

Compliance Assessment Report

Report ID:
CAR_NRW0032619

This form will report compliance with your permit as determined by an NRW officer

Site	Glan Yr Afon Waste Transfer Station	Permit Ref	TB3497TJ		
Operator/Permit holder	Cyngor Sir Ceredigion County Council				
Regime	Waste Operations				
Date of assessment	18/12/2017	Time in	13:00	Out	14:00
Assessment type	Audit				
Parts of the permit assessed	The CAR sub-criteria listed below				
Lead officer's name	Stone, Callum				
Accompanied by					
Recipient's name/position	Haydn Williams/ Technically Competent Manager	Date issued	20/12/2017		

Section 1 – Compliance Assessment Summary

This is based on the requirements of the permit under the Environmental Permitting Regulations or the licence under the Water Resources Act 1991 as amended by the Water Act 2003. A detailed explanation is captured in "Compliance Assessment Report Detail" (Section 2) and any actions you may need to take are given in the "Action(s)" (section 4). This summary details where we believe any non-compliance with the permit has occurred, the relevant condition and how the non-compliance has been categorised using our Compliance Classification Scheme (CCS). CCS Scores can be consolidated or suspended where appropriate, to reflect the impact of some non-compliances more accurately. For more details of our CCS scheme, contact your local office.

Permit conditions and compliance summary	CCS Category	Condition(s) breached
A1 - Specified by permit	A	
B1 - Infrastructure - Engineering for prevention and control of emissions	A	
B3 - Infrastructure - Site drainage engineering (clean and foul)	C3	3.1.1
B4 - Infrastructure - Containment of stored materials	C4	1.1.1
C1 - General Management - Staff competency/training	A	
C2 - General Management - Management system and operating procedures	A	
C3 - General Management - Materials acceptance	A	
C4 - General Management - Storage, handling labelling and Segregation	A	
F4 - Amenity - Pests/birds and scavengers	A	
G2 - Monitoring and Records, Maintenance and Reporting - Records of activity, site diary/journal/events	A	
G4 - Monitoring and Records, Maintenance and Reporting - Reporting and notification to Natural Resources Wales	A	

KEY: See Section 5 for breach categories, suspended scores will be indicated as such.

A = Assessed or assessed in part (no evidence of non-compliance), **X** = Action only,

O = Ongoing non-compliance, not scored.

Number of breaches recorded	2	Total compliance score (see section 5 for scoring scheme)	4.1
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If the Number of breaches recorded is greater than zero, please see Section 3 for our proposed enforcement response

Section 2 – Compliance Assessment Report Detail

This section contains a report of our findings and will usually include information on:

- The part(s) of the permit that were assessed (eg. Maintenance, training, combustion plant, etc)
- Where the type of assessment was 'Data Review' details of the report/results triggering the assessment
- Any non-compliances identified
- Any non-compliances with directly applicable legislation
- Details of any multiple non-compliances
- Information on the compliance score accrued inc.
- Details of advice given
- Any other areas of concern
- Any actions requested
- Any examples of good practice
- A reference to photos taken

This was a routine site inspection by Natural Resources Wales (NRW) officer Callum Stone (Environment Officer).

Upon arrival, Callum met with Haydn Williams, the TCM for the site. Haydn accompanied Callum for the duration of the visit, being on hand to answer any questions regarding operations at the site.

Throughout the inspection the following permit breaches were observed.

CAR Sub-criteria B3, Permit condition 3.1.1, Compliance Classification Scheme category 3 breach.

There is a surface water drain leading from an area with two bays containing bottles and treated wood, respectively. Whilst this drain goes through an interceptor before entering the nearby watercourse, there is the risk that liquids could escape the bay containing bottles and hazardous particles from the treated wood. Whilst any particles could settle out in the interceptor, it would not be sufficient to remove nutrients or dissolved matter from the run off. Mr. Williams was aware of this issue and was able to provide evidence of improvement plans which are currently pending approval.

CAR Sub-criteria B4, Permit condition 1.1.1, Compliance Classification Scheme category 4 breach.

A small pile of approximately 30 tyres was being stored up against the wall of the main shed on site and not contained within a bay. Mr. Williams explained that the reason for this was that the handle had broken off the door of the shed where tyres were normally stored. This will be a relatively simple issue to resolve and given that it is not causing a pollution incident, it has been given a Compliance Classification Scheme breach category of 4.

Before leaving the site, the above breaches were discussed with the operative.

You are advised to address the breaches identified above and ensure that measures are implemented to ensure operations return to compliance. Section 4 of this compliance report details the action to be taken. In addition, I would recommend you undertake a review of operations at the site ensuring that you can achieve compliance with your permit and that your environment management system is up to date and reflective of your current operations and procedures.

A summary of the Compliance Classification Scheme scoring is shown below

B3 - Category 4 breach – 0.1 CCS points

B4 - Category 3 breach – 4 CCS points

Total 4.1 Compliance Classification Scheme points.

Thank you for your time. Should you have any questions or queries please do not hesitate to contact me (03000 653405 or callum.stone@cyfoethnaturiolcymru.gov.uk)

Kind regards,

Callum Stone
Environment Officer – Natural Resources Wales

EPR Compliance Assessment Report

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Operator/Permit holder	Cyngor Sir Ceredigion County Council	Date	18/12/2017

Section 3 – Enforcement Response

You must take immediate action to rectify any non-compliance and prevent repetition. Non-compliance with your permit conditions constitutes an offence and can result in criminal prosecutions and/or suspension or revocation of a permit. Please read the detailed assessment in Section 2 and the steps you need to take in Section 4 below.

Other than the provision of advice and guidance, at present we do not intend to take further enforcement action in respect of the non-compliance identified above. This does not preclude us from taking enforcement action if further relevant information comes to light or advice isn't followed.

Section 4 – Action(s)

This section summarises the actions identified during the assessment along with the timescales for when they will need to be completed.

Criteria Ref.	CCS Category	Action required/advised	Due Date
See Section 1 above			
B4	C4	Repair the handle to access the tyre container and remove tyres from against shed wall.	12/01/2018
B3	C3	Re-route surface water drainage from the area in to the sewer.	01/04/2018

Section 5 – Compliance notes for the Operator

To ensure you correct actual or potential non-compliance we may

- Advise on corrective actions verbally or in writing
- Require you to take specific actions verbally or in writing
- Issue a notice
- Require you to review your procedures or management system
- Change some of the conditions of your permit
- Decide to undertake a full review of your permit

Any breach of a permit condition is an offence and we may take legal action against you

- We will normally provide advice and guidance to assist you to come back into compliance either after an offence is committed or where we consider that an offence is likely to be committed. This is without prejudice to any other enforcement response that we consider may be required.
- Enforcement action can include the issue of a formal caution, prosecution, the service of a notice and/or suspension or revocation of the permit.

See our Enforcement and Civil Sanctions guidance for further information

This report does not relieve the site operator of the responsibility to

- Ensure you comply with the conditions of the permit at all times and prevent pollution of the environment
- Ensure you comply with other legislative provisions which may apply

Non-compliance scores and categories

CCS category	Description	Score
C1	A non-compliance that could have a major environmental effect	60
C2	A non-compliance which could have a significant environmental effect	31
C3	A non-compliance which could have a minor environmental effect	4
C4	A non-compliance which has no potential environmental effect	0.1

Operational Risk Appraisal (Opra) - Compliance assessment findings may affect your Opra score and/or your charges. This score influences the resource we use to assess permit compliance.

Section 6 – General information

Data protection notice

The information on this form will be processed by the Natural Resources Wales (NRW) to fulfil its regulatory and monitoring functions and to maintain the relevant public register(s). The NRW may also use and/or disclose it in connection with:

- Offering/providing you with its literature/services relating to environmental matters
- Consulting with the public, public bodies and other organisations (eg. Health and Safety Executive, local authorities) on environmental issues
- Carrying out statistical analysis, research and development on environmental issues
- Providing public register information to enquirers
- Investigating possible breaches of environmental law
- Assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Regulations request

The NRW may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

Disclosure of information

The NRW will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within fifteen working days of receipt of this form indicating which information it concerns and why it should not be released, giving your reasons in full.

Customer charter

What can I do if I disagree with this compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with officer's line managers using the informal appeals procedure. If you wish to raise your dispute further through our official Complaints and Commendations procedure, phone our general enquiry number 0300 065 3000 (Mon to Fri 08.00 – 18.00) and ask for the Customer Contact team or send an email to enquiries@naturalresourceswales.gov.uk. If you are still dissatisfied you can make a complaint to the Public Services Ombudsman for Wales. For advice on how to complain to the Ombudsman phone their helpline on 0845 607 0987.

Welsh Language

If you would like this form in Welsh please contact your Regulatory Officer.