

Compliance Assessment Report

Report ID:
CAR_NRW0034170

This form will report compliance with your permit as determined by an NRW officer

Site	BRO TRICHRUG STW		Permit Ref	BN0268801		
Operator/Permit holder	G L JONES					
Regime	WaterQuality					
Date of assessment	30/10/2018	Time in	13:00	Out	13:15	
Assessment type	Audit					
Parts of the permit assessed	All					
Lead officer's name	Stone, Callum					
Accompanied by	Goddard, Jeremy,Wilson, Alastair					
Recipient's name/position	Cari Cook/ STW Project Manager	Date issued	21/11/2018			

Section 1 – Compliance Assessment Summary

This is based on the requirements of the permit under the Environmental Permitting Regulations or the licence under the Water Resources Act 1991 as amended by the Water Act 2003. A detailed explanation is captured in "Compliance Assessment Report Detail" (Section 2) and any actions you may need to take are given in the "Action(s)" (section 4). This summary details where we believe any non-compliance with the permit has occurred, the relevant condition and how the non-compliance has been categorised using our Compliance Classification Scheme (CCS). CCS Scores can be consolidated or suspended where appropriate, to reflect the impact of some non-compliances more accurately. For more details of our CCS scheme, contact your local office.

Permit conditions and compliance summary	CCS Category	Condition(s) breached
B1 - Infrastructure - Engineering for prevention and control of emissions	A	
E3 - Emissions - Surface water	C3	5(b) Discharge maximum volume 500/litres (0.5 cubic metres) per day

KEY: See Section 5 for breach categories, suspended scores will be indicated as such.

A = Assessed or assessed in part (no evidence of non-compliance), **X** = Action only,

O = Ongoing non-compliance, not scored.

Number of breaches recorded	1	Total compliance score (see section 5 for scoring scheme)	4
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If the Number of breaches recorded is greater than zero, please see Section 3 for our proposed enforcement response

Section 2 – Compliance Assessment Report Detail

This section contains a report of our findings and will usually include information on:

- The part(s) of the permit that were assessed (eg. Maintenance, training, combustion plant, etc)
- Where the type of assessment was 'Data Review' details of the report/results triggering the assessment
- Any non-compliances identified
- Any non-compliances with directly applicable legislation
- Details of any multiple non-compliances
- Information on the compliance score accrued inc.
- Details of advice given
- Any other areas of concern
- Any actions requested
- Any examples of good practice
- A reference to photos taken

Site overview:

Cilcennin STW (Permit Reference BN0268801)(Photograph 1) is an old septic tank and tipping tray system serving 6 properties issued with a numeric permit in 1983. Sewage is tipped over a growth covered medium by a tipping tray to regulate the flow.



Photograph 1: Cilcennin STW

Final Effluent:

The final effluent chamber at the works is accessed through a locked manhole, however due to the depth it was not possible to see the state of the final effluent discharge (photograph 2). The exact location of the final effluent discharge point is not known as it is not easily accessible.



Photograph 2: Final Effluent sample chamber.

Sample Exceedances since 2016:

This site has not been sampled, therefore it is not possible to review compliance with the numeric conditions set out in the permit for water quality.

Daily Volume:

According to the data you provided the estimated daily flow into the works is 4.05 m³/day. The Maximum Flow allowed under Condition 5(b) of the permit is 0.5 m³/day so you are operating out of compliance of the flow condition by 710%.

Actions and Recommendations:

- Your contractor currently visits each sewage works on an ad-hoc basis which could lead to a gap of up to 3 months between visits. We have concerns that if a failure was to occur it could be a significant length of time before it was identified.

Developing a management system will help formalise your maintenance regime and hopefully make it less likely that if a malfunction was to occur it would result in a significant environmental impact. To assist operators in developing a management system Natural Resources Wales have developed a toolkit for permit holders who discharge up to 20 cubic metres per day of secondary treated sewage effluent to surface waters. The toolkit can be found at the following link to our website:

<https://cdn.naturalresources.wales/media/2087/management-toolkit-for-operators-holding-a-permit-for-the-discharge-of-up-to-20-m3p.pdf?mode=pad&rnd=131466581150000000>

- The outfall needs to be located.

- Sampling should be undertaken to establish to what standard sewage is being treated by the works.

ENDS

EPR Compliance Assessment Report

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Section 3 – Enforcement Response

You must take immediate action to rectify any non-compliance and prevent repetition. Non-compliance with your permit conditions constitutes an offence and can result in criminal prosecutions and/or suspension or revocation of a permit. Please read the detailed assessment in Section 2 and the steps you need to take in Section 4 below.

Section 4 – Action(s)

This section summarises the actions identified during the assessment along with the timescales for when they will need to be completed.

Criteria Ref.	CCS Category	Action required/advised	Due Date
See Section 1 above			
E3	C3	Employ an appropriately qualified sewage consultant to establish whether the works can effectively treat the current incoming volumes. This report can be delivered either digitally or on paper to NRW. Further actions such as permit variations shall be decided upon following submission of the report.	31/03/2019

Section 5 – Compliance notes for the Operator

To ensure you correct actual or potential non-compliance we may

- Advise on corrective actions verbally or in writing
- Require you to take specific actions verbally or in writing
- Issue a notice
- Require you to review your procedures or management system
- Change some of the conditions of your permit
- Decide to undertake a full review of your permit

Any breach of a permit condition is an offence and we may take legal action against you

- We will normally provide advice and guidance to assist you to come back into compliance either after an offence is committed or where we consider that an offence is likely to be committed. This is without prejudice to any other enforcement response that we consider may be required.
- Enforcement action can include the issue of a formal caution, prosecution, the service of a notice and/or suspension or revocation of the permit.

See our Enforcement and Civil Sanctions guidance for further information

This report does not relieve the site operator of the responsibility to

- Ensure you comply with the conditions of the permit at all times and prevent pollution of the environment
- Ensure you comply with other legislative provisions which may apply

Non-compliance scores and categories

CCS category	Description	Score
C1	A non-compliance that could have a major environmental effect	60
C2	A non-compliance which could have a significant environmental effect	31
C3	A non-compliance which could have a minor environmental effect	4
C4	A non-compliance which has no potential environmental effect	0.1

Operational Risk Appraisal (Opra) - Compliance assessment findings may affect your Opra score and/or your charges. This score influences the resource we use to assess permit compliance.

Section 6 – General information

Data protection notice

The information on this form will be processed by the Natural Resources Wales (NRW) to fulfil its regulatory and monitoring functions and to maintain the relevant public register(s). The NRW may also use and/or disclose it in connection with:

- Offering/providing you with its literature/services relating to environmental matters
- Consulting with the public, public bodies and other organisations (eg. Health and Safety Executive, local authorities) on environmental issues
- Carrying out statistical analysis, research and development on environmental issues
- Providing public register information to enquirers
- Investigating possible breaches of environmental law
- Assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Regulations request

The NRW may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

Disclosure of information

The NRW will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within fifteen working days of receipt of this form indicating which information it concerns and why it should not be released, giving your reasons in full.

Customer charter

What can I do if I disagree with this compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with officer's line managers using the informal appeals procedure. If you wish to raise your dispute further through our official Complaints and Commendations procedure, phone our general enquiry number 0300 065 3000 (Mon to Fri 08.00 – 18.00) and ask for the Customer Contact team or send an email to enquiries@naturalresourceswales.gov.uk. If you are still dissatisfied you can make a complaint to the Public Services Ombudsman for Wales. For advice on how to complain to the Ombudsman phone their helpline on 0845 607 0987.

Welsh Language

If you would like this form in Welsh please contact your Regulatory Officer.