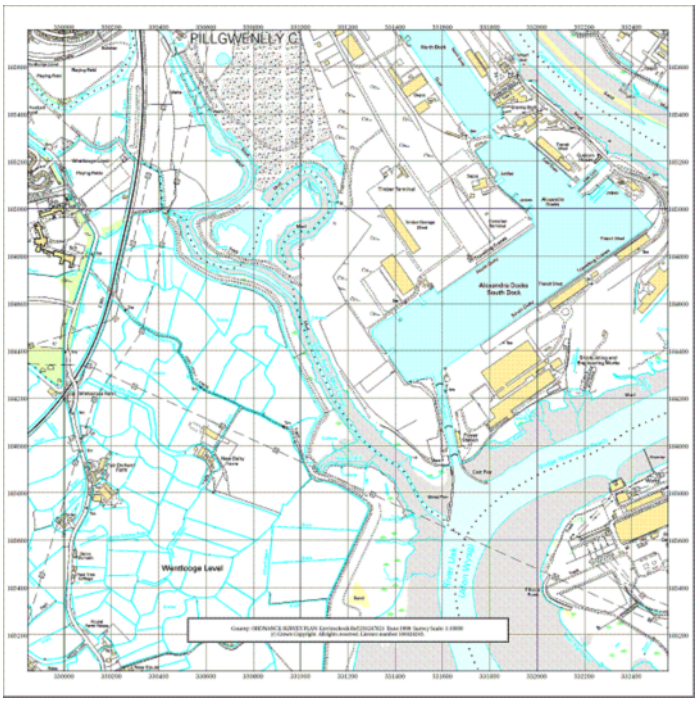
2.1.2 Historic Ordnance Survey maps show the site was marsh land prior to land reclamation and development of the docks from 1921. The site was associated with the dock use with railway sidings linking to a coal hoist on the South Dock. From 1968 the railway siding became disused and the land was subsequently subject to tipping of inert materials to provide a level hard-core surface.



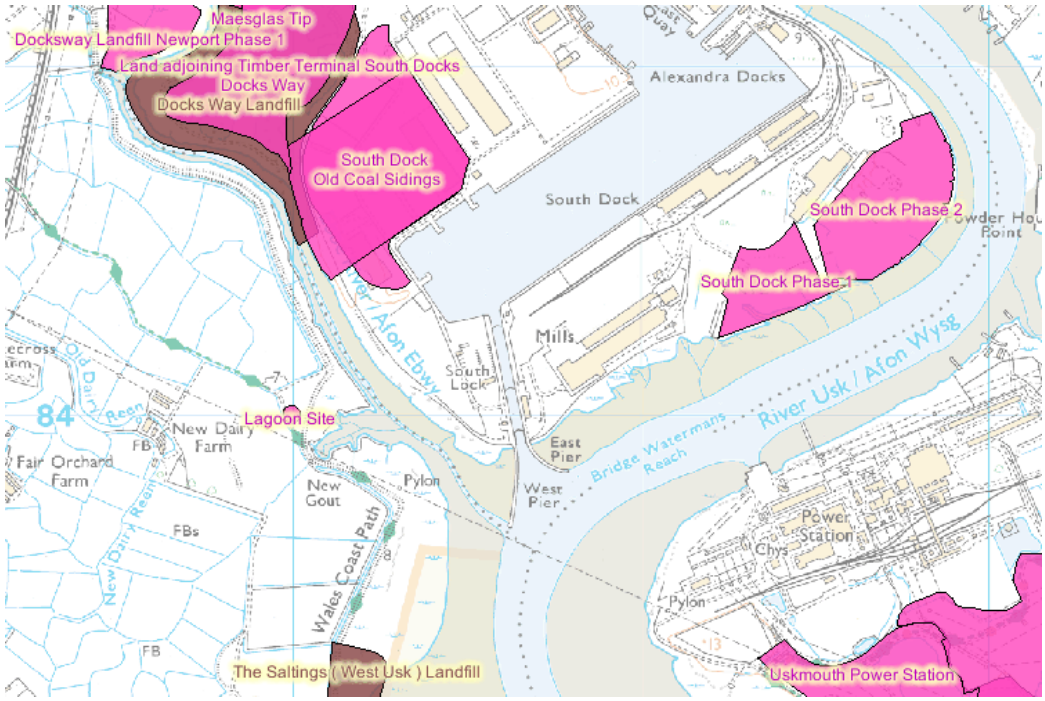

**1902**

Undeveloped marsh land, with land drains flowing into neighbouring river

| | |
|---|---|
|  | **1938**<br><br>The docks have been created. The site remains undeveloped with rail siding connected to dock side, labelled 'coal hoist'. |
|  | **1972**<br><br>Site remains undeveloped with rail siding connected to dock side. |

| | |
|---|---|
|  | **1995**<br><br>Rail sidings removed. Site shown as unlabelled plot. |
|  | **1999**<br><br>Site shown as unlabelled plot adjacent to the dock |

2.1.3 The Environment Agency Wales (NRW) records show that the land to the north of the site as historic landfill, referred to as 'South Dock Old Coal Sidings' receiving waste between 1970 and 1990 with waste types described as inert and industrial.




*Extract of EAW map*

2.1.4 Prior to the construction of the existing buildings, the site had been used for open storage of waste and scrap refrigeration units.

2.1.5 Planning consent 05/0698 was granted by Newport City Council 23rd November 2005 to construction of site and buildings to store, treat and process waste electrical equipment and goods and other ferrous and non-ferrous metal containing items/materials. Planning conditions included the need for desk study and contamination investigations. These were successfully discharged with no remedial action considered necessary.

2.1.6 The facility, covered by Permit EP/BP3992SG was purpose built by Sims and commenced operation on 9th February 2009.

## 2.2 Site Setting

2.2.1 Key aspects of the environmental setting are summarised below.

2.2.2 The site is underlain by geology comprising alluvium over bedrock comprising Keuper Marl mudstone. Made ground and fill is also likely to be present as a result of the reclamation of the land from the former marshes, to provide a base to the railway sidings and subsequent clearance and levelling of the site.

2.2.3 There are no water abstraction licences within 1km of the site, and the site is not identified as being within a groundwater Source Protection Zone (SPZ). The alluvial materials which are beneath the site and much of the surrounding area, comprising of silts, muds and clays, typically has low permeability therefore limiting infiltration. Lateral rates of permeability are typically greater than vertical permeability due to lenses and laminations of more silty and fine sandy horizons. Groundwater is therefore likely to be brackish to saline given the estuarine setting.

2.2.4 Newport Docks have been developed at the confluence between the River Ebbw, which is adjacent to the west of the site, and the River Usk which is approximately 500m to the southeast. Surface water drainage from the site passes, via an interceptor, to the neighbouring South Dock.

2.2.5 The surrounding area to the west of the River Ebbw comprises low lying tidal mudflats and marshy land with a number of surface water drainage channels, known as reens or larger ones locally known as ‘Pills’.

## 2.3 Condition of the Land Prior to Permit Issue

2.3.1 A desk based study, including walkover inspection, was undertaken in September 2005, with subsequent baseline contamination investigation in May 2006 ahead of the development of the site.

2.3.2 At the time of the 2005 site inspection the surfacing comprised hard-core material generally level and was littered with fragments of metal, plastic, glass and mirror, steel wire, electrical wire, pipework, metal sheeting and timber, all materials primarily derived from the scrapped fridge units. In places minor patches of possible oil staining were noted on the ground surface.

2.3.3 The intrusive investigation comprises the drilling of a series of trial pits and exploratory holes up to 20m depth to allow soil sampling for geotechnical and chemical testing with boreholes reinstated as gas and groundwater monitoring wells.

2.3.4 The investigation confirmed the presence of made ground across the site at depths between 0.70m to 4.70mbgl, with the greatest depth towards the western part of the site. The made ground was noted to be fairly consistent across the site predominantly comprising granular material of foundry sand with ashy pockets, fragments of coke and clinker and gravel sized concretions of fused sand and natural stone gravels. Intermixed with the sands were various components including brick and concrete fragments, and lesser amounts of fragments of glass, wire, metal, rubber tyres and plastic. Alluvial clays and gravels were encountered below the made ground with Keuper Marl bedrock at a depth of 20.30mbgl.

2.3.5 With respect to soil contamination the overall results for inorganic and organic contaminants was found to be low, with only localised results recorded but the majority of which were, following risk assessment, not considered cause for concern.

2.3.6 The groundwater beneath the site has not been significantly impacted by historical activities at the site. The groundwater contamination noted is generally localised and not considered a major concern given the industrial setting of the site, a low sensitivity area and the brackish quality of the estuarine groundwater.

2.3.7 Gas concentrations of both carbon dioxide and methane have been measured above threshold values (up to 7.4% $CO_2$ and 3.7% $CH_4$), but at low flow rates indicative of a low generation source such as the alluvial deposits.

# 3 Permitted Activities

## 3.1 Permitted Activities Ref. EPR/BP3992SG

3.1.1 The permit EPR/BP3992SG dates from 28th October 2008, issued to Sims Group UK Ltd. *A copy of the permit is attached at Appendix A*.

3.1.2 The permit relates to a Waste Electrical and Electronic Equipment (WEEE) authorised treatment facility (ATF). The treatment of WEEE containing ozone depleting substances was not permitted but the site could accept and treat a range of other wastes including ferrous and non-ferrous metals, waste plastics and shredder residues.

3.1.3 The permit allows for the receipt of 150,000tpa wastes. Activities are described as:

- The principle activities at the site are the treatment consisting only of sorting, dismantling, separation, shredding, screening, grading, baling, shearing, compacting, crushing, granulation, or cutting of waste into different components for recovery.

- Treatment must be carried out within the building provided with weatherproof covering. Treatment and storage areas to be provided with impermeable surface connected to sealed drainage.

- All fluids contained within any WEEE will be removed prior to treatment.

- There shall be no point source emissions to water, air or land except from the sources and emissions points listed. These comprise site drainage discharge to the South Dock, via interceptor on eastern boundary of the site, but no emissions to sewer with a sewage treatment plant (Bio disc located by offices) and septic tank (serving gate house) provided for the site.

- All liquids, whose emission might cause pollution, shall be provided with secondary containment. A bunded diesel and oil store was situated alongside the western aspect of the main building, beneath a canopy.

3.1.4 The Working Plan that accompanied the permit application and Sims Operating Techniques (*attached at Appendix B*) comments that the site is fully concreted with drainage connected to oil interceptors. Disassembled parts such as batteries and capacitors were to be stored in appropriate containers that fluids cannot escape from

with appropriate secondary containment measures capable of holding at least 110% the volume of the primary containment vessel.

## 3.2 Non-permitted Activities Undertaken

3.2.1 No un-authorised activities have been undertaken at the site outside the conditions of the permit reference EPR/BP3992SG.

## 3.3 Changes to the Activities Undertaken

3.3.1 There have been no changes to the site boundary, site activities or materials handled under the permit reference EPR/BP3992SG.

# 4 Measures taken to Protect Land

## 4.1 Site Infrastructure

4.1.1 The site was purpose built by Sims such that, with the exception of site drainage, there are no sub surface structures to minimise the potential for land contamination. The site was fully concrete surfaced with drainage connected an oil interceptor prior to discharge off site to South Dock.

## 4.2 Inspection Records

4.2.1 The site has been subject to regular inspections by the Environment Agency Wales since the plant opened in February 2009 *(copies of Inspection Records attached at Appendix C).*

4.2.2 Since the permit was issued the site has been considered to be operating satisfactorily with no incidents or concerns raised that would be considered to represent a risk of land contamination. Minor concerns were raised originally with regards the external storage of TVs and monitors, with risk of breakage. This was addressed through use of crates. Another concern related to dust on site although this was not considered to present a significant risk to the environment with dust contained well within the site boundary. The risk of blocked drainage was also mentioned.

## 4.3 Records of Maintenance

4.3.1 Sims has an Environmental Management System (EMS) for the site which documents procedures for the operation and maintenance of the site to ensure compliance with the permit.

4.3.2 A planned programme of maintenance for plant and equipment is specified in the management system. All plant is inspected and maintained in line with the manufacturer's instructions or other appropriate regime. The drains and interceptor have been inspected on a regular basis and cleaned as required.

4.3.3 Other preventative measures adopted included staff training, implementation of the EMS, with periodic inspection by Sims Environmental Team. The Inspection Record

frequently reviewed the EMS, noting that it was kept updated. No spillages were noted by the Environment Agency Wales.

## 4.4 Records of Repair / Replacement

4.4.1 The plant was purpose built, and opened in 2009, with activities remaining the same up until the plant closed in September 2014. The site was built to an appropriate specification to meet the permit conditions, as specified in the Operating Techniques. As such there has been no requirement for repair or replacement.

4.4.2 The Environment Agency Wales inspection records commented on the potential for drainage to become blocked but this was addressed with the drains inspected and cleared. The interceptor on site was appropriately maintained with water samples from the outfall to the dock monitored to ensure compliance with emission levels.

# 5 Pollution Incidents that may have had an Impact on Land

5.1.1 There has been no record of any pollution incidents that may have had an impact on the land since the issue of the permit.

5.1.2 The Environment Agency Wales has not noted any significant leakage or spillages on inspection records.

# 6 Soil, Gas and Water Monitoring

## 6.1 Routine Monitoring of Site

6.1.1 The permit did not require any routine monitoring of ground or groundwater conditions.

6.1.2 Surface water drainage discharged, via an interceptor, to the South Dock. This point source emission was regulated by the permit, with water samples recovered at the outfall by both Sims and EAW and assessed against EQS, taking into account the diluting effect of the dock prior to flowing into the estuary. No compliance problems were reported.

# 7 Decommissioning and Removal of Pollution Risk

7.1.1 Decommissioning works commenced 19th September 2014. RPS inspected the site on 13th January 2015, ahead of Sims vacating the site on 14th January 2015. All scrap metal and waste associated with site activities have since been removed from site to suitably authorised facilities. The surface of the site has been cleared to leave clean buildings and yard area (*see photographic log at Appendix D)*.

7.1.2 The site drainage and interceptor has been emptied and the contents removed to suitably authorised facility cleaned and refilled with clean water and all drains, gulley's on site have been emptied and cleared. These works were undertaken during the decommissioning and clearance of the site between 10th December 2014 and 9th January 2015 *(see certificates at Appendix E)*.

# 8 Reference Data and Remediation

## 8.1 Scope of Works

8.1.1 On clearance on the site it was evident that the concrete surface remains intact. It has therefore been determined that the risk to ground conditions from the permitted activities is low. All potentially polluting substances were considered to be appropriately stored with no record of spillage or leakage. There was a sealed drainage system to capture all surface water drainage.

8.1.2 No intrusive investigations have therefore been considered necessary.

# 9 Statement of Site Condition

9.1.1 This surrender application relates to the activities undertaken in accordance with Permit EPR/BP3992SG at West Quay, South Dock, Westway Road, Newport, Gwent, Wales, NP20 2WE. The permit was issued 28th October 2008, although treatment activities did not commence until 9th February 2009.

9.1.2 Decommissioning activities commenced 19th September 2014 with the site subsequently cleared of residual materials and associated equipment. Sims vacated the site on 14th January 2015.

9.1.3 The site was purpose built to provide the infrastructure required to comply with the permit. There has been no change in use or modification to site infrastructure, with Sims operating the site throughout the permitted life.

9.1.4 Environment Agency Wales inspection records since 2009 have not identified any pollution incidents or activities likely to have impacted ground conditions. The site has been fully concrete surfaced throughout with sealed drainage system. The interceptor has been emptied and the contents removed to suitably authorised facility cleaned and refilled with clean water and all drains, gulley's on site have been emptied and cleared.

9.1.5 A baseline investigation was undertaken prior to the construction of the facility. The site was found to be underlain by made ground associated with the reclamation of marsh land, use as coal sidings associated with the neighbouring dock, and subsequent landfill activity by inert and industrial wastes to provide a level site initially for open storage of WEEE and refrigeration equipment, and then as a development platform for the construction of the existing building. The contaminant concentrations recorded by the baseline investigation in soil and groundwater were not considered to represent a risk to the environment or proposed use, and consequently the relevant planning conditions were discharged.

9.1.6 As a result of the findings of the original baseline investigation, and with no concern for land contamination as a result of the permitted activities, no further intrusive investigations have been considered necessary to support this application. Following completion of the site clearance works it is considered that the site is in a satisfactory condition for surrender of the permit reference EPR/BP3992SG.

# Appendices

## Appendix A: Environmental Permit EPR/BP3992SG

# Notice of variation with introductory note

Environmental Permitting (England & Wales) Regulations 2010

SIMS Group UK Limited

Newport WEEE Facility
West Quay
South Dock
Westway Road
Newport
Gwent
NP20 2WE

Variation application number

EPR/BP3992SG/V002

Permit number

EPR/BP3992SG

# Newport WEEE Facility
# Permit number EPR/BP3992SG

# Introductory note

## This introductory note does not form a part of the notice.

The following notice gives notice of the variation of an environmental permit.

11 Waste codes were added to the permit under table S3.1

The Schedules specify the changes made to the original permit.

Schedule 1 of the notice lists any conditions that have been deleted, Schedule 2 of the notice lists any amended conditions and Schedule 3 of the notice lists any conditions that have been added.

The status log of a permit sets out the permitting history, including any changes to the permit reference number.

| Status Log of permit | | |
|---|---|---|
| **Detail** | **Date** | **Response Date** |
| Application EPR/BP3992SG/A001 | Duly made 28/07/2008 | |
| Permit determined EPR/BP3992SG | 28/10/2008 | |
| Admin. Variation application EPR/BP3992SG/V002 | Duly made 04/02/2011 | |
| Admin. Variation determined EPR/BP3992SG | 21/02/2011 | |

End of Introductory note

Notice of variation
Environmental Permitting (England and Wales) Regulations 2010

**Permit number**
**EPR/BP3992SG**

The Environment Agency in exercise of its powers under regulation 20 of the Environmental Permitting (England and Wales) Regulations 2010 varies the environmental permit issued to

**SIMS Group UK Limited** ("the operator")

whose registered office is

**Long Marston**
**Stratford Upon Avon**
**Warwickshire**
**CV37 8AQ**

company registration number **03242331**

to operate a regulated facility at

**West Quay**
**South Dock**
**Westway Road**
**Newport**
**Gwent**
**NP20 2WE**

to the extent set out in the schedules.

The notice shall take effect from 21/02/2011

| Name | Date |
|---|---|
| K A Bailey | **21/02/2011** |

**Kelly Bailey**
Authorised on behalf of the Environment Agency

## Schedule 1 – conditions to be deleted

The following conditions are deleted as a result of the application made by the operator:

Table S3.1

## Schedule 2 – conditions to be amended

None

## Schedule 3 – conditions to be added

The following conditions as a result of the application made by the operator:

| **Table S3.1 Permitted waste types and quantities for WEEE Authorised Treatment Facility** | |
|---|---|
| **Maximum quantity** | The quantity of wastes listed below, accepted at the site shall not exceed 150,000 tonnes a year. |
| **Waste code** | **Description** |
| 02 | Wastes from Agriculture, Horticulture, Aquaculture, Forestry, Hunting and Fishing, Food Preparation and Processing |
| 02 01 | wastes from agriculture, horticulture, aquaculture, forestry, hunting and fishing |
| 02 01 10 | waste metal |
| 08 | Wastes from Manufacture, Formulation, Supply and Use of (MFSU) of Coatings (Paints, Varnishes and Vitreous Enamels), Adhesives, Sealants and Printing Inks |
| 08 03 | wastes from MFSU of printing inks |
| 08 03 17* | waste printer toner containing dangerous substances |
| 08 03 18 | waste printer toner other than those mentioned in 08 03 17 |
| 09 | Wastes from the Photographic Industry |
| 09 01 | wastes from the photographic industry |
| 09 01 11* | single-use cameras containing batteries included in 16 06 01, 16 06 02 or 16 06 03 |
| 09 01 12 | single-use cameras containing batteries other than those mentioned in 09 01 11 |
| 10 | Wastes from Thermal Processes |
| 10 01 | wastes from power stations and other combustion plants (except 19) |
| 10 01 01 | bottom ash, slag and boiler dust (excluding boiler dust mentioned in 10 01 04) |
| 10 01 15 | bottom ash, slag and boiler dust from co-incineration other than those mentioned in 10 01 14 |
| 10 02 | wastes from the iron and steel industry |
| 10 02 01 | wastes from the processing of slag |
| 10 02 02 | unprocessed slag |
| 10 11 | wastes from manufacture of glass and glass products |
| 10 11 11* | waste glass in small particles and glass powder containing heavy metals (for example from cathode ray tubes) |
| 10 11 12 | waste glass other than those mentioned in 10 11 11 |

| Table S3.1 Permitted waste types and quantities for WEEE Authorised Treatment Facility | |
|---|---|
| **Maximum quantity** | The quantity of wastes listed below, accepted at the site shall not exceed 150,000 tonnes a year. |
| **Waste code** | **Description** |
| 12 | Wastes from Shaping and Physical and Mechanical Surface Treatment of Metals and Plastics |
| 12 01 | wastes from shaping and physical and mechanical surface treatment of metals and plastics |
| 12 01 01 | ferrous metal filings and turnings |
| 12 01 02 | ferrous metal dust and particles |
| 12 01 03 | non-ferrous metal filings and turnings |
| 12 01 04 | non-ferrous metal dust and particles |
| 15 | Waste packaging; Absorbents, Wiping Cloths, Filter Materials and Protective Clothing not otherwise specified |
| 15 01 | packaging (including separately collected municipal waste) |
| 15 01 01 | paper and cardboard packaging |
| 15 01 02 | plastic packaging |
| 15 01 03 | wooden packaging |
| 15 01 04 | metallic packaging |
| 15 01 06 | glass packaging |
| 16 | wastes not otherwise specified in the list |
| 16 01 | end-of-life vehicles from different means of transport (including off-road machinery) and wastes from dismantling of end-of-life vehicles and vehicle maintenance (except 13, 14, 16 06 and 16 08) |
| 16 01 03 | end-of-life tyres |
| 16 01 17 | ferrous metal |
| 16 01 18 | non-ferrous metal |
| 16 01 21* | hazardous components other than those mentioned in 16 01 07 to 16 01 11 and 16 01 13 and 16 01 14 |
| 16 01 22 | components not otherwise specified |
| 16 02 | wastes from electrical and electronic equipment |
| 16 02 09* | transformers and capacitors containing PCBs |
| 16 02 10* | explosive components (for example air bags) |
| 16 02 11* | discarded equipment containing chlorofluorocarbons, HCFC, HFC |
| 16 02 12* | discarded equipment containing free asbestos |
| 16 02 13* | discarded equipment containing hazardous components |
| 16 02 14 | discarded equipment other than those mentioned in 16 02 09 to 16 02 13 |
| 16 02 15* | hazardous components removed from discarded equipment |
| 16 02 16 | components removed from discarded equipment other than those mentioned in 16 02 15 |
| 16 03 | off-specification batches and unused products |
| 16 03 04 | inorganic wastes other than those mentioned in 16 03 03 |

| Table S3.1 Permitted waste types and quantities for WEEE Authorised Treatment Facility | |
|---|---|
| **Maximum quantity** | The quantity of wastes listed below, accepted at the site shall not exceed 150,000 tonnes a year. |
| **Waste code** | **Description** |
| 16 06 | batteries and accumulators |
| 16 06 01* | lead batteries |
| 16 06 02* | Ni-Cd batteries |
| 16 06 03* | mercury-containing batteries |
| 16 06 04 | alkaline batteries (except 16 06 03) |
| 16 06 05 | other batteries and accumulators |
| 16 06 06* | separately collected electrolyte from batteries and accumulators |
| 17 | Construction and Demolition Wastes (including excavated soil from contaminated sites) |
| 17 04 | metals (including their alloys) |
| 17 04 01 | copper, bronze, brass |
| 17 04 02 | aluminium |
| 17 04 03 | lead |
| 17 04 04 | zinc |
| 17 04 05 | iron and steel |
| 17 04 06 | tin |
| 17 04 07 | mixed metals |
| 17 04 09* | metal waste contaminated with dangerous substances |
| 17 04 10* | cables containing oil, coal tar and other dangerous substances |
| 17 04 11 | cables other than those mentioned in 17 04 10 |
| 17 06 | insulation materials and asbestos-containing construction materials |
| 17 06 03* | other insulation materials consisting of or containing dangerous substances |
| 17 06 04 | insulation materials other than those mentioned in 17 06 01 and 17 06 03 |
| 19 | Wastes from Waste Management Facilities, Off-site Waste Water Treatment Plants and the Preparation of Water Intended for Human Consumption and Water for Industrial Use |
| 19 01 | wastes from incineration or pyrolysis of waste |
| 19 01 02 | ferrous metals removed from bottom ash |
| 19 01 11* | bottom ash and slag containing dangerous substances |
| 19 01 12 | bottom ash and slag other than those mentioned in 19 01 11 |

| Table S3.1 Permitted waste types and quantities for WEEE Authorised Treatment Facility | |
|---|---|
| **Maximum quantity** | The quantity of wastes listed below, accepted at the site shall not exceed 150,000 tonnes a year. |
| **Waste code** | **Description** |
| 19 10 | wastes from shredding of metal-containing wastes |
| 19 10 01 | iron and steel waste |
| 19 10 02 | non-ferrous waste |
| 19 10 04 | fluff-light fraction and dust other than those mentioned in 19 10 03 |
| 19 10 06 | other fractions other than those mentioned in 19 01 05 |
| 19 12 | wastes from the mechanical treatment of waste (for example sorting, crushing, compacting, pelletising) not otherwise specified |
| 19 12 01 | paper and cardboard |
| 19 12 02 | ferrous metal |
| 19 12 03 | non-ferrous metal |
| 19 12 04 | plastic and rubber |
| 19 12 05 | glass |
| 19 12 11* | other wastes (including mixtures of materials) from mechanical treatment of wastes containing dangerous substances |
| 19 12 12 | other wastes (including mixtures of materials) from mechanical treatment of wastes other than those mentioned in 19 12 11 |
| 20 | Municipal Wastes (Household waste and similar commercial, industrial and institutional wastes) Including separately collected fractions |
| 20 01 | separately collected fractions (except 15 01) |
| 20 01 21* | fluorescent tubes and other mercury-containing waste |
| 20 01 23* | discarded equipment containing chlorofluorocarbons |
| 20 01 33* | batteries and accumulators included in 16 06 01, 16 06 02 or 16 06 03 and unsorted batteries and accumulators containing these batteries |
| 20 01 34 | batteries and accumulators other than those mentioned in 20 01 33 |
| 20 01 35* | discarded electrical and electronic equipment other than those mentioned in 20 01 21 and 20 01 23 containing hazardous components |
| 20 01 36 | discarded electrical and electronic equipment other than those mentioned in 20 01 21, 20 01 23 and 20 01 35 |
| 20 01 39 | plastics |
| 20 01 40 | metals |

# Permit with introductory note

The Environmental Permitting (England & Wales) Regulations 2007

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Newport WEEE Facility

SIMS Group UK Ltd
West Quay
South Dock
Westway Road
Newport
Gwent
NP20 2WE

Permit number

EPR/BP3992SG

# Newport WEEE Facility
# Permit number EPR/BP3992SG

## Introductory note

### *This introductory note does not form a part of the permit*

The main features of the facility are as follows.

A Waste Facility comprising primarily a Waste Electrical and Electronic Equipment (WEEE) authorised treatment facility (ATF) at the specified location. This licence does not permit the treatment of WEEE containing ozone-depleting substances. The site will also accept and treat a range of other wastes including ferrous and non-ferrous metals, waste plastics and shredder residues.

| Status Log of the permit | | |
|---|---|---|
| **Detail** | **Date** | **Response Date** |
| Application EPR/BP3992SG | Duly made 28/07/2008 | |
| Permit determined | 28/10/2008 | |

End of Introductory Note

# Permit

Permit number
**EPR/BP3992SG**

The Environment Agency hereby authorises, under regulation 13 of the Environmental Permitting (England and Wales) Regulations 2007

***SIMS Group UK Ltd*** ("the operator"),

whose registered office is
***Long Marston***
***Stratford Upon Avon***
***Warwickshire***
***CV37 8AQ***

company registration number ***03242331***

to operate a facility comprising *waste operations* at
***West Quay***
***South Dock***
***Westway Road***
***Newport***
***Gwent***
***NP20 2WE***

to the extent authorised by and subject to the conditions of this permit.

| Name | Date |
|---|---|
| K A Bailey | 28-Oct-08 |

***Kelly Bailey*** Authorised on behalf of the Agency

# 1 Management

## 1.1 General management

1.1.1 The activities shall be managed and operated:

(a) in accordance with a management system, which identifies and minimises risks of pollution, including those arising from operations, maintenance, accidents, incidents, non-conformances and closure and those drawn to the attention of the operator as a result of complaints; and

(b) by sufficient persons who are competent in respect of the responsibilities to be undertaken by them in connection with the operation of the activities.

1.1.2 Records demonstrating compliance with condition 1.1.1 shall be maintained.

1.1.3 Any person having duties that are or may be affected by the matters set out in this permit shall have convenient access to a copy of it kept at or near the place where those duties are carried out.

1.1.4 The operator shall comply with the requirements of an approved competence scheme or shall hold an appropriate certificate of technical competence or other approval issued by the Agency.

## 1.2 Accident management plan

1.2.1 The operator shall:

(a) maintain and implement an accident management plan;

(b) review and record at least every 4 years or as soon as practicable after an accident, (whichever is the earlier) whether changes to the plan should be made;

(c) make any appropriate changes to the plan identified by a review.

# 2 Operations

## 2.1 Permitted activities

2.1.1 The operator is authorised to carry out the activities specified in schedule 1 table S1.1 (the "activities").

## 2.2 The site

2.2.1 The activities shall not extend beyond the site, being the land shown edged in green on the site plan at schedule 2 to this permit.

## 2.3 Operating techniques

2.3.1 (a) The activities shall, subject to the conditions of this permit, be operated using the techniques and in the manner described in the documentation specified in schedule 1, table S1.2, unless otherwise agreed in writing by the Agency.

(b) If notified by the Agency that the activities are giving rise to pollution, the operator shall submit to the Agency for approval within the period specified, a revision of any plan specified in schedule 1, table S1.2 or otherwise required under this permit, and shall implement the approved revised plan in place of the original from the date of approval, unless otherwise agreed in writing by the Agency.

2.3.2 Waste shall only be accepted if:

(a) it is of a type and quantity listed in schedule 3 table S3.1; and

(b) it conforms to the description in the documentation supplied by the producer and holder.

## 2.4 WEEE treatment

2.4.1 The storage (including temporary storage) and treatment of WEEE shall be carried out in accordance with the technical requirements of Annex III of the WEEE Directive.

2.4.2 WEEE shall be treated using best available treatment, recovery and recycling techniques (BATRRT).

2.4.3 As a minimum, the substances, preparations and components specified in table 2.4 shall be removed from any separately collected WEEE.

**Table 2.4 Substances, preparations and components to be removed from separately collected WEEE**

- Capacitors containing Polychlorinated biphenyls (PCB)
- Mercury-containing components, such as switches or backlighting lamps
- Batteries
- Printed circuit boards of mobile phones generally, and of other devices if the surface of the printed circuit board is greater than 10 square centimetres
- Toner cartridges, liquid and pasty, as well as colour toner
- Plastic containing brominated flame retardants
- Asbestos waste and components which contain asbestos
- Cathode ray tubes
- Chlorofluorocarbons (CFC), hydrochlorofluorocarbons (HCFC), hydrofluorocarbons (HFC), or hydrocarbons (HC)
- Gas discharge lamps
- Liquid crystal displays (together with their casing where appropriate) of a surface greater than 100 square centimetres and all those back-lighted with gas discharge lamps
- External electric cables
- Components containing refractory ceramic fibres
- Components containing radioactive substances with the exception of components that are below the exemption thresholds set in Article 3 of and the Annex I to Council Directive 96/29/Euratom of 13 May 1996 laying down basic safety standards for the protection of the health of workers and the general public against the dangers arising from ionising radiation
- Electrolytic capacitors containing "substances of concern" (height > 25mm, diameter > 25 mm or proportionately similar volume)

2.4.4 All fluids contained within any WEEE shall be removed prior to further treatment.

2.4.5 Separately collected components of WEEE specified in table 2.5 shall be treated in accordance with the methods specified in that table.

**Table 2.5 Specified Treatment Methods for separately collected components of WEEE**

| Component | Specified Treatment |
|---|---|
| Cathode ray tubes | The fluorescent coating shall be removed. |
| Gas discharge lamps | The mercury shall be removed. |

2.4.6 Equipment shall be provided to record the weight of untreated WEEE accepted at, and components and materials leaving the site.

# 3 Emissions and monitoring

## 3.1 Emissions to water, air or land

3.1.1 There shall be no point source emissions to water, air or land except from the sources and emission points listed in schedule 4 table S4.1.

3.1.2 The limits given in schedule 4 shall not be exceeded.

## 3.2 Fugitive emissions of substances

3.2.1 Fugitive emissions of substances (excluding odour, noise and vibration) shall not cause pollution. The operator shall not be taken to have breached this condition if appropriate measures, including those specified in any approved fugitive emissions management plan, have been taken to prevent or where that is not practicable, to minimise, those emissions.

3.2.2 The operator shall:

(a) if notified by the Agency that the activities are giving rise to pollution, submit to the Agency for approval within the period specified, a fugitive emissions management plan;

(b) implement the approved fugitive emissions management plan, from the date of approval, unless otherwise agreed in writing by the Agency.

3.2.3 All liquids, whose emission to water or land could cause pollution, shall be provided with secondary containment, unless the operator has used other appropriate measures to prevent or where that is not practicable, to minimise, leakage and spillage from the primary container.

## 3.3 Odour

3.3.1 Emissions from the activities shall be free from odour at levels likely to cause annoyance outside the site, as perceived by an authorised officer of the Agency, unless the operator has used appropriate measures, including those specified in any approved odour management plan, to prevent or where that is not practicable to minimise the odour.

3.3.2 The operator shall:

(a) if notified by the Environment Agency that the activities are giving rise to annoyance outside the site due to odour, submit to the Agency for approval within the period specified, an odour management plan;

(b) implement the approved odour management plan, from the date of approval, unless otherwise agreed in writing by the Agency.

## 3.4 Noise and vibration

3.4.1 Emissions from the activities shall be free from noise and vibration at levels likely to cause annoyance outside the site, as perceived by an authorised officer of the Agency, unless the operator has used appropriate measures, including those specified in any approved noise and vibration management plan to prevent or where that is not practicable to minimise the noise and vibration.

3.4.2 The operator shall:

(a) if notified by the Agency that the activities are giving rise to annoyance outside the site due to noise and vibration, submit to the Agency for approval within the period specified, a noise and vibration management plan;

(b) implement the approved noise and vibration management plan, from the date of approval, unless otherwise agreed in writing by the Agency.

## 3.5 Pests

3.5.1 Scavenging animals, scavenging birds and other pests shall not cause nuisance, unless the operator has used appropriate measures to prevent or where that is not practicable, to minimise, such nuisance.

# 4 Information

## 4.1 Records

4.1.1 All records required to be made by this permit shall:

(a) be legible;

(b) be made as soon as reasonably practicable;

(c) if amended, be amended in such a way that the original and any subsequent amendments remain legible, or are capable of retrieval; and

(d) be retained, unless otherwise agreed in writing by the Agency, for at least 6 years from the date when the records were made, or in the case of the following records until permit surrender:

(i) off-site environmental effects; and

(ii) matters which affect the condition of the land and groundwater.

4.1.2 All records, plans and the management system required to be maintained by this permit shall be held on the site.

## 4.2 Reporting

4.2.1 All reports and notifications required by the permit shall be sent to the Agency using the contact details supplied in writing by the Agency

4.2.2 Within one month of the end of each quarter, the operator shall submit to the Agency using the form made available for the purpose, the information specified on the form relating to the site and the waste accepted and removed from it during the previous quarter.

## 4.3 Notifications

4.3.1 The Agency shall be notified without delay following the detection of:

(a) any malfunction, breakdown or failure of equipment or techniques, accident, or fugitive emission which has caused, is causing or may cause significant pollution;

(b) the breach of a limit specified in the permit; or

(c) any significant adverse environmental effects.

4.3.2 Any information provided under condition 4.3.1 shall be confirmed by sending the information listed in schedule 6 to this permit within the time period specified in that schedule.

4.3.3 Where the Agency has requested in writing that it shall be notified when the operator is to undertake monitoring and/or spot sampling, the operator shall inform the Agency when the relevant monitoring is to take place. The operator shall provide this information to the Agency at least 14 days before the date the monitoring is to be undertaken.

4.3.4 The Agency shall be notified within 14 days of the occurrence of the following matters, except where such disclosure is prohibited by Stock Exchange rules:

Where the operator is a registered company:

(a) any change in the operator's trading name, registered name or registered office address;

(b) any change to particulars of the operator's ultimate holding company (including details of an ultimate holding company where an operator has become a subsidiary); and

(c) any steps taken with a view to the operator going into administration, entering into a company voluntary arrangement or being wound up.

Where the operator is a corporate body other than a registered company:

(a) any change in the operator's name or address; and

(b) any steps taken with a view to the dissolution of the operator.

In any other case:

(a) the death of any of the named operators (where the operator consists of more than one named individual);

(b) any change in the operator's name(s) or address(es); and

(c) any steps taken with a view to the operator, or any one of them, going into bankruptcy, entering into a composition or arrangement with creditors, or, in the case of them being in a partnership, dissolving the partnership.

4.3.5 Where the operator proposes to make a change in the nature or functioning, or an extension of the activities, which may have consequences for the environment and the change is not otherwise the subject of an application for approval under the Regulations or this permit:

(a) the Agency shall be notified at least 14 days before making the change; and

(b) the notification shall contain a description of the proposed change in operation.

## 4.4 Interpretation

4.4.1 In this permit the expressions listed in schedule 7 shall have the meaning given in that schedule.

4.4.2 In this permit references to reports and notifications mean written reports and notifications, except where reference is made to notification being made "without delay", in which case it may be provided by telephone.

# Schedule 1 - Operations

**Table S.1.1**

| Description of activities | Limits of activities |
|---|---|

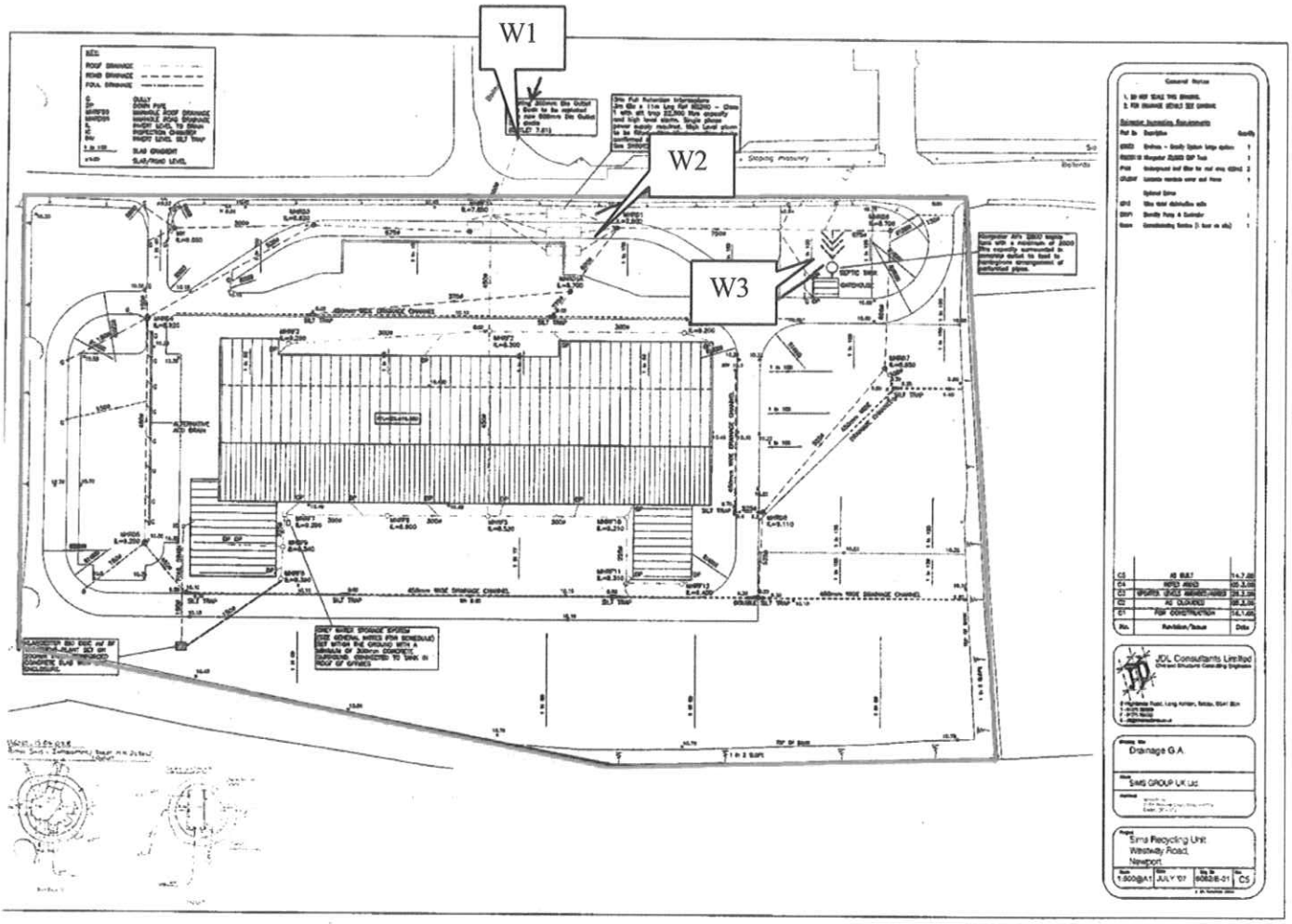
**Table S.1.1**

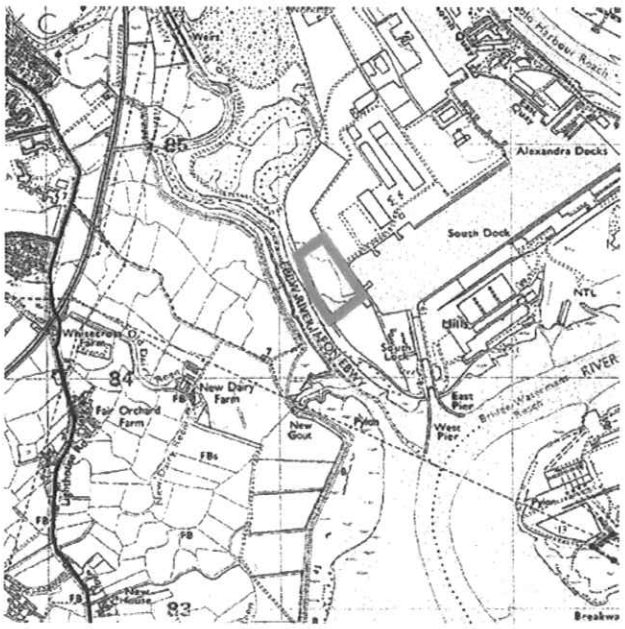
| Description of activities | Limits of activities |
|---|---|
| **D15:** Storage of waste pending any of the operations listed in paragraphs 1 to 14 of Part III of Schedule 4 of the Waste Management Licensing Regulations 1994 (as amended), but excluding temporary storage, pending collection, on the site where the waste is produced.<br><br>**R13:** Storage of waste consisting of materials intended for submission, on this site to any of the category "R" operations authorised under this column, or elsewhere than on this site, to any of the operations listed in Part IV of Schedule 4 of the 1994 Regulations, (excluding temporary storage, pending collection, on the site where it is produced).<br><br>**R3:** Recycling or reclamation of organic substances which are not used as solvents<br><br>**R4:** Recycling or reclamation of metals and metal compounds<br><br>**R5:** Recycling or reclamation of other inorganic materials. | Treatment consisting only of sorting, dismantling, separation, shredding, screening, grading, baling, shearing, compacting, crushing, granulation, or cutting of waste into different components for recovery.<br><br>Treatment of WEEE:<br>• must be carried out within a building provided with a weatherproof covering;<br>• must be carried out on an impermeable surface with sealed drainage with provision of spillage collection facilities and, where appropriate, decanters and cleanser degreasers.<br>• The treatment of WEEE containing ozone-depleting substances is not permitted.<br><br>Storage<br>• WEEE, disassembled spare parts, components or residues must be stored on an impermeable surface with sealed drainage with provision of spillage collection facilities and, where appropriate, decanters and cleanser degreasers;<br>• WEEE, disassembled spare parts, components or residues must be stored in areas provided with a weatherproof covering where appropriate or in containers providing a weatherproof covering where appropriate;<br>• disassembled spare parts containing liquids shall be stored in appropriate containers;<br>• Batteries, PCBs/PCTs containing capacitors and other hazardous wastes must be stored in dedicated, labelled appropriate containers.<br><br>Storage of WEEE containing ozone-depleting substances<br>• Storage shall be undertaken on an impermeable pavement with a sealed drainage system.<br>• Shall be kept separate;<br>• Free storage shall not exceed a maximum storage height of 3.5 metres or 5 fridge units laid on their side with the door in place for structural integrity.<br>• Palletised or racked storage shall not exceed a maximum height of 5 metres unless otherwise agreed.<br><br>Buildings, covered areas or containers must meet the following requirements:<br>• buildings, covered areas, or containers must be designed, constructed and maintained to prevent ingress of rain and surface water;<br>• rain and uncontaminated surface water must be kept separate from contaminated water and other liquids;<br>• containers must be stored on an impermeable surface with sealed drainage.<br><br>The capacity of the site for hazardous waste subject to a R5 activity shall not exceed 10 tonnes per day.<br><br>Maximum storage time of 1 year prior to disposal or 3 years prior to recovery. |

| Table S1.2 Operating techniques | | |
|---|---|---|
| **Description** | **Parts** | **Date Received** |
| Application | Doc Ref: JER7545 Sims Newport WEEE Operating Techniques | 21/07/2008 |

| Table S1.3 Improvement programme requirements | | |
|---|---|---|
| **Reference** | **Requirement** | **Date** |
| | | |
| | | |
| | | |
| | | |

# Schedule 2 - Site plan



# Schedule 3 - Waste types, raw materials and fuels

| Table S3.1 Permitted waste types and quantities for WEEE Authorised Treatment Facility | |
|---|---|
| **Maximum quantity** | The quantity of wastes listed below, accepted at the site shall not exceed 150,000 tonnes a year. |
| **Waste code** | **Description** |
| 02 | Wastes from Agriculture, Horticulture, Aquaculture, Forestry, Hunting and Fishing, Food Preparation and Processing |
| 02 01 | wastes from agriculture, horticulture, aquaculture, forestry, hunting and fishing |
| 02 01 10 | waste metal |
| 08 | Wastes from Manufacture, Formulation, Supply and Use of (MFSU) of Coatings (Paints, Varnishes and Vitreous Enamels), Adhesives, Sealants and Printing Inks |
| 08 03 | wastes from MFSU of printing inks |
| 08 03 17* | waste printer toner containing dangerous substances |
| 08 03 18 | waste printer toner other than those mentioned in 08 03 17 |
| 09 | Wastes from the Photographic Industry |
| 09 01 | wastes from the photographic industry |
| 09 01 11* | single-use cameras containing batteries included in 16 06 01, 16 06 02 or 16 06 03 |
| 09 01 12 | single-use cameras containing batteries other than those mentioned in 09 01 11 |
| 10 | Wastes from Thermal Processes |
| 10 11 | wastes from manufacture of glass and glass products |
| 10 11 11* | waste glass in small particles and glass powder containing heavy metals (for example from cathode ray tubes) |
| 12 | Wastes from Shaping and Physical and Mechanical Surface Treatment of Metals and Plastics |
| 12 01 | wastes from shaping and physical and mechanical surface treatment of metals and plastics |
| 12 01 01 | ferrous metal filings and turnings |
| 12 01 02 | ferrous metal dust and particles |
| 12 01 03 | non-ferrous metal filings and turnings |
| 12 01 04 | non-ferrous metal dust and particles |
| 15 | Waste packaging; Absorbents, Wiping Cloths, Filter Materials and Protective Clothing not otherwise specified |
| 15 01 | packaging (including separately collected municipal waste) |
| 15 01 01 | paper and cardboard packaging |
| 15 01 02 | plastic packaging |
| 15 01 03 | wooden packaging |
| 15 01 04 | metallic packaging |
| 15 01 06 | glass packaging |
| 16 | wastes not otherwise specified in the list |
| 16 01 | end-of-life vehicles from different means of transport (including off-road machinery) and wastes from dismantling of end-of-life vehicles and vehicle maintenance (except 13, 14, 16 06 and 16 08) |
| 16 01 03 | end-of-life tyres |
| 16 01 17 | ferrous metal |
| 16 01 18 | non-ferrous metal |
| 16 02 | wastes from electrical and electronic equipment |
| 16 02 09* | transformers and capacitors containing PCBs |
| 16 02 10* | explosive components (for example air bags) |

| Table S3.1 Permitted waste types and quantities for WEEE Authorised Treatment Facility | |
|---|---|
| **Maximum quantity** | The quantity of wastes listed below, accepted at the site shall not exceed 150,000 tonnes a year. |
| **Waste code** | **Description** |
| 16 02 11* | discarded equipment containing chlorofluorocarbons, HCFC, HFC |
| 16 02 12* | discarded equipment containing free asbestos |
| 16 02 13* | discarded equipment containing hazardous components |
| 16 02 14 | discarded equipment other than those mentioned in 16 02 09 to 16 02 13 |
| 16 02 15* | hazardous components removed from discarded equipment |
| 16 02 16 | components removed from discarded equipment other than those mentioned in 16 02 15 |
| 16 06 | batteries and accumulators |
| 16 06 01* | lead batteries |
| 16 06 02* | Ni-Cd batteries |
| 16 06 03* | mercury-containing batteries |
| 16 06 04 | alkaline batteries (except 16 06 03) |
| 16 06 05 | other batteries and accumulators |
| 16 06 06* | separately collected electrolyte from batteries and accumulators |
| 17 | Construction and Demolition Wastes (including excavated soil from contaminated sites) |
| 17 04 | metals (including their alloys) |
| 17 04 01 | copper, bronze, brass |
| 17 04 02 | aluminium |
| 17 04 03 | lead |
| 17 04 04 | zinc |
| 17 04 05 | iron and steel |
| 17 04 06 | tin |
| 17 04 07 | mixed metals |
| 17 04 09* | metal waste contaminated with dangerous substances |
| 17 04 10* | cables containing oil, coal tar and other dangerous substances |
| 17 04 11 | cables other than those mentioned in 17 04 10 |
| 17 06 | insulation materials and asbestos-containing construction materials |
| 17 06 03* | other insulation materials consisting of or containing dangerous substances |
| 17 06 04 | insulation materials other than those mentioned in 17 06 01 and 17 06 03 |
| 19 | Wastes from Waste Management Facilities, Off-site Waste Water Treatment Plants and the Preparation of Water Intended for Human Consumption and Water for Industrial Use |
| 19 01 | wastes from incineration or pyrolysis of waste |
| 19 01 02 | ferrous metals removed from bottom ash |
| 19 10 | wastes from shredding of metal-containing wastes |
| 19 10 01 | iron and steel waste |
| 19 10 02 | non-ferrous waste |
| 19 10 04 | fluff-light fraction and dust other than those mentioned in 19 10 03 |
| 19 10 06 | other fractions other than those mentioned in 19 01 05 |
| 19 12 | wastes from the mechanical treatment of waste (for example sorting, crushing, compacting, pelletising) not otherwise specified |
| 19 12 01 | paper and cardboard |
| 19 12 02 | ferrous metal |
| 19 12 03 | non-ferrous metal |
| 19 12 04 | plastic and rubber |

| Table S3.1 Permitted waste types and quantities for WEEE Authorised Treatment Facility | |
|---|---|
| **Maximum quantity** | The quantity of wastes listed below, accepted at the site shall not exceed 150,000 tonnes a year. |
| **Waste code** | **Description** |
| 19 12 05 | glass |
| 19 12 11* | other wastes (including mixtures of materials) from mechanical treatment of wastes containing dangerous substances |
| 20 | Municipal Wastes (Household waste and similar commercial, industrial and institutional wastes) Including separately collected fractions |
| 20 01 | separately collected fractions (except 15 01) |
| 20 01 21* | fluorescent tubes and other mercury-containing waste |
| 20 01 23* | discarded equipment containing chlorofluorocarbons |
| 20 01 33* | batteries and accumulators included in 16 06 01, 16 06 02 or 16 06 03 and unsorted batteries and accumulators containing these batteries |
| 20 01 34 | batteries and accumulators other than those mentioned in 20 01 33 |
| 20 01 35* | discarded electrical and electronic equipment other than those mentioned in 20 01 21 and 20 01 23 containing hazardous components |
| 20 01 36 | discarded electrical and electronic equipment other than those mentioned in 20 01 21, 20 01 23 and 20 01 35 |
| 20 01 39 | plastics |
| 20 01 40 | metals |

# Schedule 4 – Emissions and monitoring

**Table S4.1 Point Source emissions to water (other than sewer) and land – emission limits and monitoring requirements**

| Emission point ref. & location | Parameter | Source | Limit (incl. unit) | Reference Period | Monitoring frequency | Monitoring standard or method |
|---|---|---|---|---|---|---|
| W1 on site plan in schedule 2 emission to South Dock located at National Grid Reference ST 31301 84477 | No parameters set | Effluent Treatment plant serving site office | 5.0 $m^3$ per day | | None specified | None specified |
| W2 on site plan in schedule 2 emission to South Dock located at National Grid Reference ST 31280 84456 | No parameters set | Class 1 Oil Interceptor serving site drainage system | No limit set | | None specified | None specified |
| W3 on site plan in schedule 2 emission to land located at National Grid Reference ST 31332 84373 | No parameters set | Septic tank serving weighbridge office | 0.2 $m^3$ per day | | None specified | None specified |

# Schedule 5 - Reporting

There is no reporting under this schedule.

# Schedule 6 - Notification

These pages outline the information that the operator must provide.

Units of measurement used in information supplied under Part A and B requirements shall be appropriate to the circumstances of the emission. Where appropriate, a comparison should be made of actual emissions and authorised emission limits.

If any information is considered commercially confidential, it should be separated from non-confidential information, supplied on a separate sheet and accompanied by an application for commercial confidentiality under the provisions of the EP Regulations.

## Part A

| | |
|---|---|
| Permit Number | |
| Name of operator | |
| Location of Facility | |
| Time and date of the detection | |

| **(a) Notification requirements for any malfunction, breakdown or failure of equipment or techniques, accident, or fugitive emission which has caused, is causing or may cause significant pollution** | |
|---|---|
| **To be notified within 24 hours of detection** | |
| Date and time of the event | |
| Reference or description of the location of the event | |
| Description of where any release into the environment took place | |
| Substances(s) potentially released | |
| Best estimate of the quantity or rate of release of substances | |
| Measures taken, or intended to be taken, to stop any emission | |
| Description of the failure or accident. | |

| **(b) Notification requirements for the breach of a limit** | |
|---|---|
| **To be notified within 24 hours of detection unless otherwise specified below** | |
| Emission point reference/ source | |
| Parameter(s) | |
| Limit | |
| Measured value and uncertainty | |
| Date and time of monitoring | |
| Measures taken, or intended to be taken, to stop the emission | |

| Time periods for notification following detection of a breach of a limit | |
|---|---|
| **Parameter** | **Notification period** |
| | |
| | |
| | |

| (c) Notification requirements for the detection of any significant adverse environmental effect | |
|---|---|
| **To be notified within 24 hours of detection** | |
| Description of where the effect on the environment was detected | |
| Substances(s) detected | |
| Concentrations of substances detected | |
| Date of monitoring/sampling | |

## Part B - to be submitted as soon as practicable

| | |
|---|---|
| Any more accurate information on the matters for notification under Part A. | |
| Measures taken, or intended to be taken, to prevent a recurrence of the incident | |
| Measures taken, or intended to be taken, to rectify, limit or prevent any pollution of the environment which has been or may be caused by the emission | |
| The dates of any unauthorised emissions from the facility in the preceding 24 months. | |

| | |
|---|---|
| **Name*** | |
| **Post** | |
| **Signature** | |
| **Date** | |

* authorised to sign on behalf of SIMS Group Ltd

# Schedule 7 - Interpretation

"*accident*" means an accident that may result in pollution.

"*annually*" means once every year.

"*application*" means the application for this permit, together with any additional information supplied by the operator as part of the application and any response to a notice served under Schedule 5 to the EP Regulations.

*"authorised officer"* means any person authorised by the Agency under section 108(1) of The Environment Act 1995 to exercise, in accordance with the terms of any such authorisation, any power specified in section 108(4) of that Act.

*"best available treatment, recovery and recycling techniques"* shall have the meaning given to it in the document published jointly by the Department for Environment, Food and Rural Affairs, the Welsh Government and the Scottish Executive on 27th November 2006, entitled "Guidance on Best Available Treatment, Recovery and Recycling Techniques (BATRRT) and Treatment of Waste Electrical and Electronic Equipment (WEEE)."

*"building"* means a construction that has the objective of providing sheltering cover and minimising emissions of noise, particulate matter, odour and litter.

*"controlled substances"* means chlorofluorocarbons, other fully halogenated chlorofluorocarbons, halons, carbon tetrachloride, 1, 1, 1-trichloroethane, methyl bromide, hydrobromofluorocarbons and hydrochlorofluorocarbons listed in Annex I of Regulation (EC) No 2037/2000 of the European Parliament and of the Council of 29 June 2000 on substances that deplete the ozone layer, including their isomers, whether alone or in a mixture, and whether they are virgin, recovered, recycled or reclaimed. This definition shall not cover any controlled substance which is in a manufactured product other than a container used for the transportation or storage of that substance, or insignificant quantities of any controlled substance, originating from inadvertent or coincidental production during a manufacturing process, from untreated feedstock, or from use as a processing agent which is present in chemical substances as trace impurities, or that is emitted during product manufacture or handling.

*"D"* means a disposal operation provided for in Annex IIA to Directive 2006/12/EC of the European Parliament and of the Council of 5 April 2006 on Waste.

*"emissions to land"*, includes emissions to groundwater.

*"EP Regulations"* means The Environmental Permitting (England and Wales) Regulations SI 2007 No.3538 and words and expressions used in this permit which are also used in the Regulations have the same meanings as in those Regulations.

*"fugitive emission"* means an emission to air, water or land from the activities which is not controlled by an emission limit.

*"groundwater"* means all water, which is below the surface of the ground in the saturation zone and in direct contact with the ground or subsoil.

*"hazardous waste"* has the meaning given in the Hazardous Waste (England and Wales) Regulations 2005.

*"impermeable surface"* means a surface or pavement constructed and maintained to a standard sufficient to prevent the transmission of liquids beyond the pavement surface, and should be read in conjunction with the term "sealed drainage system" below.

*"ozone-depleting substances" "ODS"* means "controlled substances" contained in refrigeration, air-conditioning and heat pump equipment, equipment containing solvents, fire protection systems and fire extinguishers.

*"pollution"* means emissions as a result of human activity which may;

a) Be harmful to human health or the quality of the environment;
b) Cause offence to a human sense;

c) Result in damage to material property; or
d) Impair or interfere with amenities and other legitimate uses of the environment.

*"quarter"* means a calendar year quarter commencing on 1 January, 1 April, 1 July or 1 October.

*"R"* means a recovery operation provided for in Annex IIB to Directive 2006/12/EC of the European Parliament and of the Council of 5 April 2006 on Waste.

*"sealed drainage system"* in relation to an impermeable surface, means a drainage system with impermeable components which does not leak and will ensure that;
a) No liquid will run off the surface otherwise than via the system;
b) Except where they may lawfully be discharged, all liquids entering the system are collected in a sealed sump.

*"Waste code"* means the six digit code referable to a type of waste in accordance with the List of Wastes (England)Regulations 2005, or List of Wastes (Wales) Regulations 2005, as appropriate, and in relation to hazardous waste, includes the asterisk.

*"weatherproof covering"* means covering which will prevent the ingress of rainwater.

*"WEEE"* means waste electrical and electronic equipment and has the meaning given by Regulation 2 of The Waste Electrical and Electronic Equipment Regulations 2006.

*"WEEE Directive"* shall have the meaning given to it by regulation 2 of The Waste Electrical and Electronic Equipment (Waste Management Licensing)(England and Wales) Regulations 2006.

*"where appropriate"* in relation to weatherproof covering means where weatherproof covering is required to minimise the contamination of clean surface and rain waters, to facilitate the reuse of whole appliances and components intended for reuse, to assist in the containment of hazardous materials and fluids or where hazardous WEEE is stored.

"year" means calendar year ending 31 December.

END OF PERMIT

# Appendix B: Working Plan and Operating Techniques

# OPERATING TECHNIQUES

For

# Waste Electronic and Electrical Equipment Facility

At

## West Quay
## South Dock
## Westway Road
## Newport
## NP20 2WE

**EPR/BP3992SG (EAWML 100605)**

==========

**Permit Holder:**

Sims Group UK Limited
Long Marston
Stratford-upon-Avon
Warwickshire
CV37 8AQ

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# 1.0 Introduction

## 1.1 Site Details

The site is located at:
West Quay
South Dock
Westway Road
Newport
NP20 2WE

The site occupies an area of former dockland on the western fringe of the Newport South Dock at National Grid Reference (NGR) ST 312 844.

The site undertakes a range of waste management activities including:

- Storage and treatment of Waste Electrical & Electronic Equipment (WEEE), parts of WEEE and components of WEEE;
- Storage of waste containing Ozone Depleting Substances (ODS);
- Storage and treatment of ferrous and non-ferrous metals;
- Storage of general mixed scrap metal;
- Storage and treatment of electrical cables;
- Storage and treatment of tyres;
- Storage and treatment of shredder residues;
- Storage of toner cartridges;
- Storage of fluorescent tubes;
- Storage of batteries; and
- Storage and treatment of waste plastics.

## 1.2 Background

In accordance with the new Environmental Permitting (England and Wales) Regulations 2010, operators are required to confirm whether their operations will take place in line with standards set by any relevant Environment Agency Sector Guidance Note and legislation. Where the proposed operations will deviate from the

relevant Guidance Note or where there is no Guidance for the proposed operations, the permit supporting information must include:

1. A description of the operations that will take place at the site; and
2. Justification of the measures that will be used to control emissions from the process(es).

In relation to the waste management facility at Newport, there is no specific Technical Guidance Note that covers all the activities. There are however a number of documents that provide useful technical guidance for some of the operations and these are identified below:

- Environment Agency Guidance: 'How to Comply with your Environment Permit' ;
- Guidance on Best Available Treatment Recovery and Recycling Techniques (BATRRT) and treatment of Waste Electrical and Electronic Equipment (WEEE), Defra;
- Environmental Permitting Guidance Waste Electrical and Electronic Equipment Directive, Defra;
- Environmental Permitting Guidance The Waste Framework Directive, Defra;
- Sector Guidance Note EPR 5.06: Guidance on the Recovery and Disposal of Hazardous and Non-Hazardous Waste, Environment Agency;
- Guidance on the Recovery and Disposal of Controlled Substances contained in Refrigerators and Freezers, Environment Agency/SEPA.

Relevant legislation covering the proposed activities on the site is as follows:

- Waste Framework Directive;
- WEEE Directive; and
- Hazardous Waste Directive.

This 'Operating Techniques' document supersedes all previous Operating Techniques associated with EAWML 100605.

# 2.0 In-Process Controls

The following sections describe the acceptance procedures, the waste storage arrangements and waste treatment procedures.

## 2.1 Waste Acceptance Procedures

All vehicles bringing waste to the site will be directed to the weighbridge where the paperwork is scrutinised by the Weighbridge Operator to ensure it is in line with Duty of Care requirements. Such paperwork may include, weighbridge tickets, transfer notes, season tickets for contracted waste and hazardous waste consignment notes.

Weighbridge tickets are produced for all deliveries which contain the name of the supplier / producer, the registration number of the carriers vehicle, details of waste type, time and date. Hazardous waste consignment notes are completed as necessary.

As far as possible all loads are visually assessed from the weighbridge, and may be rejected if the waste is found to be mis-described or if there are other irregularities with the paperwork. The Weighbridge Operator may also radio a designated site operative and request specific inspection of the load when deposited at the storage area. Further inspection follows before and during the unloading stage. If the site operative is unsatisfied with any particular item(s) or indeed the whole load, its removal off-site by the driver is required or, if the Company consider it to be the best environmental option, the material is quarantined pending further investigation and possible referral to NRW.

Non-conforming wastes will be placed immediately in a designated quarantine area until suitable disposal arrangements can be made. There will be no mixing of non-conforming (quarantined) wastes with authorised wastes. Non-conforming wastes will be stored separately where possible and when legislation requires. Any non-conforming wastes that are defined as Hazardous under the Hazardous Waste Directive will be handled and moved off site in line with the requirements of the Directive.

Where necessary the producer/customer and NRW will be informed of a rejected load and all details relating to the load recorded.

## 2.2 Waste Storage

All wastes will be stored in line with the requirements of the WEEE Directive and associated guidance listed in section 1.2.

Storage of waste will fall under one of the following activities:

**R13:** storage of waste consisting of materials intended for submission to any the 'R' operations that are authorised or elsewhere than on this site, to any of the operations listed in Annex IIB of the Waste Framework Directive (excluding temporary storage, pending collection, on the site where it is produced); and

**D15:** Storage pending any of the operations numbered D 1 to D 14 (excluding temporary storage, pending collection, on the site where it is produced).

Table 2.1 identifies the wastes that will be subject to the R13 and D15 operations.

*Table 2.1:*

| **R13: Waste Stored prior to Recovery** | **D15: Wastes Stored prior to Disposal** |
|---|---|
| WEEE - whole appliances for re-use<br>Parts of WEEE<br>Components of WEEE<br>Wastes containing ODS<br>General scrap metal<br>Ferrous and non-ferrous metal<br>Shredder residues<br>Electrical cable<br>Batteries<br>Toner cartridges<br>Tyres<br>Fluorescent tubes<br>Cathode Ray Tubes<br>Plastics<br>Paper/cardboard | Residual wastes eg foodstuffs discovered in fridges, non-recoverable packaging<br><br>Asbestos waste |

All wastes will be stored on areas of impermeable pavement with a sealed drainage system that incorporates both oil interceptors and silt traps.

Where appropriate eg to facilitate the re-use of any whole appliances, weatherproof covering will be provided to materials stored outside.

Disassembled parts such as batteries and capacitors will be stored in appropriate containers that fluids cannot escape from. Other components and residues will be stored in appropriate containers or in bays.

Fluids will be stored in containers with appropriate secondary containment measures capable of holding at least 110% of the volume of the primary containment vessel.

All storage areas will be provided with spill kits.

Wastes will be stored in the locations identified on the drawing included in Appendix B to this report.

## 2.3 Waste Treatment Processes

WEEE waste not designated for re-use as a whole appliance and waste plastics will be treated on the site. Asset recovery, including wiping of drives may also take place on the site but is not considered to be a waste management operation.

Other wastes accepted on the site will be bulked up and stored for processing elsewhere.

The treatment of all waste will be carried out in a manner detailed below to ensure compliance with:

- Article 4 of the Waste Framework Directive (75/442/EEC) in that waste will be recovered or disposed of without endangering human health or the environment; and
- BATRRT as set out in the guidance detailed in section 1.2 such that techniques that enable the re-use and recycling of components are applied.

The treatment activities on the site include the following:

**R3: r**ecycling/reclamation of organic substances which are not used as solvents;

**R4:** recycling or reclamation of metals and metal compounds;

**R5:** recycling reclamation of other inorganic materials.

Treatment activities include: sorting, dismantling, separation, shredding, screening, grading, baling, shearing, compacting, crushing, granulation, or cutting of waste into different components for recovery.

Annex II of the WEEE Directive requires specified substances, preparations and components to be removed from separately collected WEEE. To achieve the requirements of Annex II WEEE waste will be subject to the treatment processes detailed below. Removal at the facility is a staged process with some waste/material going off site for further recovery/treatment. Some components will be removed intact whilst others will be taken through parts of or the entire treatment process.

The facility will have treatment systems in place that are considered to use BATRRT. Removal is not defined in the WEEE Directive but is interpreted as including mechanical, chemical or manual processes and could occur at any stage of the treatment process. Removal is a staged process with some material going off-site for further recovery/treatment and is largely dependent on the substance, preparation or component in question. Some components will be removed intact whilst others may be taken through parts of or through the entire system to achieve removal.

**Pre-sorting**

WEEE will be transferred from the waste reception or storage area onto a picking station where, in accordance with Annex II of the WEEE Directive, the hazardous substances and components detailed below are removed from any WEEE. This avoids the dispersion of pollutants / contaminants into the recycled material or waste stream.

- All fluids;
- Capacitors containing polychlorinated biphenyls (PCBs);
- Mercury containing components;
- Batteries (that can easily be removed);
- Asbestos waste;
- Components containing refractory ceramic fibres;
- Gas discharge lamps;
- Cathode Ray Tubes (CRTs); and
- Toner cartridges.

Any item marked or seen to contain asbestos will be removed from the waste stream and dealt with in accordance with the procedure identified in the site's Emergency Contingency Plan.

It is unlikely that components containing radioactive material will be received in waste from civic amenity sites (DCFs) and contractors and radiation detectors are installed on the weighbridge to identify any loads that contain radioactive sources prior to acceptance on the site. However, if an item marked with a radioactive trefoil is identified the waste will be isolated and dealt with in accordance with the procedure identified in the site's Emergency Contingency Plan. Sims Group UK Ltd employs a radiological advisor to assist in the safe handling and disposal or any radioactive material.

Separated components are directed to designated storage areas for bulking up and transfer to another facility for further processing, recycling or re-use.

**Mechanical Processing**

A mechanical processing line shreds the waste and separates ferrous and non-ferrous fractions from the waste stream. Residual waste and bulked plastic and mixed part processed loads accepted at the site are directed through further mechanical processes incorporating wet and dry separation techniques including magnetic and sensor separation technologies, prior to their transfer off site for further recovery and treatment at a suitably authorised facility.

Tyres and shredder residues may be baled at the site.

Appendix B shows the location of the waste treatment activities on the site.

## 2.4 Energy Usage

Sims has an Energy Group and Energy Policy to ensure that energy is used efficiently at the site.

## 2.5 Raw materials and water

The set-up of plant and equipment minimises the consumption of raw materials and water at the site.

## 2.6 Closure and Decommissioning

The Operator has designed the site so that it is straight forward to decommission and with limited sub surface structures to minimise the potential for land contamination. Sims Group UK Ltd will prepare a site closure plan in line with the requirements set out in section 2.11 of the Environment Agency Guidance Note IPPC S5.06 and details how the site will be decommissioned to return it to a satisfactory state upon the cessation of activities.

# 3.0 Emissions, Control & Abatement

## 3.1 Point Source Emissions

Point source emissions from the facility is limited to those detailed in table 5.1 below.

Table 5.1: Details of point source emissions

| Source | Location of Discharge Point | Details | Justification |
|---|---|---|---|
| Site drainage | As shown on Drawing SK6062-13-03-08A. | 1400litres/sec* | Flow rate required to prevent flooding of low areas within the site (based on 100mm/hr intensity storm). |
| Sewage treatment plant | As shown on Drawing SK6062-13-03-08A. | 50m$^3$/day | No emission limits proposed as volumes of treated sewage effluent < 50m$^3$/day in line with normal EA consenting approach. |
| Septic tank | As shown on Drawing SK6062-13-03-08A. | 100 litres/day<br>Sewage Effluent | Based on natural attenuation of underlying strata. |

* represents maximum flow during storm conditions

The three effluents that will constitute the point source emission to surface water are subject to the monitoring regime detailed in section 4.

## 3.2 Point source emissions to air

There are no point source emissions to air from the waste management operations.

## 3.3 Point source emissions to surface water

There is one point source emission to surface water (South Dock) from the proposed facility but no emissions to sewer. The emission to surface water consists of site drainage, clean uncontaminated surface water and treated sewage effluent.

In line with the standards set in the Guidance for the Recovery and Disposal of Hazardous and Non Hazardous Waste (EPR S5.06), Environment Agency, there is a sealed drainage system on the site which is described in more detail below.

All machinery and waste storage and processing takes place on the impermeable concrete pavement laid to a thickness of 225mm outside of the building and 300mm inside the building.

All site drainage is directed into 450 mm wide concrete drainage channels installed with double silt traps. The effluent from the silt traps is channelled through the Class 1 full retention oil interceptor installed with an alarm system to raise awareness of any malfunction before discharging to the South Dock.

Rain water is collected from the roof of the waste storage and processing building. Water from one half of the roof is channelled into an underground grey water tank with water from the other half going directly to the discharge outfall. Water in the grey tank is directed to the offices to allow it to be reused for hygiene purposes. The grey water tank will overflow into the main site drainage system and will be discharged through the outfall into the South Dock.

All site surfaces and drainage systems are maintained and cleaned on a regular basis. These operational controls aim to prevent contamination of site drainage and surface waters.

Details of the site drainage system can be seen on the Site Drainage Plan in Appendix C.

The site has a sewage treatment plant (STP) to serve the main site office. The STP treats foul effluent to a standard that will ensure there is no significant impact on the receiving waters.

## 3.4 Point source emissions to land

The septic tank serving the weighbridge office discharges through a series of underground herringbone pipes. The discharge from the plant is nominal - 100 litres a day and the natural attenuation of the underlying strata is used to ensure emissions to land and any impact of groundwater is acceptable.

## 3.5 Fugitive emissions to surface water and groundwater

The site is engineered to provide robust containment systems to ensure that there will be no fugitive emissions to surface water or groundwater. In line with the Sector Guidance Note S5.06 and BATRRT:

- All sub surface infrastructure eg tanks and interceptors, are provided with secondary containment systems and their integrity inspected on a regular basis;
- Interceptors are fitted with a high level probe alarm;
- Appropriate site surfacing and drainage facilities are provided and subject to regular inspections and maintenance;

Spill kits – materials suitable for absorbing and containing minor spillage are maintained on site.

Training is also provided to all staff relating to the use of the spill kits and the Emergency Contingency Plan and clean up procedures.

Monitoring techniques – all site personnel are tasked with monitoring for evidence of spillage and leakage during their day-to-day routine. Any evidence of spillage or leakage will be reported to the Site Manager or his nominated deputy for appropriate remedial action.

## 3.6 Fugitive emissions to air

Most waste treatment processes will take place undercover to prevent fugitive emissions of dust and particulates. The primary shredder is fitted with a dust abatement system to prevent the production of dust from the treatment process. Other parts of the mechanical waste treatment processes are sealed systems that will not generate dust or particulates. Dust suppression techniques such as dampening and the use of a mechanical sweeper will be employed as necessary to keep surfaces clean. Fugitive emissions of dust and odour are minimised by the control measures detailed in the Fugitive Emissions Management Plan contained within Sims Newport WEEE Risk Assessment.

Site operatives undertake visual inspections during operations to ensure that fugitive emissions of dust or odour are not being carried outside of the site boundary. Where fugitive emissions are identified the source will be investigated and appropriate remedial action initiated. Any such remedial action will be recorded.

## 3.7 Odour

As identified in the risk assessment the site will not pose a risk of odour related impact due to the nature of the waste and activities proposed.

## 3.8 Noise and Vibration

As identified in the risk assessment, waste treatment processes which represent the greatest risk of noise from the site take place within the process building to reduce the noise levels from the site. The equipment is located on re-enforced concrete that will prevent vibration.

All equipment used on the site is subject to regular maintenance to ensure it operates to its design standards. Noise and vibration will be minimised at the site in line with the Noise and Vibration Management Plan contained within Sims Newport WEEE Risk Assessment.

Site operatives undertake qualitative assessment of noise and vibration levels from the site during waste treatment operations to ensure that significant emissions of noise and vibration are not being carried outside of the site boundary. Where significant emissions are identified the source will be investigated and appropriate remedial action initiated. Any such remedial action will be recorded.

# 4.0 Monitoring

## 4.1 Monitoring of Point Source Emissions

Point source emissions from the facility are monitored as detailed in table 6.1 below.

Table 6.1: Monitoring of point source emissions

| Source | Parameter | Advised Limit Values |
|---|---|---|
| Site Drainage | Cadmium<br>Nickel<br>Lead<br>pH<br>Naphthalene<br>Copper<br>Iron<br>Zinc<br>Chromium<br>Suspended Solids<br>Oil and/or Grease<br>Phenol | 1.6ug/l (dissolved)<br>160ug/l (dissolved)<br>57.6ug/l (dissolved)<br>6-9<br>9.6ug/l (dissolved)<br>40ug/l (dissolved)<br>8000ug/l (dissolved)<br>320ug/l (dissolved)<br>4.8ug/l (dissolved)<br>200mg/l(measured after drying at 105 ˚C)<br>No visible Oil and/or Grease<br>61.6ug/l (dissolved) |
| | | |
| Sewage treatment plant | No monitoring of discharge is proposed in line with EA approach to <50$m^3$/day discharges.<br>Sewage plant will be maintained in line with manufacturers recommendations to ensure its on-going performance. | |
| Septic tank | No monitoring of discharge | |

Monitoring of site drainage discharge will be routinely undertaken.

Where monitoring of surface water indicates an exceedance of a limit, a contingency action will be implemented. These may include the following:

- Advising site management;
- Advising NRW;
- Confirming the exceedance by timely repetition of measurement;
- In the case of a polluting event, initiate appropriate mitigation measures;
- Re-evaluate risks by updating risk assessment.

## 4.2 Bulk Storage Facilities

Bulk storage facilities are inspected on a weekly basis. The inspection will include as a minimum:

- Visual check on the integrity of the storage container, associated pipework and secondary containment; and
- Check on the quantity of effluent within any bunds.

Any required repairs, maintenance or removal of effluent to maintain the capacity of secondary containment measures, is undertaken as soon as practicable.

# 5.0 Management

Sims Group UK Ltd has an ISO 14001 accredited Environmental Management System (Ref No: EMS 93774) which is extended in scope to cover the Newport WEEE Facility.

The management systems includes standard operating procedures that minimise the environmental risks and impacts of the normal operations and include contingency plans to minimise the effects of breakdown, accidents etc. These include procedures relating to waste acceptance and environmental monitoring.

A planned programme of maintenance for all infrastructure, plant and equipment is specified in the management system. All plant is inspected and maintained in line with manufacturer's instructions or other appropriate regime.

Sims Group UK Ltd has a training and development programme designed to ensure that staff are suitably trained to undertake their duties. The roles and responsibilities of staff on site are clearly defined and training records for each staff member maintained and reviewed regularly to ensure competence is maintained and up to date.

A number of staff hold relevant Certificates of Technical Competence from the Waste Management Industry Training and Advisory Board. Such staff will be on site to ensure that operations are undertaken in line with Sims Policy and Process.

All contractors visiting the site receive an induction to ensure that they are aware of the scope of their work, the accident management/emergency procedures for the site and any other management systems appropriate to their role.

Sims Group UK Ltd has an Emergency Contingency Plan that together with the Environmental Management System and Operational Techniques for the site, meet the requirements of the Environment Agency Guidance 'How to Comply with your Environment Permit.'.

In the event of an incident, details are recorded and a full review undertaken. This review will include the following:

- Cause of the incident;
- Effectiveness of management technique;
- Effectiveness of Emergency Contingency Plan and Procedures; and
- Recommendations for management technique and/or emergency procedure to reduce risk of future incidents.

The management system and its associated documents are subject to an annual management review or sooner if there are significant changes to the activities or following an accident.

The Environmental Management System is the subject of regular BSi audits to maintain the accreditation status.

# 6 Records and Reporting

## 6.1 Site Records

The operator ensures the following information is recorded:

- Site inspections by the operator or other body and any subsequent issues and corrective actions taken;
- Emergencies;
- Complaints and actions taken;
- Plant/equipment failure;
- A record of any rejection of waste;
- Technically competent manager – times on site;
- Any Incidents/accidents on site and actions taken;
- Security failures;
- Severe weather conditions.

All records are held in the site office and will be available on request. All records, which are required under the conditions of the Environmental Permit, are maintained and kept secure from loss, damage or deterioration. Any records held electronically are backed up on a regular basis.

## 6.2 Waste Accepted and Removed

A summary record of the waste types and quantities received and removed from the site will be made on a quarterly basis.

# Appendix A

| MATERIAL | | EWC CODE |
|---|---|---|
| ***Ferrous and non-ferrous metals which includes:*** | | |
| **Ferrous Metals** | **Fillings and Turnings Dusts and Particles** | **12 01 01<br>12 01 02** |
| | **ELV** | **16 01 17** |
| | **Iron and Steel** | **17 04 05** |
| | **Waste from Incineration/pyrolysis** | **19 01 02** |
| | **Waste Facilities** | **19 10 01** |
| | **Waste Treatment Facilities** | **19 12 02** |
| **Non-ferrous Metals** | **Fillings and Turnings Dusts and Particles** | **12 01 03<br>12 01 04** |
| | **ELV** | **16 01 18** |
| | **Copper, Bronze, zinc** | **17 04 01** |
| | **Aluminium** | **17 04 02** |
| | **Lead** | **17 04 03** |
| | **Zinc** | **17 04 04** |
| | **Tin** | **17 04 06** |
| | **Waste Facilities** | **19 10 02** |
| | **Waste Treatment Facilities** | **19 12 03** |
| **Mixed Metals** | **From Agriculture** | **02 01 10** |
| | **Packaging** | **15 01 04<br>15 01 06** |
| | **Construction & Demolition** | **17 04 07<br>17 04 09*** |
| | **Cables** | **17 04 10*<br>17 04 11** |
| | **Municipal** | **20 01 40** |
| ***Other Wastes*** | **CRT Glass** | **10 11 11*<br>10 11 12** |
| | **Wastes from Thermal Processes** | **10 01 01<br>10 01 15<br>10 02 01<br>10 02 02** |
| | **Packaging** | **15 01 01<br>15 01 02<br>15 01 03** |
| | **Not Otherwise Specified** | **16 03 04<br>16 01 21*<br>16 01 22** |
| | **Tyres** | **16 01 03** |
| | **Wastes from mechanical treatment/ Waste Management Facilities** | **19 01 11*<br>19 01 12<br>19 12 11*<br>19 12 12** |
| | **Waste from shredding operations** | **19 10 04<br>19 10 06<br>19 12 01** |

| | | |
|---|---|---|
| | | **19 12 04**<br>**19 12 05** |
| ***Batteries*** | | **16 06 01***<br>**16 06 02***<br>**16 06 03***<br>**16 06 04**<br>**16 06 05**<br>**16 06 06***<br>**20 01 33***<br>**20 01 34** |
| ***WEEE Wastes*** | | **08 03 17***<br>**08 03 18**<br>**09 01 11***<br>**09 01 12**<br>**16 02 09***<br>**16 02 10***<br>**16 02 12***<br>**16 02 13***<br>**16 02 14**<br>**16 02 15***<br>**16 02 16**<br>**20 01 21***<br>**20 01 35***<br>**20 01 36**<br>**20 01 39** |
| ***Refrigeration Units & Insulating Panels*** | | **16 02 11***<br>**17 06 03***<br>**17 06 04**<br>**20 01 23*** |

# Appendix B

# Appendix C

# Compliance Assessment Report (CAR1) form

Report ID: 1/090211/100605

| | | | | | |
|---|---|---|---|---|---|
| Name of site | SIMS recycling solutions – Fridge Plant | Operator/ Permit holder | Sims Group (UK) | Permit ref no: | EAWML 100605 |
| Officer | S Lawn & C Gardener | Date | 11th February 2009 | Area/office | SE Wales - Monmouth |
| Activity | A15 | Time in/out | 14:15/14:45 | Event type: | [x] Routine [ ] Incident response [ ] Other |

Assessment type: [x] Site inspection [ ] Audit [ ] Check monitoring/sampling [ ] Report/data review [ ] Procedure review

Site life status: [x] Operational [ ] Pre-operational [ ] Post-operational

What part(s) of the permit were assessed? Site operation

## Compliance assessment summary

Key to completion: A = Assessed/Assessed in part (no evidence of non-compliance) ATL = Approach to limit
NA = Not Applicable 1, 2, 3, 4 = CCS cat 1–4 breach N = Not assessed

| | | | | | | | | | | Conditions breached |
|---|---|---|---|---|---|---|---|---|---|---|
| a) Permitted activities | 1 A | | | | | | | | | |
| b) Infrastructure | 1 A | 2 NA | 3 A | 4 A | 5 A | | | | | |
| c) General management | 1 NI | 2 NI | 3 NI | 4 A | | | | | | |
| d) Incident management | 1 A | 2 NI | | | | | | | | |
| e) Emissions | 1 A | 2 A | 3 A | 4 NA | 5 NA | | | | | |
| f) Amenity | 1 A | 2 A | 3 A | 4 A | 5 A | | | | | |
| g) Monitoring and records, maintenance and reporting | 1 NI | 2 NI | 3 NI | 4 NI | | | | | | |
| h) Resources efficiency | 1 NA | 2 NA | | | | | | | | |

The breaches indicated above may constitute one or more offences. You should take immediate action to rectify each breach and return to compliance. You should prevent any repetition of the breaches. Breaches of conditions as indicated above can result in criminal prosecutions and/or suspension or revocation of a permit.

**NB. IF THERE IS A BREACH, ONLY ONE OF THE FOLLOWING WILL HAVE AN 'X' PLACED IN THE BOX**

| | |
|---|---|
| At present we do not intend to prosecute you for the above offences, however you are warned that this may change if further relevant information comes to light. THIS IS A SITE WARNING | We will now consider what Enforcement action is appropriate |

Review of Directly Applicable Legislation: Key: A = Assessed, N = Not assessed, NA = Not Applicable, C1, C2, C3, C4 = CCS cat 1–4 breach.

Report delivery method: [ ] copy left on site [ ] posted [x] emailed [ ] faxed Date 18/2/09

Visit report/comments

Site still only partially operational..

Some WEEE is being processed and stored on site. Residual materials also being stored.

Transfer notes/consignment notes and waste acceptance procedure not looked at during this inspection.

x

Officer's signature: Slawn

Recipient's name or position within company: P Wake

Continuation sheet used [ ] Yes [x] No

CCS record number

## Notes to the recipient

This compliance report form may highlight non-compliance with your permit or directly applicable legislation as observed by the Environment Agency officer. This does not relieve the site operator of their responsibility to ensure that they comply with the permit and to prevent pollution of the environment. You are also reminded that:

- you should comply with the conditions of the permit at all times
- compliance with the permit does not remove your obligation to comply with other legislative provisions which may apply.

### Understanding the Compliance Assessment Summary

| | | |
|---|---|---|
| a) Permitted activities | 1 | Specified by permit |
| b) Infrastructure | 1 | Engineering for prevention and control of emissions |
| | 2 | Closure and decommissioning |
| | 3 | Site drainage engineering (clean and foul) |
| | 4 | Containment of stored materials |
| | 5 | Plant and equipment |
| c) General management | 1 | Staff competency/training |
| | 2 | Management system and operating procedures |
| | 3 | Materials acceptance |
| | 4 | Storage, handling, labelling and segregation |
| d) Incident management | 1 | Site security |
| | 2 | Accident, emergency and incident planning |
| e) Emissions | 1 | Air |
| | 2 | Land and groundwater |
| | 3 | Surface water |
| | 4 | Sewer |
| | 5 | Waste |
| f) Amenity | 1 | Odour |
| | 2 | Noise |
| | 3 | Dust/fibres/particulates and litter |
| | 4 | Pests, birds and scavengers |
| | 5 | Deposits on road |
| g) Monitoring and records, maintenance and reporting | 1 | Monitoring of emissions and environment |
| | 2 | Records of activity, site diary/journal/events |
| | 3 | Maintenance records |
| | 4 | Reporting and notification to the Environment Agency |
| h) Resource efficiency | 1 | Efficient use of raw materials |
| | 2 | Energy efficiency |

The term 'permit' for the purposes of this form includes: licences, authorisations and consents.

### Understanding your non-compliance scores

Non-compliance findings are classified using our published Compliance Classification Scheme, (CCS).

This scheme categorises breaches of permit conditions based on their potential for environmental impact as shown below. If you wish to discuss further any comments made by the officer on this form, contact your local area office or for more details of the CCS scheme, see the Environment Agency's website or contact your local office.

| CCS category | Description |
|---|---|
| 1 | A non-compliance which has a potentially **major** environmental effect |
| 2 | A non-compliance which has a potentially **significant** environmental effect |
| 3 | A non-compliance which has a potentially **minor** environmental effect |
| 4 | A non-compliance which has **no** potential environmental effect |

### Corrective action

We have various options to ensure that you correct actual or potential non-compliance:

- We may advise on corrective actions, verbally or in writing
- We may require you to take specific actions, by letter or by issuing a notice
- We may require you to review your procedures or management systems
- We may change some of the conditions of your permit
- We may decide to undertake a full review of your permit

Any breach of a permit is an offence, and we may take legal action:

- We may serve a warning on site or by letter. The officer may complete the site warning declaration on this form. A warning is our minimum enforcement response, however, it does not preclude us taking additional enforcement action including the issue of a formal caution, taking a prosecution and/or the service of a notice.
- Depending on the offence, the penalties imposed by a Court on prosecution can include substantial fines and even imprisonment.

We have published our Enforcement and Prosecution Policy which seeks to achieve a consistent approach to enforcement across all our regulated activities.

### Environment Protection Operator and Pollution Risk Appraisal (EP OPRA)

Compliance assessment findings may affect your EP OPRA score. This score determines your charge and affects the allocation of our resources to check your compliance with the permit.

### Data protection notice

The Environment Agency is responsible for regulating environmental protection, flood defence, water resources and fisheries. It has a duty to discharge its functions to protect and enhance the environment and to promote conservation and recreation. The information provided will be processed by the Environment Agency to fulfil its regulatory and monitoring functions, and to maintain the relevant public register(s). The Environment Agency may also use and/or disclose it in connection with:

- offering/providing you with its literature/services relating to environmental matters
- consulting with the public, public bodies and other organisations (e.g. Health and Safety Executive, local authorities, emergency services) on environmental issues
- carrying out statistical analysis, research and development on environmental issues
- providing public register information to enquirers
- investigating possible breaches of environmental law and taking any resulting action
- preventing breaches of environmental law
- assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Information Regulations request.

The Environment Agency may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

### Disclosure of information

The Environment Agency will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within twenty working days of receipt of the assessment form indicating which information it concerns and why it should not be released, giving your reasons in full.

### Customer charter – What can I do if I disagree with the compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with the officer's line manager, Area Environment Manager or Area Manager. If you wish to raise your dispute further, this can be done through our official Complaints and Commendations procedure phone our general enquiry number 08708 506506 (Mon to Fri 08.00–18.00) and ask for the Customer Contact team, alternatively you can send an email to enquiries@environment-agency.gov.uk. If, after following our Complaints and Commendations procedure, you are still dissatisfied, you can make a complaint to the Ombudsman. For advice on how to complain to the Parliamentary Ombudsman phone their helpline on 0845 015 4033.

# Compliance Assessment Report (CAR1) form

Report ID: 01/090623/30273

| | | | | | |
|---|---|---|---|---|---|
| Name of site | Simms Recycling Solutions - Weee Facility | Operator/permit holder | Simms Group (UK) | Permit ref no: | EPR/BP3992SG |
| Officer | Phillip Howell / Suzanne Lawn | Date | 23/06/2009 | Area/office | Monmouth |
| Activity | A16 | Time in/out | 10.30 - 12.30 | Event type: | ☒ Routine ☐ Incident response ☐ Other ☐ |

Assessment type: ☒ Site inspection ☐ Audit ☐ Check monitoring/sampling ☐ Report/data review ☐ Procedure review

Site life status: ☒ Operational ☐ Pre-operational ☐ Post-operational

What part(s) of the permit were assessed? Part

## Assessment summary

Key to completion: A = Assessed/Assessed in part (no evidence of non-compliance) 1–4 breach N = Not assessed ATL = Approach to limit NA = Not Applicable 1, 2, 3, 4 = CCS cat

Conditions breached

| | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| a) Permitted activities | 1 A | | | | | | | | | |
| b) Infrastructure | 1 A | 2 N/A | 3 A | 4 A | 5 A | | | | | |
| c) General management | 1 N | 2 A | 3 A | 4 A | | | | | | |
| d) Incident management | 1 A | 2 N | | | | | | | | |
| e) Emissions | 1 A | 2 A | 3 A | 4 A | 5 A | | | | | |
| f) Amenity | 1 A | 2 A | 3 A | 4 A | 5 A | | | | | |
| g) Monitoring and records, maintenance and reporting | 1 N | 2 N | 3 A | 4 A | | | | | | |
| h) Resources efficiency | 1 N | 2 N | | | | | | | | |

The breaches indicated above may constitute one or more offences. You should take immediate action to rectify each breach and return to compliance. You should prevent any repetition of the breaches. Breaches of conditions as indicated above can result in criminal prosecutions and/or suspension or revocation of a permit.

**NB. IF THERE IS A BREACH, ONLY ONE OF THE FOLLOWING WILL HAVE AN 'X' PLACED IN THE BOX**

| | |
|---|---|
| At present we do not intend to prosecute you for the above offences, however you are warned that this may change if further relevant information comes to light. THIS IS A SITE WARNING ☐ | We will now consider what Enforcement action is appropriate ☐ |

Review of directly applicable Legislation: Key: A = Assessed, N = Not assessed, NA = Not applicable, C1, C2, C3, C4 = CCS cat 1–4 breach. ☐

Report delivery method: ☐ copy left on site ☐ posted ☒ emailed ☐ faxed Date:

Visit report/comments

Please note: Officers completing this form are encouraged to comment and act on the environmental performance of the site as a whole, not just those aspects covered by permit conditions.

Officer's signature

Phillip Howell

Continuation sheet used ☐ Yes ☒ No

Recipient's name or position within company

Paul Wake

CCS record number

## Notes to the recipient

This compliance report form may highlight non-compliance with your permit or directly applicable legislation as observed by the Environment Agency officer.
This does not relieve the site operator of their responsibility to ensure that they comply with the permit and to prevent pollution of the environment. You are also reminded that:

- you should comply with the conditions of the permit at all times
- compliance with the permit does not remove your obligation to comply with other legislative provisions which may apply.

### Understanding the Compliance Assessment Summary

| | | |
|---|---|---|
| a) Permitted activities | 1 | Specified by permit |
| b) Infrastructure | 1 | Engineering for prevention and control of emissions |
| | 2 | Closure and decommissioning |
| | 3 | Site drainage engineering (clean and foul) |
| | 4 | Containment of stored materials |
| | 5 | Plant and equipment |
| c) General management | 1 | Staff competency/training |
| | 2 | Management system and operating procedures |
| | 3 | Materials acceptance |
| | 4 | Storage, handling, labelling and segregation |
| d) Incident management | 1 | Site security |
| | 2 | Accident, emergency and incident planning |
| e) Emissions | 1 | Air |
| | 2 | Land and groundwater |
| | 3 | Surface water |
| | 4 | Sewer |
| | 5 | Waste |
| f) Amenity | 1 | Odour |
| | 2 | Noise |
| | 3 | Dust/fibres/particulates and litter |
| | 4 | Pests, birds and scavengers |
| | 5 | Deposits on road |
| g)Monitoring and records, maintenance and reporting | 1 | Monitoring of emissions and environment |
| | 2 | Records of activity, site diary/journal/events |
| | 3 | Maintenance records |
| | 4 | Reporting and notification to the Environment Agency |
| h) Resource efficiency | 1 | Efficient use of raw materials |
| | 2 | Energy efficiency |

The term 'permit' for the purposes of this form includes: licences, authorisations and consents.

### Understanding your non-compliance scores

Non-compliance findings are classified using our published Compliance Classification Scheme, (CCS).

This scheme categorises breaches of permit conditions based on their potential for environmental impact as shown below. If you wish to discuss further any comments made by the officer on this form, contact your local area office or for more details of the CCS scheme, see the Environment Agency's website or contact your local office.

| CCS cat | Description |
|---|---|
| 1 | A non-compliance which has a potentially **major** environmental effect |
| 2 | A non-compliance which has a potentially **significant** environmental effect |
| 3 | A non-compliance which has a potentially **minor** environmental effect |
| 4 | A non-compliance which has **no** potential environmental effect |

### Corrective action

We have various options to ensure that you correct actual or potential non-compliance:

- We may advise on corrective actions, verbally or in writing
- We may require you to take specific actions, by letter or by issuing a notice
- We may require you to review your procedures or management systems
- We may change some of the conditions of your permit
- We may decide to undertake a full review of your permit

Any breach of a permit is an offence, and we may take legal action:

- We may serve a warning on site or by letter. The officer may complete the site warning declaration on this form. A warning is our minimum enforcement response, however, it does not preclude us taking additional enforcement action including the issue of a formal caution, taking a prosecution and/or the service of a notice.
- Depending on the offence, the penalties imposed by a Court on prosecution can include substantial fines and even imprisonment.

We have published our Enforcement and Prosecution Policy which seeks to achieve a consistent approach to enforcement across all our regulated activities.

### Environment Protection Operator and Pollution Risk Appraisal (EP OPRA)

Compliance assessment findings may affect your EP OPRA score. This score determines your charge and affects the allocation of our resources to check your compliance with the permit.

### Data protection notice

The Environment Agency is responsible for regulating environmental protection, flood defence, water resources and fisheries. It has a duty to discharge its functions to protect and enhance the environment and to promote conservation and recreation. The information provided will be processed by the Environment Agency to fulfil its regulatory and monitoring functions, and to maintain the relevant public register(s). The Environment Agency may also use and/or disclose it in connection with:

- offering/providing you with its literature/services relating to environmental matters
- consulting with the public, public bodies and other organisations (e.g. Health and Safety Executive, local authorities, emergency services) on environmental issues
- carrying out statistical analysis, research and development on environmental issues
- providing public register information to enquirers
- investigating possible breaches of environmental law and taking any resulting action
- preventing breaches of environmental law
- assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Information Regulations request.

The Environment Agency may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

### Disclosure of information

The Environment Agency will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within twenty working days of receipt of the assessment form indicating which information it concerns and why it should not be released, giving your reasons in full.

### Customer charter – What can I do if I disagree with the compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with the officer's line manager, Area Environment Manager or Area Manager. If you wish to raise your dispute further, this can be done through our official Complaints and Commendations procedure phone our general enquiry number 08708 506506 (Mon to Fri 08.00–18.00) and ask for the Customer Contact team, alternatively you can send an email to enquiries@environment-agency.gov.uk. If, after following our Complaints and Commendations procedure, you are still dissatisfied, you can make a complaint to the Ombudsman. For advice on how to complain to the Parliamentary Ombudsman phone their helpline on 0845 015 4033.

# Compliance Assessment Report (CAR1) form

Report ID: 01/090916/100605

| | | | | | |
|---|---|---|---|---|---|
| Name of site | Simms Recycling Solutions - Weee Facility | Operator/permit holder | Simms Group (UK) | Permit ref no: | EPR/BP3992SG |
| Officer | Phillip Howell / Pam Jordan | Date | 16/09/2009 | Area/office | Monmouth |
| Activity | A16 | Time in/out | 10.30am - 12pm | Event type: | ☒ Routine ☐ Incident response ☐ Other ☐ |

Assessment type: ☒ Site inspection ☐ Audit ☐ Check monitoring/sampling ☐ Report/data review ☐ Procedure review

Site life status: ☒ Operational ☐ Pre-operational ☐ Post-operational

What part(s) of the permit were assessed? Part

## Assessment summary

Key to completion: A = Assessed/Assessed in part (no evidence of non-compliance) 1–4 breach N = Not assessed ATL = Approach to limit NA = Not Applicable 1, 2, 3, 4 = CCS cat

Conditions breached

| | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| a) Permitted activities | 1 A | | | | | | | | | |
| b) Infrastructure | 1 A | 2 N/A | 3 A | 4 A | 5 A | | | | | |
| c) General management | 1 N | 2 A | 3 A | 4 A | | | | | | |
| d) Incident management | 1 A | 2 N | | | | | | | | |
| e) Emissions | 1 A | 2 A | 3 A | 4 A | 5 A | | | | | |
| f) Amenity | 1 A | 2 A | 3 A | 4 A | 5 A | | | | | |
| g) Monitoring and records, maintenance and reporting | 1 N | 2 N | 3 A | 4 A | | | | | | |
| h) Resources efficiency | 1 N | 2 N | | | | | | | | |

The breaches indicated above may constitute one or more offences. You should take immediate action to rectify each breach and return to compliance. You should prevent any repetition of the breaches. Breaches of conditions as indicated above can result in criminal prosecutions and/or suspension or revocation of a permit.

**NB. IF THERE IS A BREACH, ONLY ONE OF THE FOLLOWING WILL HAVE AN 'X' PLACED IN THE BOX**

| | |
|---|---|
| At present we do not intend to prosecute you for the above offences, however you are warned that this may change if further relevant information comes to light. THIS IS A SITE WARNING ☐ | We will now consider what Enforcement action is appropriate ☐ |

Review of directly applicable Legislation: Key: A = Assessed, N = Not assessed, NA = Not applicable, C1, C2, C3, C4 = CCS cat 1–4 breach. ☐

Report delivery method: ☐ copy left on site ☐ posted ☒ emailed ☐ faxed Date:

Visit report/comments

Please note: Officers completing this form are encouraged to comment and act on the environmental performance of the site as a whole, not just those aspects covered by permit conditions.

Drains on site had a bulid up of plastic debris, which would impede the performance of the drainage. This was discussed on site and plans are being made to cpver the drains with a fine mesh.

Full oil drums were stored near the drain with no bunding. It is good practice to store all liquids away from the drains and with some kind of bunding.

Officer's signature: Phillip Howell

Continuation sheet used ☐ Yes ☒ No

Recipient's name or position within company: Paul Wake

CCS record number:

## Notes to the recipient

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- you should comply with the conditions of the permit at all times
- compliance with the permit does not remove your obligation to comply with other legislative provisions which may apply.

### Understanding the Compliance Assessment Summary

| | | |
|---|---|---|
| a) Permitted activities | 1 | Specified by permit |
| b) Infrastructure | 1 | Engineering for prevention and control of emissions |
| | 2 | Closure and decommissioning |
| | 3 | Site drainage engineering (clean and foul) |
| | 4 | Containment of stored materials |
| | 5 | Plant and equipment |
| c) General management | 1 | Staff competency/training |
| | 2 | Management system and operating procedures |
| | 3 | Materials acceptance |
| | 4 | Storage, handling, labelling and segregation |
| d) Incident management | 1 | Site security |
| | 2 | Accident, emergency and incident planning |
| e) Emissions | 1 | Air |
| | 2 | Land and groundwater |
| | 3 | Surface water |
| | 4 | Sewer |
| | 5 | Waste |
| f) Amenity | 1 | Odour |
| | 2 | Noise |
| | 3 | Dust/fibres/particulates and litter |
| | 4 | Pests, birds and scavengers |
| | 5 | Deposits on road |
| g)Monitoring and records, maintenance and reporting | 1 | Monitoring of emissions and environment |
| | 2 | Records of activity, site diary/journal/events |
| | 3 | Maintenance records |
| | 4 | Reporting and notification to the Environment Agency |
| h) Resource efficiency | 1 | Efficient use of raw materials |
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The term 'permit' for the purposes of this form includes: licences, authorisations and consents.

### Understanding your non-compliance scores

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- Depending on the offence, the penalties imposed by a Court on prosecution can include substantial fines and even imprisonment.

We have published our Enforcement and Prosecution Policy which seeks to achieve a consistent approach to enforcement across all our regulated activities.

### Environment Protection Operator and Pollution Risk Appraisal (EP OPRA)

Compliance assessment findings may affect your EP OPRA score. This score determines your charge and affects the allocation of our resources to check your compliance with the permit.

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- offering/providing you with its literature/services relating to environmental matters
- consulting with the public, public bodies and other organisations (e.g. Health and Safety Executive, local authorities, emergency services) on environmental issues
- carrying out statistical analysis, research and development on environmental issues
- providing public register information to enquirers
- investigating possible breaches of environmental law and taking any resulting action
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- assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Information Regulations request.

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### Disclosure of information

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### Customer charter – What can I do if I disagree with the compliance assessment report?

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# Compliance Assessment Report (CAR1) form

Report ID: 01/091116/100605

| | | | | | |
|---|---|---|---|---|---|
| Name of site | Simms Recycling Solutions - Weee Facility | Operator/permit holder | Simms Group (UK) | Permit ref no: | EPR/BP3992SG |
| Officer | Phillip Howell / Simoni Joshua | Date | 16/11/2009 | Area/office | Monmouth |
| Activity | A16 | Time in/out | 10.30 - 12.30 | Event type: | ☒ Routine ☐ Incident response ☐ Other ☐ |

Assessment type: ☒ Site inspection ☐ Audit ☐ Check monitoring/sampling ☐ Report/data review ☐ Procedure review

Site life status: ☒ Operational ☐ Pre-operational ☐ Post-operational

What part(s) of the permit were assessed? Part

## Assessment summary

Key to completion: A = Assessed/Assessed in part (no evidence of non-compliance) 1–4 breach N = Not assessed ATL = Approach to limit NA = Not Applicable 1, 2, 3, 4 = CCS cat

Conditions breached

| | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| a) Permitted activities | 1 A | | | | | | | | | |
| b) Infrastructure | 1 A | 2 N/A | 3 A | 4 A | 5 A | | | | | |
| c) General management | 1 N | 2 A | 3 A | 4 A | | | | | | |
| d) Incident management | 1 A | 2 N | | | | | | | | |
| e) Emissions | 1 N | 2 N | 3 N | 4 N | 5 A | | | | | |
| f) Amenity | 1 A | 2 A | 3 A | 4 A | 5 A | | | | | |
| g) Monitoring and records, maintenance and reporting | 1 N | 2 N | 3 N | 4 N | | | | | | |
| h) Resources efficiency | 1 N | 2 N | | | | | | | | |

The breaches indicated above may constitute one or more offences. You should take immediate action to rectify each breach and return to compliance. You should prevent any repetition of the breaches. Breaches of conditions as indicated above can result in criminal prosecutions and/or suspension or revocation of a permit.

**NB. IF THERE IS A BREACH, ONLY ONE OF THE FOLLOWING WILL HAVE AN 'X' PLACED IN THE BOX**

| | |
|---|---|
| At present we do not intend to prosecute you for the above offences, however you are warned that this may change if further relevant information comes to light. THIS IS A SITE WARNING ☐ | We will now consider what Enforcement action is appropriate ☐ |

Review of directly applicable Legislation: Key: A = Assessed, N = Not assessed, NA = Not applicable, C1, C2, C3, C4 = CCS cat 1–4 breach. ☐

| | | | | | |
|---|---|---|---|---|---|
| Report delivery method: | ☐ copy left on site | ☐ posted | ☒ emailed | ☐ faxed | Date: 19/11/2009 |

Visit report/comments

Please note: Officers completing this form are encouraged to comment and act on the environmental performance of the site as a whole, not just those aspects covered by permit conditions.

Site was compliant at time of visit. It was mentioned on site that an explosive device had been discovered on site in a previous week and the building was evacuated. In the event of an incident on site, your regulating officer should be notified as soon as practicably possible.

Officer's signature: Phillip Howell

Continuation sheet used ☐ Yes ☒ No

Recipient's name or position within company: Paul Wake

CCS record number:

## Notes to the recipient

This compliance report form may highlight non-compliance with your permit or directly applicable legislation as observed by the Environment Agency officer.
This does not relieve the site operator of their responsibility to ensure that they comply with the permit and to prevent pollution of the environment. You are also reminded that:

- you should comply with the conditions of the permit at all times
- compliance with the permit does not remove your obligation to comply with other legislative provisions which may apply.

### Understanding the Compliance Assessment Summary

| | | |
|---|---|---|
| a) Permitted activities | 1 | Specified by permit |
| b) Infrastructure | 1 | Engineering for prevention and control of emissions |
| | 2 | Closure and decommissioning |
| | 3 | Site drainage engineering (clean and foul) |
| | 4 | Containment of stored materials |
| | 5 | Plant and equipment |
| c) General management | 1 | Staff competency/training |
| | 2 | Management system and operating procedures |
| | 3 | Materials acceptance |
| | 4 | Storage, handling, labelling and segregation |
| d) Incident management | 1 | Site security |
| | 2 | Accident, emergency and incident planning |
| e) Emissions | 1 | Air |
| | 2 | Land and groundwater |
| | 3 | Surface water |
| | 4 | Sewer |
| | 5 | Waste |
| f) Amenity | 1 | Odour |
| | 2 | Noise |
| | 3 | Dust/fibres/particulates and litter |
| | 4 | Pests, birds and scavengers |
| | 5 | Deposits on road |
| g)Monitoring and records, maintenance and reporting | 1 | Monitoring of emissions and environment |
| | 2 | Records of activity, site diary/journal/events |
| | 3 | Maintenance records |
| | 4 | Reporting and notification to the Environment Agency |
| h) Resource efficiency | 1 | Efficient use of raw materials |
| | 2 | Energy efficiency |

The term 'permit' for the purposes of this form includes: licences, authorisations and consents.

### Understanding your non-compliance scores

Non-compliance findings are classified using our published Compliance Classification Scheme, (CCS).

This scheme categorises breaches of permit conditions based on their potential for environmental impact as shown below. If you wish to discuss further any comments made by the officer on this form, contact your local area office or for more details of the CCS scheme, see the Environment Agency's website or contact your local office.

| CCS cat | Description |
|---|---|
| 1 | A non-compliance which has a potentially major environmental effect |
| 2 | A non-compliance which has a potentially significant environmental effect |
| 3 | A non-compliance which has a potentially minor environmental effect |
| 4 | A non-compliance which has no potential environmental effect |

### Corrective action

We have various options to ensure that you correct actual or potential non-compliance:

- We may advise on corrective actions, verbally or in writing
- We may require you to take specific actions, by letter or by issuing a notice
- We may require you to review your procedures or management systems
- We may change some of the conditions of your permit
- We may decide to undertake a full review of your permit

Any breach of a permit is an offence, and we may take legal action:

- We may serve a warning on site or by letter. The officer may complete the site warning declaration on this form. A warning is our minimum enforcement response, however, it does not preclude us taking additional enforcement action including the issue of a formal caution, taking a prosecution and/or the service of a notice.
- Depending on the offence, the penalties imposed by a Court on prosecution can include substantial fines and even imprisonment.

We have published our Enforcement and Prosecution Policy which seeks to achieve a consistent approach to enforcement across all our regulated activities.

### Environment Protection Operator and Pollution Risk Appraisal (EP OPRA)

Compliance assessment findings may affect your EP OPRA score. This score determines your charge and affects the allocation of our resources to check your compliance with the permit.

### Data protection notice

The Environment Agency is responsible for regulating environmental protection, flood defence, water resources and fisheries. It has a duty to discharge its functions to protect and enhance the environment and to promote conservation and recreation. The information provided will be processed by the Environment Agency to fulfil its regulatory and monitoring functions, and to maintain the relevant public register(s). The Environment Agency may also use and/or disclose it in connection with:

- offering/providing you with its literature/services relating to environmental matters
- consulting with the public, public bodies and other organisations (e.g. Health and Safety Executive, local authorities, emergency services) on environmental issues
- carrying out statistical analysis, research and development on environmental issues
- providing public register information to enquirers
- investigating possible breaches of environmental law and taking any resulting action
- preventing breaches of environmental law
- assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Information Regulations request.

The Environment Agency may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

### Disclosure of information

The Environment Agency will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within twenty working days of receipt of the assessment form indicating which information it concerns and why it should not be released, giving your reasons in full.

### Customer charter – What can I do if I disagree with the compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with the officer's line manager, Area Environment Manager or Area Manager. If you wish to raise your dispute further, this can be done through our official Complaints and Commendations procedure phone our general enquiry number 08708 506506 (Mon to Fri 08.00–18.00) and ask for the Customer Contact team, alternatively you can send an email to enquiries@environment-agency.gov.uk. If, after following our Complaints and Commendations procedure, you are still dissatisfied, you can make a complaint to the Ombudsman. For advice on how to complain to the Parliamentary Ombudsman phone their helpline on 0845 015 4033.

# Compliance Assessment Report (CAR1) form

Environment Agency

**Report ID:** I/1090203/PSJ

| | | | | | |
|---|---|---|---|---|---|
| Name of site | SIMS recycling solutions – WEEE Facility | Operator/ Permit holder | Sims Group UK Ltd | Permit ref no: | EPR/BP3992SG |
| Officer | Pamela Jordan / Phil Howell | Date | 3rd February 2010 | Area/office | South East Wales - Monmouth |
| Activity | A16 | Time in | 09:20 approx | Event type: | [X] Routine [ ] Incident response [ ] Other |

Assessment type: [ ] Site inspection [X] Audit [ ] Check monitoring/sampling [ ] Report/data review [ ] Procedure review

Site life status: [X] Operational [ ] Pre-operational [ ] Post-operational

What part(s) of the permit were assessed? Most (see below)

## Compliance assessment summary

**Key to completion:** A = Assessed/Assessed in part (no evidence of non-compliance) ATL = Approach to limit
NA = Not Applicable 1, 2, 3, 4 = CCS cat 1–4 breach N = Not assessed

| | | | | | | | | | | Conditions breached |
|---|---|---|---|---|---|---|---|---|---|---|
| a) Permitted activities | 1 | 3 | | | | | | | | Table S1.1 |
| b) Infrastructure | 1 | A | 2 | NA | 3 | N | 4 | A | 5 | N | |
| c) General management | 1 | N | 2 | A | 3 | A | 4 | * | | | * (see A1) |
| d) Incident management | 1 | A | 2 | A | | | | | | | |
| e) Emissions | 1 | N | 2 | N | 3 | N | 4 | N | 5 | A | |
| f) Amenity | 1 | A | 2 | A | 3 | A | 4 | A | 5 | A | |
| g) Monitoring and records, maintenance and reporting | 1 | N | 2 | A | 3 | N | 4 | A | | | |
| h) Resources efficiency | 1 | N | 2 | N | | | | | | | |

The breaches indicated above may constitute one or more offences. You should take immediate action to rectify each breach and return to compliance. You should prevent any repetition of the breaches. Breaches of conditions as indicated above can result in criminal prosecutions and/or suspension or revocation of a permit.

**NB. IF THERE IS A BREACH, ONLY ONE OF THE FOLLOWING WILL HAVE AN 'X' PLACED IN THE BOX**

At present we do not intend to prosecute you for the above offences, however you are warned that this may change if further relevant information comes to light. **THIS IS A SITE WARNING** [X]

**We will now consider what Enforcement action is appropriate** [ ]

**Review of Directly Applicable Legislation: Key:** A = Assessed, N = Not assessed, NA = Not Applicable, C1, C2, C3, C4 = CCS cat 1–4 breach. C3

**Report delivery method:** [ ] copy left on site [X] posted [ ] emailed [ ] faxed Date: 5/3/2010

**Visit report/comments**

A1

There was a pile of TV's/monitors being stored outside not under cover and to a height greater than 3.5 meters. Table S.1.1 states that WEEE, disassembled spare parts, components or residues must be stored in areas provided with weatherproof covering. It also states that free storage shall not exceed a maximum storage height of 3.5 meters, relating to storage of WEEE containing ozone depleting substances. Please ensure that these TV's/monitors are moved under cover and note stored above the height limit.

I wanted to check the paperwork relating to the interceptors being emptied but this was not available at the time. I would therefore appreciate it if this could be sent to me including information of when the 'residual solids' was last cleaned out by the end of March.

Please see the section 59 notice relating to the TV monitors being stored on land at the docks, which does not have a relevant permit. This notice requires removal of the monitors by 8th April, see notice for further information. I would also like the consignment notes relating to their movement be made available for a future inspection.

On 18th February 2010 we understand that bomb disposal officers were called to the Sims WEEE site due to a suspicious object being found. Please be reminded that the Environment Agency should be contacted if this happens again so that we are aware there is an incident on site that may give rise to pollution of the environment (see car form from visit on 16/11/09 also requesting this).

See audit report for further information on visit, which accompanies this form.

Officer's signature

Recipient's name or position within company: Paul Wake

Continuation sheet used [ ] Yes [X] No

CCS record number: 144477

# Audit Report form

Environment Agency

## Part one- Audit reference information

| | | | |
|---|---|---|---|
| **Permit number** | BP3992SG | **Site name** | Sims WEEE Site |
| **Date(s) of audit** | 3rd February 2010 | **CAR ID No.** | I/100203/PSJ |
| **Lead auditor** | Pam Jordan | **Other auditors** | Philip Howell |

## Part two - Scope of audit

We wanted to look at the following areas of the permit & Operating Techniques in more depth:

**Waste movement records**
Transfer and consignment notes for waste received, consignee returns to the EA and consignment notes for interceptor waste.

**Waste storage areas**
Checking waste is being stored in areas specified by the permit and to the relevant height limits.

**Surface water emissions**
Ensuring that the site is being operated in a way that minimises any contamination of surface water. Looking at any monitoring or potential monitoring of the discharges to water.

## Part three - Permit conditions

The table below summarises what permit conditions were audited, areas of non-compliance and suggested corrective action.

| Permit condition | CAR sub-criteria | Comments and suggested corrective action | Proposed date for completion | CCS score 4 – 1 | Environment Agency proposed response |
|---|---|---|---|---|---|
| | | | | | |
| Table S1.1 | A1 | TV's/Monitors were being stored out in the open in a pile greater than 3.5 meters.<br>Move the TV's under cover and ensure that they are not stored higher than the maximum limit. | End of March 2010 | 3 | No Further Action if completed in timescale |
| | | | | | |
| | | | | | |

## Part four - Observations

There were TV's/Monitors being stored out in the open, not under cover. They were also stored about the 3.5 meters height limit. These will therefore need removing. The height limit was pointed out at the time but it was suggested that the licence did not provide a height limit. Therefore, if you have any trouble finding this information in the licence, I can come and go through it with you.

The consignment notes for the interceptors being emptied were not to hand at the time of our visit. I have therefore requested these are sent to me (see actions section).

The majority of the drains on site have now been covered with a fine mesh to help prevent solids and debris getting through to the silt trap & interceptor (thus reducing the frequency of having to clean the silt trap). There was a section on site however that has not been covered and I think it would be a good idea to make sure this section is also covered.

I'm currently looking at issue of site drainage and effluent from the treatment plant which discharges up to 5m3 per day. I understand that the licence states that 'no parameters' have been set for both these discharges and I'm going to seek guidance on why limits were not set. I'm particularly concerned that due to the amount and types of waste stored on site (not under cover), that the site drainage (trade effluent) is not monitored. I will contact you again about this.

Please be reminded that a section 59 notice has been served relating to the TV's/monitors being stored on docks land that is not covered by a permit. The notice gives until 12th April 2010 for these to be removed. Enforcement action will be taken in relation to this offence but I will await progressing this until the notice has expired and I can check on compliance with this (which although connected, is separate to the initial offence). I will need to see consignment notes relating to the removal of this hazardous waste so please ensure that this is made available for inspection once completed.

## Part five – Good practice

The containers on site were clearly labelled.

## Part six - Actions

Move the TV's/Monitors so that they are under cover and ensure that they are not stored above the height limit.

Send me copies of the consignment notes relating to the interceptors being emptied from July 2009 to the present date (including when the 'residual solids' was last cleaned out).

Make available copies of the consignment notes for the removal of the TV's/monitors that Sims are required to be removed by 12th April 2010.

**Part 6 Report approval**

Lead Auditor: Name Pamela Jordan Signed: .....

Date: 1st March 2010

| | | | | | |
|---|---|---|---|---|---|
| **Report authorised by** | Paul Molloy | **Signed** | | **Date** | 03/03/10 |
| **Job title** | Team Leader Environment Management | | | | |

# Environment Agency

# Compliance Assessment Report (CAR1) form

Report ID: I/100526/PSJ

| | | | | | |
|---|---|---|---|---|---|
| Name of site | SIMS recycling solutions – WEEE Facility | Operator/ Permit holder | Sims Group UK Ltd | Permit ref no: | EPR/BP3992SG |
| Officer | Pamela Jordan | Date | 26th May 2010 | Area/office | South East Wales - Monmouth |
| Activity | A16 | Time in | 12:00 approx | Event type: | [X] Routine [ ] Incident response [ ] Other |

Assessment type: [X] Site inspection [ ] Audit [ ] Check monitoring/sampling [ ] Report/data review [ ] Procedure review

Site life status: [X] Operational [ ] Pre-operational [ ] Post-operational

What part(s) of the permit were assessed? Most (see below)

## Compliance assessment summary

**Key to completion:** A = Assessed/Assessed in part (no evidence of non-compliance) ATL = Approach to limit
NA = Not Applicable 1, 2, 3, 4 = CCS cat 1–4 breach N = Not assessed

| | | | | | | | | | | | Conditions breached |
|---|---|---|---|---|---|---|---|---|---|---|---|
| a) Permitted activities | 1 | 3 | | | | | | | | | Table S1.1 |
| b) Infrastructure | 1 | A | 2 | NA | 3 | * | 4 | A | 5 | N | * Table S4.1 |
| c) General management | 1 | N | 2 | A | 3 | A | 4 | * | | | * (see A1) |
| d) Incident management | 1 | A | 2 | A | | | | | | | |
| e) Emissions | 1 | N | 2 | N | 3 | N | 4 | N | 5 | A | |
| f) Amenity | 1 | A | 2 | A | 3 | A | 4 | A | 5 | A | |
| g) Monitoring and records, maintenance and reporting | 1 | N | 2 | A | 3 | * | 4 | A | | | 1.1 |
| h) Resources efficiency | 1 | N | 2 | N | | | | | | | |

The breaches indicated above may constitute one or more offences. You should take immediate action to rectify each breach and return to compliance. You should prevent any repetition of the breaches. Breaches of conditions as indicated above can result in criminal prosecutions and/or suspension or revocation of a permit.

**NB. IF THERE IS A BREACH, ONLY ONE OF THE FOLLOWING WILL HAVE AN 'X' PLACED IN THE BOX**

| | | | |
|---|---|---|---|
| At present we do not intend to prosecute you for the above offences, however you are warned that this may change if further relevant information comes to light. **THIS IS A SITE WARNING** | | **We will now consider what Enforcement action is appropriate** | X |

**Review of Directly Applicable Legislation: Key:** A = Assessed, N = Not assessed, NA = Not Applicable, C1, C2, C3, C4 = CCS cat 1–4 breach. C3

**Report delivery method:** [X] copy left on site [ ] posted [ ] emailed [ ] faxed Date 7/06/10

**Visit report/comments**

A1

There was a pile of TV's/monitors being stored outside not under cover and to a height of at least 3 meters. Next to this pile there were TV's/monitors being unloaded from a lorry at the time of my visit and I could see that they were being thrown out of the lorry on to the concrete floor, causing them to smash and brake. Table S.1.1 states that WEEE, disassembled spare parts, components or residues must be stored in areas provided with weatherproof covering where appropriate. The definition of 'where appropriate' as explained at the end of the permit is – *where weatherproof cover is required to minimise the contamination of clean surface and rain waters, to facilitate the reuse of whole appliances and components intended for reuse, to assist in the containment of hazardous materials and fluids or where hazardous WEEE is stored.*

As the TV's are Hazardous WEEE and because they were piled up on top of each other, there is more of a chance that contamination of surface water will take place. The manner in which this waste was being handled by getting thrown from height out of a lorry on the concrete floor made the situation worse (further increasing the potential for contamination of surface water). The HSE inspector who was present at the time of this inspection, also picked up on this issue. The TV's/monitors need to have weatherproof covering and must be handled and stored in a way that minimises the potential of any hazards contained within the waste escaping. I will be checking that this action has been taken on my next inspection

B3

In the application submitted to the Agency for your permit, the number of staff working at the site was given to be 100. I was told during my inspection that you now have 120 staff working on site. There is a possibility that the permit may need to be varied to take account of the increased discharge of treated sewage effluent, as this amount of people would take the discharge above the 5m3 limit as stated in your permit. Please can you confirm this number of staff are working on site and if this number has increased since the permit was issued.

Officer's signature

Recipient's name or position within company: Paul Wake

Continuation sheet used [X] Yes [ ] No

CCS record number: 148477

**G3**

You mentioned to me recently that waste liquids had not yet been removed from the interceptor due to the time this had been installed. Your interceptor should be maintained in accordance with the manufacturers instructions. I believe that Klargester advises that the hydrocarbon pollutants and silt which build up within the separator, must be periodically removed. A log should also be maintained detailing the depth of oil and any silt removal or cleaning carried out.

This will be checked on future inspections.

***Applicable legislation***

Consignee quarterly returns

It appears from our records that no consignee quarterly returns have been submitted to the Agency relating to WEEE received from Retford HWRC and Armthorpe Dumpit sites, Nottingham in August 2009. This is an offence under regulation 53 of the Hazardous Waste Regulations 2005.
NFQ578/SD26 (received at SIMS, Newport on 26/08/2009)
NFQ578/190809 (received at SIMS, Newport on 19/08/2009)
NFQ578/SD15 (received at SIMS, Newport on 16/08/2009)

Please ensure that these missing entries are submitted in the next few weeks. When this has been done please send me confirmation along with an explanation of why this happened. Enforcement action is now being considered and it is likely to result in a fixed penalty notice being served.

# Compliance Assessment Report (CAR1) form

Report ID: I/100907/PSJ

| | | | | | |
|---|---|---|---|---|---|
| Name of site | SIMS recycling solutions – WEEE Facility | Operator/ Permit holder | Sims Group UK Ltd | Permit ref no: | EPR/BP3992SG |
| Officer | Pamela Jordan | Date | 7th Sept 2010 | Area/office | South East Wales - Monmouth |
| Activity | A16 | Time in | 11:30 approx | Event type: | [X] Routine [ ] Incident response [ ] Other |

Assessment type: [X] Site inspection [ ] Audit [ ] Check monitoring/sampling [ ] Report/data review [ ] Procedure review

Site life status: [X] Operational [ ] Pre-operational [ ] Post-operational

What part(s) of the permit were assessed? Most (see below)

## Compliance assessment summary

Key to completion: A = Assessed/Assessed in part (no evidence of non-compliance) NA = Not Applicable 1, 2, 3, 4 = CCS cat 1–4 breach ATL = Approach to limit N = Not assessed

| | | | | | | | | | | Conditions breached |
|---|---|---|---|---|---|---|---|---|---|---|
| a) Permitted activities | 1 A | | | | | | | | | |
| b) Infrastructure | 1 A | 2 NA | 3 * | 4 3 | 5 N | | | | | 3.2.1 3.2.3 |
| c) General management | 1 N | 2 A | 3 A | 4 A | | | | | | |
| d) Incident management | 1 A | 2 N | | | | | | | | |
| e) Emissions | 1 N | 2 N | 3 N | 4 N/A | 5 A | | | | | |
| f) Amenity | 1 A | 2 A | 3 A | 4 A | 5 A | | | | | |
| g) Monitoring and records, maintenance and reporting | 1 N | 2 N | 3 N | 4 A | | | | | | |
| h) Resources efficiency | 1 N | 2 N | | | | | | | | |

The breaches indicated above may constitute one or more offences. You should take immediate action to rectify each breach and return to compliance. You should prevent any repetition of the breaches. Breaches of conditions as indicated above can result in criminal prosecutions and/or suspension or revocation of a permit.

**NB. IF THERE IS A BREACH, ONLY ONE OF THE FOLLOWING WILL HAVE AN 'X' PLACED IN THE BOX**

| | |
|---|---|
| At present we do not intend to prosecute you for the above offences, however you are warned that this may change if further relevant information comes to light. THIS IS A SITE WARNING | We will now consider what Enforcement action is appropriate |

Review of Directly Applicable Legislation: Key: A = Assessed, N = Not assessed, NA = Not Applicable, C1, C2, C3, C4 = CCS cat 1–4 breach.

Report delivery method: [ ] copy left on site [ ] posted [x] emailed [ ] faxed Date 14/09/2010

Visit report/comments

B3

I gave Paul / Lindsey a copy of the analysis results from the site surface water discharge as taken by Environment Agency Officers. We were planning on taking the third water sample during this inspection, however Paul confirmed that it would be better for us to take it when relevant Sims staff were available and I confirmed I was happy to re-arrange. I also requested a copy of the results from the samples that Sims have been taking and Paul confirmed this would be sent (although current samples have not tested for dissolved metals but future ones will). Once we have taken our third sample, the results will be checked by our water quality team and I will contact you again to discuss these.

B4

There were a few IBC's full of chemicals stored outside un-bunded and as the drainage on site runs into the docks this poses a risk of pollution. I was informed that these chemicals are used to treat the water used during the waste process on site. Please ensure that these IBC's are moved to a bunded area. x

I understand that there will be a system in place soon that records when the interceptor is checked and will also contain information rel[illegible] when the oil and silt are removed (as this has not yet been carried out). C3

During the visit I noticed that the TV's and Monitors were now being stored in crates which poses much less of a risk of brakeage than the way they were previously stored.

Please be reminded that all CoTC holders who achieved their CoTC before 22nd Dec 2008, will have to demonstrate their continuing competence before 1st March 2011. Please see my letter dated 24th Feb 2010 for further info.

I've spoken to the relevant team that deal with generic operator returns and they confirmed that they are still working on the problems they've had with it and will write to Sims once these have been sorted.

Officer's signature

Recipient's name or position within company: Paul Wake

Continuation sheet used [ ] Yes [x] No

CCS record number: 153029

## Notes to the recipient

This compliance report form may highlight non-compliance with your permit or directly applicable legislation as observed by the Environment Agency officer. This does not relieve the site operator of their responsibility to ensure that they comply with the permit and to prevent pollution of the environment. You are also reminded that:

- you should comply with the conditions of the permit at all times
- compliance with the permit does not remove your obligation to comply with other legislative provisions which may apply.

### Understanding the Compliance Assessment Summary

| | | |
|---|---|---|
| a) Permitted activities | 1 | Specified by permit |
| b) Infrastructure | 1 | Engineering for prevention and control of emissions |
| | 2 | Closure and decommissioning |
| | 3 | Site drainage engineering (clean and foul) |
| | 4 | Containment of stored materials |
| | 5 | Plant and equipment |
| c) General management | 1 | Staff competency/training |
| | 2 | Management system and operating procedures |
| | 3 | Materials acceptance |
| | 4 | Storage, handling, labelling and segregation |
| d) Incident management | 1 | Site security |
| | 2 | Accident, emergency and incident planning |
| e) Emissions | 1 | Air |
| | 2 | Land and groundwater |
| | 3 | Surface water |
| | 4 | Sewer |
| | 5 | Waste |
| f) Amenity | 1 | Odour |
| | 2 | Noise |
| | 3 | Dust/fibres/particulates and litter |
| | 4 | Pests, birds and scavengers |
| | 5 | Deposits on road |
| g) Monitoring and records, maintenance and reporting | 1 | Monitoring of emissions and environment |
| | 2 | Records of activity, site diary/journal/events |
| | 3 | Maintenance records |
| | 4 | Reporting and notification to the Environment Agency |
| h) Resource efficiency | 1 | Efficient use of raw materials |
| | 2 | Energy efficiency |

The term 'permit' for the purposes of this form includes: licences, authorisations and consents.

### Understanding your non-compliance scores

Non-compliance findings are classified using our published Compliance Classification Scheme, (CCS).

This scheme categorises breaches of permit conditions based on their potential for environmental impact as shown below. If you wish to discuss further any comments made by the officer on this form, contact your local area office or for more details of the CCS scheme, see the Environment Agency's website or contact your local office.

| CCS category | Description |
|---|---|
| 1 | A non-compliance which has a potentially **major** environmental effect |
| 2 | A non-compliance which has a potentially **significant** environmental effect |
| 3 | A non-compliance which has a potentially **minor** environmental effect |
| 4 | A non-compliance which has **no** potential environmental effect |

### Corrective action

We have various options to ensure that you correct actual or potential non-compliance:

- We may advise on corrective actions, verbally or in writing
- We may require you to take specific actions, by letter or by issuing a notice
- We may require you to review your procedures or management systems
- We may change some of the conditions of your permit
- We may decide to undertake a full review of your permit

Any breach of a permit is an offence, and we may take legal action:

- We may serve a warning on site or by letter. The officer may complete the site warning declaration on this form. A warning is our minimum enforcement response, however, it does not preclude us taking additional enforcement action including the issue of a formal caution, taking a prosecution and/or the service of a notice.
- Depending on the offence, the penalties imposed by a Court on prosecution can include substantial fines and even imprisonment.

We have published our Enforcement and Prosecution Policy which seeks to achieve a consistent approach to enforcement across all our regulated activities.

### Environment Protection Operator and Pollution Risk Appraisal (EP OPRA)

Compliance assessment findings may affect your EP OPRA score. This score determines your charge and affects the allocation of our resources to check your compliance with the permit.

### Data protection notice

The Environment Agency is responsible for regulating environmental protection, flood defence, water resources and fisheries. It has a duty to discharge its functions to protect and enhance the environment and to promote conservation and recreation. The information provided will be processed by the Environment Agency to fulfil its regulatory and monitoring functions, and to maintain the relevant public register(s). The Environment Agency may also use and/or disclose it in connection with:

- offering/providing you with its literature/services relating to environmental matters
- consulting with the public, public bodies and other organisations (e.g. Health and Safety Executive, local authorities, emergency services) on environmental issues
- carrying out statistical analysis, research and development on environmental issues
- providing public register information to enquirers
- investigating possible breaches of environmental law and taking any resulting action
- preventing breaches of environmental law
- assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Information Regulations request.

The Environment Agency may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

### Disclosure of information

The Environment Agency will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within twenty working days of receipt of the assessment form indicating which information it concerns and why it should not be released, giving your reasons in full.

### Customer charter – What can I do if I disagree with the compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with the officer's line manager, Area Environment Manager or Area Manager. If you wish to raise your dispute further, this can be done through our official Complaints and Commendations procedure phone our general enquiry number 08708 506506 (Mon to Fri 08.00–18.00) and ask for the Customer Contact team, alternatively you can send an email to enquiries@environment-agency.gov.uk. If, after following our Complaints and Commendations procedure, you are still dissatisfied, you can make a complaint to the Ombudsman. For advice on how to complain to the Parliamentary Ombudsman phone their helpline on 0845 015 4033.

Environment Agency

# Compliance Assessment Report

Report ID: I/101123/PSJ

| | | | | | |
|---|---|---|---|---|---|
| Name of site | SIMS recycling solutions – WEEE Facility | Operator/ permit holder | Sims Group UK Ltd | Permit ref no: | EPR/BP3992SG |
| Officer | Pamela Jordan | Date | 23rd November 2010 | Area/office | South East Wales - Monmouth |
| Activity | A16 | Time in/out | 13:30 - 14:30 | Event type: | ☒ Routine ☐ Incident response ☐ Other |

Assessment type: ☒ Site inspection ☐ Audit ☐ Check monitoring/sampling ☐ Report/data review ☐ Procedure review

Site life status: ☒ Operational ☐ Pre-operational ☐ Post-operational

What part(s) of the permit were assessed? Most (see below)

## Compliance assessment summary

Key to completion: A = Assessed/Assessed in part (no evidence of non-compliance) NA = Not Applicable 1, 2, 3, 4 = CCS cat 1–4 breach ATL = Approach to limit N = Not assessed

| | | | | | | | | | | Conditions breached |
|---|---|---|---|---|---|---|---|---|---|---|
| a) Permitted activities | 1 | 4 | | | | | | | | 1.1.1 |
| b) Infrastructure | 1 | A | 2 | NA | 3 | * | 4 | A | 5 A | (* see C4) |
| c) General management | 1 | A | 2 | * | 3 | A | 4 | 3 | | Table S1.1 (* see A1) |
| d) Incident management | 1 | A | 2 | A | | | | | | |
| e) Emissions | 1 | A | 2 | A | 3 | * | 4 | NA | 5 A | (*see C4) |
| f) Amenity | 1 | A | 2 | A | 3 | A | 4 | A | 5 A | |
| g) Monitoring and records, maintenance and reporting | 1 | N | 2 | A | 3 | A | 4 | N | | |
| h) Resources efficiency | 1 | N | 2 | N | | | | | | |

The breaches indicated above may constitute one or more offences. You should take immediate action to rectify each breach and return to compliance. You should prevent any repetition of the breaches. Breaches of conditions as indicated above can result in criminal prosecutions and/or suspension or revocation of a permit.

NB if there is a breach, only one of the following will have an 'x' placed in the box as the initial enforcement response.

| | | | |
|---|---|---|---|
| We will now consider what enforcement action is appropriate. ☒ | At present we do not intend to prosecute you for the above offences. However, you are warned that this may change if further relevant information comes to light. | This is a site warning. ☐ | We have given you advice. ☐ |

Review of Directly Applicable Legislation: key: A = Assessed, N = Not assessed, NA = Not Applicable, C1,C2,C3,C4 = CCS cat 1–4 breach. C3

Report delivery method: ☐ copy left on site ☒ posted ☐ Emailed ☐ faxed Date 22/12/10

**Comments**

A1

On 16th Dec I was able to go through the EMS with Stephen Powell and Tom Galt. I found that the EMS in place for this site does go along way in helping Sims identify the environmental impacts resulting from the business activities. However, information relating to maximum height limits for the storage of waste was missing. Please be reminded that your management system must also include maximum storage heights to prevent or minimise the emission of dust, litter etc (as previously explained in my letter dated 5th May 2010). The environmental risk rating register that I read just stated 'stockpile heights are managed internally'.

Also, records I checked stated that 'all staff are responsible for operation of plant, equipment monitoring and maintenance'. You should have documentation stating who is in charge of ensuring compliance with each part of the permit and other relevant legislation.

The EMS should be updated by the end of February 2011 to include the above information.

NB - I have scored a 4 on this occasion, however if EMS is not updated a future score will be a 3.

C4

There was a pile of TV's/Monitors stored outside piled up on top of each other and I could see by the way they have been stored/handled that a lot of them were broken. As explained previously Table S1.1 states that WEEE, disassembled spare parts, components or residues must be stored in areas provided with weatherproof covering where appropriate. The definition of 'where appropriate' is 'where weatherproof covering is required to minimise the contamination of clean surface and rain waters & to assist in the containment of hazardous materials and fluids

Officer's Signature

Recipient's name or position within company: Paul Wake

Continuation sheet used: Yes No of pages: 1 CCS record number: 157862

or where hazardous WEEE is stored. I'm aware that Sims have been sampling the surface water discharge from site and I have previously provided you with the results of samples the Agency have taken. Our results showed that some of the metals have exceeded EQS levels and therefore action now needs to be taken to ensure that rain and uncontaminated surface water must be kept separate from contaminated water. This WEEE waste must therefore be covered. I understand Victoria wants to discuss the surface water drainage issue relating to all sites on the docks so I will contact her about this.

Applicable legislation

As explained on my e-mail dated 25th Nov, a colleague recently conducted an audit at the Royal Glamorgan Hospital and during the audit checked some consignment notes. Sims collect the WEEE from this hospital and from the checks that we made on the consignee returns to the Agency, we couldn't find these on our database. I assume that you've now had a chance to look into this issue **so please contact me by 10th Jan 2011** to confirm if they have now been entered retrospectively, as consideration will need to be given to enforcement action.

The note numbers that relate to consignments that Sims have collected are -

| **Collection Date** | **Note Number** |
|---|---|
| 18/11/09 | ANK935/30945M |
| 10/06/10 | AKN935/30202M |
| 10/06/10 | AKN935/30203M |
| 12/08/10 | AKN935/30471M |
| 21/10/10 | AKN935/48940M |

Whilst on site I could see that a new engineering workshop is in the process of being built next to the sewage treatment plant.

I was told that the interceptor on site was emptied by Egan waste on 3rd November 2010 and I checked waste paperwork relating to this. There is a query over the interceptor silt being removed under a transfer note as opposed to a consignment note (as this waste is likely to be hazardous), however I'm checking this with colleagues and if we have concerns will take this up with Egan Waste.

Environment Agency

# Compliance Assessment Report

Report ID: I/110308/PSJ

| | | | | | |
|---|---|---|---|---|---|
| Name of site | SIMS recycling solutions – WEEE Facility | Operator/ permit holder | Sims Group UK Ltd | Permit ref no: | EPR/BP3992SG |
| Officer | Pamela Jordan & Steph Charnaud | Date | 8th March 2011 | Area/office | South East Wales - Monmouth |
| Activity | A20 | Time in/out | 10:00 - 11:00 | Event type: | ☒ Routine ☐ Incident response ☐ Other |

Assessment type: ☒ Site inspection ☐ Audit ☐ Check monitoring/sampling ☐ Report/data review ☐ Procedure review

Site life status: ☒ Operational ☐ Pre-operational ☐ Post-operational

What part(s) of the permit were assessed? Most (see below)

## Compliance assessment summary

Key to completion: A = Assessed/Assessed in part (no evidence of non-compliance) NA = Not Applicable 1, 2, 3, 4 = CCS cat 1–4 breach ATL = Approach to limit N = Not assessed

| | | | | | | | | | | Conditions breached |
|---|---|---|---|---|---|---|---|---|---|---|
| a) Permitted activities | 1 | 4 | | | | | | | | 1.1.1 |
| b) Infrastructure | 1 | A | 2 | NA | 3 | * | 4 | A | 5 A | (* see C4) |
| c) General management | 1 | A | 2 | * | 3 | A | 4 | 3 | | Table S1.1 |
| d) Incident management | 1 | A | 2 | N | | | | | | |
| e) Emissions | 1 | A | 2 | A | 3 | * | 4 | NA | 5 A | (*see C4) |
| f) Amenity | 1 | A | 2 | A | 3 | A | 4 | A | 5 A | |
| g) Monitoring and records, maintenance and reporting | 1 | A | 2 | N | 3 | N | 4 | N | | |
| h) Resources efficiency | 1 | N | 2 | N | | | | | | |

The breaches indicated above may constitute one or more offences. You should take immediate action to rectify each breach and return to compliance. You should prevent any repetition of the breaches. Breaches of conditions as indicated above can result in criminal prosecutions and/or suspension or revocation of a permit.

NB if there is a breach, only one of the following will have an 'x' placed in the box as the initial enforcement response.

| | | | |
|---|---|---|---|
| We will now consider what enforcement action is appropriate. ☐ | At present we do not intend to prosecute you for the above offences. However, you are warned that this may change if further relevant information comes to light. | This is a site warning. | ☒ |
| | | We have given you advice. | ☐ |

Review of Directly Applicable Legislation: key: A = Assessed, N = Not assessed, NA = Not Applicable, C1,C2,C3,C4 = CCS cat 1–4 breach. C4

Report delivery method: ☐ copy left on site ☒ posted ☐ Emailed ☐ faxed Date 15/03/11

**Comments**

A1

Following my inspection of the EMS on 16th Dec 2010 I requested that maximum height limits for the storage of waste be included in this document. During my inspection on 8th March Stephen Powell showed me that the EMS had been updated to include a stockpile height limit of 6m. Whilst walking around the site I could see a stockpile of waste waiting to be processed at the back of the building (across from the Gatehouse) was between 8-10 meters. I understand that there are occasions where delayed ships etc may cause waste to be kept on your site longer than anticipated, however plans should be in place to manage the waste storage on site should these type of delays occur. I have scored a 4 on this occasion however similar future breaches may be scored higher.

C4

There was a pile of TV's/Monitors stored outside piled up on top of each other and I could see by the way they have been stored/handled that most of them were broken. As explained previously, this hazardous waste should not be stored/handled in this way due to the risk of rain water washing any leaking hazardous liquid/material down surface water drains. I can see in your EMS under the section 'Risk Pollution Prevention' you have listed WEEE Containing Hazardous Components as a potential risk, however under the section 'is the risk adequately controlled' it states that some of the stored TV's & Monitors become broken as they are stored on a hard standing up to a maximum amount of 50 tonnes. I believe that storage in this way is certainly not a control measure and as explained above it would actually increase the risk posed to surface water. As the recent water samples have shown elevated levels of certain metals, this issue must be addressed. The TV/Monitor storage appears to be an on-going issue with the site and therefore a notice may be served in the future. Continued …

Officer's Signature

Recipient's name or position within company: Paul Wake

Continuation sheet used: Yes No of pages: 1 CCS record number: 161608

C4

Please also be aware that the Risk Assessment Sims submitted to support the recent variation for this site to include more waste types, stated that 'incoming wastes will be suitably contained and handled to prevent uncontrolled damage to components containing hazardous substances'. This is different to the information given in the 'Risk Pollution Prevention' document contained within the EMS. Sims must ensure that documents sent to us in support of a permit variation provide accurate information for the site.

Applicable legislation

I checked a transfer note – ticket number 749609 for WEEE produced by Bloomberg L.P Silvertown. The carrier registration was missing and as there was not a fully completed address we are unable to check the company have a valid carrier registration. It would also be more difficult to trace the waste producer should there be a query about the waste etc. Please ensure that all the required details are completed on transfer notes.

On consignment note code AAB900/7214M there was no amount given for the TV's/Monitors. The regulations require this information to be included on the note and it is also needed for your waste returns.

Please be aware that Stephanie Charnaud will now be the regulatory Officer for this site.

# Compliance Assessment Report

Report ID:I/110627/EPR/BP3992SG

| | | | | | |
|---|---|---|---|---|---|
| Name of site | Newport Weee Facility | Operator / Permit holder | Sims Group U K Limited | Permit ref no. | 100605/BP3992SG |
| Officer | Stephanie Charnaud | Date | 27/06/2011 | Area / office | Wales (SE) - Monmouth |
| Activity | Metal non ELV | Time in/out | 10:35 / 11:50 | Event type: | [X] Routine [ ] Incident [ ] Other |

Assessment type: [X] Site inspection [ ] Audit [ ] Check monitoring/sampling [ ] Report / data review [ ] Procedure review

Site life status: [X] Operational [ ] Pre-Operational [ ] Post-operational

Which part(s) of the permit were assessed? Operations

## Compliance assessment summary

Key to completion: A = Assessed/Assessed in part (no evidence of non-compliance) NA = Not Applicable 1, 2, 3, 4 = CCS cat 1–4 breach ATL = Approach to limit N = Not assessed

| | | | | | | | | | | Conditions breached |
|---|---|---|---|---|---|---|---|---|---|---|
| a) Permitted activities | 1 A | | | | | | | | | |
| b) infrastructure | 1 A | 2 NA | 3 N | 4 N | 5 A | | | | | |
| c) General management | 1 A | 2 A | 3 A | 4 C3 | | | | | | Table S1.1; |
| d) Incident management | 1 A | 2 N | | | | | | | | |
| e) Emissions | 1 A | 2 A | 3 A | 4 NA | 5 A | | | | | |
| f) Amenity | 1 A | 2 A | 3 A | 4 A | 5 A | | | | | |
| g) Monitoring and records, maintenance and reporting | 1 N | 2 N | 3 N | 4 N | | | | | | |
| h) Resources efficiency | 1 NA | 2 NA | | | | | | | | |

The breaches indicated above may constitute one or more offences. You should take immediate action to rectify each breach and return to compliance. You should prevent any repetition of the breaches. Breaches of conditions as indicated above can result in criminal prosecutions and/or suspension or revocation of a permit.

**NB. IF THERE IS A BREACH, ONLY ONE OF THE FOLLOWING WILL HAVE AN 'X' PLACED IN THE BOX**

| | | | |
|---|---|---|---|
| We will now consider what enforcement action is appropriate. | [ ] | At present we do not intend to prosecute you for the above offences. However, you are warned that this may change if further relevant information comes to light. | This is a site warning. [X]<br>We have given you advice. [ ] |

**Review of Directly Applicable Legislation: Key:** A = Assessed, N = Not assessed, NA = Not Applicable, C1, C2, C3, C4 = CCS cat 1–4 breach. **N**

**Report delivery method:** [ ] copy left on site [ ] posted [X] emailed [ ] faxed Date 04/07/2011

**Comments**

Visit report/comments

Weather; Hot and humid, overcast and rainstorm while I was onsite

C3 I have scored this breach, as Pamela did previously, as the storage of the TV's is not in line with the permit condition in table S1.1. As I mentioned in my email to you on Tuesday 27th June, in line with current Environment Agency guidance I will regard the storage of CRT containing televisions and any other televisions that have fluorescent tube backlights to be storage of hazardous materials. Therefore, until such time as I receive further information to the contrary or proof that these hazardous components, contained in some TV's, are not hazardous to the environment or human health, in the forms which they are in on site, I will continue to score the storage of the TV's as it is at present as it does not meet the requirements of table S1.1. If the storage issue is not rectified then the Environment Agency will have no option but to serve a notice requiring compliance with the environmental permit in place for the site.

| | | | |
|---|---|---|---|
| Officer's Signature | | | Recipient's name or position within company |
| Stephanie Charnaud | | | Paul Wake |
| Continuation sheet used | **No** | No of Pages **2** | CCS record number 166189 |

## Notes to the recipient

This compliance report form may highlight non-compliance with your permit or directly applicable legislation as observed by the Environment Agency officer.

This does not relieve the site operator of their responsibility to ensure that they comply with the permit and to prevent pollution of the environment. You are also reminded that:

- you should comply with the conditions of the permit at all times
- compliance with the permit does not remove your obligation to comply with other legislative provisions which may apply.

**Understanding the Compliance Assessment Summary**

| | | |
|---|---|---|
| a) Permitted activities | 1 | Specified by permit |
| b) Infrastructure | 1 | Engineering for prevention and control of emissions |
| | 2 | Closure and decommissioning |
| | 3 | Site drainage engineering (clean and foul) |
| | 4 | Containment of stored materials |
| | 5 | Plant and equipment |
| c) General management | 1 | Staff competency/training |
| | 2 | Management system and operating procedures |
| | 3 | Materials acceptance |
| | 4 | Storage, handling, labelling and segregation |
| d) Incident management | 1 | Site security |
| | 2 | Accident, emergency and incident planning |
| e) Emissions | 1 | Air |
| | 2 | Land and groundwater |
| | 3 | Surface water |
| | 4 | Sewer |
| | 5 | Waste |
| f) Amenity | 1 | Odour |
| | 2 | Noise |
| | 3 | Dust/fibres/particulates and litter |
| | 4 | Pests, birds and scavengers |
| | 5 | Deposits on road |
| g) Monitoring and records, maintenance and reporting | 1 | Monitoring of emissions and environment |
| | 2 | Records of activity, site diary/journal/ events |
| | 3 | Maintenance records |
| | 4 | Reporting and notification to the Environment Agency |
| h) Resource efficiency | 1 | Efficient use of raw materials |
| | 2 | Energy efficiency |

The term 'permit' for the purposes of this form includes: licences, authorisations and consents.

**Understanding your non-compliance scores**

Non-compliance findings are classified using our published Compliance Classification Scheme, (CCS).

This scheme categorises breaches of permit conditions based on their potential for environmental impact as shown below. If you wish to discuss further any comments made by the officer on this form, contact your local area office or for more details of the CCS scheme, see the Environment Agency's website or contact your local office.

| CCS category | Description | Score |
|---|---|---|
| 1 | A non-compliance which has a potentially **major** environmental effect | 60 |
| 2 | A non-compliance which has a potentially **significant** environmental effect | 31 |
| 3 | A non-compliance which has a potentially **minor** environmental effect | 4 |
| 4 | A non-compliance which has **no** potential environmental effect | 1 |

**Corrective action**

We have various options to ensure that you correct actual or potential non-compliance. We may

- advise on corrective actions, verbally or in writing and require you to take specific actions, by letter or by issuing a notice.
- require you to review your procedures or management systems
- change some of the conditions of your permit
- decide to undertake a full review of your permit

Any breach of a permit is an offence, and we may take legal action:

- We will normally provide advice/guidance to assist operators back into compliance. However, other than for a very minor offence this will normally be in conjunction with another enforcement response.
- Where we have issued a written warning this does not preclude us from taking additional enforcement action if further relevant information comes to light. Such action includes the issue of a formal caution, taking a prosecution and/or the service of a notice.

We have published our Enforcement and Prosecution Policy which seeks to achieve a consistent approach to enforcement across all our regulated activities.

**Operational Risk Appraisal (Opra)**

Compliance assessment findings may affect your Opra score. This score determines your charge and affects the allocation of our resources to check your compliance with the permit.

**Data protection notice**

The Environment Agency is responsible for regulating environmental protection, flood defence, water resources and fisheries. It has a duty to discharge its functions to protect and enhance the environment and to promote conservation and recreation. The information provided will be processed by the Environment Agency to fulfill its regulatory and monitoring functions, and to maintain the relevant public register(s). The Environment Agency may also use and/or disclose it in connection with:

- offering/providing you with its literature/services relating to environmental matters
- consulting with the public, public bodies and other organisations (e.g. Health and Safety Executive, local authorities, emergency services) on environmental issues
- carrying out statistical analysis, research and development on environmental issues
- providing public register information to enquirers
- investigating possible breaches of environmental law and taking any resulting action
- preventing breaches of environmental law
- assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Information Regulations request.

The Environment Agency may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

**Disclosure of information**

The Environment Agency will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within twenty working days of receipt of the assessment form indicating which information it concerns and why it should not be released, giving your reasons in full.

**Customer charter – What can I do if I disagree with the compliance assessment report?**

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with the officer's line manager, Area Environment Manager or Area Manager. If you wish to raise your dispute further, this can be done through our official Complaints and Commendations procedure phone our general enquiry number 08708 506506 (Mon to Fri 08.00–18.00) and ask for the Customer Contact team, alternatively you can send an email to enquiries@environment-agency.gov.uk. If, after following our Complaints and Commendations procedure, you are still dissatisfied, you can make a complaint to the Ombudsman. For advice on how to complain to the Parliamentary Ombudsman phone their helpline on 0345 015 4033.

# Compliance Assessment Report

Report ID:I/110718/EPR/BP3992SG

| | | | | | |
|---|---|---|---|---|---|
| Name of site | Newport Weee Facility (weee) | Operator / Permit holder | Sims Group U K Limited | Permit ref no. | 100605 |
| Officer | Stephanie Charnaud, Tim Colebrook | Date | 18/07/2011 | Area / office | Wales (SE) - Monmouth |
| Activity | Metal non ELV | Time in/out | 09:50 / 10:50 | Event type: | [X] Routine [ ] Incident [ ] Other |

Assessment type: [X] Site inspection [ ] Audit [ ] Check monitoring/sampling [ ] Report / data review [ ] Procedure review

Site life status: [X] Operational [ ] Pre-Operational [ ] Post-operational

Which part(s) of the permit were assessed? Operations

## Compliance assessment summary

Key to completion: A = Assessed/Assessed in part (no evidence of non-compliance) NA = Not Applicable 1, 2, 3, 4 = CCS cat 1–4 breach ATL = Approach to limit N = Not assessed

| | | | | | | | | | | Conditions breached |
|---|---|---|---|---|---|---|---|---|---|---|
| a) Permitted activities | 1 | A | | | | | | | | |
| b) infrastructure | 1 | A | 2 | NA | 3 | N | 4 | A | 5 | A | |
| c) General management | 1 | NA | 2 | N | 3 | A | 4 | C4 | | | Table S1.1; |
| d) Incident management | 1 | N | 2 | N | | | | | | | |
| e) Emissions | 1 | A | 2 | A | 3 | N | 4 | NA | 5 | A | |
| f) Amenity | 1 | A | 2 | A | 3 | A | 4 | A | 5 | A | |
| g) Monitoring and records, maintenance and reporting | 1 | N | 2 | N | 3 | N | 4 | A | | | |
| h) Resources efficiency | 1 | NA | 2 | NA | | | | | | | |

The breaches indicated above may constitute one or more offences. You should take immediate action to rectify each breach and return to compliance. You should prevent any repetition of the breaches. Breaches of conditions as indicated above can result in criminal prosecutions and/or suspension or revocation of a permit.

**NB. IF THERE IS A BREACH, ONLY ONE OF THE FOLLOWING WILL HAVE AN 'X' PLACED IN THE BOX**

| | | | |
|---|---|---|---|
| We will now consider what enforcement action is appropriate. | [ ] | At present we do not intend to prosecute you for the above offences. However, you are warned that this may change if further relevant information comes to light. | This is a site warning. [X]<br>We have given you advice. [ ] |

**Review of Directly Applicable Legislation: Key:** A = Assessed, N = Not assessed, NA = Not Applicable, C1, C2, C3, C4 = CCS cat 1–4 breach. **N**

**Report delivery method:** [ ] copy left on site [ ] posted [X] emailed [ ] faxed Date 19/07/2011

**Comments**

Visit report/comments

Weather; overcast

C3 Table S1.1 Storage of WEEE. As I mentioned in my last CAR form. TV's are not currently stored in line with your permit. As mentioned on-site while this condition is in the permit for the site the TV's must be stored in line with this condition. If Sims wish to submit a statement as to why they feel that the current storage situation, i.e. stored on the concrete in a pile, which some TV's fall off and break, with the surface water runoff going through an interceptor before it enters the docks, is environmentally protective then the Environment Agency would review this document. I also noted today that some TV's had fallen off the pile onto the unmade ground outside the concreted and kerbed area which they should be stored on. Please ensure that the area outside the concreted surface is checked regularly and any waste found in this area is moved back onto the concrete.

There was a large amount of dust coming out of one of the emission points from the building. This was because one of the collection bags was not secured correctly. Please ensure that this dust is swept up and bagged and not allowed to be washed down the surface water drain. I will not score you for this on this occasion. But please ensure that in the future that dusts from the process are captured to ensure that they cannot escape offsite.

| | | | |
|---|---|---|---|
| Officer's Signature | Stephanie Charnaud, Tim Colebrook | Recipient's name or position within company | Paul Wake |
| Continuation sheet used | **No** | No of Pages | 2 |
| CCS record number | 167238 | | |

## Notes to the recipient

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- you should comply with the conditions of the permit at all times
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**Understanding the Compliance Assessment Summary**

| | | |
|---|---|---|
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| b) Infrastructure | 1 | Engineering for prevention and control of emissions |
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| | 3 | Surface water |
| | 4 | Sewer |
| | 5 | Waste |
| f) Amenity | 1 | Odour |
| | 2 | Noise |
| | 3 | Dust/fibres/particulates and litter |
| | 4 | Pests, birds and scavengers |
| | 5 | Deposits on road |
| g) Monitoring and records, maintenance and reporting | 1 | Monitoring of emissions and environment |
| | 2 | Records of activity, site diary/journal/ events |
| | 3 | Maintenance records |
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- change some of the conditions of your permit
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- providing public register information to enquirers
- investigating possible breaches of environmental law and taking any resulting action
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- assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Information Regulations request.

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# Compliance Assessment Report

Report ID:I/110907/100605

| | | | | | |
|---|---|---|---|---|---|
| Name of site | Newport Weee Facility (weee) | Operator / Permit holder | Sims Group U K Limited | Permit ref no. | 100605 |
| Officer | Tim Colebrook, Pete Chesney, Paul Fernee, Paul Barker | Date | 7/9/2011 | Area / office | Wales (SE) - Monmouth |
| Activity | Metal non ELV | Time in/out | 12:30 / 16:00 | Event type: | [X] Routine [ ] Incident [ ] Other |

Assessment type: [X] Site inspection [ ] Audit [ ] Check monitoring/sampling [ ] Report / data review [ ] Procedure review

Site life status: [X] Operational [ ] Pre-Operational [ ] Post-operational

Which part(s) of the permit were assessed? Licenced Area

## Compliance assessment summary

Key to completion: A = Assessed/Assessed in part (no evidence of non-compliance) NA = Not Applicable 1, 2, 3, 4 = CCS cat 1–4 breach ATL = Approach to limit N = Not assessed

| | | | | | | | | | | Conditions breached |
|---|---|---|---|---|---|---|---|---|---|---|
| a) Permitted activities | 1 A | | | | | | | | | |
| b) infrastructure | 1 N | 2 N | 3 N | 4 A | 5 N | | | | | |
| c) General management | 1 N | 2 N | 3 N | 4 A | | | | | | |
| d) Incident management | 1 A | 2 N | | | | | | | | |
| e) Emissions | 1 N | 2 N | 3 N | 4 N | 5 N | | | | | |
| f) Amenity | 1 N | 2 A | 3 C3 | 4 A | 5 A | | | | | 3.2.1; |
| g) Monitoring and records, maintenance and reporting | 1 N | 2 N | 3 N | 4 N | | | | | | |
| h) Resources efficiency | 1 N | 2 N | | | | | | | | |

The breaches indicated above may constitute one or more offences. You should take immediate action to rectify each breach and return to compliance. You should prevent any repetition of the breaches. Breaches of conditions as indicated above can result in criminal prosecutions and/or suspension or revocation of a permit.

**NB. IF THERE IS A BREACH, ONLY ONE OF THE FOLLOWING WILL HAVE AN 'X' PLACED IN THE BOX**

| | | | |
|---|---|---|---|
| We will now consider what enforcement action is appropriate. | [ ] | At present we do not intend to prosecute you for the above offences. However, you are warned that this may change if further relevant information comes to light. | This is a site warning. [X]<br>We have given you advice. [ ] |

**Review of Directly Applicable Legislation: Key:** A = Assessed, N = Not assessed, NA = Not Applicable, C1, C2, C3, C4 = CCS cat 1–4 breach. [A]

**Report delivery method:** [ ] copy left on site [ ] posted [X] emailed [ ] faxed Date 29/9/2011

**Comments**

Site visit carried out with colleagues from EA head office - Paul Fernee, Pete Chesney & Paul Barker from the waste technical services team.

During previous inspections, it has been noted that tv's have not been stored correctly. This has now been addressed and tv's are now being stored in containers prior to removal from site.

A large amount of dust was noted coming from the fines storage bay, this needs to be addressed as the amount of dust being generated in this area is not acceptable. It was indicated that this was happening as a new process was being trialled. Photos taken at the time are attached below. A non-compliance breach for permit condition 3.2.1 has been scored.

Thank you for taking the time to show us around the site/plant.

Officer's Signature

Recipient's name or position within company: Paul Wake

Continuation sheet used: **No** No of Pages: 2 CCS record number: 170164

## Notes to the recipient

This compliance report form may highlight non-compliance with your permit or directly applicable legislation as observed by the Environment Agency officer.

This does not relieve the site operator of their responsibility to ensure that they comply with the permit and to prevent pollution of the environment. You are also reminded that:

- you should comply with the conditions of the permit at all times
- compliance with the permit does not remove your obligation to comply with other legislative provisions which may apply.

**Understanding the Compliance Assessment Summary**

| | | |
|---|---|---|
| a) Permitted activities | 1 | Specified by permit |
| b) Infrastructure | 1 | Engineering for prevention and control of emissions |
| | 2 | Closure and decommissioning |
| | 3 | Site drainage engineering (clean and foul) |
| | 4 | Containment of stored materials |
| | 5 | Plant and equipment |
| c) General management | 1 | Staff competency/training |
| | 2 | Management system and operating procedures |
| | 3 | Materials acceptance |
| | 4 | Storage, handling, labelling and segregation |
| d) Incident management | 1 | Site security |
| | 2 | Accident, emergency and incident planning |
| e) Emissions | 1 | Air |
| | 2 | Land and groundwater |
| | 3 | Surface water |
| | 4 | Sewer |
| | 5 | Waste |
| f) Amenity | 1 | Odour |
| | 2 | Noise |
| | 3 | Dust/fibres/particulates and litter |
| | 4 | Pests, birds and scavengers |
| | 5 | Deposits on road |
| g) Monitoring and records, maintenance and reporting | 1 | Monitoring of emissions and environment |
| | 2 | Records of activity, site diary/journal/ events |
| | 3 | Maintenance records |
| | 4 | Reporting and notification to the Environment Agency |
| h) Resource efficiency | 1 | Efficient use of raw materials |
| | 2 | Energy efficiency |

The term 'permit' for the purposes of this form includes: licences, authorisations and consents.

**Understanding your non-compliance scores**

Non-compliance findings are classified using our published Compliance Classification Scheme, (CCS).

This scheme categorises breaches of permit conditions based on their potential for environmental impact as shown below. If you wish to discuss further any comments made by the officer on this form, contact your local area office or for more details of the CCS scheme, see the Environment Agency's website or contact your local office.

| CCS category | Description | Score |
|---|---|---|
| 1 | A non-compliance which has a potentially **major** environmental effect | 60 |
| 2 | A non-compliance which has a potentially **significant** environmental effect | 31 |
| 3 | A non-compliance which has a potentially **minor** environmental effect | 4 |
| 4 | A non-compliance which has **no** potential environmental effect | 1 |

**Corrective action**

We have various options to ensure that you correct actual or potential non-compliance. We may

- advise on corrective actions, verbally or in writing and require you to take specific actions, by letter or by issuing a notice.
- require you to review your procedures or management systems
- change some of the conditions of your permit
- decide to undertake a full review of your permit

Any breach of a permit is an offence, and we may take legal action:

- We will normally provide advice/guidance to assist operators back into compliance. However, other than for a very minor offence this will normally be in conjunction with another enforcement response.
- Where we have issued a written warning this does not preclude us from taking additional enforcement action if further relevant information comes to light. Such action includes the issue of a formal caution, taking a prosecution and/or the service of a notice.

We have published our Enforcement and Prosecution Policy which seeks to achieve a consistent approach to enforcement across all our regulated activities.

**Operational Risk Appraisal (Opra)**

Compliance assessment findings may affect your Opra score. This score determines your charge and affects the allocation of our resources to check your compliance with the permit.

**Data protection notice**

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- offering/providing you with its literature/services relating to environmental matters
- consulting with the public, public bodies and other organisations (e.g. Health and Safety Executive, local authorities, emergency services) on environmental issues
- carrying out statistical analysis, research and development on environmental issues
- providing public register information to enquirers
- investigating possible breaches of environmental law and taking any resulting action
- preventing breaches of environmental law
- assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Information Regulations request.

The Environment Agency may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

**Disclosure of information**

The Environment Agency will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within twenty working days of receipt of the assessment form indicating which information it concerns and why it should not be released, giving your reasons in full.

**Customer charter – What can I do if I disagree with the compliance assessment report?**

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with the officer's line manager, Area Environment Manager or Area Manager. If you wish to raise your dispute further, this can be done through our official Complaints and Commendations procedure phone our general enquiry number 08708 506506 (Mon to Fri 08.00–18.00) and ask for the Customer Contact team, alternatively you can send an email to enquiries@environment-agency.gov.uk. If, after following our Complaints and Commendations procedure, you are still dissatisfied, you can make a complaint to the Ombudsman. For advice on how to complain to the Parliamentary Ombudsman phone their helpline on 0345 015 4033.







# Compliance Assessment Report

Report ID:I/111109/100605

| | | | | | |
|---|---|---|---|---|---|
| Name of site | Newport Weee Facility (weee) | Operator / Permit holder | Sims Group U K Limited | Permit ref no. | 100605 / EPR/BP3992SG |
| Officer | Mair Davies, Lara Moggridge, Tim Colebrook | Date | 09/11/2011 | Area / office | Wales (SE) - Rivers House |
| Activity | Metal non ELV | Time in/out | 14:00 / 14:30 | Event type: | [X] Routine [ ] Incident [ ] Other |

Assessment type: [X] Site inspection [ ] Audit [ ] Check monitoring/sampling [ ] Report / data review [ ] Procedure review

Site life status: [X] Operational [ ] Pre-Operational [ ] Post-operational

Which part(s) of the permit were assessed? Overview of site activities

## Compliance assessment summary

Key to completion: A = Assessed/Assessed in part (no evidence of non-compliance) NA = Not Applicable 1, 2, 3, 4 = CCS cat 1–4 breach ATL = Approach to limit N = Not assessed

| | | | | | | | | | | Conditions breached |
|---|---|---|---|---|---|---|---|---|---|---|
| a) Permitted activities | 1 A | | | | | | | | | |
| b) infrastructure | 1 N | 2 NA | 3 N | 4 A | 5 N | | | | | |
| c) General management | 1 N | 2 A | 3 A | 4 A | | | | | | |
| d) Incident management | 1 N | 2 N | | | | | | | | |
| e) Emissions | 1 A | 2 A | 3 N | 4 NA | 5 N | | | | | |
| f) Amenity | 1 A | 2 A | 3 A | 4 A | 5 A | | | | | |
| g) Monitoring and records, maintenance and reporting | 1 N | 2 N | 3 N | 4 N | | | | | | |
| h) Resources efficiency | 1 NA | 2 NA | | | | | | | | |

The breaches indicated above may constitute one or more offences. You should take immediate action to rectify each breach and return to compliance. You should prevent any repetition of the breaches. Breaches of conditions as indicated above can result in criminal prosecutions and/or suspension or revocation of a permit.

**NB. IF THERE IS A BREACH, ONLY ONE OF THE FOLLOWING WILL HAVE AN 'X' PLACED IN THE BOX**

| | | | |
|---|---|---|---|
| We will now consider what enforcement action is appropriate. | [ ] | At present we do not intend to prosecute you for the above offences. However, you are warned that this may change if further relevant information comes to light. | This is a site warning. [ ]<br>We have given you advice. [ ] |

**Review of Directly Applicable Legislation: Key:** A = Assessed, N = Not assessed, NA = Not Applicable, C1, C2, C3, C4 = CCS cat 1–4 breach. **N**

**Report delivery method:** [ ] copy left on site [ ] posted [X] emailed [ ] faxed Date 18/11/2011

**Comments**

This inspection was carried out to hand over the regulation of this site from Environment Officer Tim Colebrook to myself. Thank you for taking the time to show me around and answer my questions.

The previous dust issue on site that was raised at the inspection on the 07/09/11 is still being addressed. A considerable improvement has been made, but a few remaining works still need to be completed. There was a small amount of dust on the floor in this area, but nothing of concern. It is hoped that this issue will be fully resolved on my next inspection.

From looking through the permits and associated documents it appears that there is not a complete up-to-date Environmental Management System for this site. I would be grateful if you could update your EMS by the 31st December 2011. I raised a query on site concerning the water storage tanks inside the building. These storage tanks are a potential environmental hazard due to the volume of water contained within. Your EMS should include a risk assessment to mitigate the risk of leakage, rupture, collisions etc. Maintenance schedules also must be in place to prevent deterioration of the tank structure and associated pipe work.

I am aware that you have employed RPS to undergo some modelling work which will determine the levels of contaminants in the surface water which would be able to be discharged into Newport Docks without causing pollution. I would be grateful if you could provide me with an update on this work.

If you have any queries with any of the above or wish to discuss the timescales please do not hesitate to contact me on 02920 245089 or email mair.davies@environment-agency.gov.uk.

There were no other issues with the site at the time of inspection.

Officer's Signature

Recipient's name or position within company: Paul Wake

Continuation sheet used **No** No of Pages **2** CCS record number N/A

## Notes to the recipient

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This does not relieve the site operator of their responsibility to ensure that they comply with the permit and to prevent pollution of the environment. You are also reminded that:

- you should comply with the conditions of the permit at all times
- compliance with the permit does not remove your obligation to comply with other legislative provisions which may apply.

**Understanding the Compliance Assessment Summary**

| | | |
|---|---|---|
| a) Permitted activities | 1 | Specified by permit |
| b) Infrastructure | 1 | Engineering for prevention and control of emissions |
| | 2 | Closure and decommissioning |
| | 3 | Site drainage engineering (clean and foul) |
| | 4 | Containment of stored materials |
| | 5 | Plant and equipment |
| c) General management | 1 | Staff competency/training |
| | 2 | Management system and operating procedures |
| | 3 | Materials acceptance |
| | 4 | Storage, handling, labelling and segregation |
| d) Incident management | 1 | Site security |
| | 2 | Accident, emergency and incident planning |
| e) Emissions | 1 | Air |
| | 2 | Land and groundwater |
| | 3 | Surface water |
| | 4 | Sewer |
| | 5 | Waste |
| f) Amenity | 1 | Odour |
| | 2 | Noise |
| | 3 | Dust/fibres/particulates and litter |
| | 4 | Pests, birds and scavengers |
| | 5 | Deposits on road |
| g) Monitoring and records, maintenance and reporting | 1 | Monitoring of emissions and environment |
| | 2 | Records of activity, site diary/journal/ events |
| | 3 | Maintenance records |
| | 4 | Reporting and notification to the Environment Agency |
| h) Resource efficiency | 1 | Efficient use of raw materials |
| | 2 | Energy efficiency |

The term 'permit' for the purposes of this form includes: licences, authorisations and consents.

**Understanding your non-compliance scores**

Non-compliance findings are classified using our published Compliance Classification Scheme, (CCS).

This scheme categorises breaches of permit conditions based on their potential for environmental impact as shown below. If you wish to discuss further any comments made by the officer on this form, contact your local area office or for more details of the CCS scheme, see the Environment Agency's website or contact your local office.

| CCS category | Description | Score |
|---|---|---|
| 1 | A non-compliance which has a potentially **major** environmental effect | 60 |
| 2 | A non-compliance which has a potentially **significant** environmental effect | 31 |
| 3 | A non-compliance which has a potentially **minor** environmental effect | 4 |
| 4 | A non-compliance which has **no** potential environmental effect | 1 |

**Corrective action**

We have various options to ensure that you correct actual or potential non-compliance. We may

- advise on corrective actions, verbally or in writing and require you to take specific actions, by letter or by issuing a notice.
- require you to review your procedures or management systems
- change some of the conditions of your permit
- decide to undertake a full review of your permit

Any breach of a permit is an offence, and we may take legal action:

- We will normally provide advice/guidance to assist operators back into compliance. However, other than for a very minor offence this will normally be in conjunction with another enforcement response.
- Where we have issued a written warning this does not preclude us from taking additional enforcement action if further relevant information comes to light. Such action includes the issue of a formal caution, taking a prosecution and/or the service of a notice.

We have published our Enforcement and Prosecution Policy which seeks to achieve a consistent approach to enforcement across all our regulated activities.

**Operational Risk Appraisal (Opra)**

Compliance assessment findings may affect your Opra score. This score determines your charge and affects the allocation of our resources to check your compliance with the permit.

**Data protection notice**

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- offering/providing you with its literature/services relating to environmental matters
- consulting with the public, public bodies and other organisations (e.g. Health and Safety Executive, local authorities, emergency services) on environmental issues
- carrying out statistical analysis, research and development on environmental issues
- providing public register information to enquirers
- investigating possible breaches of environmental law and taking any resulting action
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- assessing customer service satisfaction and improving its service
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**Customer charter – What can I do if I disagree with the compliance assessment report?**

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with the officer's line manager, Area Environment Manager or Area Manager. If you wish to raise your dispute further, this can be done through our official Complaints and Commendations procedure phone our general enquiry number 08708 506506 (Mon to Fri 08.00–18.00) and ask for the Customer Contact team, alternatively you can send an email to enquiries@environment-agency.gov.uk. If, after following our Complaints and Commendations procedure, you are still dissatisfied, you can make a complaint to the Ombudsman. For advice on how to complain to the Parliamentary Ombudsman phone their helpline on 0345 015 4033.

# Compliance Assessment Report

Report ID:RDR/120321/100605

| | | | | | |
|---|---|---|---|---|---|
| Name of site | Newport Weee Facility (weee) | Operator / Permit holder | Sims Group U K Limited | Permit ref no. | 100605 / EPR/BP3992SG |
| Officer | Mair Davies | Date | 21/03/2012 | Area / office | Wales (SE) - Rivers House |
| Activity | Metal non ELV | Time in/out | 10:00 / 12:00 | Event type: | [X] Routine [ ] Incident [ ] Other |

Assessment type: [ ] Site inspection [ ] Audit [ ] Check monitoring/sampling [X] Report / data review [ ] Procedure review

Site life status: [X] Operational [ ] Pre-Operational [ ] Post-operational

Which part(s) of the permit were assessed? Environmental Management System Review

## Compliance assessment summary

Key to completion: A = Assessed/Assessed in part (no evidence of non-compliance) NA = Not Applicable 1, 2, 3, 4 = CCS cat 1–4 breach ATL = Approach to limit N = Not assessed

| | | | | | | | | | | Conditions breached |
|---|---|---|---|---|---|---|---|---|---|---|
| a) Permitted activities | 1 N | | | | | | | | | |
| b) infrastructure | 1 N | 2 NA | 3 N | 4 N | 5 N | | | | | |
| c) General management | 1 N | 2 A | 3 N | 4 N | | | | | | |
| d) Incident management | 1 N | 2 A | | | | | | | | |
| e) Emissions | 1 N | 2 N | 3 N | 4 NA | 5 N | | | | | |
| f) Amenity | 1 N | 2 N | 3 N | 4 N | 5 N | | | | | |
| g) Monitoring and records, maintenance and reporting | 1 N | 2 N | 3 N | 4 N | | | | | | |
| h) Resources efficiency | 1 NA | 2 NA | | | | | | | | |

The breaches indicated above may constitute one or more offences. You should take immediate action to rectify each breach and return to compliance. You should prevent any repetition of the breaches. Breaches of conditions as indicated above can result in criminal prosecutions and/or suspension or revocation of a permit.

**NB. IF THERE IS A BREACH, ONLY ONE OF THE FOLLOWING WILL HAVE AN 'X' PLACED IN THE BOX**

| | | | |
|---|---|---|---|
| We will now consider what enforcement action is appropriate. | [ ] | At present we do not intend to prosecute you for the above offences. However, you are warned that this may change if further relevant information comes to light. | This is a site warning. [ ]<br>We have given you advice. [ ] |

**Review of Directly Applicable Legislation: Key:** A = Assessed, N = Not assessed, NA = Not Applicable, C1, C2, C3, C4 = CCS cat 1–4 breach. **N**

**Report delivery method:** [ ] copy left on site [ ] posted [X] emailed [ ] faxed Date 23/03/2012

**Comments**

Thank you for taking the time to meet with us on site to discuss the Environmental Management Systems you have for this site (and the others in Newport and Cardiff). It was a good opportunity to assess your documents to determine whether we had the most up to date versions, and to identify if any additional information was required.

The management systems were reviewed and checked for compliance against our horizontal guidance document – H6 Environmental Management Systems. In general, the environmental management systems for all the sites reviewed contained all the criteria required. You confirmed that you have the following documents for each of your sites:

- An Environmental Policy Statement
- Risk assessments to identify and minimise the risks of pollution
- Maintenance schedules
- Training plans for awareness and competence of staff
- Operational control procedures
- Emergency and incident plans
- A HazRep system for recording non-conformances and incidents on sites with subsequent corrective and preventative actions.
- Records management procedures
- Regular internal audits
- Independent verification and validation of your EMS and environmental information for 2 sites – the Fridge plant and the WEEE plant have ISO14001
- Complaints procedures

The document entitled 'Sims Environmental Permitting Environment Management Systems and Accident Management plan Summary' is a useful document which identifies what documents and procedures your company has in place. It was discussed at the meeting that this should be checked to ensure it is up to date, and to add any additional generic information (such as the location of the maintenance and training records).

**For this site we identified the following updates to your EMS:**

- **The risk assessments for the water storage tanks within the building needs to be included within the procedures, as there is a considerable risk of pollution should one of these tanks leak/burst. Site operatives need to be made aware of what they need to do should this event occur.**
- **The operating techniques and risk assessment documents written by RPS in July 2008 need to be reviewed and updated where necessary**

**These actions need to be completed by the 31st May 2012. If you need any further information in the meantime please do not hesitate to contact me – mair.davies@environment-agency.gov.uk.**

Officer's Signature

Recipient's name or position within company: Paul Wake

Continuation sheet used **No** No of Pages **2** CCS record number N/A

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**Understanding the Compliance Assessment Summary**

| | | |
|---|---|---|
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| | 5 | Waste |
| f) Amenity | 1 | Odour |
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| | 3 | Dust/fibres/particulates and litter |
| | 4 | Pests, birds and scavengers |
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| | 4 | Reporting and notification to the Environment Agency |
| h) Resource efficiency | 1 | Efficient use of raw materials |
| | 2 | Energy efficiency |

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- require you to review your procedures or management systems
- change some of the conditions of your permit
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**Operational Risk Appraisal (Opra)**

Compliance assessment findings may affect your Opra score. This score determines your charge and affects the allocation of our resources to check your compliance with the permit.

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# Compliance Assessment Report

Report ID:A/120503/100605/BP3992SG

| | | | | | |
|---|---|---|---|---|---|
| Name of site | Newport WEEE Facility (WEEE) | Operator / Permit holder | Sims Group U K Limited | Permit ref no. | 100605/ EPR/BP3992SG |
| Officer | Adam Ward, Mair Davies | Date | 03/05/2012 | Area / office | Wales (SE) - Rivers House |
| Activity | Metal non ELV | Time in/out | 09:10 / 09:40 | Event type: | [X] Routine [ ] Incident [ ] Other |

Assessment type: [ ] Site inspection [X] Audit [ ] Check monitoring/sampling [ ] Report / data review [ ] Procedure review

Site life status: [X] Operational [ ] Pre-Operational [ ] Post-operational

Which part(s) of the permit were assessed? Specified activities, site infrastructure and management.

## Compliance assessment summary

Key to completion: A = Assessed/Assessed in part (no evidence of non-compliance) NA = Not Applicable 1, 2, 3, 4 = CCS cat 1–4 breach ATL = Approach to limit N = Not assessed

| | | | | | | | | | | Conditions breached |
|---|---|---|---|---|---|---|---|---|---|---|
| a) Permitted activities | 1 A | | | | | | | | | |
| b) infrastructure | 1 A | 2 NA | 3 A | 4 A | 5 A | | | | | |
| c) General management | 1 N | 2 A | 3 N | 4 N | | | | | | |
| d) Incident management | 1 N | 2 N | | | | | | | | |
| e) Emissions | 1 A | 2 A | 3 A | 4 A | 5 A | | | | | |
| f) Amenity | 1 A | 2 A | 3 A | 4 A | 5 A | | | | | |
| g) Monitoring and records, maintenance and reporting. | 1 N | 2 N | 3 N | 4 N | | | | | | |
| h) Resources efficiency | 1 NA | 2 NA | | | | | | | | |

The breaches indicated above may constitute one or more offences. You should take immediate action to rectify each breach and return to compliance. You should prevent any repetition of the breaches. Breaches of conditions as indicated above can result in criminal prosecutions and/or suspension or revocation of a permit.

**NB. IF THERE IS A BREACH, ONLY ONE OF THE FOLLOWING WILL HAVE AN 'X' PLACED IN THE BOX**

| | | | |
|---|---|---|---|
| We will now consider what enforcement action is appropriate. | [ ] | At present we do not intend to prosecute you for the above offences. However, you are warned that this may change if further relevant information comes to light. | This is a site warning. [ ]<br>We have given you advice. [X] |

**Review of Directly Applicable Legislation: Key:** A = Assessed, N = Not assessed, NA = Not Applicable, C1, C2, C3, C4 = CCS cat 1–4 breach. **NA**

**Report delivery method:** [ ] copy left on site [ ] posted [X] emailed [ ] faxed Date 11/05/2012

**Comments**

This infrastructure audit was carried out during a site handover from Mair Davies to Adam ward. Thank you for taking your time to assist with the audit and for answering our queries.

The drainage system was assessed throughout the site. It was explained that the system is regularly maintained to prevent any blockages and to remove any build up of waste. It was found, however, that a large area to the South of the site was flooded due to a blockage in the drainage system. It was explained that essential maintenance had been hampered due to a failed shipment of WEEE that was causing an obstruction. We would be grateful if you send us the details of when the drainage system was last cleaned out.

While we acknowledge that there had been heavy rainfall prior to the time of inspection, we are concerned that your Environment Management System does not have a procedure in place to respond to flooding caused by blockages in the drainage system. We have not breached you on this occasion, although we strongly recommend that you update your EMS accordingly, or any send us any documentation to illustrate that this risk has been assessed.

We also recommend that you include a risk management plan to deal with a potential spillage from the water containers inside the building, as discussed on the previous inspection report.

The ongoing issue of dust emissions from the treatment facility was also highlighted. The current levels of emission do not pose a threat the environment as the dust appears to be contained well within the site boundary. For this reason, we have not breached you. If the emissions continue over time, however, we may consider raising a breach to reflect the prolonged issue with housekeeping. It is understood that repairs to the dust collection point will be carried out in the near future.

Other than the points noted above, the infrastructure of the site is of a very high standard and it is thought the minor amendments to your operations will quickly eradicate any issues.

Officer's Signature

A Ward

Recipient's name or position within company: Paul Wake

Continuation sheet used **No** No of Pages **2** CCS record number NA

## Notes to the recipient

This compliance report form may highlight non-compliance with your permit or directly applicable legislation as observed by the Environment Agency officer.

This does not relieve the site operator of their responsibility to ensure that they comply with the permit and to prevent pollution of the environment. You are also reminded that:

- you should comply with the conditions of the permit at all times
- compliance with the permit does not remove your obligation to comply with other legislative provisions which may apply.

**Understanding the Compliance Assessment Summary**

| | | |
|---|---|---|
| a) Permitted activities | 1 | Specified by permit |
| b) Infrastructure | 1 | Engineering for prevention and control of emissions |
| | 2 | Closure and decommissioning |
| | 3 | Site drainage engineering (clean and foul) |
| | 4 | Containment of stored materials |
| | 5 | Plant and equipment |
| c) General management | 1 | Staff competency/training |
| | 2 | Management system and operating procedures |
| | 3 | Materials acceptance |
| | 4 | Storage, handling, labelling and segregation |
| d) Incident management | 1 | Site security |
| | 2 | Accident, emergency and incident planning |
| e) Emissions | 1 | Air |
| | 2 | Land and groundwater |
| | 3 | Surface water |
| | 4 | Sewer |
| | 5 | Waste |
| f) Amenity | 1 | Odour |
| | 2 | Noise |
| | 3 | Dust/fibres/particulates and litter |
| | 4 | Pests, birds and scavengers |
| | 5 | Deposits on road |
| g) Monitoring and records, maintenance and reporting | 1 | Monitoring of emissions and environment |
| | 2 | Records of activity, site diary/journal/ events |
| | 3 | Maintenance records |
| | 4 | Reporting and notification to the Environment Agency |
| h) Resource efficiency | 1 | Efficient use of raw materials |
| | 2 | Energy efficiency |

The term 'permit' for the purposes of this form includes: licences, authorisations and consents.

**Understanding your non-compliance scores**

Non-compliance findings are classified using our published Compliance Classification Scheme, (CCS).

This scheme categorises breaches of permit conditions based on their potential for environmental impact as shown below. If you wish to discuss further any comments made by the officer on this form, contact your local area office or for more details of the CCS scheme, see the Environment Agency's website or contact your local office.

| CCS category | Description | Score |
|---|---|---|
| 1 | A non-compliance which has a potentially **major** environmental effect | 60 |
| 2 | A non-compliance which has a potentially **significant** environmental effect | 31 |
| 3 | A non-compliance which has a potentially **minor** environmental effect | 4 |
| 4 | A non-compliance which has **no** potential environmental effect | 1 |

**Corrective action**

We have various options to ensure that you correct actual or potential non-compliance. We may

- advise on corrective actions, verbally or in writing and require you to take specific actions, by letter or by issuing a notice.
- require you to review your procedures or management systems
- change some of the conditions of your permit
- decide to undertake a full review of your permit

Any breach of a permit is an offence, and we may take legal action:

- We will normally provide advice/guidance to assist operators back into compliance. However, other than for a very minor offence this will normally be in conjunction with another enforcement response.
- Where we have issued a written warning this does not preclude us from taking additional enforcement action if further relevant information comes to light. Such action includes the issue of a formal caution, taking a prosecution and/or the service of a notice.

We have published our Enforcement and Prosecution Policy which seeks to achieve a consistent approach to enforcement across all our regulated activities.

**Operational Risk Appraisal (Opra)**

Compliance assessment findings may affect your Opra score. This score determines your charge and affects the allocation of our resources to check your compliance with the permit.

**Data protection notice**

The Environment Agency is responsible for regulating environmental protection, flood defence, water resources and fisheries. It has a duty to discharge its functions to protect and enhance the environment and to promote conservation and recreation. The information provided will be processed by the Environment Agency to fulfill its regulatory and monitoring functions, and to maintain the relevant public register(s). The Environment Agency may also use and/or disclose it in connection with:

- offering/providing you with its literature/services relating to environmental matters
- consulting with the public, public bodies and other organisations (e.g. Health and Safety Executive, local authorities, emergency services) on environmental issues
- carrying out statistical analysis, research and development on environmental issues
- providing public register information to enquirers
- investigating possible breaches of environmental law and taking any resulting action
- preventing breaches of environmental law
- assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Information Regulations request.

The Environment Agency may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

**Disclosure of information**

The Environment Agency will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within twenty working days of receipt of the assessment form indicating which information it concerns and why it should not be released, giving your reasons in full.

**Customer charter – What can I do if I disagree with the compliance assessment report?**

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with the officer's line manager, Area Environment Manager or Area Manager. If you wish to raise your dispute further, this can be done through our official Complaints and Commendations procedure phone our general enquiry number 08708 506506 (Mon to Fri 08.00–18.00) and ask for the Customer Contact team, alternatively you can send an email to enquiries@environment-agency.gov.uk. If, after following our Complaints and Commendations procedure, you are still dissatisfied, you can make a complaint to the Ombudsman. For advice on how to complain to the Parliamentary Ombudsman phone their helpline on 0345 015 4033.

# Compliance Assessment Report

Report ID:I/120912/100605/BP3992SG

| | | | | | |
|---|---|---|---|---|---|
| Name of site | Newport Weee Facility (weee) | Operator / Permit holder | Sims Group U K Limited | Permit ref no. | 100605 |
| Officer | Adam Ward | Date | 12/09/2012 | Area / office | Wales (SE) - Abacus House |
| Activity | Metal non ELV | Time in/out | 10:00 / 11:30 | Event type: | [X] Routine [ ] Incident [ ] Other |

Assessment type: [ ] Site inspection [X] Audit [ ] Check monitoring/sampling [ ] Report / data review [ ] Procedure review

Site life status: [X] Operational [ ] Pre-Operational [ ] Post-operational

Which part(s) of the permit were assessed? Environment Management System

## Compliance assessment summary

Key to completion: A = Assessed/Assessed in part (no evidence of non-compliance) NA = Not Applicable 1, 2, 3, 4 = CCS cat 1–4 breach ATL = Approach to limit N = Not assessed

| | | | | | | | | | | Conditions breached |
|---|---|---|---|---|---|---|---|---|---|---|
| a) Permitted activities | 1 A | | | | | | | | | |
| b) infrastructure | 1 N | 2 N | 3 N | 4 N | 5 N | | | | | |
| c) General management | 1 N | 2 A | 3 N | 4 N | | | | | | |
| d) Incident management | 1 N | 2 N | | | | | | | | |
| e) Emissions | 1 N | 2 N | 3 N | 4 N | 5 N | | | | | |
| f) Amenity | 1 N | 2 N | 3 N | 4 N | 5 N | | | | | |
| g) Monitoring and records, maintenance and reporting. | 1 N | 2 N | 3 N | 4 N | | | | | | |
| h) Resources efficiency | 1 N | 2 N | | | | | | | | |

The breaches indicated above may constitute one or more offences. You should take immediate action to rectify each breach and return to compliance. You should prevent any repetition of the breaches. Breaches of conditions as indicated above can result in criminal prosecutions and/or suspension or revocation of a permit.

**NB. IF THERE IS A BREACH, ONLY ONE OF THE FOLLOWING WILL HAVE AN 'X' PLACED IN THE BOX**

| | | | |
|---|---|---|---|
| We will now consider what enforcement action is appropriate. | [ ] | At present we do not intend to prosecute you for the above offences. However, you are warned that this may change if further relevant information comes to light. | This is a site warning. [ ]<br>We have given you advice. [ ] |

**Review of Directly Applicable Legislation: Key:** A = Assessed, N = Not assessed, NA = Not Applicable, C1, C2, C3, C4 = CCS cat 1–4 breach. **N**

**Report delivery method:** [ ] copy left on site [ ] posted [X] emailed [ ] faxed Date 13/09/2012

**Comments**

This CAR form has been produced as a result of an area-wide, desk based, Environmental Management System Audit.

Thank you for updating your EMS accordingly following our inspection on 21/03/2012. We have reviewed the updated version, dated May 2012, and are happy that you have filled the requirements of the previous inspection report. The EMS was found to be comprehensive and in line with our Horizontal Guidance - H6 Environmental Management Systems.

Officer's Signature

A Ward

Recipient's name or position within company: Paul Wake

Continuation sheet used **No** No of Pages **2** CCS record number

## Notes to the recipient

This compliance report form may highlight non-compliance with your permit or directly applicable legislation as observed by the Environment Agency officer.

This does not relieve the site operator of their responsibility to ensure that they comply with the permit and to prevent pollution of the environment. You are also reminded that:

- you should comply with the conditions of the permit at all times
- compliance with the permit does not remove your obligation to comply with other legislative provisions which may apply.

**Understanding the Compliance Assessment Summary**

| | | |
|---|---|---|
| a) Permitted activities | 1 | Specified by permit |
| b) Infrastructure | 1 | Engineering for prevention and control of emissions |
| | 2 | Closure and decommissioning |
| | 3 | Site drainage engineering (clean and foul) |
| | 4 | Containment of stored materials |
| | 5 | Plant and equipment |
| c) General management | 1 | Staff competency/training |
| | 2 | Management system and operating procedures |
| | 3 | Materials acceptance |
| | 4 | Storage, handling, labelling and segregation |
| d) Incident management | 1 | Site security |
| | 2 | Accident, emergency and incident planning |
| e) Emissions | 1 | Air |
| | 2 | Land and groundwater |
| | 3 | Surface water |
| | 4 | Sewer |
| | 5 | Waste |
| f) Amenity | 1 | Odour |
| | 2 | Noise |
| | 3 | Dust/fibres/particulates and litter |
| | 4 | Pests, birds and scavengers |
| | 5 | Deposits on road |
| g) Monitoring and records, maintenance and reporting | 1 | Monitoring of emissions and environment |
| | 2 | Records of activity, site diary/journal/ events |
| | 3 | Maintenance records |
| | 4 | Reporting and notification to the Environment Agency |
| h) Resource efficiency | 1 | Efficient use of raw materials |
| | 2 | Energy efficiency |

The term 'permit' for the purposes of this form includes: licences, authorisations and consents.

**Understanding your non-compliance scores**

Non-compliance findings are classified using our published Compliance Classification Scheme, (CCS).

This scheme categorises breaches of permit conditions based on their potential for environmental impact as shown below. If you wish to discuss further any comments made by the officer on this form, contact your local area office or for more details of the CCS scheme, see the Environment Agency's website or contact your local office.

| CCS category | Description | Score |
|---|---|---|
| 1 | A non-compliance which has a potentially **major** environmental effect | 60 |
| 2 | A non-compliance which has a potentially **significant** environmental effect | 31 |
| 3 | A non-compliance which has a potentially **minor** environmental effect | 4 |
| 4 | A non-compliance which has **no** potential environmental effect | 1 |

**Corrective action**

We have various options to ensure that you correct actual or potential non-compliance. We may

- advise on corrective actions, verbally or in writing and require you to take specific actions, by letter or by issuing a notice.
- require you to review your procedures or management systems
- change some of the conditions of your permit
- decide to undertake a full review of your permit

Any breach of a permit is an offence, and we may take legal action:

- We will normally provide advice/guidance to assist operators back into compliance. However, other than for a very minor offence this will normally be in conjunction with another enforcement response.
- Where we have issued a written warning this does not preclude us from taking additional enforcement action if further relevant information comes to light. Such action includes the issue of a formal caution, taking a prosecution and/or the service of a notice.

We have published our Enforcement and Prosecution Policy which seeks to achieve a consistent approach to enforcement across all our regulated activities.

**Operational Risk Appraisal (Opra)**

Compliance assessment findings may affect your Opra score. This score determines your charge and affects the allocation of our resources to check your compliance with the permit.

**Data protection notice**

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- offering/providing you with its literature/services relating to environmental matters
- consulting with the public, public bodies and other organisations (e.g. Health and Safety Executive, local authorities, emergency services) on environmental issues
- carrying out statistical analysis, research and development on environmental issues
- providing public register information to enquirers
- investigating possible breaches of environmental law and taking any resulting action
- preventing breaches of environmental law
- assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Information Regulations request.

The Environment Agency may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

**Disclosure of information**

The Environment Agency will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within twenty working days of receipt of the assessment form indicating which information it concerns and why it should not be released, giving your reasons in full.

**Customer charter – What can I do if I disagree with the compliance assessment report?**

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with the officer's line manager, Area Environment Manager or Area Manager. If you wish to raise your dispute further, this can be done through our official Complaints and Commendations procedure phone our general enquiry number 08708 506506 (Mon to Fri 08.00–18.00) and ask for the Customer Contact team, alternatively you can send an email to enquiries@environment-agency.gov.uk. If, after following our Complaints and Commendations procedure, you are still dissatisfied, you can make a complaint to the Ombudsman. For advice on how to complain to the Parliamentary Ombudsman phone their helpline on 0345 015 4033.

| Cyfoeth Naturiol Cymru Natural Resources Wales | **EPR Compliance Assessment Report** | Report ID: I/131206/100605/BP3992SG |
|---|---|---|

**This form will report compliance with your permit as determined by an NRW officer**

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| Site | Newport Weee Facility (weee) | | | Permit Ref | 100605 | | |
| Operator/ Permit holder | Sims Group U K Ltd | | | | | | |
| Date | 06/12/2012 | | | Time in | 10:00 | Out | 13:00 |
| What parts of the permit were assessed | Duty of Care/ Technical Competence | | | | | | |
| Assessment | Audit | EPR Activity: | Installation | Waste Op | X | Water Discharge | |
| Recipient's name/position | Paul Wake | | | | | | |
| Officer's name | Adam Ward | | | Date issued | 17/05/2013 | | |

## Section 1 - Compliance Assessment Summary

This is based on the requirements of the permit under the Environmental Permitting Regulations. A detailed explanation and any action you may need to take are given in the "Detailed Assessment of Compliance" (section 3). This summary details where we believe any non-compliance with the permit has occurred, the relevant condition and how the non-compliance has been categorised using our Compliance Classification Scheme (CCS). CCS scores can be consolidated or suspended, where appropriate, to reflect the impact of some non-compliances more accurately. For more details of our CCS scheme, contact your local office.

| **Permit Conditions and Compliance Summary** | | | | **Condition(s) breached** |
|---|---|---|---|---|
| **a)** Permitted activities | **1**. Specified by permit | A | | |
| **b)** Infrastructure | **1.** Engineering for prevention & control of pollution | N | | |
| | **2.** Closure & decommissioning | N | | |
| | **3**. Site drainage engineering (clean & foul) | N | | |
| | **4**. Containment of stored materials | N | | |
| | **5**. Plant and equipment | N | | |
| **c)** General management | **1**. Staff competency/ training | A | | |
| | **2**. Management system & operating procedures | N | | |
| | **3**. Materials acceptance | A | | |
| | **4**. Storage handling, labelling, segregation | N | | |
| **d)** Incident management | **1**. Site security | N | | |
| | **2**. Accident, emergency & incident planning | N | | |
| **e)** Emissions | **1.** Air | N | | |
| | **2**. Land & Groundwater | N | | |
| | **3.** Surface water | N | | |
| | **4**. Sewer | N | | |
| | **5**. Waste | N | | |
| **f)** Amenity | **1**. Odour | N | | |
| | **2**. Noise | N | | |
| | **3**. Dust/fibres/particulates | N | | |
| | **4**. Pests, birds & scavengers | N | | |
| | **5**. Deposits on road | N | | |
| **g)** Monitoring and records, maintenance and reporting | **1**. Monitoring of emissions & environment | N | | |
| | **2**. Records of activity, site diary, journal & events | N | | |
| | **3**. Maintenance records | N | | |
| | **4**. Reporting & notification | N | | |
| **h)** Resource efficiency | **1**. Efficient use of raw materials | NA | | |
| | **2**. Energy | NA | | |

**KEY**: **C1, C2, C3, C4** = CCS breach category **( * suspended scores are marked with an asterisk),**
**A** = Assessed (no evidence of non-compliance), **N** = Not assessed, **NA** = Not Applicable

| **Number of breaches recorded** | | **Total compliance score** (see section 5 for scoring scheme) | 0 |
|---|---|---|---|

**If the Total No Breaches is greater than zero, then please see Section 3 for details of our proposed enforcement response**

**Section 2 – Compliance Assessment Report Detail**

This section contains a report of our findings and will usually include information on:

- the part(s) of the permit that were assessed (e.g. maintenance, training, combustion plant, etc)
- where the type of assessment was 'Data Review' details of the report/results triggering the assessment
- any non-compliances identified
- any non-compliances with directly applicable legislation
- details of any multiple non-compliances
- information on the compliance score accrued inc. details of suspended or consolidated scores.
- details of advice given
- any other areas of concern
- all actions requested
- any examples of good practice.
- a reference to photos taken

This report should be clear, comprehensive, unambiguous and completed within 14 days of an assessment.

This Compliance Assessment Report (CAR) form has been generated following a Duty of Care audit. Thank you for taking the time to provide all the necessary documentation.

The Technically Competent Managers (TCMs) for the site are Tom Galt and Sam Burford. Please ensure that continuing competency assessments take place when current certificates expire. If details of the TCMs change, please inform the Environment Agency immediately.

As part of the audit, all incoming and outgoing waste streams were assessed, including any hazardous wastes. All transfer notes that were checked were appropriate and contained all the relevant information required.

If you have any questions regarding this CAR Form, please do not hesitate to contact Adam Ward on 02920 245187, or email adam.ward@environment-agency.gov.uk

| Cyfoeth Naturiol Cymru Natural Resources Wales | **EPR Compliance Assessment Report** | Report ID: I/131206/100605/BP3992SG |
|---|---|---|

**This form will report compliance with your permit as determined by an NRW officer**

| | | | |
|---|---|---|---|
| Site | Newport Weee Facility (weee) | Permit | 100605 |
| Operator/ Permit | Sims Group U K Ltd | Date | 06/12/2012 |

**Section 3- Enforcement Response** **Only one of the boxes below should be ticked**

You must take immediate action to rectify any non-compliance and prevent repetition.
Non-compliance with your permit conditions constitutes an offence and can result in criminal prosecutions and/or suspension or revocation of a permit. Please read the detailed assessment in Section 2 and the steps you need to take in Section 4 below.

| | |
|---|---|
| Other than the provision of advice and guidance, at present we do not intend to take further enforcement action in respect of the non-compliance identified above. This does not preclude us from taking enforcement action if further relevant information comes to light or advice isn't followed. | |
| In respect of the above non-compliance you have been issued with a warning. At present we do not intend to take further enforcement action. This does not preclude us from taking additional enforcement action if further relevant information comes to light or offences continue. | |
| We will now consider what enforcement action is appropriate and notify you, referencing this form. | |

**Section 4- Action(s)**

Where non-compliance has been detected and an enforcement response has been selected above, this section summarises the steps you need to take to return to compliance and also provides timescales for this to be done.

| Criteria Ref. | CCS Category | Action Required/Advised | Due Date |
|---|---|---|---|
| See Section 1 above | | | |
| | | | |

## Section 5 - Compliance notes for the Operator

To ensure you correct actual or potential non-compliance we may

- advise on corrective actions verbally or in writing
- require you to take specific actions in writing
- issue a notice
- require you to review your procedures or management system
- change some of the conditions of your permit
- decide to undertake a full review of your permit

Any breach of a permit condition is an offence and we may take legal action against you.

- We will normally provide advice and guidance to assist you to come back into compliance either after an offence is committed or where we consider that an offence is likely to be committed. This is without prejudice to any other enforcement response that we consider may be required.
- Enforcement action can include the issue of a formal caution, prosecution, the service of a notice and or suspension or revocation of the permit.

**See our Enforcement and Civil Sanctions guidance for further information**

This report does not relieve the site operator of the responsibility to

- ensure you comply with the conditions of the permit at all times and prevent pollution of the environment
- ensure you comply with other legislative provisions which may apply.

### Non-compliance scores and categories

| CCS category | Description | Score |
|---|---|---|
| C1 | A non-compliance which could have a **major** environmental effect | 60 |
| C2 | A non-compliance which could have a **significant** environmental effect | 31 |
| C3 | A non-compliance which could have a **minor** environmental effect | 4 |
| C4 | A non-compliance which has **no** potential environmental effect | 0.1 |

**Operational Risk Appraisal (Opra) -** Compliance assessment findings may affect your Opra score and/or your charges. This score influences the resource we use to assess permit compliance.

## Section 6 – General Information

### Data protection notice

The information on this form will be processed by the Natural Resources Wales (NRW) to fulfill its regulatory and monitoring functions and to maintain the relevant public register(s). The NRW may also use and/or disclose it in connection with:

- offering/providing you with its literature/services relating to environmental matters
- consulting with the public, public bodies and other organisations (e.g. Health and Safety Executive, local authorities) on environmental issues
- carrying out statistical analysis, research and development on environmental issues
- providing public register information to enquirers
- investigating possible breaches of environmental law and taking any resulting action
- preventing breaches of environmental law
- assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Information Regulations request.

The NRW may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

### Disclosure of information

The NRW will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within twenty working days of receipt of this form indicating which information it concerns and why it should not be released, giving your reasons in full.

### Customer charter

**What can I do if I disagree with this compliance assessment report?**

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with the officer's line managers. If you wish to raise your dispute further through our official Complaints and Commendations procedure, phone our general enquiry number 0300 065 3000 (Mon to Fri 08.00–18.00) and ask for the Customer Contact team or send an email to enquiries@naturalresourceswales.gov.uk. If you are still dissatisfied you can make a complaint to the Public Services Ombudsman for Wales. For advice on how to complain to the Ombudsman phone their helpline on 0845 607 0987.

| Cyfoeth Naturiol Cymru Natural Resources Wales | **EPR Compliance Assessment Report** | Report ID: 100605/0181799 |
|---|---|---|

**This form will report compliance with your permit as determined by an NRW officer**

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| Site | Newport Weee Facility (weee) | | | Permit Ref | 100605 | | |
| Operator/ Permit holder | Sims Group U K Ltd | | | | | | |
| Date | 17/05/2013 | | | Time in | 12:00 | Out | 15:00 |
| What parts of the permit were assessed | General Management and Site Operations | | | | | | |
| Assessment | Site Inspection | EPR Activity: | Installation | Waste Op | X | Water Discharge | |
| Recipient's name/position | Paul Wake / Georgina Sydney | | | | | | |
| Officer's name | Adam Ward, Jacob Birch | | | Date issued | 30/05/2013 | | |

## Section 1 - Compliance Assessment Summary

This is based on the requirements of the permit under the Environmental Permitting Regulations. A detailed explanation and any action you may need to take are given in the "Detailed Assessment of Compliance" (section 3). This summary details where we believe any non-compliance with the permit has occurred, the relevant condition and how the non-compliance has been categorised using our Compliance Classification Scheme (CCS). CCS scores can be consolidated or suspended, where appropriate, to reflect the impact of some non-compliances more accurately. For more details of our CCS scheme, contact your local office.

| Permit Conditions and Compliance Summary | | | | Condition(s) breached |
|---|---|---|---|---|
| **a)** Permitted activities | **1**. Specified by permit | A | | |
| **b)** Infrastructure | **1.** Engineering for prevention & control of pollution | A | | |
| | **2.** Closure & decommissioning | N | | |
| | **3**. Site drainage engineering (clean & foul) | A | | |
| | **4**. Containment of stored materials | A | | |
| | **5**. Plant and equipment | N | | |
| **c)** General management | **1**. Staff competency/ training | N | | |
| | **2**. Management system & operating procedures | A | | |
| | **3**. Materials acceptance | A | | |
| | **4**. Storage handling, labelling, segregation | A | | |
| **d)** Incident management | **1**. Site security | N | | |
| | **2**. Accident, emergency & incident planning | N | | |
| **e)** Emissions | **1.** Air | A | | |
| | **2**. Land & Groundwater | A | | |
| | **3.** Surface water | N | | |
| | **4**. Sewer | N | | |
| | **5**. Waste | A | | |
| **f)** Amenity | **1**. Odour | A | | |
| | **2**. Noise | A | | |
| | **3**. Dust/fibres/particulates | C4 | | 1.1.1 |
| | **4**. Pests, birds & scavengers | N | | |
| | **5**. Deposits on road | A | | |
| **g)** Monitoring and records, maintenance and reporting | **1**. Monitoring of emissions & environment | N | | |
| | **2**. Records of activity, site diary, journal & events | N | | |
| | **3**. Maintenance records | N | | |
| | **4**. Reporting & notification | N | | |
| **h)** Resource efficiency | **1**. Efficient use of raw materials | NA | | |
| | **2**. Energy | NA | | |

**KEY**: **C1, C2, C3, C4** = CCS breach category **( * suspended scores are marked with an asterisk),**
**A** = Assessed (no evidence of non-compliance), **N** = Not assessed, **NA** = Not Applicable

| **Number of breaches recorded** | 1 | **Total compliance score** (see section 5 for scoring scheme) | 0.1 |
|---|---|---|---|

**If the Total No Breaches is greater than zero, then please see Section 3 for details of our proposed enforcement response**

**Section 2 – Compliance Assessment Report Detail**

This section contains a report of our findings and will usually include information on:

- the part(s) of the permit that were assessed (e.g. maintenance, training, combustion plant, etc)
- where the type of assessment was 'Data Review' details of the report/results triggering the assessment
- any non-compliances identified
- any non-compliances with directly applicable legislation
- details of any multiple non-compliances
- information on the compliance score accrued inc. details of suspended or consolidated scores.
- details of advice given
- any other areas of concern
- all actions requested
- any examples of good practice.
- a reference to photos taken

This report should be clear, comprehensive, unambiguous and completed within 14 days of an assessment.

This inspection was conducted in order to asses the general management and operations at the site. Thank you for taking the time to show myself and Senior Environment Officer Jacob Birch around the site and answer our queries.

Waste acceptance and storage were assessed and found to be compliant. A previous drainage problem that was causing flooding had been resolved since our last visit. A large pile of mixed, unprocessed WEEE was on site. You explained that the volume had greatly increased because of a mechanical problem at a separate SIMS site. Please ensure the same high standards of waste acceptance are maintained during periods of increased activity.

Breach of Condition 1.1.1 (CAT4) - Dust was found to be blowing around on site at the time of the inspction, however no dust was found to be escaping from the site and we are aware that there is an active landfill adjacent to the site. Please update the management system to demonstrate how the pontential impact of dust is managed on site and please ensure this procedure is followed in future.

Your management system currently fails to identify and manage the risk associated with pontetially contaminated run-off emissions to surface water from the interceptor. As you are aware, the permit for the nearby Frag Site has been varied to include emissions limits and sampling methodology. We are not currently pursuing a variation of the WEEE Treatment Facility Permit. Instead, please update your management system to include quarterly emissions sampling from the interceptor. We are happy to mirror the variation at the Frag site by allowing 8x normal EQS limits (Maximum Actual Concentration) due to the dilution factor of the dock.

You have not been scored a breach on this occasion, although failure to identify potential environmetnal impacts in future may incur a breach.

Authorised activities are generally performed to a very high standard.

| Cyfoeth Naturiol Cymru Natural Resources Wales | **EPR Compliance Assessment Report** | Report ID: 100605/0181799 |
|---|---|---|

**This form will report compliance with your permit as determined by an NRW officer**

| | | | |
|---|---|---|---|
| Site | Newport Weee Facility (weee) | Permit | 100605 |
| Operator/ Permit | Sims Group U K Ltd | Date | 17/05/2013 |

**Section 3- Enforcement Response** **Only one of the boxes below should be ticked**

You must take immediate action to rectify any non-compliance and prevent repetition.
Non-compliance with your permit conditions constitutes an offence and can result in criminal prosecutions and/or suspension or revocation of a permit. Please read the detailed assessment in Section 2 and the steps you need to take in Section 4 below.

| | |
|---|---|
| Other than the provision of advice and guidance, at present we do not intend to take further enforcement action in respect of the non-compliance identified above. This does not preclude us from taking enforcement action if further relevant information comes to light or advice isn't followed. | X |
| In respect of the above non-compliance you have been issued with a warning. At present we do not intend to take further enforcement action. This does not preclude us from taking additional enforcement action if further relevant information comes to light or offences continue. | |
| We will now consider what enforcement action is appropriate and notify you, referencing this form. | |

**Section 4- Action(s)**

Where non-compliance has been detected and an enforcement response has been selected above, this section summarises the steps you need to take to return to compliance and also provides timescales for this to be done.

| Criteria Ref. | CCS Category | Action Required/Advised | Due Date |
|---|---|---|---|
| See Section 1 above | | | |
| C2 | A | Update management system to include emissions limits and sampling methodology. | N/A |
| F3 | C4 | Update management system to demonstrate how dust emissions are managed on site. | N/A |

## Section 5 - Compliance notes for the Operator

To ensure you correct actual or potential non-compliance we may

- advise on corrective actions verbally or in writing
- require you to take specific actions in writing
- issue a notice
- require you to review your procedures or management system
- change some of the conditions of your permit
- decide to undertake a full review of your permit

Any breach of a permit condition is an offence and we may take legal action against you.

- We will normally provide advice and guidance to assist you to come back into compliance either after an offence is committed or where we consider that an offence is likely to be committed. This is without prejudice to any other enforcement response that we consider may be required.
- Enforcement action can include the issue of a formal caution, prosecution, the service of a notice and or suspension or revocation of the permit.

**See our Enforcement and Civil Sanctions guidance for further information**

This report does not relieve the site operator of the responsibility to

- ensure you comply with the conditions of the permit at all times and prevent pollution of the environment
- ensure you comply with other legislative provisions which may apply.

### Non-compliance scores and categories

| CCS category | Description | Score |
|---|---|---|
| C1 | A non-compliance which could have a **major** environmental effect | 60 |
| C2 | A non-compliance which could have a **significant** environmental effect | 31 |
| C3 | A non-compliance which could have a **minor** environmental effect | 4 |
| C4 | A non-compliance which has **no** potential environmental effect | 0.1 |

**Operational Risk Appraisal (Opra) -** Compliance assessment findings may affect your Opra score and/or your charges. This score influences the resource we use to assess permit compliance.

## Section 6 – General Information

### Data protection notice

The information on this form will be processed by the Natural Resources Wales (NRW) to fulfill its regulatory and monitoring functions and to maintain the relevant public register(s). The NRW may also use and/or disclose it in connection with:

- offering/providing you with its literature/services relating to environmental matters
- consulting with the public, public bodies and other organisations (e.g. Health and Safety Executive, local authorities) on environmental issues
- carrying out statistical analysis, research and development on environmental issues
- providing public register information to enquirers
- investigating possible breaches of environmental law and taking any resulting action
- preventing breaches of environmental law
- assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Information Regulations request.

The NRW may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

### Disclosure of information

The NRW will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within twenty working days of receipt of this form indicating which information it concerns and why it should not be released, giving your reasons in full.

### Customer charter

**What can I do if I disagree with this compliance assessment report?**

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with the officer's line managers. If you wish to raise your dispute further through our official Complaints and Commendations procedure, phone our general enquiry number 0300 065 3000 (Mon to Fri 08.00–18.00) and ask for the Customer Contact team or send an email to enquiries@naturalresourceswales.gov.uk. If you are still dissatisfied you can make a complaint to the Public Services Ombudsman for Wales. For advice on how to complain to the Ombudsman phone their helpline on 0845 607 0987.

| Cyfoeth Naturiol Cymru Natural Resources Wales | **EPR Compliance Assessment Report** | Report ID: 100605/0188487 |
|---|---|---|

**This form will report compliance with your permit as determined by an NRW officer**

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| Site | Newport Weee Facility (weee) | | | Permit Ref | 100605 | | |
| Operator/ Permit holder | Sims Group U K Ltd | | | | | | |
| Date | 29/08/2013 | | | Time in | 10:00 | Out | 10:15 |
| What parts of the permit were assessed | Operating Procedures | | | | | | |
| Assessment | Audit | EPR Activity: | Installation | Waste Op | X | Water Discharge | |
| Recipient's name/position | Paul Wake / Tom Galt / Georgina Sydney | | | | | | |
| Officer's name | Adam Ward | | | Date issued | 04/09/2013 | | |

## Section 1 - Compliance Assessment Summary

This is based on the requirements of the permit under the Environmental Permitting Regulations. A detailed explanation and any action you may need to take are given in the "Detailed Assessment of Compliance" (section 3). This summary details where we believe any non-compliance with the permit has occurred, the relevant condition and how the non-compliance has been categorised using our Compliance Classification Scheme (CCS). CCS scores can be consolidated or suspended, where appropriate, to reflect the impact of some non-compliances more accurately. For more details of our CCS scheme, contact your local office.

| Permit Conditions and Compliance Summary | | | | Condition(s) breached |
|---|---|---|---|---|
| **a)** Permitted activities | **1**. Specified by permit | N | | |
| **b)** Infrastructure | **1.** Engineering for prevention & control of pollution | N | | |
| | **2.** Closure & decommissioning | N | | |
| | **3**. Site drainage engineering (clean & foul) | N | | |
| | **4**. Containment of stored materials | N | | |
| | **5**. Plant and equipment | N | | |
| **c)** General management | **1**. Staff competency/ training | N | | |
| | **2**. Management system & operating procedures | A | | |
| | **3**. Materials acceptance | A | | |
| | **4**. Storage handling, labelling, segregation | A | | |
| **d)** Incident management | **1**. Site security | N | | |
| | **2**. Accident, emergency & incident planning | A | | |
| **e)** Emissions | **1.** Air | N | | |
| | **2**. Land & Groundwater | N | | |
| | **3.** Surface water | N | | |
| | **4**. Sewer | N | | |
| | **5**. Waste | N | | |
| **f)** Amenity | **1**. Odour | N | | |
| | **2**. Noise | N | | |
| | **3**. Dust/fibres/particulates | N | | |
| | **4**. Pests, birds & scavengers | N | | |
| | **5**. Deposits on road | N | | |
| **g)** Monitoring and records, maintenance and reporting | **1**. Monitoring of emissions & environment | N | | |
| | **2**. Records of activity, site diary, journal & events | N | | |
| | **3**. Maintenance records | N | | |
| | **4**. Reporting & notification | N | | |
| **h)** Resource efficiency | **1**. Efficient use of raw materials | NA | | |
| | **2**. Energy | NA | | |

**KEY**: **C1, C2, C3, C4** = CCS breach category **( * suspended scores are marked with an asterisk),**
**A** = Assessed (no evidence of non-compliance), **N** = Not assessed, **NA** = Not Applicable

| **Number of breaches recorded** | 0 | **Total compliance score** (see section 5 for scoring scheme) | 0 |
|---|---|---|---|

**If the Total No Breaches is greater than zero, then please see Section 3 for details of our proposed enforcement response**

**Section 2 – Compliance Assessment Report Detail**

This section contains a report of our findings and will usually include information on:

- the part(s) of the permit that were assessed (e.g. maintenance, training, combustion plant, etc)
- where the type of assessment was 'Data Review' details of the report/results triggering the assessment
- any non-compliances identified
- any non-compliances with directly applicable legislation
- details of any multiple non-compliances
- information on the compliance score accrued inc. details of suspended or consolidated scores.
- details of advice given
- any other areas of concern
- all actions requested
- any examples of good practice.
- a reference to photos taken

This report should be clear, comprehensive, unambiguous and completed within 14 days of an assessment.

This inspection was conducted as part of a fire audit. Thank you for taking the time to provide the information required. As discussed on site, a number of waste sites in the area have experienced significant fire incidents over the past year. It is therefore important that all permitted sites have emergency plans in place to respond effectively in the event of a fire.

The emergency contacts for the site are Paul Wake - 07711497263 and Tom Galt - 07920570632. The site is an authorised WEEE treatment facility that recovers and WEEE components. Although there is potentially in excess of 15,000 tonnes of waste material on the site, there are numerous stockpiles of processed WEEE components in varying quatities throughout site which provide adequate fire breaks. The stockpiles of processed metals are relatiively low risk in terms of a potential fire, although plastic stockpiles do pose an increased risk as does the treatment facility itself.

Your risk assessment and Emergency Planning and Accident Management documents succesfully demonstrate how fire risks are managed and provides information on how you would respond in the event of a fire. Please ensure that you contact Natural Resources Wales in the event of a fire at the facility.

If you have any questions regarding this CAR form, please do not hesitate Adam Ward on 02920 245187 or via email on adam.ward@naturalresourceswales.gov.uk

| Cyfoeth Naturiol Cymru Natural Resources Wales | **EPR Compliance Assessment Report** | Report ID: 100605/0188487 |
|---|---|---|

**This form will report compliance with your permit as determined by an NRW officer**

| | | | |
|---|---|---|---|
| Site | Newport Weee Facility (weee) | Permit | 100605 |
| Operator/ Permit | Sims Group U K Ltd | Date | 29/08/2013 |

**Section 3- Enforcement Response** **Only one of the boxes below should be ticked**

You must take immediate action to rectify any non-compliance and prevent repetition.
Non-compliance with your permit conditions constitutes an offence and can result in criminal prosecutions and/or suspension or revocation of a permit. Please read the detailed assessment in Section 2 and the steps you need to take in Section 4 below.

| | |
|---|---|
| Other than the provision of advice and guidance, at present we do not intend to take further enforcement action in respect of the non-compliance identified above. This does not preclude us from taking enforcement action if further relevant information comes to light or advice isn't followed. | |
| In respect of the above non-compliance you have been issued with a warning. At present we do not intend to take further enforcement action. This does not preclude us from taking additional enforcement action if further relevant information comes to light or offences continue. | |
| We will now consider what enforcement action is appropriate and notify you, referencing this form. | |

**Section 4- Action(s)**

Where non-compliance has been detected and an enforcement response has been selected above, this section summarises the steps you need to take to return to compliance and also provides timescales for this to be done.

| Criteria Ref. | CCS Category | Action Required/Advised | Due Date |
|---|---|---|---|
| See Section 1 above | | | |
| | | | |

## Section 5 - Compliance notes for the Operator

To ensure you correct actual or potential non-compliance we may

- advise on corrective actions verbally or in writing
- require you to take specific actions in writing
- issue a notice
- require you to review your procedures or management system
- change some of the conditions of your permit
- decide to undertake a full review of your permit

Any breach of a permit condition is an offence and we may take legal action against you.

- We will normally provide advice and guidance to assist you to come back into compliance either after an offence is committed or where we consider that an offence is likely to be committed. This is without prejudice to any other enforcement response that we consider may be required.
- Enforcement action can include the issue of a formal caution, prosecution, the service of a notice and or suspension or revocation of the permit.

**See our Enforcement and Civil Sanctions guidance for further information**

This report does not relieve the site operator of the responsibility to

- ensure you comply with the conditions of the permit at all times and prevent pollution of the environment
- ensure you comply with other legislative provisions which may apply.

### Non-compliance scores and categories

| CCS category | Description | Score |
|---|---|---|
| C1 | A non-compliance which could have a **major** environmental effect | 60 |
| C2 | A non-compliance which could have a **significant** environmental effect | 31 |
| C3 | A non-compliance which could have a **minor** environmental effect | 4 |
| C4 | A non-compliance which has **no** potential environmental effect | 0.1 |

**Operational Risk Appraisal (Opra) -** Compliance assessment findings may affect your Opra score and/or your charges. This score influences the resource we use to assess permit compliance.

## Section 6 – General Information

### Data protection notice

The information on this form will be processed by the Natural Resources Wales (NRW) to fulfill its regulatory and monitoring functions and to maintain the relevant public register(s). The NRW may also use and/or disclose it in connection with:

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- consulting with the public, public bodies and other organisations (e.g. Health and Safety Executive, local authorities) on environmental issues
- carrying out statistical analysis, research and development on environmental issues
- providing public register information to enquirers
- investigating possible breaches of environmental law and taking any resulting action
- preventing breaches of environmental law
- assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Information Regulations request.

The NRW may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

### Disclosure of information

The NRW will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within twenty working days of receipt of this form indicating which information it concerns and why it should not be released, giving your reasons in full.

### Customer charter

**What can I do if I disagree with this compliance assessment report?**

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with the officer's line managers. If you wish to raise your dispute further through our official Complaints and Commendations procedure, phone our general enquiry number 0300 065 3000 (Mon to Fri 08.00–18.00) and ask for the Customer Contact team or send an email to enquiries@naturalresourceswales.gov.uk. If you are still dissatisfied you can make a complaint to the Public Services Ombudsman for Wales. For advice on how to complain to the Ombudsman phone their helpline on 0845 607 0987.

| Cyfoeth Naturiol Cymru Natural Resources Wales | **EPR Compliance Assessment Report** | Report ID: 100605/0190224 |
|---|---|---|

**This form will report compliance with your permit as determined by an NRW officer**

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| Site | Newport Weee Facility (weee) | | | Permit Ref | 100605 | | |
| Operator/ Permit holder | Sims Group U K Ltd | | | | | | |
| Date | 03/10/2013 | | | Time in | 10:00 | Out | 11:00 |
| What parts of the permit were assessed | Environmental Management System | | | | | | |
| Assessment | Procedure review | EPR Activity: | Installation | Waste Op | X | Water Discharge | |
| Recipient's name/position | Georgina Sydney / Paul Wake | | | | | | |
| Officer's name | Adam Ward | | | Date issued | 03/10/2013 | | |

## Section 1 - Compliance Assessment Summary

This is based on the requirements of the permit under the Environmental Permitting Regulations. A detailed explanation and any action you may need to take are given in the "Detailed Assessment of Compliance" (section 3). This summary details where we believe any non-compliance with the permit has occurred, the relevant condition and how the non-compliance has been categorised using our Compliance Classification Scheme (CCS). CCS scores can be consolidated or suspended, where appropriate, to reflect the impact of some non-compliances more accurately. For more details of our CCS scheme, contact your local office.

| **Permit Conditions and Compliance Summary** | | | | **Condition(s) breached** |
|---|---|---|---|---|
| **a)** Permitted activities | **1**. Specified by permit | N | | |
| **b)** Infrastructure | **1.** Engineering for prevention & control of pollution | N | | |
| | **2.** Closure & decommissioning | N | | |
| | **3**. Site drainage engineering (clean & foul) | N | | |
| | **4**. Containment of stored materials | N | | |
| | **5**. Plant and equipment | N | | |
| **c)** General management | **1**. Staff competency/ training | N | | |
| | **2**. Management system & operating procedures | A | | |
| | **3**. Materials acceptance | N | | |
| | **4**. Storage handling, labelling, segregation | N | | |
| **d)** Incident management | **1**. Site security | N | | |
| | **2**. Accident, emergency & incident planning | N | | |
| **e)** Emissions | **1.** Air | N | | |
| | **2**. Land & Groundwater | N | | |
| | **3.** Surface water | N | | |
| | **4**. Sewer | N | | |
| | **5**. Waste | N | | |
| **f)** Amenity | **1**. Odour | N | | |
| | **2**. Noise | N | | |
| | **3**. Dust/fibres/particulates | N | | |
| | **4**. Pests, birds & scavengers | N | | |
| | **5**. Deposits on road | N | | |
| **g)** Monitoring and records, maintenance and reporting | **1**. Monitoring of emissions & environment | N | | |
| | **2**. Records of activity, site diary, journal & events | N | | |
| | **3**. Maintenance records | N | | |
| | **4**. Reporting & notification | N | | |
| **h)** Resource efficiency | **1**. Efficient use of raw materials | NA | | |
| | **2**. Energy | NA | | |

**KEY**: **C1, C2, C3, C4** = CCS breach category **( * suspended scores are marked with an asterisk),**
**A** = Assessed (no evidence of non-compliance), **N** = Not assessed, **NA** = Not Applicable

| **Number of breaches recorded** | 0 | **Total compliance score** (see section 5 for scoring scheme) | 0 |
|---|---|---|---|

**If the Total No Breaches is greater than zero, then please see Section 3 for details of our proposed enforcement response**

**Section 2 – Compliance Assessment Report Detail**

This section contains a report of our findings and will usually include information on:

- the part(s) of the permit that were assessed (e.g. maintenance, training, combustion plant, etc)
- where the type of assessment was 'Data Review' details of the report/results triggering the assessment
- any non-compliances identified
- any non-compliances with directly applicable legislation
- details of any multiple non-compliances
- information on the compliance score accrued inc. details of suspended or consolidated scores.
- details of advice given
- any other areas of concern
- all actions requested
- any examples of good practice.
- a reference to photos taken

This report should be clear, comprehensive, unambiguous and completed within 14 days of an assessment.

This CAR form has been produced in response to an update to the Operating Procedures (EMS) document. In detail, emission limits have been included that allow 8x normal EQS limits, which takes into consideration the dilution factor of the dock prior to any discharges into the Severn Estuary.

Thank you for taking the time to update the document to include the emissions limits. This is an important step in recognising the risks associated with fugitive emissions from contaminated run-off. The next step is to determine the effectiveness of the silt traps and the interceptor in removing suspended solids and particulates from run-off and, to do so, sampling will be required. Please be aware that sampling data may indicate that a permit variation is required (due to the levels of contamination in the fugitive emissions), but this will be addressed following our next inspection.

If you have any questions in the meantime, please do not hesitate to contact Adam Ward on 02920 245 187 or via email: adam.ward@naturalresourceswales.gov.uk

| Cyfoeth Naturiol Cymru Natural Resources Wales | **EPR Compliance Assessment Report** | Report ID: 100605/0190224 |
|---|---|---|

**This form will report compliance with your permit as determined by an NRW officer**

| | | | |
|---|---|---|---|
| Site | Newport Weee Facility (weee) | Permit | 100605 |
| Operator/ Permit | Sims Group U K Ltd | Date | 03/10/2013 |

**Section 3- Enforcement Response** **Only one of the boxes below should be ticked**

You must take immediate action to rectify any non-compliance and prevent repetition.
Non-compliance with your permit conditions constitutes an offence and can result in criminal prosecutions and/or suspension or revocation of a permit. Please read the detailed assessment in Section 2 and the steps you need to take in Section 4 below.

| | |
|---|---|
| Other than the provision of advice and guidance, at present we do not intend to take further enforcement action in respect of the non-compliance identified above. This does not preclude us from taking enforcement action if further relevant information comes to light or advice isn't followed. | |
| In respect of the above non-compliance you have been issued with a warning. At present we do not intend to take further enforcement action. This does not preclude us from taking additional enforcement action if further relevant information comes to light or offences continue. | |
| We will now consider what enforcement action is appropriate and notify you, referencing this form. | |

**Section 4- Action(s)**

Where non-compliance has been detected and an enforcement response has been selected above, this section summarises the steps you need to take to return to compliance and also provides timescales for this to be done.

| Criteria Ref. | CCS Category | Action Required/Advised | Due Date |
|---|---|---|---|
| See Section 1 above | | | |
| | | | |

## Section 5 - Compliance notes for the Operator

To ensure you correct actual or potential non-compliance we may

- advise on corrective actions verbally or in writing
- require you to take specific actions in writing
- issue a notice
- require you to review your procedures or management system
- change some of the conditions of your permit
- decide to undertake a full review of your permit

Any breach of a permit condition is an offence and we may take legal action against you.

- We will normally provide advice and guidance to assist you to come back into compliance either after an offence is committed or where we consider that an offence is likely to be committed. This is without prejudice to any other enforcement response that we consider may be required.
- Enforcement action can include the issue of a formal caution, prosecution, the service of a notice and or suspension or revocation of the permit.

**See our Enforcement and Civil Sanctions guidance for further information**

This report does not relieve the site operator of the responsibility to

- ensure you comply with the conditions of the permit at all times and prevent pollution of the environment
- ensure you comply with other legislative provisions which may apply.

### Non-compliance scores and categories

| CCS category | Description | Score |
|---|---|---|
| C1 | A non-compliance which could have a **major** environmental effect | 60 |
| C2 | A non-compliance which could have a **significant** environmental effect | 31 |
| C3 | A non-compliance which could have a **minor** environmental effect | 4 |
| C4 | A non-compliance which has **no** potential environmental effect | 0.1 |

**Operational Risk Appraisal (Opra) -** Compliance assessment findings may affect your Opra score and/or your charges. This score influences the resource we use to assess permit compliance.

## Section 6 – General Information

### Data protection notice

The information on this form will be processed by the Natural Resources Wales (NRW) to fulfill its regulatory and monitoring functions and to maintain the relevant public register(s). The NRW may also use and/or disclose it in connection with:

- offering/providing you with its literature/services relating to environmental matters
- consulting with the public, public bodies and other organisations (e.g. Health and Safety Executive, local authorities) on environmental issues
- carrying out statistical analysis, research and development on environmental issues
- providing public register information to enquirers
- investigating possible breaches of environmental law and taking any resulting action
- preventing breaches of environmental law
- assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Information Regulations request.

The NRW may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

### Disclosure of information

The NRW will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within twenty working days of receipt of this form indicating which information it concerns and why it should not be released, giving your reasons in full.

### Customer charter

**What can I do if I disagree with this compliance assessment report?**

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with the officer's line managers. If you wish to raise your dispute further through our official Complaints and Commendations procedure, phone our general enquiry number 0300 065 3000 (Mon to Fri 08.00–18.00) and ask for the Customer Contact team or send an email to enquiries@naturalresourceswales.gov.uk. If you are still dissatisfied you can make a complaint to the Public Services Ombudsman for Wales. For advice on how to complain to the Ombudsman phone their helpline on 0845 607 0987.

Cyfoeth Naturiol Cymru
Natural Resources Wales

# AATF Compliance Monitoring Assessment

| | |
|---|---|
| **Business Name:** | SIMS Group Ltd |
| **Site Address:** | Newport Docks, Newport. |
| **Approval numbers:** | WEE/FA0002ZS/ATF - Fridge Plant<br>WEE/EJ0002ZS/ATF - Newport Shredder<br>WEE/YL0006ZT/ATF – SMW Plant |
| **Date of visit:** | 17th November 2014 |
| **NRW Officers present:** | John Davies & Lara Moggridge |
| **Company representative present:** | Paul Wake |

| | Criteria | Checked | Comments / Issue identified |
|---|---|---|---|
| 1. | ATF status – correct permit/exemption | Yes | |
| 2. | Storage of WEEE | No | |
| 3. | Treatment of WEEE | Yes | |
| 4. | Categorisation of WEEE | Yes | |
| 5. | Input records | Yes | See below |
| 6. | Output records | Yes | |
| 7. | Evidence notes | Yes | See below |
| 8. | Evidence of reprocessing | No | |
| 9. | BATRRT | No | |
| 10. | Sampling & inspection | Yes | |
| 11. | Significant change | Yes | See below |
| 12. | Quarterly Returns | Yes | |
| 13. | DCF | NA | |
| 14. | Size – Small / large | Yes | See below |

| | | | | |
|---|---|---|---|---|
| **Outcome of assessment:** | Satisfactory | | **Further information/action required** | No |

**Comments / Actions**

No significant changes have occurred in the recording, reporting and evidence issuing for WEEE received and treated by SIMs Group. WEEE is assigned against the first receiving AATF. Although the Newport Shredder is currently not operating the site can be used as a consolidation site so evidence is be raised against the AATF. The SMW plant closed at the end of September this year and will no longer operate as a AATF. SIMs will vacate the site shortly.

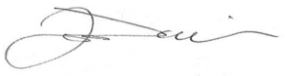
An example of the supporting documents for evidence note 3818, and supporting docs for 2 other inputs , has been provided by e-mail.

A full data audit was not completed during this visit, this will be completed in the first 3 months of 2015.

**2015 Accreditations**

SIMs have applied for 2 sites in Wales in 2015, the Fridge Plant for over 400 tonnes, and the Newport shredder for under 400 tonnes.

No issues were identified that prevent the accreditations being approved for 2015, the Approval Notices will be issued in due course.

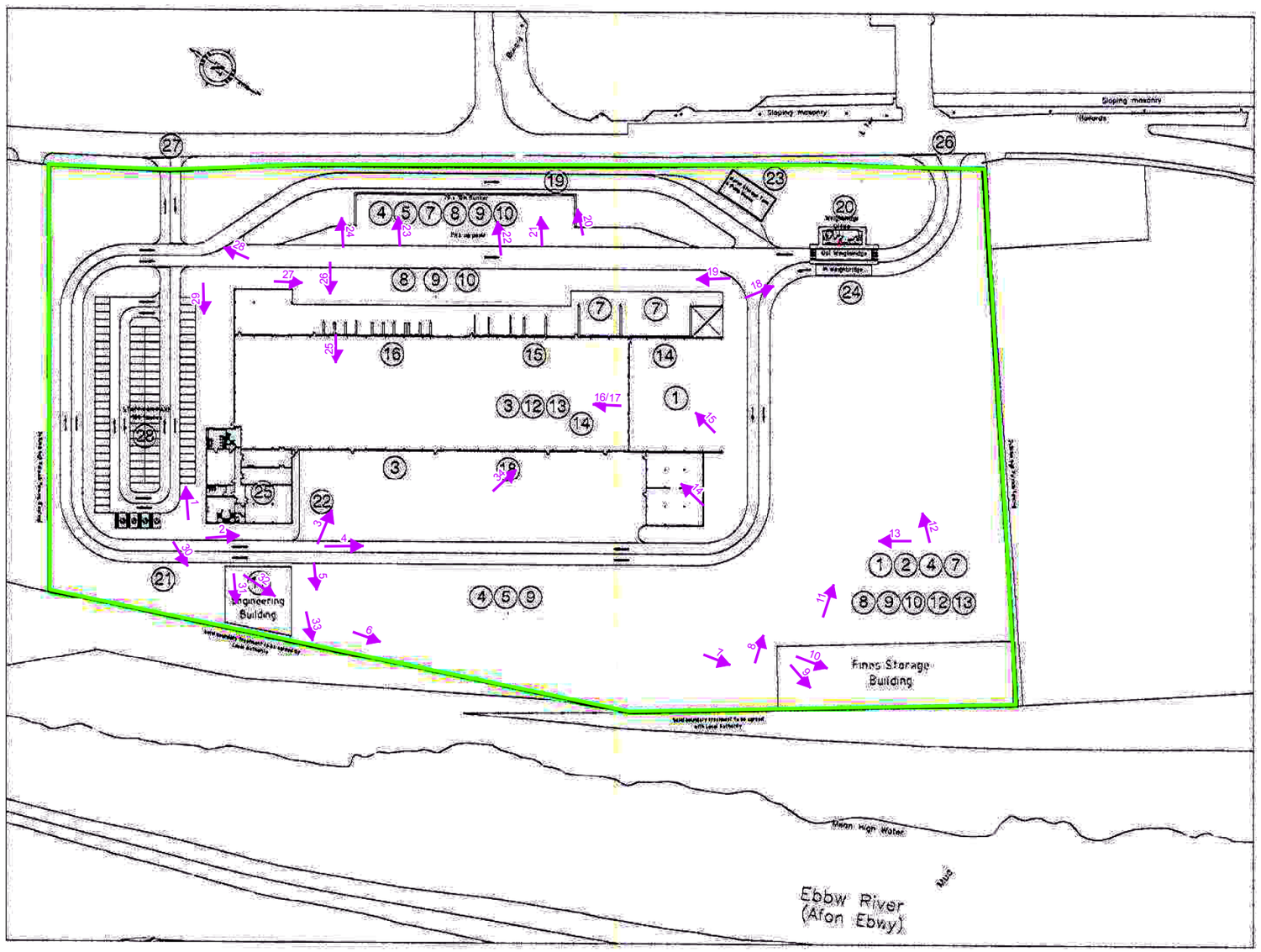
| | | | |
|---|---|---|---|
| **Signed by Officer:** |  | **Date:** | 28th November 2014 |

# Appendix C: Copies of Environment Agency Wales Site Inspection Records

# Appendix D: Photographic Log (taken 13th January 2015)

© 2012 RPS Group

Notes
1. This drawing has been prepared in accordance with the scope of RPS's appointment with its client and is subject to the terms and conditions of that appointment. RPS accepts no liability for any use of this document other than by its client and only for the purposes for which it was prepared and provided.
2. If received electronically it is the recipients responsibility to print to correct scale. Only written dimensions should be used.




Legend

Storage Areas

1. Mixed WEEE
2 Fridges
3 TV's and Monitors
4 Mixed Metals
5 Plastics
6 Batteries
7 Mixed WEEE Sorted
8 Ferrous
9 Non Ferrous
10 Plastic
12 WEEE Components
13 Quarantine

Equipment

14 WEEE Sorting Area
15 WEEE Primary Shredding Line
16 Plastic Separation Line
17 Engineering Building
18 Bunded Diesel & Oil Store
19 Interceptor
20 Septic Tank
21 Bio Disk Sewage Treatment

Plant

22 Gray Water Storage
23 Oil & Fuel Storage
24 Weighbridge
25 Offices
26 Site Entrance/Exit - Deliveries and Lorries
27 Site Entrance/Exit - Staff and Visitors
28 Car Park

## Legend

Site Boundary

Photograph Location/Direction

Basemap Source:
SIMS Drawing Ref. SRSNWP_0101_R1
dated 31/05/2012

| Rev | Description | Date | Initial | Checked |
|---|---|---|---|---|
| | | | | |
| | | | | |

RPS
2420 The Quadrant
Aztec West
Almondsbury
Bristol
BS32 4AQ
T: +44(0)1454 853 000 E: rpssw@rpsgroup.com F: +44(0)1454 205 820

Client SIMS GROUP UK LTD.

Project NEWPORT WEEE

Title PHOTOGRAPH LOCATIONS

| Status | Drawn By | PM/Checked By |
|---|---|---|
| PRELIMINARY | RJ | FB |
| **Job Ref** | **Scale @ A3** | **Date Created** |
| JER6108 | NTS | JAN 15 |

Drawing Number **JER6108-P-001** Rev **-**

rpsgroup.com

# Appendix E: Drainage clearance certificates

BALE GROUP

# HAZARDOUS WASTE (ENGLAND AND WALES) REGULATIONS 2005 (HWR)

# CONSIGNMENT NOTE

Durham Way, Heathpark Industrial Estate, Honiton, Devon, EX14 1SQ.
T: 01404 548333 F: 01404 548334 E: enquiries@bale.co.uk W: bale.co.uk

Sheet / Of /

**Note:** Address Details must include Company or Site Name, address, postcode and if available telephone, email, fax

## Part A NOTIFICATION DETAILS

**Environment Agency Producer Premises Code** (if Exempt use N/A): A4F050

**Consignment Note Code**: A4F050/A69544

1. The waste(s) below are to be removed from: HAZEUS HAULAGE, SIMS GROUP TOM LEWIS WAY SOUTH DOCK, NEWPORT NP20 2LR

2. The waste will be taken to: BALE GROUP HONITON EX14 1SQ

3. The waste producer was (If different from 1):

## Part B DESCRIPTION OF THE WASTE if more than 2 waste collected then continuation sheets must tick box ☐

1. The Process giving rise to the waste(s) was: INTERCEPTOR CLEANING

2003 SIC Code for the process: 37.10/

**3. WASTE DETAILS** (when more than one waste type is collected all of the information given below must be completed for each EWC identified).

**Part 1 Environment Agency Hazardous Waste Details for HWR 2005 - You must complete PART 2 as well as this part**

| Item No | Waste Description | EWC | Physical Form | Hazard Code(s) | Container type, size | Number | QTY (KG) | Chemical / Biological components of the waste: Component | Concentration % or mg/kg |
|---|---|---|---|---|---|---|---|---|---|
| 1 | OIL/WATER | 130507 | LIQUID | H7 | TANKER | 1 | 1600 | OIL | 10.01% |
| 2 | | | | | | | | | |

**Part 2 Carriage of Dangerous Goods as required by HWR 2005 Note: This is a continuation of the box above, so item numbers must be the same**

| Item No | Tick if Not Hazardous for Carriage | ITEMS BELOW NEED TO BE COMPLETED ONLY IF THE WASTE HAZARDS FOR CARRIAGE: Proper shipping name | UN No | Class(es) | Packaging Group | Special Handling Requirements |
|---|---|---|---|---|---|---|
| 1 | ☑ | | | | | |
| 2 | ☐ | | | | | |

## Part C CARRIERS CERTIFICATE

If more than one carrier is used, please attach schedule for subsequent carriers, if a schedule of carriers is attached, tick here ☐
I certify that I today collected the consignment, the details in A1, A2 and B3 are correct and I have been advised of any specific handling requirements.

Name Of Driver: S TOKEY

Haulier Name: Bale Group Ltd

Address: Durham Way, Heathpark, Honiton, Devon, EX14 1SQ

Waste Carriers Registration Number: DEV 233114 CB

Vehicle Registration: HAULAGE

Signature

Date: 10/12/14 Time: 09/00

## Part D CONSIGNOR'S (Waste producer) CERTFICATE

I certify that the information in A, B and C above, are correct. The carrier is registered or exempt and was advised of the appropriate precautionary measures. All waste is packaged and labelled correctly and the carrier was advised of any special handling requirements, and I confirm I have fulfilled my duty to apply the waste hierarchy.

Name of Person signing as Consignor: C. JONES

Consignor Name & Address: ESS SORTING Ltd. NEWPORT. GWENT.

Signature:

Date: 10/12/14 Time: 09/35

## Part E CONSIGNEE'S CERTIFICATE - The information given below must be completed for each EWC code (and on any continuation sheets)

| Item No | Received EWC code(s) | Qty of EWC code received (kg) | Waste Management Operation (R or D code) | ✓ if accepted ✗ if rejected |
|---|---|---|---|---|
| 1 | | | | ☐ |
| 2 | | | | ☐ |

I received the waste(s) detailed above on: Date: DD/MM/YY Time: HH/MM

On behalf of (if not A2):

Vehicle Registration:

Where waste is rejected details are:

Name: Signature: WML/IPPC Permit Authorisation Number:

**THIS DOCUMENT MUST BE KEPT AT LEAST 3 YEARS - 4 COPIES: White - Producer, Yellow - Haulier, Pink - Disposal Site, Green - Optional (Broker)**

Document ref BG/953/00

BALE GROUP

# HAZARDOUS WASTE (ENGLAND AND WALES) REGULATIONS 2005 (HWR)

# CONSIGNMENT NOTE

Durham Way, Heathpark Industrial Estate, Honiton, Devon, EX14 1SQ.
T: 01404 548333 F: 01404 548334 E: enquiries@bale.co.uk W: bale.co.uk

Sheet Of

**Note:** Address Details must include Company or Site Name, address, postcode and if available telephone, email, fax

## Part A NOTIFICATION DETAILS

**Environment Agency Producer Premises Code** (if Exempt use N/A): AKF050

**Consignment Note Code**: AKF050/A69555

1. The waste(s) below are to be removed from: Hazells Haulage, Sims Group, Tom Lewis way, South Dock, Newport, NP20 2WF

2. The waste will be taken to: Bale Group, 90 Durham Way, Honiton, Devon, EX14 1SQ

3. The waste producer was (If different from 1):

## Part B DESCRIPTION OF THE WASTE if more than 2 waste collected then continuation sheets must tick box ☐

1. The Process giving rise to the waste(s) was: Interceptor Cleaning

2003 SIC Code for the process: 37.10/

**3. WASTE DETAILS** (when more than one waste type is collected all of the information given below must be completed for each EWC identified).

**Part 1 Environment Agency Hazardous Waste Details for HWR 2005 - You must complete PART 2 as well as this part**

| Item No | Waste Description | EWC | Physical Form | Hazard Code(s) | Container type, size | Number | QTY (KG) | Chemical / Biological components of the waste Component | Concentration % or mg/kg |
|---|---|---|---|---|---|---|---|---|---|
| 1 | oil + water | 130507 | Liquid | H7 | Road tanker | 1 | | >0.01% oil | |
| 2 | | | | | | | | | |

**Part 2 Carriage of Dangerous Goods as required by HWR 2005 Note: This is a continuation of the box above, so item numbers must be the same**

| Item No | Tick if Not Hazardous for Carriage | ITEMS BELOW NEED TO BE COMPLETED ONLY IF THE WASTE HAZARDS FOR CARRIAGE | | | | |
|---|---|---|---|---|---|---|
| | | Proper shipping name | UN No | Class(es) | Packaging Group | Special Handling Requirements |
| 1 | ☑ | | | | | |
| 2 | ☐ | | | | | |

## Part C CARRIERS CERTIFICATE

If more than one carrier is used, please attach schedule for subsequent carriers.
If a schedule of carriers is attached, tick here ☐
I certify that I today collected the consignment, the details in A1, A2 and B3 are correct and I have been advised of any specific handling requirements.

Name Of Driver: WILLIAM STEERS
Haulier Name: Bale Group Ltd
Address: Durham Way, Heathpark, Honiton, Devon, EX14 1SQ
Waste Carriers Registration Number: CB/YE5196ZT
Vehicle Registration: X88 AIE
Signature: [signature]
Date: 10/12/14 Time: 08/00

## Part D CONSIGNOR'S (Waste producer) CERTFICATE

I certify that the information in A, B and C above, are correct. The carrier is registered or exempt and was advised of the appropriate precautionary measures. All waste is packaged and labelled correctly and the carrier was advised of any special handling requirements, and I confirm I have fulfilled my duty to apply the waste hierarchy.

Name of Person signing as Consignor: C. Jones
Consignor Name & Address: ESJ Skitty Rd, Newport
Signature: [signature]
Date: 10/12/14 Time: 09/00

## Part E CONSIGNEE'S CERTIFICATE - The information given below must be completed for each EWC code (and on any continuation sheets)

| Item No | Received EWC code(s) | Qty of EWC code received (kg) | Waste Management Operation (R or D code) | ✓ if accepted ✗ if rejected |
|---|---|---|---|---|
| 1 | | | | ☐ |
| 2 | | | | ☐ |

I received the waste(s) detailed above on: Date: DD/MM/YY Time: HH/MM

On behalf of (if not A2):

Vehicle Registration:

Where waste is rejected details are:

Name: Signature: WML/IPPC Permit Authorisation Number:

**THIS DOCUMENT MUST BE KEPT AT LEAST 3 YEARS - 4 COPIES: White - Producer, Yellow - Haulier, Pink - Disposal Site, Green - Optional (Broker)**

Document ref BG/953/00

BALE GROUP

# HAZARDOUS WASTE (ENGLAND AND WALES) REGULATIONS 2005 (HWR)

# CONSIGNMENT NOTE

Durham Way, Heathpark Industrial Estate, Honiton, Devon, EX14 1SQ.
T: 01404 548333 F: 01404 548334 E: enquiries@bale.co.uk W: bale.co.uk

Sheet Of

**Note:** Address Details must include Company or Site Name, address, postcode and if available telephone, email, fax

## Part A NOTIFICATION DETAILS

**Environment Agency Producer Premises Code** (if Exempt use N/A): AKF050

**Consignment Note Code**: AKF050/A6970

1. The waste(s) below are to be removed from: Hazels Haulage, Sims Group Tom Lewis Way, South Dock, Newport NP20 2W

2. The waste will be taken to: Bale Group, Durham Way, Honiton, Devon EX14 1SQ

3. The waste producer was (If different from 1):

## Part B DESCRIPTION OF THE WASTE if more than 2 waste collected then continuation sheets must tick box ☐

1. The Process giving rise to the waste(s) was: Interceptor Cleaning

2003 SIC Code for the process: 37.10/

**3. WASTE DETAILS** (when more than one waste type is collected all of the information given below must be completed for each EWC identified).

**Part 1 Environment Agency Hazardous Waste Details for HWR 2005 - You must complete PART 2 as well as this part**

| Item No | Waste Description | EWC | Physical Form | Hazard Code(s) | Container type, size | Number | QTY (KG) | Chemical / Biological components of the waste Component | Concentration % or mg/kg |
|---|---|---|---|---|---|---|---|---|---|
| 1 | Oil + water | 130507 | Liquid | H7 | Bulk Road Tanker | 1 | 20,000 | >0.01% | OIL. |
| 2 | | | | | | | | | |

**Part 2 Carriage of Dangerous Goods as required by HWR 2005 Note: This is a continuation of the box above, so item numbers must be the same**

| Item No | Tick if Not Hazardous for Carriage | ITEMS BELOW NEED TO BE COMPLETED ONLY IF THE WASTE HAZARDS FOR CARRIAGE | | | | |
|---|---|---|---|---|---|---|
| | | Proper shipping name | UN No | Class(es) | Packaging Group | Special Handling Requirements |
| 1 | ☑ | | | | | |
| 2 | ☐ | | | | | |

## Part C CARRIERS CERTIFICATE

If more than one carrier is used, please attach schedule for subsequent carriers.
If a schedule of carriers is attached, tick here ☐
I certify that I today collected the consignment, the details in A1, A2 and B3 are correct and I have been advised of any specific handling requirements.

Name Of Driver: Gary Sharpe.
Haulier Name: Bale Group Ltd
Address: Durham Way, Heathpark, Honiton, Devon, EX14 1SQ
Waste Carriers Registration Number: CB/YE5196ZT
Vehicle Registration: CD 08 ALE
Signature:
Date: 12/12/14 Time: 15/45

## Part D CONSIGNOR'S (Waste producer) CERTFICATE

I certify that the information in A, B and C above, are correct. The carrier is registered or exempt and was advised of the appropriate precautionary measures. All waste is packaged and labelled correctly and the carrier was advised of any special handling requirements, and I confirm I have fulfilled my duty to apply the waste hierarchy.

Name of Person signing as Consignor:
Consignor Name & Address: Hazels Haulage, Sims Group, Tom Lewis Way, South Dock, Newport NP20 2W
Signature:
Date: 02/12/14 Time: 15/45

## Part E CONSIGNEE'S CERTIFICATE - The information given below must be completed for each EWC code (and on any continuation sheets)

| Item No | Received EWC code(s) | Qty of EWC code received (kg) | Waste Management Operation (R or D code) | ✓ if accepted ✗ if rejected |
|---|---|---|---|---|
| 1 | | | | ☐ |
| 2 | | | | ☐ |

I received the waste(s) detailed above on: Date: DD/MM/YY Time: HH/MM

On behalf of (if not A2):

Where waste is rejected details are:

Vehicle Registration:

Name: Signature: WML/IPPC Permit Authorisation Number:

**THIS DOCUMENT MUST BE KEPT AT LEAST 3 YEARS - 4 COPIES: White - Producer, Yellow - Haulier, Pink - Disposal Site, Green - Optional (Broker)**

Document ref BG/953/00

BALE GROUP

# HAZARDOUS WASTE (ENGLAND AND WALES) REGULATIONS 2005 (HWR)

# CONSIGNMENT NOTE

Durham Way, Heathpark Industrial Estate, Honiton, Devon, EX14 1SQ.
T: 01404 548333 F: 01404 548334 E: enquiries@bale.co.uk W: bale.co.uk

Sheet 1 Of 1

**Note:** Address Details must include Company or Site Name, address, postcode and if available telephone, email, fax

## Part A NOTIFICATION DETAILS

**Environment Agency Producer Premises Code** (if Exempt use N/A): AKF050

**Consignment Note Code**: AKF050/A7054

**1.** The waste(s) below are to be removed from: HAZELLS HAULAGE C/O SIMS GROUP WESTSIDE DOCKS NEWPORT NP20 2WF

**2.** The waste will be taken to: BALE GROUP 90 DURHAMWAY HONITON DEVON EX14 1SQ

**3.** The waste producer was (If different from 1):

## Part B DESCRIPTION OF THE WASTE if more than 2 waste collected then continuation sheets must tick box ☐

**1.** The Process giving rise to the waste(s) was: INTERCEPTERS

2003 SIC Code for the process: 37.10/

**3. WASTE DETAILS** (when more than one waste type is collected all of the information given below must be completed for each EWC identified).

**Part 1 Environment Agency Hazardous Waste Details for HWR 2005 - You must complete PART 2 as well as this part**

| Item No | Waste Description | EWC | Physical Form | Hazard Code(s) | Container type, size | Number | QTY (KG) | Chemical / Biological components of the waste Component | Concentration % or mg/kg |
|---|---|---|---|---|---|---|---|---|---|
| 1 | OIL+WATER | 130507 | LIQUID | H7 | BULK ROAD TANKER | 1 | 25000 | OIL + WATER | > 0.01% |
| 2 | | | | | | | | | |

**Part 2 Carriage of Dangerous Goods as required by HWR 2005 Note: This is a continuation of the box above, so item numbers must be the same**

| Item No | Tick if Not Hazardous for Carriage | ITEMS BELOW NEED TO BE COMPLETED ONLY IF THE WASTE HAZARDS FOR CARRIAGE | | | | |
|---|---|---|---|---|---|---|
| | | Proper shipping name | UN No | Class(es) | Packaging Group | Special Handling Requirements |
| 1 | ☑ | | | | | |
| 2 | ☐ | | | | | |

## Part C CARRIERS CERTIFICATE

If more than one carrier is used, please attach schedule for subsequent carriers. If a schedule of carriers is attached, tick here ☐

I certify that I today collected the consignment, the details in A1, A2 and B3 are correct and I have been advised of any specific handling requirements.

Name Of Driver: STU BARNES

Haulier Name: Bale Group Ltd

Address: Durham Way, Heathpark, Honiton, Devon, EX14 1SQ

Waste Carriers Registration Number: CB/YE5196ZT

Vehicle Registration: Y18 ALE

Signature: S B

Date: 05/01/15 Time: 13/45

## Part D CONSIGNOR'S (Waste producer) CERTFICATE

I certify that the information in A, B and C above, are correct. The carrier is registered or exempt and was advised of the appropriate precautionary measures. All waste is packaged and labelled correctly and the carrier was advised of any special handling requirements, and I confirm I have fulfilled my duty to apply the waste hierarchy.

Name of Person signing as Consignor: C. Jones

Consignor Name & Address: AS A1

Signature:

Date: 05/01/15 Time: 13/45

## Part E CONSIGNEE'S CERTIFICATE - The information given below must be completed for each EWC code (and on any continuation sheets)

| Item No | Received EWC code(s) | Qty of EWC code received (kg) | Waste Management Operation (R or D code) | ✓ if accepted ✗ if rejected |
|---|---|---|---|---|
| 1 | | | | ☐ |
| 2 | | | | ☐ |

I received the waste(s) detailed above on: Date: DD/MM/YY Time: HH/MM

On behalf of (if not A2):

Vehicle Registration:

Where waste is rejected details are:

Name: Signature:

WML/IPPC Permit Authorisation Number:

**THIS DOCUMENT MUST BE KEPT AT LEAST 3 YEARS - 4 COPIES: White - Producer, Yellow - Haulier, Pink - Disposal Site, Green - Optional (Broker)**

Document ref BC/953/00

BALE GROUP

# HAZARDOUS WASTE (ENGLAND AND WALES) REGULATIONS 2005 (HWR)

# CONSIGNMENT NOTE

Durham Way, Heathpark Industrial Estate, Honiton, Devon, EX14 1SQ.
T: 01404 548333 F: 01404 548334 E: enquiries@bale.co.uk W: bale.co.uk

Sheet Of

**Note:** Address Details must include Company or Site Name, address, postcode and if available telephone, email, fax

## Part A NOTIFICATION DETAILS

**Environment Agency Producer Premises Code** (if Exempt use N/A): AKF050

**Consignment Note Code**: AKF050/A70555

**1.** The waste(s) below are to be removed from: HAZELLS HAULAGE C/O SIMS GROUP WEST SIDE DOCKS NEWPORT NP20 2WH

**2.** The waste will be taken to: BALE GROUP 90, DURHAM WAY, HONITON, DEVON, EX14 1SQ

**3.** The waste producer was (If different from 1):

## Part B DESCRIPTION OF THE WASTE if more than 2 waste collected then continuation sheets must tick box ☐

**1.** The Process giving rise to the waste(s) was: INTERCEPTORS

2003 SIC Code for the process: 37.10/

**3. WASTE DETAILS** (when more than one waste type is collected all of the information given below must be completed for each EWC identified).

**Part 1 Environment Agency Hazardous Waste Details for HWR 2005 - You must complete PART 2 as well as this part**

| Item No | Waste Description | EWC | Physical Form | Hazard Code(s) | Container type, size | Number | QTY (KG) | Chemical / Biological components of the waste Component | Concentration % or mg/kg |
|---|---|---|---|---|---|---|---|---|---|
| 1 | OIL + WATER | 13 05 07 | LIQUID | H7 | ROAD TANKER | 1 | 27000 LTR | OIL | > 0.01% |
| 2 | | | | | | | | | |

**Part 2 Carriage of Dangerous Goods as required by HWR 2005 Note: This is a continuation of the box above, so item numbers must be the same**

| Item No | Tick if Not Hazardous for Carriage | ITEMS BELOW NEED TO BE COMPLETED ONLY IF THE WASTE HAZARDS FOR CARRIAGE | | | | |
|---|---|---|---|---|---|---|
| | | Proper shipping name | UN No | Class(es) | Packaging Group | Special Handling Requirements |
| 1 | ☑ | | | | | |
| 2 | ☐ | | | | | |

## Part C CARRIERS CERTIFICATE

If more than one carrier is used, please attach schedule for subsequent carriers.
If a schedule of carriers is attached, tick here ☐
I certify that I today collected the consignment, the details in A1, A2 and B3 are correct and I have been advised of any spectic handling requirements.

Name Of Driver: SIMON WHARTON

Haulier Name: Bale Group Ltd

Address: Durham Way, Heathpark, Honiton, Devon, EX14 1SQ

Waste Carriers Registration Number: CB/YE5196ZT

Vehicle Registration: W26 ALK

Signature:

Date: 05/05/23 Time: HH/MM

## Part D CONSIGNOR'S (Waste producer) CERTFICATE

I certify that the information in A, B and C above, are correct. The carrier is registered or exempt and was advised of the appropriate precautionary measures. All waste is packaged and labelled correctly and the carrier was advised of any special handling requirements, and I confirm I have fulfilled my duty to apply the waste hierarchy.

Name of Person signing as Consignor: C. Sorby

Consignor Name & Address: ESS-

Signature:

Date: DD/MM/YY Time: HH/MM

## Part E CONSIGNEE'S CERTIFICATE - The information given below must be completed for each EWC code (and on any continuation sheets)

| Item No | Received EWC code(s) | Qty of EWC code received (kg) | Waste Management Operation (R or D code) | ✓ if accepted ✗ if rejected |
|---|---|---|---|---|
| 1 | | | | ☐ |
| 2 | | | | ☐ |

I received the waste(s) detailed above on: Date: DD/MM/YY Time: HH/MM

On behalf of (if not A2):

Vehicle Registration:

Where waste is rejected details are:

WML/IPPC Permit Authorisation Number:

Name: Signature:

**THIS DOCUMENT MUST BE KEPT AT LEAST 3 YEARS - 4 COPIES: White - Producer, Yellow - Haulier, Pink - Disposal Site, Green - Optional (Broker)**

Document ref BG/953/00

BALE GROUP

# HAZARDOUS WASTE (ENGLAND AND WALES) REGULATIONS 2005 (HWR)

# CONSIGNMENT NOTE

Durham Way, Heathpark Industrial Estate, Honiton, Devon, EX14 1SQ.
T: 01404 548333 F: 01404 548334 E: enquiries@bale.co.uk W: bale.co.uk

Sheet Of

**Note:** Address Details must include Company or Site Name, address, postcode and if available telephone, email, fax

## Part A NOTIFICATION DETAILS

**Environment Agency Producer Premises Code** (if Exempt use N/A): A k F 0 5 0

**Consignment Note Code**: A k F 0 5 0 / A 7 0 5 7

1. The waste(s) below are to be removed from: Hazells Haulage South Dock Newport NP20 2WF

2. The waste will be taken to: Bale Group 90 Durham way Honiton Heathpark Indu - Est Honiton EX14 1SQ

3. The waste producer was (If different from 1):

## Part B DESCRIPTION OF THE WASTE if more than 2 waste collected then continuation sheets must tick box ☐

1. The Process giving rise to the waste(s) was: Interceptor cleaning

2003 SIC Code for the process: 37.10/

**3. WASTE DETAILS** (when more than one waste type is collected all of the information given below must be completed for each EWC identified).

**Part 1 Environment Agency Hazardous Waste Details for HWR 2005 - You must complete PART 2 as well as this part**

| Item No | Waste Description | EWC | Physical Form | Hazard Code(s) | Container type, size | Number | QTY (KG) | Chemical / Biological components of the waste Component | Concentration % or mg/kg |
|---|---|---|---|---|---|---|---|---|---|
| 1 | Oil/water | 13 05 07 | liquid | H7 | Bulk Road Tanker | 1 | | Oil/water | < 0.01% |
| 2 | | | | | | | | | |

**Part 2 Carriage of Dangerous Goods as required by HWR 2005 Note: This is a continuation of the box above, so item numbers must be the same**

| Item No | Tick if Not Hazardous for Carriage | ITEMS BELOW NEED TO BE COMPLETED ONLY IF THE WASTE HAZARDS FOR CARRIAGE | | | | |
|---|---|---|---|---|---|---|
| | | Proper shipping name | UN No | Class(es) | Packaging Group | Special Handling Requirements |
| 1 | ☑ | | | | | |
| 2 | ☐ | | | | | |

## Part C CARRIERS CERTIFICATE

If more than one carrier is used, please attach schedule for subsequent carriers. if a schedule of carriers is attached, tick here ☐

I certify that I today collected the consignment, the details in A1, A2 and B3 are correct and I have been advised of any specific handling requirements.

Name Of Driver: T. ANDREWS

Haulier Name: Bale Group Ltd

Address: Durham Way, Heathpark, Honiton, Devon, EX14 1SQ

Waste Carriers Registration Number: CB/YE5196ZT

Vehicle Registration: X88 ALE

Signature

Date: 05/04/15 Time: 14/00

## Part D CONSIGNOR'S (Waste producer) CERTFICATE

I certify that the information in A, B and C above, are correct. The carrier is registered or exempt and was advised of the appropriate precautionary measures. All waste is packaged and labelled correctly and the carrier was advised of any special handling requirements, and I confirm I have fulfilled my duty to apply the waste hierarchy.

Name of Person signing as Consignor: C. Sorow

Consignor Name & Address: ESO, South Rd, Newport.

Signature:

Date: 05/04/15 Time: 14/00

## Part E CONSIGNEE'S CERTIFICATE - The information given below must be completed for each EWC code (and on any continuation sheets)

| Item No | Received EWC code(s) | Qty of EWC code received (kg) | Waste Management Operation (R or D code) | ✓ if accepted ✗ if rejected |
|---|---|---|---|---|
| 1 | | | | ☐ |
| 2 | | | | ☐ |

I received the waste(s) detailed above on: Date: DD/MM/YY Time: HH/MM

On behalf of (if not A2):

Vehicle Registration:

Where waste is rejected details are:

Name: Signature: WML/IPPC Permit Authorisation Number:

**THIS DOCUMENT MUST BE KEPT AT LEAST 3 YEARS - 4 COPIES: White - Producer, Yellow - Haulier, Pink - Disposal Site, Green - Optional (Broker)**

Document ref BG/953/00

BALE GROUP

# HAZARDOUS WASTE (ENGLAND AND WALES) REGULATIONS 2005 (HWR)

# CONSIGNMENT NOTE

Durham Way, Heathpark Industrial Estate, Honiton, Devon, EX14 1SQ.
T: 01404 548333 F: 01404 548334 E: enquiries@bale.co.uk W: bale.co.uk

Sheet 1 of

**Note:** Address Details must include Company or Site Name, address, postcode and if available telephone, email, fax

## Part A NOTIFICATION DETAILS

**Environment Agency Producer Premises Code** (if Exempt use N/A): AKF050

**Consignment Note Code**: AKF050/A70775

1. The waste(s) below are to be removed from: SIM'S WEST SIDE DOCKS, NEWPORT NP20 2WL

2. The waste will be taken to: AMGEAN, SMOKE LANE, AVONMOUTH BS11 0YA

3. The waste producer was (If different from 1):

## Part B DESCRIPTION OF THE WASTE if more than 2 waste collected then continuation sheets must tick box ☐

1. The Process giving rise to the waste(s) was:

2003 SIC Code for the process: 37.10/

**3. WASTE DETAILS** (when more than one waste type is collected all of the information given below must be completed for each EWC identified).

**Part 1 Environment Agency Hazardous Waste Details for HWR 2005 - You must complete PART 2 as well as this part**

| Item No | Waste Description | EWC | Physical Form | Hazard Code(s) | Container type, size | Number | QTY (KG) | Chemical / Biological components of the waste Component | Concentration % or mg/kg |
|---|---|---|---|---|---|---|---|---|---|
| 1 | OIL/WATER | 13 05 07 | LIQUID | H7 | ROAD TANKER | 1 | 26,000 approx | OIL | >0.01% |
| 2 | | | | | | | | | |

**Part 2 Carriage of Dangerous Goods as required by HWR 2005 Note: This is a continuation of the box above, so item numbers must be the same**

| Item No | Tick if Not Hazardous for Carriage | ITEMS BELOW NEED TO BE COMPLETED ONLY IF THE WASTE HAZARDS FOR CARRIAGE | | | | |
|---|---|---|---|---|---|---|
| | | Proper shipping name | UN No | Class(es) | Packaging Group | Special Handling Requirements |
| 1 | ☑ | | | | | |
| 2 | ☐ | | | | | |

## Part C CARRIERS CERTIFICATE

If more than one carrier is used, please attach schedule for subsequent carriers.
If a schedule of carriers is attached, tick here ☐
I certify that I today collected the consignment, the details in A1, A2 and B3 are correct and I have been advised of any specific handling requirements.

Name Of Driver: SIMON WHEELE

Haulier Name: Bale Group Ltd

Address: Durham Way, Heathpark, Honiton, Devon, EX14 1SQ

Waste Carriers Registration Number: CB/YE5196ZT

Vehicle Registration: W28 ALE

Signature

Date: 08/10/15 Time: HH/MM

## Part D CONSIGNOR'S (Waste producer) CERTFICATE

I certify that the information in A, B and C above, are correct. The carrier is registered or exempt and was advised of the appropriate precautionary measures. All waste is packaged and labelled correctly and the carrier was advised of any special handling requirements, and I confirm I have fulfilled my duty to apply the waste hierarchy.

Name of Person signing as Consignor: C. Jones

Consignor Name & Address:

Signature:

Date: DD/MM/YY Time: HH/MM

## Part E CONSIGNEE'S CERTIFICATE - The information given below must be completed for each EWC code (and on any continuation sheets)

| Item No | Received EWC code(s) | Qty of EWC code received (kg) | Waste Management Operation (R or D code) | ✓ if accepted ✗ if rejected |
|---|---|---|---|---|
| 1 | | | | ☐ |
| 2 | | | | ☐ |

I received the waste(s) detailed above on: Date: DD/MM/YY Time: HH/MM

On behalf of (if not A2):

Vehicle Registration:

Where waste is rejected details are:

Name: Signature: WML/IPPC Permit Authorisation Number:

**THIS DOCUMENT MUST BE KEPT AT LEAST 3 YEARS - 4 COPIES: White - Producer, Yellow - Haulier, Pink - Disposal Site, Green - Optional (Broker)**

Document ref BG/953/00

BALE GROUP

# HAZARDOUS WASTE (ENGLAND AND WALES) REGULATIONS 2005 (HWR)

# CONSIGNMENT NOTE

Durham Way, Heathpark Industrial Estate, Honiton, Devon, EX14 1SQ.
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Sheet Of

**Note:** Address Details must include Company or Site Name, address, postcode and if available telephone, email, fax

## Part A NOTIFICATION DETAILS

**Environment Agency Producer Premises Code** (if Exempt use N/A): AKF050

**Consignment Note Code**: AKFC50/A7C73

1. The waste(s) below are to be removed from:
Simms, West Side Docks, Newport, NP20 2QF
2. The waste will be taken to:
Augeon, Smale Lane, Avonmouth, BS11 0YA
3. The waste producer was (If different from 1):

## Part B DESCRIPTION OF THE WASTE if more than 2 waste collected then continuation sheets must tick box ☐

1. The Process giving rise to the waste(s) was: metal Recyling

2003 SIC Code for the process: 37.10/

**3. WASTE DETAILS** (when more than one waste type is collected all of the information given below must be completed for each EWC identified).

**Part 1 Environment Agency Hazardous Waste Details for HWR 2005 - You must complete PART 2 as well as this part**

| Item No | Waste Description | EWC | Physical Form | Hazard Code(s) | Container type, size | Number | QTY (KG) | Chemical / Biological components of the waste Component | Concentration % or mg/kg |
|---|---|---|---|---|---|---|---|---|---|
| 1 | Oil/water | 130507 | Liquid | H7 | Bulk Tanker | 1 | 27000 | Oil | 70-01-1. |
| 2 | | | | | | | | | |

**Part 2 Carriage of Dangerous Goods as required by HWR 2005 Note: This is a continuation of the box above, so item numbers must be the same**

| Item No | Tick if Not Hazardous for Carriage | ITEMS BELOW NEED TO BE COMPLETED ONLY IF THE WASTE HAZARDS FOR CARRIAGE | | | | |
|---|---|---|---|---|---|---|
| | | Proper shipping name | UN No | Class(es) | Packaging Group | Special Handling Requirements |
| 1 | ☑ | | | | | |
| 2 | ☐ | | | | | |

## Part C CARRIERS CERTIFICATE

If more than one carrier is used, please attach schedule for subsequent carriers.
If a schedule of carriers is attached, tick here ☐
I certify that I today collected the consignment, the details in A1, A2 and B3 are correct and I have been advised of any specific handling requirements.

Name Of Driver: Neil Hambly
Haulier Name: Bale Group Ltd
Address: Durham Way, Heathpark, Honiton, Devon, EX14 1SQ
Waste Carriers Registration Number: CB/YE5196ZT
Vehicle Registration: AU08 ALE
Signature
Date: 08/04/15 Time: HH/MM

## Part D CONSIGNOR'S (Waste producer) CERTFICATE

I certify that the information in A, B and C above, are correct. The carrier is registered or exempt and was advised of the appropriate precautionary measures. All waste is packaged and labelled correctly and the carrier was advised of any special handling requirements, and I confirm I have fulfilled my duty to apply the waste hierarchy.

Name of Person signing as Consignor: C. Jones
Consignor Name & Address: ASA1
Signature:
Date: 08/04/15 Time: HH/MM

## Part E CONSIGNEE'S CERTIFICATE - The information given below must be completed for each EWC code (and on any continuation sheets)

| Item No | Received EWC code(s) | Qty of EWC code received (kg) | Waste Management Operation (R or D code) | ✓ if accepted ✗ if rejected |
|---|---|---|---|---|
| 1 | | | | ☐ |
| 2 | | | | ☐ |

I received the waste(s) detailed above on: Date: DD/MM/YY Time: HH/MM

On behalf of (if not A2):

Where waste is rejected details are:

Vehicle Registration:

Name: Signature: WML/IPPC Permit Authorisation Number:

**THIS DOCUMENT MUST BE KEPT AT LEAST 3 YEARS - 4 COPIES: White - Producer, Yellow - Haulier, Pink - Disposal Site, Green - Optional (Broker)**

Document ref BG/953/00

Bale Group Ltd
90 Durham Way,
Heathpark Industrial Estate,
Honiton, Devon, EX14 1SQ
T:01404 548333 - F:01404 548334 - E:enquiries@bale.co.uk - W:bale.co.uk

# HAZARDOUS WASTE (ENGLAND AND WALES) REGULATIONS 2005 (HWR)

# CONSIGNMENT NOTE

Version1.2

Sheet Of

**Note:** Address Details must include Company or Site Name, address, postcode and if available telephone, email, fax

## Part A NOTIFICATION DETAILS

**Environment Agency Producer Premises Code (if exempt use N/A)** ~~AKF0582~~ AKF050

**Consignment Note Code** ~~A70582~~/A70827 AKF050

1. The waste(s) below are to be removed from: SIMS METAL
2. The waste will be taken to: AUSTIN TOM LEWIS WAY WEST SIDE AVONMOUTH SOUTH DOCK NEWPORT
3. The waste producer was (if different from 1):

## Part B DESCRIPTION OF THE WASTE If more than 2 waste collected then continuation sheets must, tick box ☐

1. The Process giving rise to the waste(s) was: METAL RECYCLING

2003 SIC Code for the process: 37.10/

3. **WASTE DETAILS** (when more than one waste type is collected **all of the information given below must be completed for each EWC identified).**

Part 1 Environment Agency Hazardous Waste Details for HWR 2005 - You must complete PART 2 as well as this part

| Item No | Waste Description | EWC | Physical Form | Hazard Code(s) | Container type, size | Number | Qty (KG) | Chemical/biological components of the waste Component | Concentration % or mg/kg |
|---|---|---|---|---|---|---|---|---|---|
| 1 | OIL WASTE | 13 05 07 | | H7 | BULK TANK | ONE | 25000 | OIL | 20.1% |
| 2 | | | | | | | | | |

Part 2 Carriage of Dangerous Goods as required by HWR 2005 - Note: This is a continuation of the box above, so item numbers must be the same

| Item No | Tick if Not Hazardous for Carriage | ITEMS BELOW NEED TO BE COMPLETED ONLY IF THE WASTE HAZARDS FOR CARRIAGE Proper shipping name | UN No | Class(es) | Packaging Group | Special Handling Requirements |
|---|---|---|---|---|---|---|
| 1 | ☑ | | | | | |
| 2 | ☐ | | | | | |

## Part C CARRIER'S CERTIFICATE

If more than one carrier is used, please attach schedule for subsequent carriers.
If a schedule of carriers is attached, tick here ☐
I certify that I today collected the consignment, the details in A1, A2 and B3 are correct and I have been advised of any specific handling requirements.

Name of Driver: J JACKSON

Haulier Name: Bale Group

Address: Durham Way, Heathpark, Honiton, Devon. EX14 1SQ

Waste Carriers Registration Number: DEV 233114 CB

Vehicle Registration: P66 ALL

Signature:

Date: 08/01/15 Time: HH/MM

## Part D CONSIGNOR'S (Waste producer) CERTIFICATE

I certify that the information in A, B and C above, are correct. The carrier is registered or exempt and was advised of the appropriate precautionary measures. All waste is packaged and labelled correctly and the carrier was advised of any special handling requirements, and I confirm I have fulfilled my duty to apply the waste hierarchy.

Name of Person signing as Consignor: SIMS METAL TOM LEWIS WAY WEST SIDE SOUTH DOCK NEWPORT

Consignor Name & Address:

Signature:

Date: 08/01/15 Time: HH/MM

## Part E CONSIGNEE'S CERTIFICATE - The information given below must be completed for each EWC code (and on any continuation sheets)

| Item No | Received EWC code(s) | Qty of EWC code received (kg) | Waste Management Operation (R or D code) | ✓ if accepted ✗ if rejected |
|---|---|---|---|---|
| 1 | | | | ☐ |
| 2 | | | | ☐ |

I received the waste(s) detailed above on: Date: DD/MM/YY Time: HH/MM

On behalf of (if not A2):

Vehicle Registration:

Where waste is rejected details are:

WML/IPPC permit Authorisation Number:

Name: Signature:



**THIS DOCUMENT MUST BE KEPT AT LEAST 3 YEARS - 4 COPIES: White - Producer, Yellow - Haulier, Pink - Disposal Site, Green - Optional (Broker)**

BALE GROUP

# HAZARDOUS WASTE (ENGLAND AND WALES) REGULATIONS 2005 (HWR)

# CONSIGNMENT NOTE

Durham Way, Heathpark Industrial Estate, Honiton, Devon, EX14 1SQ.
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Sheet Of

**Note:** Address Details must include Company or Site Name, address, postcode and if available telephone, email, fax

## Part A NOTIFICATION DETAILS

**Environment Agency Producer Premises Code** (if Exempt use N/A): AKF050

**Consignment Note Code**: AKF050/A7085

1. The waste(s) below are to be removed from: SIMS, WEST SIDE DOCKS, NEWPORT, NP20 2WL

2. The waste will be taken to: AUGEAN, SMOKE LANE, AVONMOUTH, BS11 0YA

3. The waste producer was (If different from 1):

## Part B DESCRIPTION OF THE WASTE if more than 2 waste collected then continuation sheets must tick box ☐

1. The Process giving rise to the waste(s) was:

2003 SIC Code for the process: 37.10/

**3. WASTE DETAILS** (when more than one waste type is collected all of the information given below must be completed for each EWC identified).

**Part 1 Environment Agency Hazardous Waste Details for HWR 2005 - You must complete PART 2 as well as this part**

| Item No | Waste Description | EWC | Physical Form | Hazard Code(s) | Container type, size | Number | QTY (KG) | Chemical / Biological components of the waste Component | Concentration % or mg/kg |
|---|---|---|---|---|---|---|---|---|---|
| 1 | OIL/WATER | 17 05 07 | LIQUID | H7 | ROAD TANKER | 1 | 25000 LDN MAX | OIL | >0.01% |
| 2 | | | | | | | | | |

**Part 2 Carriage of Dangerous Goods as required by HWR 2005 Note: This is a continuation of the box above, so item numbers must be the same**

| Item No | Tick if Not Hazardous for Carriage | ITEMS BELOW NEED TO BE COMPLETED ONLY IF THE WASTE HAZARDS FOR CARRIAGE: Proper shipping name | UN No | Class(es) | Packaging Group | Special Handling Requirements |
|---|---|---|---|---|---|---|
| 1 | ☑ | | | | | |
| 2 | ☐ | | | | | |

## Part C CARRIERS CERTIFICATE

If more than one carrier is used, please attach schedule for subsequent carriers.
If a schedule of carriers is attached, tick here ☐
I certify that I today collected the consignment the details in A1, A2 and B3 are correct and I have been advised of any specific handling requirements.

Name Of Driver: SIMON WHEELER
Haulier Name: Bale Group Ltd
Address: Durham Way, Heathpark, Honiton, Devon, EX14 1SQ
Waste Carriers Registration Number: CB/YE5196ZT
Vehicle Registration: W28 ALR
Signature
Date: 09/04/15 Time: HH/MM

## Part D CONSIGNOR'S (Waste producer) CERTFICATE

I certify that the information in A, B and C above, are correct. The carrier is registered or exempt and was advised of the appropriate precautionary measures. All waste is packaged and labelled correctly and the carrier was advised of any special handling requirements, and I confirm I have fulfilled my duty to apply the waste hierarchy.

Name of Person signing as Consignor: C. SOKOW
Consignor Name & Address: ESS - NEWPORT
Signature:
Date: DD/MM/YY Time: HH/MM

## Part E CONSIGNEE'S CERTIFICATE - The information given below must be completed for each EWC code (and on any continuation sheets)

| Item No | Received EWC code(s) | Qty of EWC code received (kg) | Waste Management Operation (R or D code) | ✓ if accepted ✗ if rejected |
|---|---|---|---|---|
| 1 | | | | ☐ |
| 2 | | | | ☐ |

I received the waste(s) detailed above on: Date: DD/MM/YY Time: HH/MM

On behalf of (if not A2):

Vehicle Registration:

Where waste is rejected details are:

Name: Signature: WML/IPPC Permit Authorisation Number:

**THIS DOCUMENT MUST BE KEPT AT LEAST 3 YEARS - 4 COPIES: White - Producer, Yellow - Haulier, Pink - Disposal Site, Green - Optional (Broker)**

Document ref BG/953/00

BALE GROUP

# HAZARDOUS WASTE (ENGLAND AND WALES) REGULATIONS 2005 (HWR)

# CONSIGNMENT NOTE

Durham Way, Heathpark Industrial Estate, Honiton, Devon, EX14 1SQ.
T: 01404 548333 F: 01404 548334 E: enquiries@bale.co.uk W: bale.co.uk

Sheet Of

**Note:** Address Details must include Company or Site Name, address, postcode and if available telephone, email, fax

## Part A NOTIFICATION DETAILS

**Environment Agency Producer Premises Code** (if Exempt use N/A): AKF050

**Consignment Note Code**: AKF050/47082

**1.** The waste(s) below are to be removed from: SIMMS TOM LEWIS WAY WEST SIDE SOUTH DOCK NEWPORT

**2.** The waste will be taken to: ASSOM ADONMOUTH

**3.** The waste producer was (If different from 1):

## Part B DESCRIPTION OF THE WASTE if more than 2 waste collected then continuation sheets must tick box ☐

**1.** The Process giving rise to the waste(s) was: METAL RECYCLING

2003 SIC Code for the process: 37.10/

**3. WASTE DETAILS** (when more than one waste type is collected all of the information given below must be completed for each EWC identified).

**Part 1 Environment Agency Hazardous Waste Details for HWR 2005 - You must complete PART 2 as well as this part**

| Item No | Waste Description | EWC | Physical Form | Hazard Code(s) | Container type, size | Number | QTY (KG) | Chemical / Biological components of the waste Component | Concentration % or mg/kg |
|---|---|---|---|---|---|---|---|---|---|
| 1 | OILY WATER | 130507 | LIQUID | H7 | TANKER | ONE | 25000 | OIL | 70.01% |
| 2 | | | | | | | | | |

**Part 2 Carriage of Dangerous Goods as required by HWR 2005 Note: This is a continuation of the box above, so item numbers must be the same**

| Item No | Tick if Not Hazardous for Carriage | ITEMS BELOW NEED TO BE COMPLETED ONLY IF THE WASTE HAZARDS FOR CARRIAGE | | | | |
|---|---|---|---|---|---|---|
| | | Proper shipping name | UN No | Class(es) | Packaging Group | Special Handling Requirements |
| 1 | ☑ | | | | | |
| 2 | ☐ | | | | | |

## Part C CARRIERS CERTIFICATE

If more than one carrier is used, please attach schedule for subsequent carriers.
If a schedule of carriers is attached, tick here ☐
I certify that I today collected the consignment, the details in A1, A2 and B3 are correct and I have been advised of any specific handling requirements.

Name Of Driver: D D JACKSON

Haulier Name: Bale Group Ltd

Address: Durham Way, Heathpark, Honiton, Devon, EX14 1SQ

Waste Carriers Registration Number: CB/YE5196ZT

Vehicle Registration: P66 ACT

Signature:

Date: 09/06/15 Time: HH/MM

## Part D CONSIGNOR'S (Waste producer) CERTFICATE

I certify that the information in A, B and C above, are correct. The carrier is registered or exempt and was advised of the appropriate precautionary measures. All waste is packaged and labelled correctly and the carrier was advised of any special handling requirements, and I confirm I have fulfilled my duty to apply the waste hierarchy.

Name of Person signing as Consignor: C. Jones

Consignor Name & Address: SIMMS TOM LEWIS WAY WEST SIDE SOUTH DOCK NEWPORT

Signature:

Date: 09/06/15 Time: HH/MM

## Part E CONSIGNEE'S CERTIFICATE - The information given below must be completed for each EWC code (and on any continuation sheets)

| Item No | Received EWC code(s) | Qty of EWC code received (kg) | Waste Management Operation (R or D code) | ✓ if accepted ✗ if rejected |
|---|---|---|---|---|
| 1 | | | | ☐ |
| 2 | | | | ☐ |

I received the waste(s) detailed above on: Date: DD/MM/YY Time: HH/MM

On behalf of (if not A2):

Where waste is rejected details are:

Vehicle Registration:

Name: Signature: WML/IPPC Permit Authorisation Number:

**THIS DOCUMENT MUST BE KEPT AT LEAST 3 YEARS - 4 COPIES: White - Producer, Yellow - Haulier, Pink - Disposal Site, Green - Optional (Broker)**

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