

Compliance Assessment Report

Report ID:
CAR_NRW0031636

This form will report compliance with your permit as determined by an NRW officer

Site	Olivers Sales & Rentals	Permit Ref	WP3799FB		
Operator/Permit holder	Mr Paul Jones And Mr Roger Jones				
Regime	Waste Operations				
Date of assessment	04/05/2017	Time in	11:00	Out	11:30
Assessment type	Site Inspection				
Parts of the permit assessed	All				
Lead officer's name	Bowder, Alex				
Accompanied by	Perry, James				
Recipient's name/position	Roger Jones/ Director	Date issued	17/05/2017		

Section 1 – Compliance Assessment Summary

This is based on the requirements of the permit under the Environmental Permitting Regulations or the licence under the Water Resources Act 1991 as amended by the Water Act 2003. A detailed explanation is captured in "Compliance Assessment Report Detail" (Section 2) and any actions you may need to take are given in the "Action(s)" (section 4). This summary details where we believe any non-compliance with the permit has occurred, the relevant condition and how the non-compliance has been categorised using our Compliance Classification Scheme (CCS). CCS Scores can be consolidated or suspended where appropriate, to reflect the impact of some non-compliances more accurately. For more details of our CCS scheme, contact your local office.

Permit conditions and compliance summary	CCS Category	Condition(s) breached
B3 - Infrastructure - Site drainage engineering (clean and foul)	A	
B4 - Infrastructure - Containment of stored materials	A	
B5 - Infrastructure - Plant and equipment	A	
C1 - General Management - Staff competency/training	A	
C2 - General Management - Management system and operating procedures	C4	General Management - 1.1.1 EMS
C3 - General Management - Materials acceptance	A	
C4 - General Management - Storage, handling labelling and Segregation	C3	2.2.1 - Storage and site boundary
F1 - Amenity - Odour	A	
F2 - Amenity - Noise	A	
F3 - Amenity - Dust/fibres/particulates and litter	A	

KEY: See Section 5 for breach categories, suspended scores will be indicated as such.

A = Assessed or assessed in part (no evidence of non-compliance), **X** = Action only,

O = Ongoing non-compliance, not scored.

Number of breaches recorded	2	Total compliance score (see section 5 for scoring scheme)	4.1
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If the Number of breaches recorded is greater than zero, please see Section 3 for our proposed enforcement response

Section 2 – Compliance Assessment Report Detail

This section contains a report of our findings and will usually include information on:

- The part(s) of the permit that were assessed (eg. Maintenance, training, combustion plant, etc)
- Where the type of assessment was 'Data Review' details of the report/results triggering the assessment
- Any non-compliances identified
- Any non-compliances with directly applicable legislation
- Details of any multiple non-compliances
- Information on the compliance score accrued inc.
- Details of advice given
- Any other areas of concern
- Any actions requested
- Any examples of good practice
- A reference to photos taken

Officers Alex BOWDER and James PERRY attended Olivers Sales & Rental, New Road, Tir Y Berth, Hengoed, Ystrad Mynach CF82 8AU on Thursday 4th May 2017 at 11:30 to carry out routine inspection of permit **EPR-WP3799FB**. The weather was warm and dry at the time of visit. Spoke with company director Mr. Roger Jones.

Breach – Category 3

(C4) Storage, handling labelling and Segregation

Condition 2.2.1 – “the activities shall not extend beyond the site”

Storage and stacking of skips

The site has previously been breached for storing waste outside of the permit boundary and for holding waste off the concrete pad. Skips were witnessed unevenly stacked containing log waste on top of each other at the entrance of the site.

Although these skips are stored in this area for repair, they should be safely set to ensure there are no amenity risks – a score has been added against the permit as a result.

Breach – Category 4

(C2) Management system and operating procedures

Condition 1.1.1 - “In accordance with a written management system that identifies and minimises the risks of pollution”

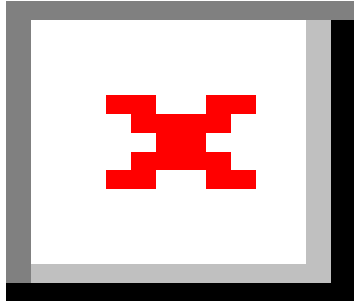
Environment Management System (EMS)

It was mentioned on site that the Working Plan document hasn't been updated recently - this needs to be done as soon as possible. Once completed please send through the most updated version of your EMS for the site - guidance has been sent separately for what this document should include.

It should state how you intend on running the site in-line with the permit and must be updated accordingly with operations changes.

Factors such as storage heights of materials, yard work areas and qualified staff should be specified in the document. You should outline your processes, state your guidelines for skip height storage and include contingency plans stating the course of action for when machinery breakdowns, for example.

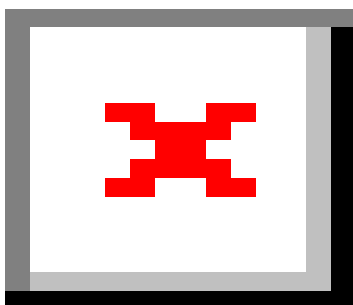
Action: Please send through to us immediately.



Picture 1 – showing skip stacked unevenly on top of log waste outside of permit boundary

The bespoke permit site infrastructure consists of a concrete pad and hard standing. The concrete pad discharges to a soakaway comprising of a dug-out ditch just outside of the permit boundary via an interceptor. This was clear of sediment at the time of inspection and so no issues apparent, however please be vigilant in wet weather as it has the potential to overflow down the bank.

Less waste viewed on site – good to see that the business is functioning well. Roger Jones the WAMITAB holder present on site. No issues with the unit for the sorting of metals.



Picture 2 – showing skips with separated waste streams on concrete pad

The trommel is turned on approximately once a week now the weather is permitting. Crushed aggregate was of good quality – ensure this is not mixed with any contaminates.

Waste Returns

Previous part audits have found some paperwork inconsistencies – waste soil and stone were leaving the site under 17 codes, despite having been treated (tromelled and crushed) on site.

Action: Please be sure to use the correct EWC codes and submit your last quarter return document.

If you have any issues with the report please contact Alex Bowder 0300 065 3394 or alex.bowder@naturalresourceswales.gov.uk

Thank you.

EPR Compliance Assessment Report

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Section 3 – Enforcement Response

You must take immediate action to rectify any non-compliance and prevent repetition. Non-compliance with your permit conditions constitutes an offence and can result in criminal prosecutions and/or suspension or revocation of a permit. Please read the detailed assessment in Section 2 and the steps you need to take in Section 4 below.

Section 4 – Action(s)

This section summarises the actions identified during the assessment along with the timescales for when they will need to be completed.

Criteria Ref.	CCS Category	Action required/advised	Due Date
See Section 1 above			
C2	C4	Send through updated EMS immediately	17/06/2017
C4	C3	Address skip stacking and remove waste stored outside permit boundary	17/06/2017

Section 5 – Compliance notes for the Operator

To ensure you correct actual or potential non-compliance we may

- Advise on corrective actions verbally or in writing
- Require you to take specific actions verbally or in writing
- Issue a notice
- Require you to review your procedures or management system
- Change some of the conditions of your permit
- Decide to undertake a full review of your permit

Any breach of a permit condition is an offence and we may take legal action against you

- We will normally provide advice and guidance to assist you to come back into compliance either after an offence is committed or where we consider that an offence is likely to be committed. This is without prejudice to any other enforcement response that we consider may be required.
- Enforcement action can include the issue of a formal caution, prosecution, the service of a notice and/or suspension or revocation of the permit.

See our Enforcement and Civil Sanctions guidance for further information

This report does not relieve the site operator of the responsibility to

- Ensure you comply with the conditions of the permit at all times and prevent pollution of the environment
- Ensure you comply with other legislative provisions which may apply

Non-compliance scores and categories

CCS category	Description	Score
C1	A non-compliance that could have a major environmental effect	60
C2	A non-compliance which could have a significant environmental effect	31
C3	A non-compliance which could have a minor environmental effect	4
C4	A non-compliance which has no potential environmental effect	0.1

Operational Risk Appraisal (Opra) - Compliance assessment findings may affect your Opra score and/or your charges. This score influences the resource we use to assess permit compliance.

Section 6 – General information

Data protection notice

The information on this form will be processed by the Natural Resources Wales (NRW) to fulfil its regulatory and monitoring functions and to maintain the relevant public register(s). The NRW may also use and/or disclose it in connection with:

- Offering/providing you with its literature/services relating to environmental matters
- Consulting with the public, public bodies and other organisations (eg. Health and Safety Executive, local authorities) on environmental issues
- Carrying out statistical analysis, research and development on environmental issues
- Providing public register information to enquirers
- Investigating possible breaches of environmental law
- Assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Regulations request

The NRW may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

Disclosure of information

The NRW will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within fifteen working days of receipt of this form indicating which information it concerns and why it should not be released, giving your reasons in full.

Customer charter

What can I do if I disagree with this compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with officer's line managers using the informal appeals procedure. If you wish to raise your dispute further through our official Complaints and Commendations procedure, phone our general enquiry number 0300 065 3000 (Mon to Fri 08.00 – 18.00) and ask for the Customer Contact team or send an email to enquiries@naturalresourceswales.gov.uk. If you are still dissatisfied you can make a complaint to the Public Services Ombudsman for Wales. For advice on how to complain to the Ombudsman phone their helpline on 0845 607 0987.

Welsh Language

If you would like this form in Welsh please contact your Regulatory Officer.